

Index

Rights African Court on Human and Peoples' Rights, 242-243, 247 Ago, Roberto, 73 aliens, treatment of, on basis of reciprocity, 149-151 American Convention on Human Rights (ACHR), 87, 241, 248-249 American Treaty on the Pacific Settlement of Disputes, 219-220 Antarctic Treaty, 133-134, 137-138 arbitral tribunals, 254-255 Aristotle, 12, 15 Articles on the Responsibility of States for Intentionally Wrongful Acts (ARSIWA), 95-96 enforcement of international law, state responsibility in, 196-198 state responsibility in, for enforcement of law, 196-198, 200-213 Austria v Italy, 103 Axelrod, Robert, 15 bilateral imperfect contracts, 23-24 bilateral investment treaties (BITs), 155 in Germany, 177 intra-European, 177-178 investor-state dispute settlement for, 251-252 bilateral obligations, 44-50, 196-197 bilateral reciprocity, 12 bilateral treaties, 75-76, 89-94, 145-146 air transport agreements, 90–93 Canada-US Air Transport Agreement, 92-94 Colombia-UAE Air Transport Agreement, 92-94 Colombia-Uruguay Air Transport Agreement, 92

ACHR. See American Convention on Human

France–US Air Transport Services
Agreement, 91
Italy–US Air Transport Agreement
Arbitration, 90
bilateral investment treaties, 155
Chicago Convention, 90–93
equality in, 94
strict, 90–93
equity in, 93–94
historical development of, 89
bilaterisable multilateral treaties, 95–98
BITs. See bilateral investment treaties

Calvo Doctrine, 152 Cameroon v Nigeria, 227–228 CBDR principles. See "common but differentiated responsibilities" principles CERD. See Convention on the Elimination of All Forms of Racial Discrimination Certain Iranian Assets case, 66-67 Charlemagne, 21 Charter of the United Nations, 136 civil law systems, contract law in, 26-28 breach of contract, 29-30 classical international law, 5 clauses de souplesse, 124 clean hands principle, 66-67 collective interest, regulation of, 102 comity, 58-59 Commission v Germany, 122 "common but differentiated responsibilities" principles (CBDR principles), 109–115 common law systems, contract law in, 25-26 community, reciprocity and, 1-2, 42 commutative justice, 12-13 recognition and, 13 compromissory clauses, 219-221

269



270 INDEX

conduct	UNIDROIT Principles of International
of individuals, reciprocity of, 13–22,	Commercial Contracts, 29–30
43–44	under Vienna Convention on the Law of
applicability of, 44–50	Treaties, 30
characteristics of, 44–50	withholding of performance under,
equality, 49	27–28
equivalence, 49	contractual treaties, 71–73
proportionality and, 45, 68	reservations to, 82–83
relativity, 49–50	Convention Against Torture, 243, 248
compliance and, 14	Convention on Biological Diversity, 115
definition of, 44	Convention on Migrant Workers, 248
human behaviours and, 13-17	Convention on the Elimination of All Forms
social behaviours and, 14	of Racial Discrimination (CERD),
Confucius, 17	243, 247
Congress of Vienna, 70	Convention on the Law of the Sea
consent	(UNCLOS), 230-238
in investor-state dispute settlement,	Article 287, 232–235
reciprocity of, 255–257	Article 297, 235–237
in treaties	automatic exceptions, 235–236
for third States, requirements of,	Article 298, 235–237
141–143	optional exceptions, 236–237
in Vienna Convention on the Law of	basic characteristics of, 230–232
Treaties, 142	declarations under, 232–235
Constantine, 23–24	dispute settlement mechanisms and,
Constitution of Cyprus, 37–38	230–232, 237–238
Constitution of Greece, sovereignty	International Tribunal for the Law of the
limitations under, 37–38	Sea, 231–233
Constitution of Portugal, sovereignty	Convention on the Protection of Persons
limitations under, 37–38	From Enforced Disappearance,
contract law, 22–36	243, 248
breach of contract, 28–31	Convention on the Rights of the Child
in civil law systems, 29–30	(CRC), 86, 248
fundamental breach, 30	Convention on the Settlement of
material breach, 30	Investment Disputes (ICSID), 179
termination as result of, 28-29	Corn Products International v Mexico, 178,
in civil law systems, 26–28	211–212
breach of contract, 29–30	Costa v ENEL, 39
in common law systems, 25–26	countermeasures, to enforcement, 207-217
contractual treaties, 71–73	debate about levels of, 209-210
definition of contract in, 26	in EU member states, 201–216
in French law, 32, 35	legal regimes of, 210–212
functional basis of, 24–25	limitations of, 212–215
in German law, 27, 33–35	non-punitive nature of, 212
good faith concept and, 27	proportionality and, 214
international law and, comparisons	reprisals as, 207–208
between, 31, 33–36	by states other than injured state,
under Islamic law, 32	215–217
between multiple parties, 27	CROC. See Convention on the Rights of the
natural law theory and, 26	Child
Roman law of obligations, 22	Currie v Misa, 25
bilateral imperfect contracts, 23-24	customary international law, 58-63
creditors and, 23	•
debtors and, 23	Darmstädter, F., 10–11
innominate contracts, 22-24	debtors, in contract law, 23
synallagmatic contracts, 27, 33, 57	Decaux, Emmanuel, 1
trait pour trait, 25	Declaration on Friendly Relations and
under Treaty of Kadesh, 33	Co-operation, 3



INDEX 271

declarations, in Optional Clause system, bilateral obligations, 196-197 223-225 for injured states, 204-205 Democratic People's Republic of Korea integral obligations, 197-201 (DPRK), 104 interdependent obligations, 197-201 diffuse reciprocity, 45-46, 176 International Law Commission diplomatic protection, 180-183 obligations, 196-207 diplomatic reciprocity, 53-54 rights and, correlation between dispute settlement mechanisms, UNCLOS obligations and, 201-202 and, 230-232, 237-238 equal treaties, 109–111, 116–121 dispute settlement understandings (DSUs), equality 130-131 in bilateral treaties, 94 distributive justice, 12 strict equality, 90-93 recognition and, 13 conduct and, 49 domestic law systems, 36–41 obligation and, 49 in federal states, 40-41 reciprocity and, 42 in U.S., 40 sovereign equality, 52 sovereignty limitations in, reciprocity as equity, 66 condition for, 37-40 in bilateral treaties, 93-94 in ECJ cases, 39-40 equivalence, 49 under EU law, 39-40 ethics, 17-18 in French Constitutional Court, 38-39 morality and, 17 in national constitutions, 37-40 EU law, sovereignty limitations under, DPRK. See Democratic People's Republic of reciprocity as condition for, 39-40 European Convention on Human Rights Korea DSUs. See dispute settlement (ECHR), 241 European Court of Human Rights (ECtHR), understandings Dupuy, Pierre-Marie, 21 104, 241 Ireland v UK, 103, 106, 174-175 ECHR. See European Convention on Human European Court of Justice (ECJ), 122. See also Rights specific cases ECJ. See European Court of Justice Commission v Germany, 122 ECtHR. See European Court of Human sovereignty limitations and, 39-40 Rights Van Gend en Loos case, 122-123, 146 EEC. See European Economic Community European Economic Community (EEC), ejusdem generis principle, 155-156 treaties between countries in, enforcement, of international law. See also 116 - 118countermeasures European Union (EU). See also EU law International Law Commission debates bilateral investment treaties in, 177–178 on, 185-186 enforcement countermeasures by, on concept of crime, rejection of, 201-216 treaties for international organisations 205-207 obligations in state responsibility, in, 122-123 196-207 express condition of reciprocity, 229 Law of Treaties, 188 factual reciprocity, 53-54 non-performance exceptions, 185-195 primary rules for, 191-192 fair and equitable treatment, 152-153 purpose of, 191-192 fairness, 15 reciprocity and, 187-188 FCN treaties. See friendship, commerce and restrictions on, 192-194 navigation treaties secondary rules for, 191-192 Fitzmaurice, Sir Gerald, 72-73 under Vienna Convention on the Law formal reciprocity, 53 of Treaties, Article 60, 186-190, forum prorogatum, 222-223 192-194, 197-201 France state responsibility in, 185, 195-207 air transport agreements in, 91 under Articles on the Responsibility of Constitutional Court, 38-39 French Constitution, sovereignty States for Intentionally Wrongful Acts, 196-198, 200-213 limitations under, 37–38



272 INDEX

France–US Air Transport Services Agreement, 91 French law, contract law in, 32, 35 friendship, commerce and navigation treaties (FCN treaties), 147 fundamental breach of contract, 30

game theory, 4, 15–17
Prisoner's Dilemma, 16–17
reciprocity and, 4
General Agreement on Tariffs and Trade
(GATT), 116–119, 144–145
general dispute settlement treaties,
219–221
Geneva Convention (1929), 81, 145, 162,
164
Geneva Convention (1949), 163, 169–171,
184
"Golden Rule," 17
good faith concept, 27
Great Powers, 135–136
Grotius, 17

Hague Convention, 162–163
Hammurabi Code, 20
Havana Convention, 77
HRC. See Human Rights Committee
human rights. See also human rights courts
and treaty bodies
exceptions in treaties, 84–89
Human Rights Committee, 88, 104
integral-type treaties, 102–103
International Covenant on Civil and
Political Rights, 88
treatment of individuals and, 159–160,
173–175
under investment law, 177–180
Universal Declaration on Human Rights,

Human Rights Committee (HRC), 88, 104, 244–246

human rights courts and treaty bodies African Court on Human and Peoples' Rights, 242–243, 247

American Convention on Human Rights, 87, 241, 248–249

Convention Against Torture, 243, 248 Convention on Migrant Workers, 248 Convention on the Elimination of All Forms of Racial Discrimination, 243, 247

Convention on the Protection of Persons From Enforced Disappearance, 243, 248

Convention on the Rights of a Child, 248 European Convention on Human Rights, 241 Human Rights Committee, 88, 104, 244-246 individual-state complaints, 241-246 additional declarations, instruments for, 243-244 direct consent to treaty instrument, 242-243 reciprocity and, irrelevance of, 244 reservations, 244-246 Inter-American Court of Human Rights, 75, 87, 241, 243 investor-state dispute settlement (ISDS), 250-258 in arbitral tribunals, 254-255 for bilateral investment treaties, 251-252 jurisdiction for, 252-254 most-favoured nation clause in, 252-255 overview of, 257-258 process of, 251-252 reciprocity of consent in, 255-257 jurisdiction of, 241-250 investor-state dispute settlement, 252-254 state-state complaints, 246-250 acceptance requirements, 247 procedure availability, 247 unilateral decisions, 248–249

IACHR. See Inter-American Court of Human Rights
ICC. See International Criminal Court
ICCPR. See International Covenant on Civil and Political Rights
ICSID. See Convention on the Settlement of Investment Disputes
IHL. See international humanitarian law
ILC. See International Law Commission
ILO. See International Labour Organisation imperfect contracts. See bilateral imperfect contracts

individual rights, 84–89 treatment of individuals and, 159–160 individuals. *See* treatment of individuals inequitable treatment, 15 innominate contracts, 22–24 insurance law, retaliatory legislation and,

integral obligations, 197–201 integral-type treaties, 102–107 collective interest regulation, 102 human rights treaties, 102–103 as objective order, 103–105 unilateral nature of, 105–107 Inter-American Court of Human Rights (IACHR), 75, 87, 241, 243



INDEX

273

interdependent obligations, 197-201 pacta sunt servanda principle, 67 international conventions. See specific in specially affected states, 60–63 under Statute of International Court of conventions International Court of Justice (ICJ). See also Justice, 57-59, 61-68 Statute of International Court of Treaty of Kadesh and, 20–21 Truman Proclamation, 60 **Iustice** Cameroon v Nigeria, 227–228 International Law Commission (ILC), Certain Iranian Assets case, 66-67 148-149 jurisdiction of, 218-230 on enforcement of international law, North Sea Continental Shelf case, 62-63 185-186 on concept of crime, rejection of, Right of Passage case, 61-62, 64, 227 international courts and tribunals. See 205-207 human rights courts and treaty obligations in state responsibility, bodies; International Court of 196–207 Justice; International Criminal International Tribunal for the Law of the Court; specific courts Sea (ITLOS), 231-233 International Covenant on Civil and ISDS. See investor-state dispute settlement Political Rights (ICCPR), 88 Islamic law, contract law under, 32 International Criminal Court (ICC), 39, ITLOS. See International Tribunal for the 238-241 Law of the Sea jurisdiction of, 239 Rome Statute, 240 jurisdiction of human rights courts and treaty bodies, international humanitarian law (IHL), treatment of individuals under, 241-250 159 - 172investor-state dispute settlement, Geneva Convention (1929) and, 162, 164 252 - 254of International Court of Justice, 218–230 Geneva Convention (1949) and, 163, 169-171, 184 under Statute of International Court of in non-international armed conflicts, Justice, 219-230 169-171 of International Criminal Court, 239 positions of individuals, 171–172 reciprocal nature of, 160–165, 169–171 Kardassopoulos v Georgia, 176 international investment law, treatment of individuals under, 159-160, Lauterpacht, Hersch, 70-71 175-180 law and society, formation of, 9-22 bilateral investment treaties, 175-178 Law of Treaties, 132, 188 Convention on the Settlement of legislative reciprocity, 53-54 Investment Disputes, 179 in Germany, 177 material breach of contract, 30 human rights and, 177–180 material reciprocity, 53 International Labour Organisation (ILO), maximum-effect objection, in treaties, 123-125, 148 80 - 81international law MEAs. See multilateral environmental bilateral structure of, 201-202 agreements classical, 5 meta-rules, of obligation/ conduct, 50-51 contemporary, 5 MFN clause. See most-favoured nation customary, 58-63 clause minimum standards of treatment, 152-153 primitive law and, 4 reciprocity in, 2–5, 57–68, 260–262 morality, 17-18 comity and, 58-59 Confucian thought and, 17 creation of rules of customary ethics and, 17 "Golden Rule." 17 international law, 58-63 under fundamental principles of most-favoured nation clause (MFN clause) international law, 67–68 in investor-state dispute settlement, under general principles of law, 63-68 252 - 255limitations of, 261-262 as standard of treatment, 155-159 in bilateral investment treaties, 155 under local customs, 60-63



274 INDEX

most-favoured nation clause (MFN clause)	obligation, reciprocity of. See also treaties
(cont.)	applicability of, 44–50
content of, 156–157	bilateral, 44–50, 196–197
ejusdem generis principle, 155–156	characteristics of, 44–50
extension of, 157–158	equality, 49
limitations of, 155–156	equivalence, 49
scope of, 155, 158	proportionality and, 45, 68
Siemens v Argentina, 158	relativity, 49–50
state invocation of, 157–158	definition of, 43–44
unconditionality of, 155	interconnection of, 52–53
unilateral, 157	public international law and, 51–52
multilateral environmental agreements	structure of, 50–52
(MEAs), 112	meta-rules, 50–51
Convention on Biological Diversity, 115	principles, 51–52
technology transfer in, 115	rules, 50–51
United Nations Framework Convention	symmetry of legal causes, 46
on Climate Change, 112–115	Optional Clause system, 71, 223–229
multilateral treaties, 94–107, 145–146	acceptance of same obligation,
under Articles on the Responsibility of	225–229
States for Intentionally Wrongful	declarations under, 223–225
Acts, 95–96	express condition of reciprocity, 229
bilaterisable, 95–98	in Statute of International Court of
of integral type, 102–107	Justice, 71
collective interest regulation, 102	
human rights treaties, 102–103	pacta sunt servanda principle, 67
as objective order, 103–105	in treaties, 73–74
unilateral nature of, 105–107	pacta tertiis nec nocent nec prosunt legal
with interdependent obligations, 98–102	principle, 138–140
Vienna Convention on Diplomatic	Pan-American rule, in VCLT, 77–78
Relations, 95–97	Paris Agreement, 110–111
mutuality, reciprocity and, 45	Peace of Westphalia, 70
Myth of Protagorus (Plato), 11	philosophy, reciprocity and, 9–13 Plato, 11
NAFTA. See North American Free Trade	plurilateral treaties, 72–73
Agreement	Prisoner's Dilemma, 16–17
national treatment standards, for	private international law,
individuals, 153–154	150–151
natural law theory, contract law and, 26	proportionality, 45, 68
Nicopherus I (Emperor), 21	countermeasures to enforcement and,
non-international armed conflicts,	214
169–171	psychology, reciprocity and, 9
non-reciprocity, in trade agreements, 116–119	punishment, formation of law and, 20
non-territorial regimes, treaties and, 132–134	reaction, as reciprocity, 1
North American Free Trade Agreement (NAFTA), 176, 178	reciprocity. See also conduct; international law; obligation; specific topics
North Sea Continental Shelf case, 62-63	bilateral, 12
NPT. See Treaty on the Non-Proliferation of	community and, 1-2, 42
Nuclear Weapons	conceptual approach to, xiii–xiv
objective regimes, of treaties,	limitations in, 259–260 definitions of, 1, 13, 43–53, 262–263
establishment of, 131–138	
through customary lawmaking process,	diffuse, 45–46, 176 diplomatic, 53–54
137–138	equality and, 42
non-territorial regimes, 132–134	sovereign, 52
scope of, 134–138	factual, 53–54
territorial regimes 132–134	formal, 53



INDEX 275

functions of, 53–57	sovereign equality, 52
in application and execution of law,	sovereignty limitations, reciprocity as
56–57	condition for, 37–40
as condition of legal rules, 55–56	in ECJ cases, 39–40
in formation of law, 54–55	under EU law, 39–40
game theory and, 4, 15–17	in French Constitutional Court, 38-39
Prisoner's Dilemma, 16–17	in national constitutions, 37–40
inter-subjectivity of, 11, 13	specific reciprocity, 45–46
in law formation, 18–22	state responsibility. See enforcement
power and, 19–20	Statelessness Convention, 151
punishment and, 20	Statute of International Court of Justice,
reversibility mechanisms, 19	57–59, 61–68
social relations and, 18	acceptance of same obligation, 225–229
as legal concept, 2	Article 36.1, 219–223
legislative, 53–54	Article 36.2, 223–229
material, 53	Article 36.3, 229
methodological approach to, xiv	Article 38.1(c), 63–68
mutuality and, 45	compromissory clauses, 219–221
negative connotations of, 1	forum prorogatum, 222–223
in philosophy, 9–13	general dispute settlement treaties, 219–22
in psychology, 9	jurisdiction scope under, 219–230
social relations and, 10–11, 14	Optional Clause system, 71, 223–229
enforcement of social norms, 14	acceptance of same obligation, 225–229
social behaviours, 14	declarations under, 223–225
in sociology, 9	express condition of reciprocity, 229
specific, 45–46	special agreements, 221–222
structure of, 17	synallagmatic contracts, 27, 33, 57
as "tit-for-tat," 10, 15–16	
recognition, 13	talionic retaliation, 57
reflexive reciprocity, 46-61	taxation law, retaliatory legislation and, 4
relativity, 49–50	territorial regimes, treaties and, 132–134
reprisals, as reciprocity, 1	third States, treaty effects for, 138–145
as countermeasures, 207–208	consent requirements, 141–143
reservations, to treaties, 76–89	obligations as distinct from, 140–141
contractual nature of, 82–83	pacta tertiis nec nocent nec prosunt legal
human rights exceptions, 84–89	principle, 138–140
individual rights exceptions, 84–89	reciprocity mechanisms, 144–145
reciprocity and, 83	rights as distinct from, 140–141
retaliation, as reciprocity, 4	under UN Charter, 143–144
in insurance law, 41	trade agreements
talionic, 57	General Agreement on Tariffs and Trade
in tax law, 41	116–119
retortion, as reciprocity, 1	non-reciprocity in, 116–119
as countermeasure, 208	treaties. See also multilateral treaties;
Right of Passage case, 61–62, 64, 227	objective regimes; Vienna
Roman law, of obligations, 22	Convention on the Law of Treaties
Rome Statute, of International Criminal	specific treaties
Court, 240	bilateral, 75–76, 89–94, 145–146
	equality in, 90–94
Schwarzenberger, Georg, 3, 158	equity in, 93–94
Siemens v Argentina, 158	historical development of, 89
social relations	Congress of Vienna, 70
formation of law and, 18	contractual, 71–73
reciprocity and, 10–11, 14	differentiated obligations in, 107–121
enforcement of social norms, 14	"common but differentiated
social behaviours, 14	responsibilities" principles,
sociology, reciprocity and, 9	109–115



276 INDEX

treaties. (cont.) equal treaties, 109-111, 116-121 non-reciprocity in trade agreements, 116-119 reciprocity and, 112-115 unequal treaties, 107-109 in World Trade Organization agreements, 118-119 between EEC countries, 116-118 effects beyond treaty parties, 131-145 general dispute settlement treaties, 219-221 Havana Convention, 77 historical development of, 70-76 Inter-American Court of Human Rights, 75,87 for international organisations, 121-131 clauses de souplesse, 124 dispute settlement understandings, 130-131 in EU, 122-123 International Labour Organisation, 123-125 UN Security Council, 126-129, 136 - 137World Trade Organization agreements, 118-119, 129-131 lawmaking, 71-72 Peace of Westphalia, 70 plurilateral, 72-73 treatment of individuals. See also human rights; most-favoured nation clause; individual rights Calvo Doctrine and, 152 diplomatic protection, 180-183 friendship, commerce and navigation treaties, 147 human rights and, 159-160, 173-175 under investment law, 177-180 individual rights and, 159-160, 181-183 under international humanitarian law, 159-172 Geneva Convention (1929) and, 162, 164 Geneva Convention (1949) and, 163, 169–171, 184 in non-international armed conflicts, 169-171 positions of individuals, 171-172 reciprocal nature of, 160–165, 169–171 reprisals under, 165-168 under international investment law. 159-160, 175-180 bilateral investment treaties, 175-178 Convention on the Settlement of Investment Disputes, 179 human rights and, 177–180

standards of fair and equitable treatment, 152-153 minimum, 152-153 national treatment and, 153-154 under private international law, 150-151 reciprocity and, 149-159 treatment of aliens on basis of reciprocity, 149-151 under Statelessness Convention, 151 Treaty of Kadesh, 20-21 Treaty on the Non-Proliferation of Nuclear Weapons (NPT), 98-101 tribunals. See arbitral tribunals Truman Proclamation, 60 UDHR. See Universal Declaration on Human Rights UN. See United Nations UNCLOS. See Convention on the Law of the Sea unequal treaties, 107-109 UNFCCC. See United Nations Framework Convention on Climate Change UNIDROIT Principles of International Commercial Contracts, 29-30 unilateral most-favoured nation clause, 157 United Nations (UN). See also Convention on the Law of the Sea Charter of the United Nations, 136 third States under, treaty effects for, 143-144 Security Council, 126-129, 136-137 United Nations Framework Convention on Climate Change (UNFCCC), 112-115 United States (U.S.). See also specific topics American Treaty on the Pacific Settlement of Disputes, 219–220 North American Free Trade Agreement, 176, 178 Universal Declaration on Human Rights (UDHR), 148 universality, Vienna Convention on the Law of Treaties and, 82 UNSC. See United Nations U.S. See United States Van Gend en Loos case, 122-123, 146 VCCR. See Vienna Convention on Consular Relations VCDR. See Vienna Convention on Diplomatic Relations

VCLT. See Vienna Convention on the Law of

Treaties

Vereinbarung contracts, 33-36

Vertrag contracts, 33-34, 36



INDEX 277

Vienna Convention on Consular Relations (VCCR), 149
Vienna Convention on Diplomatic Relations (VCDR), 95–97
Vienna Convention on the Law of Treaties (VCLT), 30, 70–71, 77–89, 111
Article 2, 76
Article 20, 79–81, 84–85, 87
Article 21.1, 78–79, 81–82
Article 32, 75
Article 60, 65

consent requirements, 142 drafting history of, 77–79 enforcement of international law and, non-performance exceptions, 186–190, 192–194, 197–201 Pan-American rule, 77–78 reciprocity and, 82 universality and, 82

Waldock, Sir Humphrey, 139 World Trade Organization (WTO), 118–119, 129–131