# Managing Transition

Examining the factors that shaped the first interim governments of Tunisia and Libya, which were formed in the immediate aftermath of the 2011 uprisings that brought down the governments, *Managing Transition* analyzes each interim government to enhance our understanding of how political transition occurred within the two North African countries. Tracing the importance of the key decisions made during these transition periods, Sabina Henneberg demonstrates the importance of these decisions taken during the short period between authoritarian collapse and the first post-uprising elections, including decisions around leadership, institutional reform, transitional justice, and electoral processes. By documenting, in close detail, the important events of the 2011 Arab uprisings, and the months that followed, this study shows that while pre-existing structures strongly influence the design and behavior of the first interim governments, actors' choices are equally important in shaping both immediate and longer-term phases of transition.

SABINA HENNEBERG is a visiting scholar for the African Studies Program at Johns Hopkins University School of Advanced International Studies, where her research has focused on political transition in North Africa. She is the author of articles in journals including the *Journal of North African Studies, British Journal of Middle Eastern Studies, Journal of International Affairs, Foreign Affairs* and *Foreign Policy*. She is currently the Tunisia Country Specialist with Amnesty International USA.

## **Managing Transition**

The First Post-Uprising Phase in Tunisia and Libya

SABINA HENNEBERG Johns Hopkins University



#### CAMBRIDGE UNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314–321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi – 110025, India

79 Anson Road, #06-04/06, Singapore 079906

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning, and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9781108842006 DOI: 10.1017/9781108895729

© Sabina Henneberg 2020

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2020

A catalogue record for this publication is available from the British Library.

ISBN 978-1-108-84200-6 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

Cambridge University Press 978-1-108-84200-6 — Managing Transition Sabina Henneberg Frontmatter <u>More Information</u>

For mom and dad

#### Contents

Acknowledgments pag	ge ix		
Note about Terms and Definitions xii			
List of Abbreviations xiv			
<ol> <li>Introduction         An Interim Government Forms         First Interim Governments: A Unique Moment         The Study of Interim Governments during Transition from         Authoritarian Rule         Questions, Design, and Argument         Plan of the Book         </li> </ol>	1 1 3 5 11 14		
2 The Tunisian Provisional Administration Historical Backdrop Immediate Attempts to Appoint New Leadership Establishing a Way Forward Balancing Continuity and Change Setting Up Interim Institutions Securing Legitimacy Building Consensus for a New Political System Organizing Elections Conclusion	17 18 20 24 28 33 39 51 57 59		
<ul> <li>Impacts of the Tunisian Provisional Administration Constraints</li> <li>Formation of the National Constituent Assembly and Troika</li> <li>Drafting a New Constitution, Polarization, and National Dialogue</li> <li>Reforming Institutions</li> <li>Dealing with Questions of Transitional Justice</li> <li>Conclusion</li> </ul>	60 61 65 67 74 88 97		
	99 100 102		

vii

viii	Contents
Garnering Legitimacy through Recruitment Establishing New Governing Institutions Prioritizing International Assistance Developing a Roadmap Organizing Elections Conclusion	106 118 130 139 142 145
<ul> <li>5 Impacts of the National Transition Council Forming a New Government Restoring (In)stability Establishing Authority Impacts of International Assistance Drafting a Constitution Addressing Human Rights and Transitional Justice Conclusion</li> </ul>	147 148 149 154 158 160 162 169
<ul> <li>6 Impacts of the Tunisian Provisional Administration and National Transition Council in Later Years Tunisia Libya Conclusion</li> </ul>	170 170 188 204
<ul> <li>7 Conclusions</li> <li>Review of the Questions and Arguments</li> <li>Tunisia and Libya's Different "Starting Conditions"</li> <li>Summary of Findings</li> <li>What Have We Learned?</li> <li>Contributions</li> <li>Toward a Theory of Interim Governments</li> </ul>	206 207 208 210 215 219 221
Appendix A Tunisia Chronology	228
Appendix B Libya Chronology	232
Bibliography	236
Index	256

#### Acknowledgments

I am grateful to everyone who supported this project. I received research support as a doctoral student from the Cosmos Scholars Foundation and the National Security Education Program, and a critical year of funding as a Provost's Postdoctoral Fellow from Johns Hopkins University. Several organizations and individuals were also crucial aids to the work during my time in Tunisia. These include my Arabic teachers, Najla Abbes and Mohammed Laabidi; the kind sisters at the Maison Diocésaine; the staff at the Centre d'Études Maghrébines à Tunis (CEMAT) and the National Library of Tunisia; and the fellow researchers and journalists (both Tunisian and foreign) I met who supported me and offered suggestions. I also owe thanks to the inspiring women working at the regional office of Amnesty International in Tunis for hosting me several times in recent years.

The most important people during my fieldwork were of course the interview subjects themselves. I have kept them anonymous in order to avoid putting them at risk, but I will forever be indebted to them for sharing their time and experiences and humbled by their extraordinary courage. Several people met with me more than once, shared resources, and spoke to me over the phone; this book would not have been possible without their efforts. I am equally grateful to the individuals who met with me in Washington, in London, and on Skype throughout the research process.

At the John Hopkins School of Advanced International Studies (SAIS), I have been fortunate to work with excellent advisors, especially Peter Lewis, Bill Zartman, and Karim Mezran. I am also grateful to Jennifer Seely and Eva Bellin for serving as external committee members during my dissertation defense. The reference librarians and entire library staff have been consistently friendly and supportive since I arrived as a master's student in 2006. I would particularly like to thank Linda Carson, Kate Pickard, Steve Sears, Jenny Gelman, Jenny

х

Cambridge University Press 978-1-108-84200-6 — Managing Transition Sabina Henneberg Frontmatter <u>More Information</u>

Acknowledgments

Kusmik, and Sheila Thalhimer, as well as Susan High and Josh MacDonald.

Dan Brown, Atifa Jiwa, Thomas Haynes, and Maria Marsh from Cambridge University Press all played a role in realizing this book, and I am thankful to them for making the publishing process such a pleasant experience. Feedback from two anonymous reviewers also helped improve the work. I also consulted many others in the process of publishing the manuscript, including the senior acquisitions editor from Michigan University Press, Elizabeth Demers, and the series editor from Columbia University Press, Marc Lynch. I am grateful for their time and advice, and to the three anonymous reviewers from Michigan University Press whose feedback on the proposal I also incorporated when revising the manuscript.

Several peers and mentors were kind enough to share their advice on book publishing, including Taylor Boas, Narges Bajoghli, Lisel Hintz, Dan Honig, Teddy Khan, Liz Nugent, Patrick Quirk, Jennifer Seely, Jonathan Stevenson, Betsy Super, and Fred Wehrey. Mietek Boduszynski, Tom Carothers, Elizabeth Phelps, and Susan Waltz all took the time to provide feedback on draft pieces of the manuscript; the book also would not have been the same without Ben Gedan and Jason Pack's comments on the proposal and Bill Zartman, Peter Lewis, and Karim Mezran's help when it was still a dissertation project. Jacob Mundy facilitated work on the ground in Tunis, and his invitation to contribute to a special issue of *Middle East Law and Governance* led to valuable anonymous reviews that helped me deepen my understanding of the Libya case.

I also benefited from the opportunity to present pieces of the research at various venues, including the annual conferences of the Middle East Studies Association, the African Studies Association, the Center for the Study of Islam and Democracy, the Bureau of Conflict and Stabilization Operations at the Department of State, and the publication *Realités*. An invitation from my mentor and friend, Bob Lee, to co-teach with him at Colorado College provided yet another opportunity to present the work before fresh eyes. Anonymous feedback from reviewers at the *Journal of North African Studies* and the *British Journal of Middle Eastern Studies* also contributed to my thinking about the larger project. Charafa al-Achalhi and Kaoutar el-Mernisi both worked with me extensively on Arabic.

Cambridge University Press 978-1-108-84200-6 — Managing Transition Sabina Henneberg Frontmatter <u>More Information</u>

#### Acknowledgments

Several individuals at SAIS offered support as administrative staff, mentoring faculty, and friends. These include Stephanie Cancienne Hedge, Eamonn Gearon, Allison Janos, David Kanin, Seth Kaplan, Starr Lee, Chichi Nwankwor, Gabby Roberts Hendy, Bruce Parrott, Guadalupe Paz, Camille Pecastaing, Isabelle Talpain-Long, Bridget Welsh, Mark White, Jon Youngs, and the ever-friendly and kind engineers and facilities staff. Jeanne Choi, Lili Diaz, and Amanda Kerrigan have been my champions and inspiration.

Finally, the project would not have been possible without the support of my family and friends, especially Chrissy, Mo, Bina, Sid, and my dear partner, Mike. My mother, Jeanne, not only read and commented on every draft of every page but frequently listened as I tried to sort through complicated ideas. There are no words to express how grateful I am to her and to my late father, Matthias, whose love also made this work possible.

#### Note about Terms and Definitions

This book is about interim governments. It borrows the operational definition of interim governments offered by Allison Stanger, in her contribution to Yossi Shain and Juan J. Linz's 1995 volume Between States, which itself is built on their paper delivered at the American Political Science Association's annual conference in 1991. Stanger defines an interim government as "the administration that rules 'in the hiatus between the breakdown of the authoritarian regime and the selection of a new government as a result of free and contested elections."<sup>1</sup> This book also draws inspiration from Jennifer Seely's work on interim governments (which she calls transition governments) in Benin and Togo. Seely specifies that "A transition government is defined as a temporary leadership body that is appointed by an existing government or occupying authority (rather than popularly elected) to serve for a limited term with the intention of creating conditions for new leadership to be chosen."<sup>2</sup> The key elements of an interim (or transition) government are thus that it (1) is temporary (2) is unelected (3) presides over the period immediately following the collapse of an authoritarian regime, and (4) presides over democratic elections.<sup>3</sup>

When I first conceived this study, I struggled to differentiate "transition" government from "interim" or "provisional." My work on this project began as dissertation research in 2013, when the uprisings in Tunisia and Libya were relatively fresh and the question of whether or not either country was transitioning to anything – in other words,

<sup>3</sup> Although in Libya during the first several months of the National Transition Council's existence, the authoritarian regime it sought to replace had not actually collapsed, it nonetheless meets these criteria, and permits me to study it alongside the transition government in Tunisia. The term "government-in-waiting" deployed by Rangwala is thus also useful for making this distinction between the Libyan and Tunisian cases.

<sup>&</sup>lt;sup>1</sup> Shain and Linz, eds., *Between States*, 256.

<sup>&</sup>lt;sup>2</sup> Seely, The Legacies of Transition Governments, 11.

#### Note about Terms and Definitions

whether either was experiencing a regime change, defined by Ronald Francisco as "major shifts in two or more categories of political structure" – was unresolved.<sup>4</sup> Indeed, scholars of regime transition or regime change generally agree that identifying the point when this transition has occurred is difficult.<sup>5</sup> Thus, while I initially avoided calling the processes under study here "transition" and the actors "transition governments," my decision to apply these terms also acknowledges that both countries examined in this book – as is the case with Benin, Togo, and many other places – have been experiencing *attempted* transition, and have even used the term themselves.<sup>6</sup>

I also struggled to figure out whether I was studying "governments" or "administrations." Although "administrations" appeared to be the safer choice, if for no other reason than that we tend to think of governments as being elected or formally instated in some way,<sup>7</sup> I ultimately consider both terms relevant to the tasks and roles of the two interim bodies studied here. Although, as this book will show, both these bodies tried hard to avoid the appearance of having seized power undemocratically, and often did not consider themselves mandated to take many decisions, they indeed both took decisions as a government and executed them as an administration. I term the Tunisian case a "provisional administration" because it was made up of several bodies that collectively – even if not intentionally<sup>8</sup> – took charge of public affairs. I use this term to refer to all the interim Tunisian institutions and actors discussed here.<sup>9</sup>

<sup>5</sup> E.g. Linz and Stepan, *Problems of Democratic Transition and Consolidation*, 3–5.

<sup>7</sup> According to Webster's Dictionary, the definition of "government" includes more emphasis on recognized authority, while "to administer"/"an administration" emphasizes execution of decisions, rather than decision-making.

<sup>8</sup> This is why they did not give themselves, as a collective, a name.

<sup>9</sup> This includes the members of the first iterations of the TPA's interim cabinet. In the Libyan case, the disparate groups that formed tended to call themselves "councils" (*majlis*), but because they did not divide the management of public affairs according to sector or task, as was roughly the case in Tunisia, I do not term them an "administration."

xiii

<sup>&</sup>lt;sup>4</sup> Francisco, The Politics of Regime Transition, 3.

<sup>&</sup>lt;sup>6</sup> Tunisia's Ben Achour Commission, a central institution within the Tunisian Provisional Administration (TPA), used in its name the Arabic word "*intiqali*" (transitional), as did the NTC.

#### Abbreviations

AMT	Tunisian Magistrates' Association
ARP	People's Representative Assembly
ATCE	Tunisian External Communications Agency
AU	African Union
CDA	Constitution Drafting Assembly
CNPR	National Council for the Protection of the
	Revolution
DL	Decree Law
EMB	Electoral Management Body
FDTL	Democratic Forum for Work and Liberties
FIT	Tunisian Islamic Front
GNC	General National Congress
HAICA	High Independent Authority for Audio-Visual
	Communication
HNEC	High National Elections Committee
HOR	House of Representatives
ILE	Independent Local Electoral Authority
INRIC	National Commission for Information and
	Communication Reform
IRIE	Independent Regional Electoral Authority
ISIE	Independent High Electoral Authority
ISIS	Islamic State in Iraq and Syria
LCG	Libya Contact Group
LIFG	Libyan Islamic Fighting Group
LTDH	Tunisian Human Rights League
MENA	Middle East and North Africa
MMC	Misrata Military Council
NCA	National Constituent Assembly
NCLO	National Conference of Libyan Opposition
NTC	National Transition Council

xiv

#### List of Abbreviations

PDP Democratic Progressive Party PSC Peace and Security Council RCD Constitutional Democratic Rally SMT Tunisian Magistrates' Syndicate TDC Truth and Dignity Commission Tunisian Provisional Administration TPA UGTT General Tunisian Workers' Union UNSC United Nations Security Council UNSMIL United Nations Support Mission in Libya UTICA Tunisian Union of Industry, Commerce, and Handicrafts WMC Western Military Council

xv