

## Index

- 25 percent rule, see Royalty-Rules of Thumb
- A.A. Poultry v. Rose Acre*, 838
- Abandonment of trademark, 466–69, 476–77
- Acceptance (of deliverables), 262
- Adams v. Burke*, 752–55
- Alfred E. Mann Found. for Sci. Research v. Cochlear Corp.*, 321–22, 327
- ALL CAPS, 295
- All substantial rights (in patent), 321–27
- Allen Archery, Inc. v. Precision Shooting Equipment, Inc.*, 209–13
- Allied Tube v. Indian Head, Inc.*, 871–75
- Android operating system, 14, 623
- Anticommons, 887–88, 890
- Antitrust issues, 824–84
  - Agency enforcement policy, 826
  - Clayton Act, 791, 793, 794, 825
  - Essential facilities doctrine, 866
  - Federal Trade Commission Act, 826
  - Franchising, 849–53, 854–55
  - Injury, 870–71, 883
  - Market Allocation, 832–39
  - Market Power, 839, 852, 853–54, 857–66, 883, 908
  - Monopolization, 825, 840, 857–54
  - Nine No-Nos, 827–28b, 849
  - Oligopsony (buyers’ cartel), 831, 917
  - Output restrictions, 830–31
  - Patent misuse, and, 798, 862–63, 865, 866
  - Pay for Delay, see Antitrust issues – Reverse Payment Settlements
  - Per se illegality, 827–28, 836–37, 838, 840, 841, 843–44, 847, 849, 861, 863, 870
  - Platform technologies, 855–56
  - Pooling of IP, 891–97b, 898–910, 911–16
  - Price fixing, 828–31, 840, 848
  - Refusal to Deal (group boycott), 866–67
  - Remedies, 839–40
  - Resale Price Maintenance, 735, 827, 840–48
  - Reverse Payment Settlements, 875–83
  - Rule of Reason, 836, 840, 841, 843–44, 847, 849
  - Sherman Antitrust Act, 825, 828, 832, 835–38, 840, 841, 848, 849, 852, 857, 866, 867–68, 873, 875, 885, 891–94
  - Standardization, and, 831, 856–57, 864–65
    - Tying, 794, 827, 848–57
- Affiliate, definition, 136–37
- Alice Corp. v. CLS Bank*, 580
- Amendment of agreements, 415, 554
- American Society of Mechanical Engineers, Inc. v. Hydrolevel Corp.*, 873, 874
- Apparent authority, see Authority to contract
- Apple App Store, 17, 18, 197
- Apple, Inc. v. Motorola, Inc.*, 663–67
- Apple Inc. v. Qualcomm Inc.*, 711
- Application Programmer Interface (API), 582–83, 633
- Application Service Provider (ASP), see Software as a Service (SaaS)
- Arbitration clauses, 352–57, 544, 550, 730
- Arbitron, Inc. v. Tralyn Broadcasting, Inc.*, 220–24
- Aronson v. Quick Point Pencil Co.*, 207, 530–31, 805–7
- Arista Records, LLC v. Launch Media, Inc.*, 511–16
- ASCAP, see Music – Am. Soc’y Composers
- Assignment
  - Copyright, 22–27
  - FRAND commitments, see Fair, Reasonable and Nondiscriminatory (FRAND) Licensing commitments – transfer of
  - Goodwill, 37–41
  - IP underlying license, 64–66, 400
  - Licenses, 149, 394–400
  - Patent, 27–36, 426–29, 456, 669
  - Termination, statutory, 26
  - Trade secrets, 41–42
  - Trademark, 37–41, 126–27
- Assignor estoppel – see Estoppel
- At-will termination, see Termination for Convenience
- Attribution requirement, 594, 595–96, 608
- Au-Tomotive Gold Inc. v. Volkswagen of America, Inc.*, 747–52
- Audit clauses, 245–47
- Authentic Apparel Grp., LLC v. United States*, 475
- Authority to contract, 549–50
- Automatic Radio Co. v. Hazeltine Research, Inc.*, 215, 225–26, 699, 704, 798, 808–10, 887, 916
- Background IP, 263, 264
- Bankruptcy and licenses, 15, 380, 673–90
  - Assignment by DIP, 684–85

- Automatic stay of proceedings, 673–77  
 Contractual clauses, 684, 686  
 Debtor in possession, 673  
 Escrow, and, 687–90  
 Estate in bankruptcy, 673, 677–78  
 Executory contracts, 678–84  
   Trademarks and, 683–84  
 U.S. Bankruptcy Code, Sec. 365(n), 679–84  
 See also *ipso facto* clauses  
*Barcamerica International USA Trust v. Tyfield Importers, Inc.*, 469–74  
*Bassett v. Mashantucket Pequot Tribe*, 53–59  
*Bayer AG v. Housey Pharmaceuticals, Inc.*, 726–27, 728, 798  
 Bayh-Dole Act of 1980, 424–39, 449  
   March-in rights, 432–39  
   Preference for US Industry, 430, 431–32  
   Royalty sharing, 429–30, 431  
*Beer Nuts, Inc. v. King Nut Co.*, 722, 723, 724  
 Beneficial ownership of IP, 320  
 Berne Convention for the Protection of Literary and Artistic Works, 147  
 Best efforts, see Efforts required  
 Beta testing, 120  
 Beta version (pre-release), 187  
*Bilski v. Kappos*, 580  
 “Biobucks”, 229  
*Bio-Rad Labs, Inc. v. ITC and 10X Genomics*, 35–36  
 Block booking (motion pictures), 855, 887  
 See also Antitrust issues – Tying  
*Board of Trustees of the Leland Stanford Junior University v. Roche Molecular Systems, Inc.*, 32–34, 142, 149, 426–29  
*Bobbs-Merrill Co. v. Straus*, 732–36, 745, 771, 776  
 Boilerplate, 122, 390–91, 419  
 Bona fide purchaser, 168  
*Boosey & Hawkes Music Publishers, Ltd. v. The Walt Disney Co.*, 159–64  
*Bowers v. Baystate Technologies, Inc.*, 532  
*Bowman v. Monsanto*, 539, 762–64  
 Break-up fee, 118  
   See also – Termination, for convenience  
 Browsewrap agreement, 523, 541–50  
*Brulotte v. Thys Co.*, 225–26, 799–807, 812  
  
 Cannibalization, product, 12, 17  
*Cascades Computer Innovation LLC v. RPX Corp.*, 917  
*Celeritas Technologies, Ltd. v. Rockwell International Corp.*, 113–16  
 Change in control clauses, 399, 406  
 Change orders, 257–58  
 Character licensing, 462–66  
 Clickthrough agreement, see Clickwrap agreement  
 Clickwrap agreement, 415, 523, 540  
 Cloud computing, 586–91  
 Co-exclusive licensee, 173  
 Commercial purposes, definition, see Noncommercial purposes  
 Commissioned works, 81–88, 254–55  
*Commodores Entm’t Corp. v. McClary*, 45  
  
*Commonwealth Film Processing, Inc. v. Courtaulds United States, Inc.*, 68  
*Community for Creative Non-Violence v. Reid*, 25  
*Compton v. Metal Products, Inc.*, 820–21  
 Compulsory licensing,  
   Copyright, 497–504, 506  
   Patent, 439, 840  
*Computer Communications, Inc. v. Codex Corp.*, 677, 686  
 Computer Fraud and Abuse Act, 549  
 Condition v. covenant, 60–64, 619–21, 622  
 Conditional sales, 585, 764–71  
   See also, Exhaustion – Patent  
 Confidential Information – 54, 108, 110, 118, 364, 443, 572, 583, 597, 601  
   Anti-confidentiality clause, 604  
   Arbitration, and, 356  
   See also Nondisclosure Agreements  
*contra proferentem*, 416  
 Control, definition, 136, 137  
 Convergence of IP rights, 466  
 Cooperative R&D Agreement (CRADA), 445  
 Copyleft, 596, 599, 601, 604, 608, 609–10, 624  
*Cornell University v. Hewlett-Packard Co.*, 213–14  
 Cost Reimbursement, 237–38, 277  
 Covenant not to sue, 49–51, 359  
 Cournot complements, 889–90  
*Cozza v. Network Assoc.*, 5  
*C.R. Klewin, Inc. v. Flagship Properties, Inc.*, 68  
 Creative Commons, 592–97, 618, 636  
 CRISPR-Cas9 licensing, 174  
 Customizations, 255  
*Cyrix Corp. v. Intel Corp.*, 150–57, 168  
  
*D.E. Shaw Composite Holdings, L.L.C. v. Terraform Power, LLC*, 126  
*Dana-Farber Cancer Inst., Inc. v. Ono Pharm. Co.*, 36  
 Data,  
   Individual Health Information (IHI), 557–59  
   Legal protection for, 556–59  
   Licensing terms, 560–66  
   Privacy, see Privacy  
   Propertization of, 557–59  
   Trade secret, as, 560, 575  
   See also Economic Espionage Act  
   See also EU Database Directive  
*Datatreasury Corp. v. Wells Fargo & Co.*, 65  
 Date of agreement, see Effective Date  
*Dawn Donut Co. v. Hart’s Food Stores, Inc.*, 467–69, 475  
 Declaratory Judgment Act, 97–106, 709–12  
 Defensive termination/suspension clause, 615–16, 641, 909  
*De Forest Radio Telephone Co. v. United States*, 91, 762  
 Demand letters, 106–7  
 Dependencies (of non-breaching party), 378–80  
 Derivative works, 248–51  
   No derivatives license, 594  
 Design patents, 464–66  
 Digital Millennium Copyright Act of 1998 (DMCA), 557, 609, 610, 738

- Diligence requirements, see Milestones
- Disclosure schedule, 287–88
- Distribution agreements, 581
- Divisibility of rights, 26, 36
- Donald F. Duncan, Inc. v. Royal Tops Manufacturing Co., Inc.*, 176–79
- Drackett Chem. Co. v. Chamberlain Co.*, 706–7
- Drafting practices and tips, 123–27, 394
- Drug Price Competition and Patent Term Restoration Act of 1984, see Hatch-Waxman Act
- DSC Commc'ns Corp. v. Pulse Commc'ns, Inc.*, 744
- DTM Research, L.L.C. v. AT&T Corp.*, 42
- eBay Inc. v. MercExchange, L.L.C.*, 662, 663
- Economic Espionage Act, 560
- Eden Hannon & Co. v. Sumitomo Trust & Banking Co.*, 567–72, 575
- Effective Date of agreement, 362–63, 392
- Effects Associates, Inc. v. Cohen*, 84–86, 88
- Efforts required, 187–95, 341
- Eli Lilly & Co. v. Emisphere Techs., Inc.*, 64
- Elorac, Inc. v. Sanofi-Aventis Can., Inc.*, 298–99
- Employee rights in IP, 27–31
- End user license agreement (EULA), 61, 531–32, 550–55, 592
- Endo Pharms., Inc. v. Actavis, Inc.*, 94, 360
- Enesco Corp. v. Price/Costco Inc.*, 749
- Enforcement of IP
- Contractual terms relating to, 185, 319, 320, 336–41
- Equity compensation, 235–37
- Ericsson v. D-Link*, 656, 657, 661
- Escrow,
- Disputed sums, 380
  - Source code, 583, 687–90
- Essential step defense (copyright), 744
- Estoppel
- Assignor, 691–99, 703, 706–7
  - Licensee, 699–709
- Ethicon v. United States Surgical Corp.*, 42–43
- EU Database Directive, 557, 560
- EU General Data Protection Regulation (GDPR), 573
- Everex Systems, Inc. v. Cadtrax Corp.*, 405, 685
- Eviction from license, 706–9
- Exhibits to agreement, 123
- Exclusion of Damages, see Limitations of Liability
- Exclusivity, 118, 197, 245, 252–53, 325–26b, 332, 405–6, 430, 443–44, 888
- Exhaustion doctrine,
- Conditional sales, see Conditional sales
  - International, 778–81
  - Patent, 359, 660
  - See also, First Sale Doctrine
- Export controls, 409, 441–42, 604
- Exxon Corp. v. Oxford Clothes Inc.*, 476–77
- Fabryzyme petition, see Bayh-Dole Act of 1980 – March-in rights
- Fair, reasonable and nondiscriminatory (FRAND) licensing commitments, 66, 445, 632, 640–41, 654–59, 904, 906–7
- Antitrust remedy, as, 840
  - Enhanced damages for breach of, 666–67
- Injunctive relief, effect on, 662–68
- Nondiscrimination, 659–62
- Royalty-Free licensing, 658–59
- Servitudes, compared to, 670
- Third party beneficiaries of, 658
- Transfer of, 66, 668–70
- See also Defensive suspension/termination, Reciprocity requirements, Royalties
- Fair Use doctrine,
- Copyright, 441, 521, 522, 532, 585, 593, 822
  - Trademark, 142
- Fathers & Daughters Nevada, LLC v. Zhang*, 315–20
- Federal common law, 53, 405
- Federal agencies, licensing by, 333–34, 440
- Federal Rule of Evidence 408 – see Settlement of Litigation
- Federal Trade Commission v. Actavis, Inc.*, 875–83
- Federal Trade Commission v. Qualcomm*, 762, 856–57
- Feist Publications v. Rural Telephone, 556–57
- Field of Use (FOU), 143–46, 279, 447
- Filmtec Corporation v. Allied-Signal Inc.*, 28–33
- First Nationwide Bank v. Florida Software Services*, 406
- First sale doctrine,
- Copyright, 731–36
    - International, 771–78
  - Digital works, 746
  - Trademark, 746–52
    - International, 781–89
- Flex-Foot, Inc. v. CRP, Inc.*, 713–17, 722, 725–27
- Flynn v. McGraw Hill LLC*, 215
- Foad Consulting Group, Inc. v. Musil Govan Azzalino*, 79
- Force majeure*, 380, 409–11, 419
- Foreground IP, 263, 264
- Foundry rights, 156–57
- Franchising, 480–92
- Advertising, 485
  - Agreements, 485–92, see also *IHOP Restaurants LLC v. Moeini Corp.*
  - Disclosures, 483–84
  - Operations manual, 491–92
  - Termination of, 383, 491
  - See also, Antitrust issues – Franchising
- Fraunhofer-Gesellschaft v. Sirius XM*, 374, 377
- Free licenses, 18, 658–59
- Free software movement, 597–601
- Functionality doctrine (trademark), 747–48
- Future grants of rights, 32
- General Talking Pictures Corp. v. Western Electric*, 143–44, 145, 146, 760, 769–70
- Gen-Probe Inc. v. Vysis, Inc.*, 710, 726, 728
- Georgia-Pacific Corp. v. U.S. Plywood Corp.*, 655
- Genericide (trademark), 480
- Good faith efforts, see Efforts required
- Google, 14, 17, 18
- Google v. Oracle*, 578
- Grantbacks, 252–54, 590, 904, 905, 908–9, 914
- Gray market, see First Sale Doctrine – Trademark – International
- Greenberg v. Miami Children's Hospital Research Institute, Inc.*, 559

- Greenfield v. Twin Vision Graphics, Inc.*, 538  
*Gruen Marketing Corp. v. Benrus Watch Company, Inc.*, 328–32
- Hague Conference on Private International Law, 345  
*Hartford-Empire Co. v. United States*, 897  
 Hatch-Waxman Act, 876–77  
 Have-made rights, 154–57  
*Henry v. A. B. Dick Co.*, 860  
*Hill v. Gateway 2000, Inc.*, 546  
*Huawei v. ZTE* (Eur. Ct. Justice), 667–68  
 Human samples, treatment of, 456  
 Hyperlinks, 549
- I.A.E., Inc. v. Shaver*, 81–87  
*I.Lan Systems, Inc. v. Netscout Service Level*, 523  
*Idaho Potato Comm. v. M&M Produce Farm & Sales*, 719–24  
 Idea disclaimers, 78  
 Idea pitches, 68–80  
 Idea registration, 79–80  
*IHOP Restaurants LLC v. Moeini Corp.*, 486–91  
*Illinois Tool Works Inc. v. Independent Ink, Inc.*, 790, 859–63  
 Implied licenses, 67, 68–96, 134, 615  
*Impression Products, Inc. v. Lexmark International, Inc.*, 765–71, 778–81  
 Improvements (to licensed technology), 251, 447  
   See also Derivative Works  
 Indemnification,  
   IP infringement, 297, 299–311, 364, 448, 589  
   Termination of European sales representatives, 386  
*Independent Wireless Telegraph Co v. Radio Corp of America*, 334  
 Inequitable conduct, 652  
 Injunctive relief clauses – 110, 111, 349, 350, 668  
*Innovatio IP Ventures*, 656, 657  
*Institut Pasteur v. Cambridge Biotech Corp.*, 685  
 Insurance clause, 311–12  
 Integration clause, see Merger clause  
 Intercompany agreements, 566  
 Interim agreements, 119–20  
 Internal use limitation (software), 581  
 International Business Machines (IBM), 14, 18, 78, 150–54  
*International News Service v. Associated Press*, 556, 557  
*International Salt Co. v. United States*, 850, 862, 863  
 Invitation to license – see Demand Letter  
*Ipsa facto* clause, 363, 685–87  
 Irrevocable licenses, 374  
*IXL, Inc. v. AdOutlet.Com, Inc.*, 258–62, 380
- Jacobson v. Katzer*, 602, 616–22, 623–24  
*Jefferson Parish Hospital Dist. No. 2 v. Hyde*, 849, 859, 861, 863  
*Johnson v. Jones*, 87–88  
 Joinder, 332–36, 337, 338  
 Joint ownership of IP, 263, 264  
   Copyrights – 43  
   Non-US law – 46  
   Patents – 42–43  
   Trademarks  
   Trade Secrets – 43–44
- Joint ventures, 265–75  
*JP Morgan Chase Bank, N.A. v. DataTreasury Corp.*, 245  
 Jury trial, waiver of, 343
- Karl Storz Endoscopy-America, Inc. v. Surgical Tech., Inc.*, 749, 750, 752, 788  
*Keen, Inc. v. Gecker*, 678  
*Kennedy v. Natl. Juvenile Detention Assn.*, 150, 249–51  
*Kepner-Tregoe, Inc. v. Vroom*, 181–83  
*Keweenaw Oil Co. v. Bicon Corp.*, 807  
*Klipsch Inc. v. WWR Technology Inc.*, 412–13  
*Kimble v. Marvel Entertainment, LLC*, 800–5  
*Kirtsaeng v. John Wiley & Sons, Inc.*, 771–78  
 Know-How,  
   Definition, 130, 138–39  
   Licensing, 138–40  
 Knowledge qualifiers, 287, 289  
*Krehl v. Baskin Robbins Ice Cream Co.*, 854–55
- Lasercomb v. Reynolds*, 818–21  
*Law v. Bioheart, Inc.*, 229–34  
*Le Tote Inc. v. Urban Outfitters Inc.*, 111  
*Lear, Inc. v. Adkins*, 695–96, 699–709, 711, 715–16, 721–22, 723, 724, 726, 728, 808  
*Leegin Creative Leather Products, Inc. v. PSKS, Inc.*, 841–47  
 Letter of Intent – see Preliminary Documents  
 License back, see Grantback  
 Limitations of Liability, 295–97, 534  
 Linux operating system, 18, 601, 609, 615, 618, 623, 627  
 Listerine, see *Warner-Lambert Pharm. v. John J. Reynolds, Inc.*, 14  
 Lock-in, 634, 639  
*Loew's Inc. v. Wolff*, 281–84, 293  
*Lone Star Silicon Innovations LLC v. Nanya Tech. Corp.*, 322–28, 335  
 Lost profits, 298–99  
*Lubrizol Enterprises, Inc. v. Richmond Metal Finishers, Inc.*, 679, 683
- M.A. Mortenson Company, Inc. v. Timberline Software Corp.*, 532–37  
*M.D. Mark, Inc. v. Kerr-McGee Corp.*, 562–66, 575  
*Macy's Inc. v. Martha Stewart Living Omnimedia, Inc.*, 183–84  
*Madey v. Duke Univ.*, 442  
 Malicious code, 289  
*MAI Systems Corp. v. Peak Computer Inc.*, 578, 740–42, 744  
 Maintenance,  
   Hardware, 584  
   Product, 292  
   Software, 584, 586  
*Mallinckrodt, Inc. v. Medipart, Inc.*, 764–65, 766, 770  
 Marking  
   Confidential information, 112  
   Patents, 406–8  
   Trademarks  
 Material adverse effect, 287  
 Material Transfer Agreement, 451–56  
 Materiality (of breach), 375–76, 379

- McCoy v. Mitsubishi Cutlery, Inc.*, 89–93  
*MacMahan Pharmacal Co. v. Denver Chemical Mfg. Co.*, 466–67  
*MDY Industries, LLC v. Blizzard*, 60–63  
*Mechanical Ice Tray Corp. v. Gen. Motors Corp.*, 178–79  
*MedImmune, Inc. v. Genentech, Inc.*, 98, 102–4, 105–6, 710–11, 726, 728, 729  
 Memorandum of Understanding – see Preliminary Documents  
*Memry Corp. v. Ky. Oil Tech., N.V.*, 42  
*Mercoid Corp. v. Minneapolis-Honeywell Regulator Co.*, 795–96, 809, 862  
 Mergers and acquisitions, 15, 380, 396–97, 399, 401–6  
 Merger clause (integration clause), 194, 411–12  
*Mixel, Inc. v. Air-Shields, Inc.*, 377  
*MGP Ingredients, Inc. v. Mars, Inc.*, 44  
*Microsoft Corp. v. Motorola, Inc.*, 655, 657, 660, 662  
 Milestones, 173, 186–87, 379  
 Payments, 228–35  
*Minerva Surgical, Inc. v. Hologic, Inc.*, 692–99  
*Mission Product Holdings v. Tempnology, LLC*, 683–84  
 Misuse doctrine (patent), 652–53, 727–29, 790–817, 862–63  
     Bundling, see Misuse doctrine (patent) – Package Licensing  
     Contributory infringement, and, 796–97, 798  
     No-challenge clauses, 807–8  
     Package licensing, 808–17, 827, 902  
     Patent Misuse Reform Act of 1988, 797, 816, 821, 863, 866  
     Post-expiration royalties, 798–805  
     Total sales royalty, see Misuse doctrine (patent) – Package Licensing  
     Tying, 791–95  
 Misuse doctrine (copyright), 817–23  
 Mistake, doctrine of, 21  
 Modularization of agreements, 13  
 Most-favored status and clauses, 238–45  
*Morton v. Rank Am., Inc.*, 44  
*Morton Salt Co. v. G.S. Suppiger Co.*, 791–95, 805, 809, 821, 862, 863  
*The Movie 1 & 2 v. United Artists Communications*, 866–70  
 Music,  
     American Society of Composers, Authors and Publishers (ASCAP), 22, 505–7, 887  
     Broadcast Music, Inc. (BMI), 22, 505–7, 887  
     Copyright in, 493–95  
     Copyright Royalty Board, 497, 498–503  
     Cover license (statutory), 497–504  
     Digital Performance Right in Sound Recordings Act of 1995 (DPRSRA), 510, 513  
     Harry Fox Agency, 503  
     Licenses, 508–22  
     Mechanical reproduction, 496–504  
     Music Modernization Act of 2018, 504, 517  
     Noncommercial broadcasting license (statutory), 506  
     Performing Rights Organizations (PROs), 504–8  
     Political rallies, 509–10  
     Public performance rights, 508–18  
     Sampling, 521–22  
     SESAC, Inc., 505, 887  
     SoundExchange, 504, 510–11, 517  
     Streaming, 503–4  
     Synchronization, 518–20  
*Nadel v. Play-By-Play Toys & Novelties, Inc.*, 69–74  
 Naked licensing of trademark, see Abandonment of trademark  
*National Auto Lenders, Inc. v. SysLOCATE, Inc.*, 549–50  
*NEC Corp. v. Intel Corp.*, 585  
 Net Sales definition, 208  
*New York Times Co., Inc. v. Tasini*, 164  
 No-challenge clause, see Validity – Challenges to  
 Noncircumvention, 566–72, 586  
 Noncommercial purposes, 454, 456, 594, 604  
 Noncompetition agreement, 569–71, 572, 818–21  
 Nondisclosure Agreements, 107–16  
 Nondiscrimination requirement, 603, 613, 659–62, 906, 907  
     Level discrimination, 660–61  
     See also Fair, Reasonable and Nondiscriminatory (FRAND) licensing  
 Nondisturbance agreement, 387  
 Non-practicing entities (NPEs)  
     Copyright, 321  
     Patent, 14, 15–16, 140, 310–11, 327–28, 588, 589, 656, 669  
 Nonrefundable fees, 198–99  
*Northwest Wholesale Stationers, Inc. v. Pacific Stationery Printing Co.*, 870  
 Notice clause, 416–18  
*Numerus clausus* and licenses, 364  
 Object code (software), 142, 575–77, 580  
 Open Source Initiative (OSI), see Open source software (OSS)  
 Open source software (OSS), 590, 597–632, 641  
     Afferro General Public License (AGPL), 613  
     Apache License, 605, 615–16, 618, 623, 627, 631  
     Artistic License, 602, 616–22  
     Black Duck Software, 632  
     BSD License, 602, 605–8, 615, 623, 631  
     Copyleft, see Copyleft  
     Definitions of, 601–5  
     Dual licensing strategy, 624, 630  
     Due diligence, and, 631–32  
     Free Software Foundation (FSF), 608, 613, 627  
     General Public License (GPL), 602, 605, 608–16, 624, 629, 630, 631  
     GNU Project, 598–600  
     Lesser General Public License (LGPL), 605, 614–15, 630, 631  
     Mozilla Public License, 602, 605, 629  
     Open Source Initiative (OSI), 601–5, 608, 609, 622, 627  
     Patents, and, 611–13, 615  
     Viral nature of OSS, see Copyleft  
     See also Android operating system, *Jachosen v. Katzer*, Linux operating system, Stallman, Richard  
 Option agreements and clauses, 235, 450, 451  
     Fees, 197–98  
 Original Equipment Manufacturer (OEM), 581–82

- Otto Preminger Films, Ltd. v. Quintex Entertainment, Ltd.*, 683
- Ownership of IP, see Assignment
- Pandora v. ASCAP*, 507
- Pav-Saver Corporation v. Vasso Corporation*, 267–75
- Patent Assertion Entities (PAEs) – see Non-practicing Entities-Patent
- Patent family, 130–32
- Patent prosecution
- Costs, see Cost Reimbursement
  - Responsibility, 276–77
- Patent troll – see Non-practicing entities
- PBTM LLC v. Football Northwest, LLC*, 126–27
- Permanence Corp. v. Kennametal, Inc.*, 189–93, 411
- Perpetual license, 365–74
- Pledges of intellectual property, 17, 586–87, 632–36
- Market reliance, 634
  - Open COVID Pledge, 636
  - Tesla Motors, 632, 634–36
- Pooling of intellectual property, 17, 885–917
- Allocation methods, 888
  - Biotechnology, 890, 910
  - Competition among pools, 889
  - Complementarity, 906, 910
  - Defensive aggregation, 916–17
  - DVD pools, 226, 889, 907
  - Innovation, effect on, 898, 904–5, 909
  - Holdouts from, 889
  - Standards and pools, 898–910
- Portfolio licensing, 135–36, 215
- Preemption of state law claims, 529–31, 532, 807
- Preliminary documents, 116–20
- Privacy, data, 573–75
- Private labeling, 832–39
- In Re Prize Frize, Inc.*, 681–83
- ProCD, Inc. v. Zeidenberg*, 524–32, 536–37, 546, 746
- PPG Industries, Inc. v. Guardian Industries Corp.*, 401–6
- Precedence, order of, 414–15
- Prestonettes, Inc. v. Coty*, 748, 750
- Princo* cases, 816–17, 915–16
- Priority date (patent), 131
- Public domain, 596, 601
- Public licenses, 594–95, 618, 636
- Puget Sound Financial, LLC v. Unisearch, Inc.*, 538
- Purchase orders, 533
- Qualcomm Inc. v. Broadcom Corp.*, 644–54
- Quality control in trademark licenses, 469–79, 786
- Policing of quality, 474
  - Quality control clauses, 475–78
- Quanta Computer, Inc. v. LG Electronics*, 755–62, 767, 768, 770–71
- Qui tam* plaintiff, 408
- Rambus Inc. v. Infineon Technologies AG*, 645–46, 653
- Rambus Inc. v. FTC*, 654
- Random House, Inc. v. Rosetta Books, LLC*, 163–64
- Realtek Semiconductor Corp. v. LSI Corp.*, 657, 662–63
- Reasonable efforts, see Efforts required
- Reciprocity requirements, 641
- Recordation of IP interests, 26, 32, 40, 171
- Recording Industry Assn. of Am. v. Librarian of Congress*, 498–503
- Red Wing Shoe Co. v. Hockerson-Halberstadt, Inc.*, 711–12
- Register.com v. Verio, Inc.*, 547–48
- Research exemption, 442
- Research tools, licensing, 176
- Residuals clause, 109, 111, 112
- Reverse engineering, 109, 111, 585–86
- Reversion of rights, 373
- Rhone-Poulenc Agro, S.A. v. DeKalb Genetics Corporation*, 168–72
- Righthaven LLC v. Hoehn*, 314, 320, 321
- Rite-Hite Corp. v. Kelly Co. Inc.*, 325–26
- Rolex Watch, U.S.A., Inc. v. Michel Co.*, 750, 752, 788
- Royalty,
- Advance, 199
  - Base, 208–17
  - Bundled rights, 225–26
  - Buyout, 219–20
  - Caps, 219
  - Entire market value rule (EMVR), 214
  - Escalation, 220–24
  - Fair, reasonable and nondiscriminatory (FRAND) royalties, 224, 654–59
    - Bottom-Up methodology, 656–57
    - Top-Down methodology, 656–57
  - Hybrid (patent/know-how), 206–7
  - In-kind compensation, 217
  - Minimum royalties, 217–18
  - Penalties for validity challenge, 727–29
  - Pre-issuance, 706
  - Rate, 199–208
  - Reach-through, 214–15
  - Rules of Thumb, 205, 207
  - Sharing under Bayh-Dole Act, 429–30, 431
  - Smallest salable patent practicing unit (SSPPU), 214
  - Stacking, 224–25, 889–90
  - Tiers, 201–2
  - Total sales royalty, see Misuse doctrine (patent) – Package Licensing
- Royalty-free licensing – see Free licenses
- RPX Corp., 916–17
- Ryan Data Exchange v. Graco*, 179–80, 338–40, 376
- SanDisk Corp. v. STMicroelectronics, Inc.* – 98–106
- Sanyo limitation, 152–54
- Saturday Evening Post v. Rumbleseat Press, Inc.*, 718–19, 724
- Schedules to agreement, 122
- Scheiber v. Dolby Laboratories, Inc.*, 800, 805
- Schlaifer Nance & Company v. Estate of Andy Warhol*, 285
- Schoenberg v. Shapolsky Publishers, Inc.* – 54–59
- Schwendimann v. Arkwright Advanced Coating, Inc.*, 21–22
- Scott Paper Co. v. Marcalus Mfg. Co.*, 695–96, 703, 801–2
- Sebastian Int'l, Inc. v. Longs Drug Stores Corp.*, 746, 748
- Securitization, 14–15
- Security interests in IP, 40
- Seed licenses, 539

- In re Select-A-Seat Corp.*, 683
- Settlement of litigation, 105  
 Authority to settle, 337, 340  
 Settlement agreements, 244, 306–8, 358–61, 713–17, 875–83
- Severability clause, 413–14
- Shop rights, 28, 404, 406
- Share-alike license, 594, 596
- Shrinkwrap agreement, 523, 524–31
- Siegel v. Chicken Delight, Inc.*, 849–53
- Signature of agreement, 122, 362
- Single use device, 764, 766
- Societe Des Produits Nestle, S.A. v. Casa Helvetia, Inc.*, 474–75, 782–89
- Software  
 Code, 142, 575–77  
 Copyright in, 577–78  
 Licensing, 581–83  
 See also End User License Agreement (EULA)  
 Maintenance, 584  
 Patents in, 579–80, 588  
 Sale versus License, 736–44  
 Support, 584  
 Trade secrets in, 580  
 Updates and Upgrades, 584, 629  
 See also Object code, Source code
- Software as a Service (SaaS), 587, 590–91, 613
- Sole licensee, 173, 180
- Solid Oak Sketches, LLC v. 2 K Games, Inc.*, 88
- Source code (software), 142, 575–77, 580, 585–86, 591, 602–3, 609  
 Proprietary licenses of, 582–83  
 See also Open Source Software (OSS)
- Southern California Gas Co. v. Syntellect, Inc.*, 306–11
- Specht v. Netscape Communications, Inc.*, 541–48
- Specification, 257, 288
- Spindelfabrik Suessen-Schurr Stahlecker & Grill v. Schubert & Salzer Maschinenfabrik AG*, 49, 50, 132–35, 157–58
- Sponsored Research Agreement, see University  
 Technology Transfer – Sponsored Research
- Stallman, Richard, 597–601, 608, 622–23
- Standard Oil Co. v. United States*, 853, 860
- Standard Oil Co. (Indiana) v. United States*, 16, 879, 888, 891–97
- Standards and standardization, 17, 637–70  
 Antitrust issues, 654, 661, 667–68  
 De facto standards, 642–43  
 Due process, 871–75  
 Office of Management and Budget (OMB) Circular A-119–20, 874  
 Patents and, see Standards-essential patents  
 Patent pools, and, 643, 898–910  
 SDO IP Policies, 640–41  
 Disclosure policies, 644–54  
*Ex ante* disclosure of licensing terms, 654  
 see also Fair, reasonable and nondiscriminatory (FRAND) licensing
- Standards Development Organizations (SDOs)  
 Alliance for Telecommunications Industry Solutions (ATIS), 660
- American National Standards Institute (ANSI), 640
- American Society of Mechanical Engineers, Inc. (ASME), 873, 874
- Bluetooth Special Interest Group, 638
- Consortia, 638
- European Telecommunications Standards Inst. (ETSI), 638, 663
- HDMI Forum, 638
- Institute of Electrical and Electronics Engineers (IEEE), 638, 661, 668, 669
- International Organization for Standardization (ISO), 638, 641, 646, 899
- International Telecommunications Union (ITU), 646, 899, 912
- Internet Engineering Task Force (IETF), 638, 639, 659, 669–70
- Joint Electron Device Engineering Council (JEDEC), 645–46, 653
- Joint Video Team (JVT), 644–45, 646–51
- National Fire Protection Association, 871–73
- Telecommunications Industry Association (TIA), 660
- USB Forum, 638
- Standards-essential patents, 638–70  
 Essentiality, 641–42, 643, 817, 904, 906, 909–10, 914, 915–16  
 FRAND, see Fair, reasonable and nondiscriminatory (FRAND) licensing  
 Hold out, 664, 666  
 Hold-up, 639, 640, 664  
 Lock-in, see Lock-in  
 Market power, conferring, 864–65  
 Pools, 643  
 Royalty-free licensing, 640  
 Royalty stacking and, 640
- Standing to sue (licensee), 314–36, 340
- Stanford v. Roche*, see *Board of Trustees of Leland Stanford Jr. Univ.*
- State law issues – 21, 51–52  
 See also Preemption of state law
- Statement of Work (SOW), 257, 258–59, 260–61
- Statute of Frauds – 21, 67–68
- Statutory termination of rights, 26, 383
- STC.UNM v. Intel Corp.*, 333–34, 335
- Step-Saver Data Sys., Inc. v. Wyse Technology*, 535–36, 537–38
- Studiengesellschaft Kohle m.b.H. v. Hercules Inc.*, 241–45
- Sublicensing, 149, 165–72  
 Effect of termination on, 386–87  
 Income, 226–28  
 Sublicensees, breach by, 380–81
- Sugar Busters LLC v. Brennan* – 37
- Sun Microsystems, Inc. v. Microsoft Corp.* – 51
- Systems Unlimited v. Cisco Systems* – 20–21
- Taco Cabana Int'l, Inc. v. Two Pesos, Inc.*, 462–63, 474
- Tattoos – 88
- Tax issues, 140, 217
- T.B. Harms Co. v. Eliscu*, 54–59
- TCL v. Ericsson*, 659
- Technical Standards, see Standards
- Term and duration, 108, 112, 362–74

- Term sheet – see Preliminary Documents
- Termination of agreement,  
  Bankruptcy, 380  
  Breach, 374–81  
  Challenge to Validity, 725–27  
  Convenience, 381–83  
  Without cause, see Termination for Convenience
- Territory, definition, 147–48
- Texaco, Inc. v. Pennzoil Co.*, 117–18
- Third party components (software), 256, 262
- Trade dress, 462–64
- Trademark usage guidelines, 479–80
- TransCore, LP v. Elec. Transaction Consultants Corp.*, 94–95, 360
- Transfer of licenses – see Assignment
- Transocean Offshore Deepwater Drilling, Inc. v. Noble Corp. PLC*, 717
- Trimble Inc. v. Perdiemco LLC*, 712
- Troxel Mfg. Co. v. Schwinn Bicycle Co.*, 708–9
- UMG Recordings v. Augusto*, 745–46
- Unconscionability doctrine, 539–40
- Uniform Commercial Code (UCC) – 21, 52–53, 284, 294, 296, 298, 346, 526–29, 536–37, 544, 551
- Uniform Computer Information Transactions Act (UCITA) – 53, 59, 298, 341, 406
- Uniloc USA v. Microsoft Corp.*, 205, 207
- United Nations Convention on Contracts for the International Sale of Goods (UNCISG), 346–47
- United States v. Inslaw, Inc.*, 674–77
- United States v. Loew's, Inc.*, 855, 860, 862, 863
- United States v. Mfrs. Aircraft Ass'n*, 896, 897
- United States v. Microsoft Corp.*, 855–56, 858, 865–66
- United States v. Paramount Pictures, Inc.*, 851–52
- United States v. Terminal R.R. Ass'n of St. Louis*, 866
- United States v. Topco Associates, Inc.*, 832–39
- United States v. Univis Lens Co.*, 752, 757, 761, 767, 768
- United States v. Wise*, 739–40
- University technology transfer, 172, 176, 236–37, 423–61  
  Bayh-Dole Act, and, see Bayh-Dole Act of 1980  
  Copyright, 456–61  
  Nine Points document, 441–42, 447, 448  
  Reserved rights, 442–43  
  Sharing of revenue, 459, 460, see also Bayh-Dole Act of 1980 – Revenue Sharing  
  Socially-responsible licensing, 444–46  
  Spinout companies, 446, 449
- Sponsored Research, 448–51
- Surrogate licensing, 446–47
- Technology Transfer Offices, 440–41
- Unwired Planet v. Huawei*, 659, 660
- U.S. Philips Corp. v. ITC*, see *Princo* cases
- Use not Sell rights, 158
- User limits (in software licenses), 581
- Validity of IP,  
  Arbitration of, 730  
  Challenges to, 380, 709–30  
    See also Declaratory Judgment Act
- No-challenge clauses,  
  Copyright licenses, in, 718–19  
  Patent licenses, in, 361, 712–30, 807–8  
  Trademark licenses, in, 719–24  
  Other Penalties for challenge, 725–30
- Value Added Reseller (VAR), 581–82
- Vernor v. Autodesk, Inc.*, 736–44, 746, 771
- Video games, 17, 88
- Video Pipeline, Inc. v. Buena Vista Home Entertainment, Inc.*, 821–23
- VirnetX, Inc. v. Cisco Systems, Inc.*, 207
- Waiver clause (no waiver), 412–13
- Waiver doctrine, 651–52
- Warner-Lambert Pharm. v. John J. Reynolds, Inc.*, 14, 366–73
- Warranties, 281–99  
  Disclaimers of, 293–96, 448  
  Intellectual property, 360–61  
  Survival, 364
- Warren v. Fox Family Worldwide, Inc.* – 22–25
- Web crawling, see Web scraping
- Web scraping, 549, 554
- Westinghouse Elec. & Mfg. Co. v. Formica Insulation Co.*, 692, 694–97, 702, 703
- Westowne Shoes, Inc. v. Brown Group, Inc.*, 184–85
- Whelan Associates, Inc. v. Jaslow Dental Laboratory, Inc.*, 578
- Whitewater West Indus. v. Alleshouse*, 34–35
- Wood v. Lucy, Lady Duff Gordon*, 187–89
- Works made for hire, 22–25, 259, 519
- Wrench LLC v. Taco Bell Corp.*, 74–79
- Zenith Radio Corp. v. Hazeltine Research, Inc.*, 798, 811–16, 887