

Cambridge University Press
978-1-108-83868-9 – Dimension Groups and Dynamical Systems
Fabien Durand , Dominique Perrin
Frontmatter
[More Information](#)

CAMBRIDGE STUDIES IN ADVANCED MATHEMATICS 196

Editorial Board

J. BERTOIN, B. BOLLOBÁS, W. FULTON, B. KRA, I. MOERDIJK,
C. PRAEGER, P. SARNAK, B. SIMON, B. TOTARO

DIMENSION GROUPS AND DYNAMICAL SYSTEMS

This book is the first self-contained exposition of the fascinating link between dynamical systems and dimension groups. The authors explore the rich interplay between topological properties of dynamical systems and the algebraic structures associated with them, with an emphasis on symbolic systems, particularly substitution systems. It is recommended for anybody with an interest in topological and symbolic dynamics, automata theory or combinatorics on words.

Intended to serve as an introduction for graduate students and other newcomers to the field as well as a reference for established researchers, the book includes a thorough account of the background notions as well as detailed exposition – with full proofs – of the major results of the subject. A wealth of examples and exercises, with solutions, serve to build intuition, while the many open problems collected at the end provide jumping-off points for future research.

Fabien Durand is Full Professor in Mathematics at University of Picardie Jules Verne. His interests include topological dynamical systems and the relations with theoretical computer science. He is currently the president of the Société Mathématique de France.

Dominique Perrin is Emeritus Professor in Mathematics and Computer Science at Gustave Eiffel University. He is (co)author or editor of a number of books including *Profinite Semigroups and Symbolic Dynamics*, *Codes and Automata*, *Infinite Words*, and *Combinatorics on Words*, and (under the pseudonym Lothaire). He is a member of Academia Europea.

Cambridge University Press
 978-1-108-83868-9 — Dimension Groups and Dynamical Systems
 Fabien Durand , Dominique Perrin
 Frontmatter
[More Information](#)

CAMBRIDGE STUDIES IN ADVANCED MATHEMATICS

Editorial Board

J. Bertoin, B. Bollobás, W. Fulton, B. Kra, I. Moerdijk, C. Praeger, P. Sarnak, B. Simon, B. Totaro

All the titles listed below can be obtained from good booksellers or from Cambridge University Press. For a complete series listing, visit www.cambridge.org/mathematics.

Already Published

- 156 C. T. C. Wall *Differential Topology*
- 157 J. C. Robinson, J. L. Rodrigo & W. Sadowski *The Three-Dimensional Navier–Stokes Equations*
- 158 D. Huybrechts *Lectures on K3 Surfaces*
- 159 H. Matsumoto & S. Taniguchi *Stochastic Analysis*
- 160 A. Borodin & G. Olshanski *Representations of the Infinite Symmetric Group*
- 161 P. Webb *Finite Group Representations for the Pure Mathematician*
- 162 C. J. Bishop & Y. Peres *Fractals in Probability and Analysis*
- 163 A. Bovier *Gaussian Processes on Trees*
- 164 P. Schneider *Galois Representations and (φ, Γ) -Modules*
- 165 P. Gille & T. Szamuely *Central Simple Algebras and Galois Cohomology* (2nd Edition)
- 166 D. Li & H. Queffelec *Introduction to Banach Spaces, I*
- 167 D. Li & H. Queffelec *Introduction to Banach Spaces, II*
- 168 J. Carlson, S. Müller-Stach & C. Peters *Period Mappings and Period Domains* (2nd Edition)
- 169 J. M. Landsberg *Geometry and Complexity Theory*
- 170 J. S. Milne *Algebraic Groups*
- 171 J. Gough & J. Kupsch *Quantum Fields and Processes*
- 172 T. Ceccherini-Silberstein, F. Scarabotti & F. Tolli *Discrete Harmonic Analysis*
- 173 P. Garrett *Modern Analysis of Automorphic Forms by Example, I*
- 174 P. Garrett *Modern Analysis of Automorphic Forms by Example, II*
- 175 G. Navarro *Character Theory and the McKay Conjecture*
- 176 P. Fleig, H. P. A. Gustafsson, A. Kleinschmidt & D. Persson *Eisenstein Series and Automorphic Representations*
- 177 E. Peterson *Formal Geometry and Bordism Operators*
- 178 A. Ogus *Lectures on Logarithmic Algebraic Geometry*
- 179 N. Nikolski *Hardy Spaces*
- 180 D.-C. Cisinski *Higher Categories and Homotopical Algebra*
- 181 A. Agrachev, D. Barilari & U. Boscain *A Comprehensive Introduction to Sub-Riemannian Geometry*
- 182 N. Nikolski *Toepplitz Matrices and Operators*
- 183 A. Yekutieli *Derived Categories*
- 184 C. Demeter *Fourier Restriction, Decoupling and Applications*
- 185 D. Barnes & C. Roitheim *Foundations of Stable Homotopy Theory*
- 186 V. Vasyunin & A. Volberg *The Bellman Function Technique in Harmonic Analysis*
- 187 M. Geck & G. Malle *The Character Theory of Finite Groups of Lie Type*
- 188 B. Richter *Category Theory for Homotopy Theory*
- 189 R. Willett & G. Yu *Higher Index Theory*
- 190 A. Bobrowski *Generators of Markov Chains*
- 191 D. Cao, S. Peng & S. Yan *Singularly Perturbed Methods for Nonlinear Elliptic Problems*
- 192 E. Kowalski *An Introduction to Probabilistic Number Theory*
- 193 V. Gorin *Lectures on Random Lozenge Tilings*
- 194 E. Riehl & D. Verity *Elements of ∞ -Category Theory*
- 195 H. Krause *Homological Theory of Representations*

Cambridge University Press
978-1-108-83868-9 — Dimension Groups and Dynamical Systems
Fabien Durand , Dominique Perrin
Frontmatter
[More Information](#)

Dimension Groups and Dynamical Systems

Substitutions, Bratteli Diagrams and Cantor Systems

FABIEN DURAND
University of Picardie Jules Verne

DOMINIQUE PERRIN
Gustave Eiffel University



Cambridge University Press
978-1-108-83868-9 — Dimension Groups and Dynamical Systems
Fabien Durand , Dominique Perrin
Frontmatter
[More Information](#)

CAMBRIDGE UNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom
One Liberty Plaza, 20th Floor, New York, NY 10006, USA
477 Williamstown Road, Port Melbourne, VIC 3207, Australia
314–321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre,
New Delhi – 110025, India
103 Penang Road, #05–06/07, Visioncrest Commercial, Singapore 238467

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of
education, learning, and research at the highest international levels of excellence.

www.cambridge.org
Information on this title: www.cambridge.org/9781108838689
DOI: 10.1017/9781108976039

© Fabien Durand and Dominique Perrin 2022

This publication is in copyright. Subject to statutory exception
and to the provisions of relevant collective licensing agreements,
no reproduction of any part may take place without the written
permission of Cambridge University Press.

First published 2022

A catalogue record for this publication is available from the British Library.

Library of Congress Cataloging-in-Publication Data

Names: Durand, Fabien, 1969- author. | Perrin, Dominique, author.
Title: Dimension groups and dynamical systems : substitutions, Bratteli diagrams and
cantor systems / Fabien Durand, Dominique Perrin.
Description: Cambridge ; New York, NY : Cambridge University Press, 2022. |
Series: Cambridge studies in advanced mathematics ; 196 | Includes
bibliographical references and index.
Identifiers: LCCN 2021035082 (print) | LCCN 2021035083 (ebook) | ISBN
9781108838689 (hardback) | ISBN 9781108976039 (ebook)
Subjects: LCSH: Dynamics. | Dimension theory (Topology) | Group theory. |
BISAC: MATHEMATICS / General
Classification: LCC QA845 .D87 2022 (print) | LCC QA845 (ebook) | DDC
515/.39—dc23/eng/20211021
LC record available at <https://lccn.loc.gov/2021035082>
LC ebook record available at <https://lccn.loc.gov/2021035083>

ISBN 978-1-108-83868-9 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of
URLs for external or third-party internet websites referred to in this publication
and does not guarantee that any content on such websites is, or will remain,
accurate or appropriate.

Contents

<i>Introduction</i>	<i>page</i> 1
1 Topological Dynamical Systems	7
1.1 Recurrent and Minimal Dynamical Systems	7
1.2 More on Shift Spaces	17
1.3 Shifts of Finite Type	29
1.4 Substitution Shifts	30
1.5 Sturmian and Arnoux–Rauzy Shifts	51
1.6 Toeplitz Shifts	64
1.7 Exercises	66
1.8 Notes	75
2 Ordered Groups	82
2.1 Ordered Abelian Groups	82
2.2 States	87
2.3 Direct Limits	91
2.4 Dimension Groups	97
2.5 Stationary Systems	103
2.6 Exercises	105
2.7 Notes	108
3 Ordered Cohomology Groups	111
3.1 Coboundaries	112
3.2 Gottschalk and Hedlund Theorem	114
3.3 Ordered Group of a Dynamical System	118
3.4 Cylinder Functions	122
3.5 Ordered Group of a Recurrent Shift Space	123
3.6 Factor Maps and Conjugacy	127
3.7 Ordered Groups of Induced Systems	128

3.8 Invariant Borel Probability Measures	131
3.9 Invariant Measures and States	142
3.10 Exercises	146
3.11 Notes	153
4 Partitions in Towers	156
4.1 Partitions in Towers	156
4.2 Ordered Group Associated with a Partition	162
4.3 Ordered Groups of Sequences of Partitions	166
4.4 Dimension Groups and Return Words	170
4.5 Dimension Groups and Rauzy Graphs	178
4.6 Dimension Groups of Substitution Shifts	184
4.7 Exercises	196
4.8 Notes	198
5 Bratteli Diagrams	200
5.1 Bratteli Diagrams	200
5.2 Dynamics for Ordered Bratteli Diagrams	209
5.3 The Bratteli–Vershik Model Theorem	211
5.4 Kakutani Equivalence	220
5.5 Orbit Equivalence	221
5.6 Equivalences on Cantor Spaces	228
5.7 Entropy and Bratteli Diagrams	233
5.8 Exercises	236
5.9 Notes	241
6 Substitution Shifts and Generalizations	244
6.1 Odometers	244
6.2 Substitution Shifts	252
6.3 Linearly Recurrent Shifts	268
6.4 \mathcal{S} -adic Representations	277
6.5 Dimension Groups of Unimodular Shifts	289
6.6 Derivatives of Substitutive Sequences	291
6.7 Exercises	298
6.8 Notes	304
6.9 Exercises	307
7 Dendric Shifts	309
7.1 Dendric Shifts	309
7.2 Sturmian Shifts	331
7.3 Specular Shifts	335
7.4 Exercises	353
7.5 Notes	356

Contents

vii

8 Interval Exchange Transformations	359
8.1 Interval Exchange Transformations	360
8.2 Branching Rauzy Induction	385
8.3 Interval Exchange over a Quadratic Field	395
8.4 Linear Involutions	398
8.5 Exercises	406
8.6 Notes	408
9 Bratteli Diagrams and C^*-Algebras	411
9.1 Bratteli Diagrams	411
9.2 C^* -Algebras	414
9.3 Approximately Finite Algebras	419
9.4 Exercises	432
9.5 Notes	433
A Solutions to Exercises	435
A.1 Chapter 1	435
A.2 Chapter 2	454
A.3 Chapter 3	459
A.4 Chapter 4	468
A.5 Chapter 5	473
A.6 Chapter 6	482
A.7 Chapter 7	493
A.8 Chapter 8	500
A.9 Chapter 9	504
B Useful Definitions and Results	507
B.1 Algebraic Number Theory	507
B.2 Groups, Graphs and Algebras	511
B.3 Linear Algebra	518
B.4 Topological, Metric and Normed Spaces	521
B.5 Measure and Integration	527
B.6 Topological Entropy	532
C Summary of Examples	534
D Equivalent Definitions of Sturmian Shifts	538
E Open Problems	540
<i>References</i>	544
<i>Name Index</i>	559
<i>Index of Symbols</i>	563
<i>Subject Index</i>	566

Cambridge University Press
978-1-108-83868-9 — Dimension Groups and Dynamical Systems
Fabien Durand , Dominique Perrin
Frontmatter
[More Information](#)