A Practical Guide to Power Line Communications

This excellent resource synthesizes the theory and practice of power line communication (PLC), providing a straightforward introduction to the fundamentals of PLC as well as an exhaustive review of the performance, evaluation, and security of heterogeneous networks that combine PLC with other means of communications. It advances the groundwork on PLC, a tool with the potential to boost the performance of local networks, and provides useful worked problems on, for example, PLC protocol optimization. Covering the PHY and MAC layers of the most popular PLC specifications, including tutorials and experimental frameworks, and featuring many examples of real-world applications and performance, it is ideal for university researchers and professional engineers designing and maintaining PLC or hybrid devices and networks.

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Preface

Having spent eight years on research of power line communications (PLC), we observed a significant gap between industry and academia, especially on the configuration and management of PLC devices. From the industry perspective, there are a few open source tools for configuration and measurement of PLC. However, unlike Wi-Fi chipsets, there is no open source firmware. Measurement and configuration of commercial devices require a lot of reverse engineering. From the academia perspective, there are many analytical and measurement works, which often do not get compared to or implemented on commercial devices due to the tools' limitations and obfuscated firmware. During our doctoral theses, we developed an experimental framework on commercial devices as well as analysis tools for PLC. Performance analysis is useful for network optimizations and for ensuring scalability in multiuser deployments. Yet, models have to be validated against experimental results, and chipset configuration is essential for implementing any optimizations. To help users and researchers address these issues, we have decided to write this book to share our knowledge and methods to configure, manage, analyze, and evaluate PLC networks.

Our book mainly focuses on the IEEE 1901 standard, on which the vast majority of commodity PLC devices are based. We first provide an understanding of the standard, giving the most important features of physical (PHY) and medium-access control (MAC) layers. Understanding how data flows and is modulated and transmitted over the electrical wires is crucial for evaluating PLC performance. The standard is about 1600 pages and describes two types of PLC networks: the Internet access networks and the indoor enterprise/residential PLC networks. Hence IEEE 1901 stations can be deployed in-building or over power line distribution cables. The two deployments differ in topology, protocols, and channel quality, which differentiates also the PHY and MAC features. In our book, we focus on broadband indoor PLC networks, which work at low voltage and are very popular in residential environments.

The book is divided into three parts. First, we present the channel models and PHY layer of PLC devices. We explain how data flows are modulated into analog signals transmitted over the electrical wires and how these signals propagate. The attenuation and noise experienced by PLC signals are different and more complex than those of Wi-Fi. This yields a different PHY layer design with adaptive and periodic modulation with respect to the alternate current (AC). The MAC layer of PLC is also more complex than Wi-Fi. Similarly to Wi-Fi, PLC is a broadcast medium; hence stations have to resolve collisions when they transmit simultaneously. But owing to the specificities

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of power lines, PLC MAC introduces an additional variable that creates different levels of multiuser efficiency and short-term fairness. We present a detailed experimental framework for configuring and measuring performance of PLC commercial devices. We discuss how to measure PHY- and MAC-layer metrics, configure topology and security mechanisms, and write new tools for these functionalities.

In the second part of the book, we present a performance evaluation of the PHY and MAC layers on a testbed of nineteen stations. We explore the spatial and temporal variation of capacity and provide guidelines for link metric estimation in hybrid PLC/Wi-Fi networks. Then, we present efficiency and throughput models of the MAC layer and analyze the multiuser performance and configurations. In this second part, we provide experimental guidelines to reproduce the experiments.

In the third part of the book, we discuss security, management, and other applications of PLC. We present the processes of creating secure PLC networks, new station authentication and association, and potential security attacks on PLC. Finally, we discuss the IEEE 1905.1 standard for heterogeneous networks where several technologies, such as PLC and Wi-Fi, coexist. We detail the most important features of heterogeneous networks. We conclude the book by providing new research directions and open problems of PLC. Throughout the book, we compare Wi-Fi and PLC, as they are technologies often used simultaneously in heterogeneous networks. The PHY and MAC layers of PLC have differences that can benefit the network in terms of coverage, throughput, latency, and security.

The book can be useful for PLC researchers, users who would like to optimize PLC performance, engineers working on new PLC devices, and computer networking classes. Our book is intended for both a general audience with little networking background and an advanced audience with a Wi-Fi, PLC, or networking background. To distinguish the parts of the book for the advanced audience, we introduce sections for further reading using the in symbol. The book is also intended to be used as a guide for PLC testbed development, configuration, and measurement. When applicable, we provide experimental guidelines to reproduce our results or network configurations using the symbol.

This book is a product of eight years of research on PLC; a significant part of this research was carried out under the supervision of Professor Patrick Thiran and Professor Albert Banchs. We thank them for their guidance and advice on Chapters 5 and 6. Patrick supervised our theses and helped us with the analysis and performance evaluation of PLC. Albert has helped us with the throughput model of the PLC MAC layer. The performance evaluation of PLC was done at École polytechnique fédérale de Lausanne (EPFL), Switzerland, on a testbed that Julien Herzen had initially developed for Wi-Fi networks. We thank Julien for his help with the testbed and the inauguration of PLC devices on it. Finally, we thank Can Karakuş for his feedback on the book.

We hope that the book will serve as a practical guide on teaching PLC, new research directions on PLC, and development of new PLC commercial devices.

Abbreviations

AC alternating current ACK acknowledgment AES advanced encryption standard AFE analog front end AGC automatic gain control ALME abstraction layer management entity **AP** access point ARP address resolution protocol **ARQ** automatic repeat request ASCII American standard code for information interchange **BBF** bidirectional burst flag **BBT** beacon backoff time BC backoff counter **BDF** beacon detect flag **BIFS** burst interframe space **BLE** bit loading estimate bps bits per second Bps bytes per second BPSK binary phase-shift keying CA channel access **CBC** cypher block chaining CCo central coordinator CFP contention-free period **CFS** contention-free session **CIFS** contention interframe space CMDU control message data unit CMG CTS-MPDU gap **CP** contention period CRC cyclic redundancy check **CSC** channel-switching cost CSMA/CA carrier sense multiple access with collision avoidance CTS clear to send

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List of Abbreviations

CW contention window D/A digital-to-analog converter DAK device access key **dB** decibel dBm decibel of measured power referenced to one milliwatt DC deferral counter DPLL digital phase-locked loop **DPW** device password DSNA device-based security network association **DTEI** destination terminal equipment identifier **EIFS** extended interframe space EKS encryption key select EMI electromagnetic interference EPFL École polytechnique fédérale de Lausanne **ETH** Ethernet ETT expected transmission time ETX expected transmission count FC frame control FCCS frame control check sequence **FDM** frequency division multiplexing FEC forward error correction FFT fast Fourier transform FID fragment identifier FL frame length Gbps gigabits per second **G.hn** specification for home networking GHz gigahertz GI guard interval **GP** GreenPHY GUI graphical user interface HD high definition HD-PLC high-definition power line communication alliance **HF** high frequency HLE higher layer (above MAC) entity HPAV HomePlug AV HPGP HomePlug Green PHY Hz hertz IARU International Amateur Radio Union **ICV** integrity check value IEEE Institute of Electrical and Electronics Engineers **IFFT** inverse fast Fourier transform **IFS** interframe space IMC impedance mismatch compensation IoT Internet of Things

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IP Internet protocol **IPTV** IP television **ISI** intersymbol interference **ISP** intersystem protocol ITU International Telecommunication Union ITU-T ITU Telecommunication Standardization Sector IV initialization vector kbps kilobits per second KCD key carrying device kHz kilohertz LAN local area network LDPC low-density parity check codes LID link identifier LLDP link layer discovery protocol LN logical network LTE long-term evolution 4G mobile communications standard **m** meters MAC medium-access control Mbps megabits per second MCF multicast flag MCS modulation and coding scheme MHz megahertz MID message identifier MIMO multiple input and multiple output MLME MAC layer management entity MM management message MNBC multinetwork broadcast MNBF multinetwork broadcast flag MoCA Multimedia over Coax Alliance MPDU MAC protocol data unit MPTCP transport control protocol MRTFL maximum reverse transmission frame length ms millisecond us microsecond MSDU MAC service data unit **NEK** network encryption key NFCNK near-field communication network key **NID** network identifier **NMK** network membership key NMK-SC network membership key – simple connect **NPW** network password **NVRAM** nonvolatile random access memory **OFDM** orthogonal frequency-division multiplexing **OFDMA** orthogonal frequency-division multiple access

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PB physical block PBC push-button configuration **PBCS** physical block check sequence **PBKDF** password-based key derivation function PC personal computer PCP priority code point PE protective earth PHY physical layer PIB parameter information block **PKCS** public-key cryptography standard PLC power line communications PLME physical layer management entity **PPB** pending physical block **PPDU** physical protocol data unit PRS priority resolution slot **PSD** power spectral density QAM quadrature amplitude modulation QoS quality of service QPSK quadrature phase shift keying **QUIC** Quick UDP Internet connections RCG RTS/CTS gap RF radio frequency RI roll-off interval **RIFS** response interframe space **ROBO** robust modulation schemes for IEEE 1901 **RSC** recursive systematic convolutional RSNA robust security network association **RSSI** received signal strength indicator **RTS** request to send **RTT** round trip time s second SACK selective acknowledgment SC simple connect SHA secure hash algorithm SIFS short interframe space **SISO** single input and single output SL security level **SME** station management entity **SNID** short network identifier SNR signal over noise ratio SoF start of frame **SSID** service set identifier SSN sequence segment number STEI source terminal equipment identifier

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SVD single-value decomposition SWM sliding-window method TCC turbo convolutional coder TCP transport control protocol **TDM** time division multiplexing TDMA time division multiple access **TEI** terminal equipment identifier **TEK** temporary encryption key TIA Telecommunications Industry Association **TLV** type length value TTL time-to-live TV television UCPK user-configured passphrase **UDP** user datagram protocol **UIS** user interface station **UKE** unicast key exchange **UPA** Universal Powerline Association **USA** United States of America USAI unassociated station advertisement interval VLAN virtual local area network VLC visible light communication VoIP Voice over IP WiMAX worldwide interoperability for microwave access WPA Wi-Fi protected access WPS Wi-Fi protected setup WSC Wi-Fi simple configuration **XOR** exclusive or