

Contents

Acknowledgments	page xi
Table of Court and Litigation Documents	xiii
Table of International and Regional Legal Instruments	xxiii
List of Abbreviations	xxvii
Introduction	1
NEVER GO TO JAIL?	1
I.1 Problem Statement	1
I.2 Intellectual Origins of Research on Elite Deviance	4
I.3 Transnational Crime	7
I.4 Criminally Exploitable Elements of Foreign	
Official Immunity	12
I.5 Research Objectives	15
I.6 Outline of the Argument	17
I.7 Methodology	19
I.8 Structure of the Book	20
1 The Immunities of Public Officials under International Law	23
INTRODUCTION	23
1.1 Conceptual, Doctrinal, and Theoretical Foundations of	
International Immunities	24
1.1.1 Immunity from Jurisdiction versus Jurisdiction	24
1.1.2 Sovereign Equality, Dignity, and Independence	
of States	26
1.1.3 International Comity and Reciprocity	28
1.1.4 Theories of Foreign Official Immunity	32
1.1.5 The Act of State Doctrine	34



viii Contents

	1.2 Types of Foreign Official Immunities	36
	1.2.1 Personal Immunity (Immunity Ratione Personae)	39
	1.2.1.1 Subjective Scope	39
	1.2.1.2 Material Scope	40
	1.2.1.3 Temporal Scope	41
	1.2.2 Functional Immunity (Immunity Ratione Materiae)	42
	1.2.2.1 Subjective Scope	43
	1.2.2.2 Material Scope	43
	1.2.2.3 Temporal Scope	45
	1.3 International Immunity Regimes	45
	1.3.1 Diplomatic and Consular Immunities	45
	1.3.2 Head-of-State Immunity	52
	1.3.3 Immunity of State Representatives to	
	International Organizations and of International	
	Civil Servants	55
	1.4 External Accountability and Immunity	61
	1.4.1 Waiver Regimes	61
	1.4.1.1 Express Waiver	61
	1.4.1.2 Implied Waiver	65
	1.4.2 Exceptions to Immunity	68
	1.4.2.1 International Crimes	69
	1.4.2.2 Transnational Crimes	81
	CONCLUSION	87
2	The Immunity Challenge in Cases of the Labor Trafficking	
	of Diplomatic Household Workers	89
	INTRODUCTION	89
	2.1 Patterns and Trends of Exploitative	
	Labor Practices	90
	2.1.1 The Purpose and Consequences of Exploitation	92
	2.1.2 Means of Trafficking	94
	2.1.2.1 Enforced Isolation, Coercive Confinement,	
	and Legal Coercion	94
	2.1.2.2 Abuse of Position of Vulnerability	97
	2.1.2.3 Fraudulent Inducement and Visa Fraud	99
	2.2 Unsuccessful Attempts to Establish	
	Perpetrator Accountability	101
	2.2.1 The Jus Cogens Exception	101
	2.2.2 Thirteenth Amendment	105
	2.2.3 Retroactive Immunity	106



	Contents	ix
	2.3 Shedding the Cloak of Diplomatic Immunity	110
	2.3.1 Suing under Residual Immunity for Private Acts	110
	2.3.2 The Commercial Activity Exception	115
	2.4 Attribution of Responsibility to States	121
	2.4.1 Holding Sending States Accountable	121
	2.4.2 Holding Receiving States Accountable	125
	2.5 Responses to Exploitative Labor in	
	Diplomatic Households	131
	2.5.1 Prevention	132
	2.5.2 Protection	135
	2.5.3 Prosecution	137
	CONCLUSION	140
3	Immunity from Foreign Jurisdiction as a Gateway to	
,	Transnational Corruption and Money Laundering	142
	INTRODUCTION	142
	3.1 Kleptocrats' Grasp on Power and Illicit Wealth	143
	3.1.1 Externalization of Kleptocracies	147
	3.1.1.1 Financial Enablers	149
	3.1.1.2 Nonfinancial Enablers	153
	3.1.2 Reputation Laundering	156
	3.2 Misuse of Diplomatic Status and Institutions	159
	3.2.1 Immunities of Convenience	159
	3.2.1.1 Acceptance and Scrutiny of Foreign	
	Diplomatic Appointments	163
	3.2.2 Money Laundering through Diplomatic Channels	167
	3.3 The Immunity Defense in Foreign Courts	170
	3.3.1 The Private Act Exception	171
	3.3.2 Immunity of Diplomatic Agents Holding	
	Dual Citizenship	176
	3.3.3 Jurisdictional Immunity of Heads of	
	Substate Entities	179
	3.4 Advances in Anti-kleptocracy	182
	3.4.1 Civil Confiscations	183
	3.4.2 Targeted Sanctions	189
	CONCLUSION	194
4	The Triumphs and Trials of Public Officials Embroiled in	
	Drug Trafficking	196
	INTRODUCTION	196



x Contents

4.1 Not Your Average Drug Kingpin	197
4.1.1 Drug Trafficking in Panama under Noriega	200
4.1.2 The Parade of Deposed Presidents	203
4.1.2.1 Venezuela	204
4.1.2.2 Honduras	207
4.1.2.3 Suriname	208
4.2 Questions of Foreign Official Immunity	211
4.2.1 Head-of-State Immunity and Sovereign Statehood	211
4.2.2 Head-of-State Immunity of De Facto State Leaders	213
4.2.3 Drug Trafficking Cannot Be Construed as an	-
Official Act	216
4.2.4 Diplomatic Immunity in Third States and while	
"In Transit"	218
4.2.5 Neither Diplomatic Visa nor Passport Alone	
Confers Immunity	220
4.2.6 Immunity as an Attribute of the State	222
4.3 Immunity from Jurisdiction during Pretrial Proceedings	224
4.3.1 Investigation	224
4.3.2 INTERPOL's "Red Notices" for Wanted Persons	226
4.4 Intercepting Narcotics in Diplomatic Bags	232
4.4.1 The Right of States to Open and Inspect a Foreign	,
Diplomatic Bag	235
4.4.1.1 The Right of "Challenge and Return"	239
4.4.2 The Right of States to Screen Diplomatic Bags	241
CONCLUSION	245
	-12
General Conclusions	248
Index	250