

## INDEX

- 3GPP. *See* Third Generation Partnership Project
- 802.11. *See* Wireless Local Area Network standards
- Advanced Mobile Phone System, 32
- air interface. *See* mobile wireless standards
- Alice v. CLS Bank International* case, 112–13
- Allnut v. Inglis* case, 159
- AMPS. *See* Advanced Mobile Phone System
- Apple, 9, 131–3, 202, 203, 206–8, 336
- Apple v. Microsoft* case, 81, 131–3
- Apple v. Motorola* case, 9, 206–9, 210, 211, 283, 336, 338
- Areeda, Phillip, 170–1, 173, 179, 284
- ARPANET, 31
- Associated Press* case, 164–5
- Baran, Paul, 30
- BellSouth v. Donnelley* case, 6, 81, 136–7, 334
- Berne Convention for the Protection of Literary and Artistic Works, 55, 57, 65, 66, 67, 316, 325–7, 329
- Betamax, 27–8
- Bluetooth Alliance, 43, 46
- Blu-Ray Disc Association, 43
- Bolt v. Stennett* case, 159
- Broadcom v. Qualcomm* case, 218–19
- Bronner* case, 192, 338
- Calabresi and Melamed framework, 258–9, 278, 340–1
- Calabresi, Guido, 13, 233, 234–7, 240, 244, 245, 259, 278, 340, 341
- Canada – Pharmaceuticals* case, 66–7, 318, 319–20
- CDMA. *See* Code Division Multiple Access
- Cerf, Vinton, 30
- Chicago school, 193, 195–6, 253–4, 284, 285
- Coase theorem, 233–4
- Code Division Multiple Access, 33
- collective action problem, 10, 281–2
- collective rights organizations, 85, 93, 155, 223, 239–40, 252, 275, 299, 354
- Commercial Solvents* case, 152, 153, 178–9, 277
- compatibility, 22, 23
- compulsory licensing, 100, 106, 150–4, 241, 283
- European law, 152–4
- liability rules, 276–7
- other countries' laws, 154
- TRIPS Agreement, 69, 315, 324–5, 329
- United States law, 151–2
- Computer Associates v. Altai* case, 81, 123–4, 126, 128, 130, 131, 133, 135
- computer programmes, copyrightability, 57
- computer programmes, patentability, 56
- Consultative Committee for International Telephony and Telegraphy, 31
- copyright
- compilations of data, 135–40
- compulsory licensing, 329
- computer programmes, 57, 121–35
- fair use, 124–5, 130, 339

- copyright (cont.)  
   idea/expression dichotomy, 6, 61,  
     121, 123, 126, 127–8, 129,  
     132–3, 310, 339, 349  
   merger doctrine, 123, 137, 310,  
     339, 349  
   originality, 6, 61, 120, 133, 134, 135,  
     136–7  
   remedies, 140–7  
   reverse engineering, 124–5  
   right of reproduction, 325–7  
   *scènes à faire*, 123, 339  
   standards essential, 118–21, 349  
   three step test, 66–8, 327–9  
 Cotter, Thomas, 243–4, 253  
 Cournot complements, 91, 95, 236, 243,  
   257, 261, 263, 311, 318, 319, 333,  
   342, 344, 347, 352  
 CRO. *See* collective rights organization  
 DARPA. *See* Defence Advanced  
   Research Projects Agency  
 David, Paul A., 19, 21, 25, 26–7  
*de facto* standards, 19  
*De Portibus Maris*, 158–9  
 Declaration on a Balanced  
   Interpretation of the Three-Step  
   Test, 68  
 Defence Advanced Research Projects  
   Agency, 30  
 defences, patent infringement  
   fair use, 100  
   prior use, 97–8  
   scientific research and  
     experiment, 98–9  
 dominant market position, abuse of, 5,  
   65, 95, 173, 174, 177–90,  
   203–12, 213–14, 219–20,  
   284, 338  
 double essentiality, 94–6, 311, 313,  
   352  
 DRAM. *See* Dynamic Random Access  
   Memory  
 Dynamic Random Access Memory, 43,  
   217, 219–20  
*eBay v. MercExchange* case, 9, 96, 101–4,  
   141, 283, 311, 338–9, 340  
*EC Rambus* case, 219–20  
 ECPR. *See* efficient component pricing  
   rule  
 efficient component pricing rule, 266  
 essential facilities doctrine, 336–8  
   arguments for revival American law,  
     172–3  
   Chinese law, 190–1  
   criticisms, 337  
   criticisms in American law, 169–71  
   demise in American law, 171–2  
   development in American law,  
     159–69  
   development in European law,  
     178–82  
   European law, intellectual property  
     and interoperability standards,  
     182–90  
   interoperability standards and  
     intellectual property, 191–3  
   origins in English law, 158–9  
   origins in European law, 174–8  
 essential facilities doctrine, law and  
   economics, 193–201  
   court supervision of supply,  
     197–8  
   dynamic efficiency, 198–201  
   efficient investment in new facilities,  
     196–7  
   incentives to supply, 195–6  
   limitations on right to refuse supply,  
     194–5  
   monopoly and consumer  
     welfare, 194  
   SEIP as an essential facility, 193–4  
 ETSI. *See* European  
   Telecommunications Standards  
   Institute  
 EU Database Directive, 137–8  
 EU Enforcement Directive, 104–5, 109,  
   141, 146, 205  
 EU *Microsoft* case, 5–6, 53, 149–50, 153,  
   156, 178, 182–3, 185–90, 198,  
   199–201, 222, 277, 283, 334,  
   337, 338  
 EU Software Directive, 125–6, 134, 146  
 European Coal and Steel Community,  
   174, 176

- European Economic Community,  
 176, 178
- European Telecommunications  
 Standards Institute, 32, 33, 35,  
 42, 85, 87, 91, 102, 204, 206, 209,  
 271, 272, 290
- excessive pricing, 212–15  
 Chinese law, 214–15  
 European law, 213–14
- exclusive property rules and liability  
 rules. *See* liability rules
- expert manual, 10, 299–301, 345–6  
 expertise, 306  
 proposed contents, 309–13  
 purpose and structure, 308–9  
 representative group, 306  
 robust and transparent process,  
 306–8
- expert manual, draft  
 calculation of compensation, 353–4  
 competition law, 354–5  
 injunctions, 351–2  
 interpretation, 348  
 standard setting organizations,  
 349–51  
 standards essential copyright, 349  
 standards essential patents, 348–9
- fair, reasonable and non-  
 discriminatory. *See* FRAND  
 undertaking
- Federal Trade Commission Act,  
 202, 216
- Feist v. Rural Telephone Service*  
 case, 135
- FRAND. *See* FRAND undertaking
- FRAND undertaking, 9, 65, 93, 95–6,  
 101, 155, 192, 202, 229, 282, 284,  
 310, 311, 320, 321, 335, 339  
 and competition law, 203–12,  
 213–14, 338  
 and contract law, 88–9  
 and fraud or misconduct, 223  
 and injunctions, 101–4, 106,  
 339  
 and liability rules, 251–2, 262–3  
 and reasonable royalties, 110–11,  
 265–76  
 legal nature, 87–8  
 Frischmann, Brett, 172–3
- Gerardin, Damien, 255
- Global System for Mobile  
 Communications, 32
- Google, 44, 128–31, 134, 143–5, 280
- Granger cases, 160–1
- GSM. *See* Global System for Mobile  
 Communications
- Hale, Lord Matthew, 158–9
- Havana Charter, 76, 285
- hold-up, 9, 90, 122, 142, 156, 243,  
 248–50, 252, 258, 274, 275, 310,  
 311, 321, 325, 336, 352
- horizontal conduct, 223–8  
 Chinese law, 225  
 European law, 225–7  
 United States law, 223–4
- Huawei, 106, 204–6, 213–14, 271–2
- Huawei v. Unwired Planet* case, 213–14,  
 271, 280
- Huawei v. ZTE* case, 153, 182, 192,  
 203–6, 210, 211, 277, 283, 343
- ICN. *See* International Competition  
 Network
- idea/expression dichotomy  
 TRIPS Agreement, 61
- IEC. *See* International Electronic  
 Commission
- IEEE. *See* Institute for Electrical and  
 Electronics Engineers
- IEEE Standards Association, 307
- IETF. *See* Internet Engineering Task  
 Force
- IMS Health* case, 7, 81, 138–40, 153,  
 182, 184–5, 189, 192, 199–201,  
 260, 277, 283, 328, 334, 338
- IMT-2000. *See* International Mobile  
 Telecommunications for  
 the Year 2000
- information infrastructure, 16
- injunction  
 Chinese law, 105–7, 141–2  
 competition law, 201–12  
 copyright, 140–2

- injunction (cont.)
  - draft expert manual, 351–2
  - European law, 9, 104–5, 141
  - expert manual, 310–12
  - German law, 9, 105, 138, 206–7
  - law and economics, 261–3
  - patent, 101–7
  - TRIPS Agreement, 201–12, 325–32
  - United States law, 8–9, 101–4, 140–1
- Innovatio* case, 269–70
- Institute of Electrical and Electronics Engineers, 29, 31, 35, 36–7, 41, 45, 49, 224, 267, 269, 290, 307
- intellectual property
  - law and economics, 4, 81–3
- intellectual property, standards
  - essential
    - circuit layouts, 148
    - compulsory licensing, 150–4
    - concept, 81
    - copyright, 118–47
    - patents, 84–118
    - trade secrets, 148–50
- interface, 20, 22, 23, 119–20
  - application programming, 186
  - copyright protection, 140, 142, 147, 251, 327–8, 334
  - mobile air, 32
- interface, mobile air, 32
- interfaces
  - copyright protection, 175–96
- international competition law, 75–7, 345
- International Competition Network, 77, 293–5, 305
- International Electrotechnical Commission, 17, 29, 35, 40
- International Mobile Telecommunications for the Year 2000, 33
- International Organization for Standardization, 17, 18, 29, 35, 39–40, 45, 46–7, 119, 289–90, 302, 303–4
- International Packet Network Working Group, 30
- international soft law, 286–8, 313
- International Telecommunication Union, 31, 33, 35, 36, 37–9, 40, 45, 290–1
- Internet Corporation for Assigned Names and Numbers, 297–8, 305, 346
- Internet Engineering Task Force, 35, 41–2, 46, 290, 307–8, *See* IETF
- Internet of Things, 17, 35–6, 333
- interoperability, 23
- interoperability standard
  - definition, 21–5
  - importance, 16–17
  - language as, 25–6
- interoperability standards
  - economic characteristics, 4, 48–53
  - importance, 3
  - methods of creation, 44–8
  - typologies, 19–21
- INWG. *See* International Packet Network Working Group
- ISO. *See* International Organization for Standardization
- ISO/IEC Guide 59/1994, Code of Good Practice for Standardization, 39–40, 290, 302, 350
- ITU. *See* International Telecommunication Union
- Japan *Samsung v. Apple* case, 272–3
- JEDEC. *See* Joint Electron Device Engineering Council
- JEDEC Solid State Technology Association, 43
- Joint Electron Device Engineering Council, 217
- Joint Technical Committee 1, 40
- JTC 1. *See* Joint Technical Committee 1
- Kahn, Robert, 30
- keyboard configuration, QWERTY, 27
- law and economics, 9–10, 157–8, 289, 309, 341, 344
- Coase theorem, 233–4

- essential facilities doctrine, 169–71, 193–201, 228–9
- excessive pricing, 212
- intellectual property, 81–3
- liability rules, 234–44
  - compulsory licensing, 258–61
  - essential facilities doctrine, 278
  - injunctions, 261–3
  - reasonable royalty, 265–76
  - refusal to supply, 258–61
  - standards essential intellectual property, 244–58
  - tying, 222–3
- layout circuit designs, 148
- Lemley, 90
- Lemley, Mark, 91, 93–4, 118, 240, 241–3, 253, 256, 258, 259, 265
- liability rules, 3, 9, 13, 142, 148
  - Calabresi and Melamed framework, 234–9
  - competition law, 278
  - damages, 263–5
  - injunctions, 261–3
  - reasonable royalties, 265–76
  - refusal to supply, 258–61
  - standards essential intellectual property, 239–58, 341–4
- Long-Term Evolution, 33
- Lotus v. Borland Software* case, 131, 133–4
- LTE. *See* Long-Term Evolution
- Magill* case, 6, 153, 182, 183–4, 186, 188, 189, 192, 199–201, 260, 277, 283, 328, 338
- markets, two-sided, 52–3
- MCI Communications* case, 167–9
- Melamed, A. Douglas, 13, 233, 234–7, 240, 244, 245, 259, 278, 340, 341
- Mentor Graphics v. EVE-USA* case, 108–9
- Merges, Robert, 239–40, 277
- methodology, 10–14, 59
- methodology, topical, 10–14, 104, 110, 143, 147, 257, 261, 338, 344
- Microsoft, 5–6, 23, 44, 52, 53, 81, 110, 115, 131–3, 149–50, 151–2, 153, 154, 156, 178, 182–3, 185–90, 198, 201, 221–2, 260, 267–9, 334, 341
- Microsoft v. Motorola* case, 110, 267–9
- Mobile Payment Forum, 43
- mobile payment standards, 34–5
- mobile wireless standards, 32–3, 49, 85, 87, 91–2, 94–5, 204, 206–8, 272–3
- modularity, 24, 240
- Motorola, 94–5, 102, 110, 202, 203, 206–8, 209, 267–9, 280, 336
- multistakeholderism, 296–8, 299, 302, 303, 305, 346
- Munn v. Illinois* case, 159–60
- National Institute of Standards and Technology, 31
- Neale, A.D., 167
- near field communications, 33
- Neo-Chicago school, 190
- network effects, 20–1, 25, 28, 32, 44, 50–2, 72, 94, 132, 134, 135, 140, 145, 155, 186, 201, 222, 247–8, 256, 264, 333, 335, 336
  - direct, 50–1
  - indirect, 50–1
- NFC. *See* near field communications
- NIST. *See* National Institute of Standards and Technology
- Noerr-Pennington* doctrine, 202–3, 210
- OECD. *See* Organization for Economic Co-operation and Development
- Open Systems Interconnection, 32, 303–4
- Oracle, 134, 143–5
- Oracle v. Google* case, 123, 128–31, 143–5, 147, 263, 334, 340, 342
- ordoliberalism, 175–8, 193, 285
- Organization for Economic Co-operation and Development, 77, 295–6
- Oscar Bronner* case, 180–2
- OSI. *See* Open Systems Interconnection
- Otter Tail* case, 166–7

- Paris Convention for the Protection of Industrial Property, 55, 56, 69, 330  
 Article 10*bis*, 59–61  
 patent assertion entities, 96–7, 253–4  
 patent holdup, 333, 336, 342  
 patents  
   compulsory licensing, 69  
   disclosure requirements, 58  
 patents, standards essential  
   and computer programmes, 111–18  
   and standard setting  
     organizations, 86–9  
   concept, 84–6  
   defences to infringement, 97–100  
   disclosure requirements, 125,  
   double essentiality, 94–6  
   hold up, 90  
   patent assertion entities, 96–7  
   patent pools, 92–3  
   remedies, 100–11  
   royalty stacking, 91–2  
   undertakings to license, 87–9  
   voluntary price commitments, 93  
 path dependence, 27, 32, 140  
 platform, 24–5, 51–3, 129, 145, 221  
 platform, Java, 128–9, 145  
 positive feedback, 4, 44, 247  
 Post-Chicago school, 195–6, 253–4, 284  
 price commitments, voluntary, 93  
 private transnational rulemaking,  
   298–301, 304, 305  
 protocol, 22, 23, 149, 150, 152, 155, 156,  
   260, 298–301, 334  
   Transmission Control Protocol/  
     Internet Protocol, 29–32  
   Wireless Local Area Network, 28  
  
 Qualcomm, 191, 214–15, 218–19, 220,  
   222, 270  
*Qualcomm* case, 191, 214–15, 222  
 QWERTY. *See* keyboard configuration,  
   QWERTY  
  
 Rambus, 216–18, 219–20  
*Rambus v. FTC* case, 216–18  
 RAND. *See* FRAND undertaking  
  
 reasonable and non-discriminatory. *See*  
   FRAND undertaking  
 remedies, copyright infringement  
   account of profits, 143–5, 146  
   injunction, 140–2  
   lost profits, 143, 146, 147  
 remedies, patent infringement  
   account of profits, 109  
   injunction, 101–7  
   lost profits, 107–9  
   reasonable royalties, 109–11  
 request for injunction in competition  
   law, 201–12  
   Chinese law, 209  
   European law, 203–9  
   law and economics, 209–12  
   United States law, 202–3  
 Rome Convention for the Protection of  
   Performers, Producers of  
   Phonograms and Broadcasting  
   Organizations, 55  
 rough consensus, 448–49,  
 royalty stacking, 333, 336, 342  
  
*SAS Institute v. World Programming  
 Limited* case, 126–8  
*Sea Containers v. Stena Sealink* case,  
   179–80  
*Sega v. Accolade* case, 81, 124–5, 128,  
   129, 130, 131, 260, 334, 335  
 SEIP. *See* intellectual property,  
   standards essential  
 SEIP, liability rules  
   calculation of damages, 263–5  
   collective rights organizations,  
     252  
   component patents, 250–1  
   compulsory licensing, 276–7  
   conclusions, 257–8  
   Cournot complements, 246–8  
   cross-licensing, 253–4  
   dynamic efficiency, 254–5  
   existing scholarship, 239–44  
   holdup, 248–50  
   incentives to license, 253–4  
   injunctions, 261–3  
   patent assertion entities, 253–4  
   reasonable royalties, 265–76

- transaction and assessment costs, 255–7
- undertakings to license, 251–2
- unilateral disciplines, 278
- SEIP, liability rules, 244–5
- SEP. *See* patents, standard essential
- Shapiro, Carl, 90, 91, 93–4, 241–2, 253, 256, 265–6
- Shapley value, 267, 268, 274, 276
- Sherman Act, 165, 166, 167, 168, 202, 217, 221, 223, 224
- single monopoly profit theorem, 170, 192, 196, 284, 337
- SSO. *See* standard setting organization
- standalone forum, 296–301, 303–5
- standard
  - definition, 17–19
- standards essential copyright
  - calculation of damages, 142–7
  - compilations of data, 135–40
  - computer user interfaces, 131–5
  - concept, 118–21
  - injunctions, 140–2
  - software-software interfaces, 122–31
- standards, de facto, 44, 119–20, 143, 309
- standards, formal, 19, 119, 263
- standards, misconduct in the creation of, 215–21
  - American law, 216–19
  - European law, 219–20
- standards, quality, 19–20
- standards, reference, 19–20
- standards, variety reduction, 19–20
- Tallinn Manual on the International Law Applicable to Cyber Operations, 300–1, 307
- Tariff Act 1930, 103, 339
- TBT Agreement. *See* Agreement on Trade-Related Aspects of Intellectual Property Rights
- TBT Code of Good Practice, 47, 69, 70–1, 290, 302, 350
- TBT Committee. *See* WTO Technical Barriers to Trade Committee
- TCP/IP. *See* Transmission Control Protocol/Internet Protocol
- TDMA. *See* Time Division Multiple Access
- Terminal Railroad* case, 161–4
- Third Generation Partnership Project, 33
- three-step test, 65–8, 318–24, 327–9
  - certain special cases, 327–8
  - limited exceptions, 318–19
  - no conflict with normal exploitation, 328–9
  - no unreasonable prejudice to legitimate interests, 329
  - unreasonable conflict with normal exploitation, 319–22
  - unreasonable prejudice to legitimate interests, 322–4
- Time Division Multiple Access, 32
- tipping, 4, 32, 44, 247
- topoi*, 11, 12, 14
- trade secrets, 148–50
- transaction costs, 233–4, 235, 236, 237–8, 239–40, 241, 245, 252, 255, 256, 287
- Transmission Control Protocol/Internet Protocol, 32, 42
- Trinko* case, 338
- TRIPS. *See* Agreement on Trade-Related Aspects of Intellectual Property Rights
- TRIPS Agreement. *See* Agreement on Trade-Related Aspects of Intellectual Property Rights
- tying, 221–3
- UMTS. *See* Universal Mobile Telecommunications System
- unfair competition, protection against, 59–61, 136, 154, 330
- United States – Section 110 of the Copyright Act* case, 67, 323–4, 327
- United States International Trade Commission, 103, 272
- Universal Mobile Telecommunications System, 33, 272

- US *Microsoft* case, 149, 151–2, 221–2  
 USITC. *See* United States International Trade Commission
- VHS, 27–8  
 Viehweg, Theodor, 10
- Waller, Spencer Webber, 172–3  
 Washington Treaty on Intellectual Property in Respect of Integrated Circuits, 55, 329–30
- W-CDMA. *See* Wideband Code Division Multiple Access
- Wideband Code Division Multiple Access, 33, 85
- Wi-Fi. *See* Wireless Local Area Network standards
- Wi-Fi Alliance, 28
- WIPO. *See* World Intellectual Property Organization
- WIPO Copyright Treaty, 57, 329
- Wireless Local Area Network standards, 85, 110, 134–5, 267–71, 335
- WLAN. *See* Wireless Local Area Network standards
- World Intellectual Property Organization, 57, 120, 285, 291–2, 302, 305, 346
- World Trade Organization, 47, 76–7, 292–3, 302, 305, 346
- WTO. *See* World Trade Organization
- WTO Agreement on Technical Barriers to Trade, 18, 69–72, 290, 292, 350
- WTO Agreement on Trade-Related Aspects of Intellectual Property Rights, 54–69, 313–32
- compulsory licensing, 69, 329
- copyrights, 325–9
- enforcement, 331
- flexibilities, 62–9
- layout circuits, 329–30
- maximum standards, 57–61
- minimum standards, 54–7
- objectives and principles, 62–4
- three step tests, 65–8, 318–24, 327–9
- trade secrets, 330
- WTO Committee of Participants on the Expansion of Trade in Information Technology Products, 292–3
- WTO Doha Ministerial Declaration of 20 November 2001, 76
- WTO Information Technology Agreement, 74–5, 293
- WTO Technical Barriers to Trade Committee, 47–8
- WTO Telecommunications Agreement, 72–4
- GATS Annex on Telecommunications, 73
- Telecommunications Reference Paper, 73–4