Entropy and Diversity

The global biodiversity crisis is one of humanity's most urgent problems, but even quantifying biological diversity is a difficult mathematical and conceptual challenge. This book brings new mathematical rigour to the ongoing debate. It was born of research in category theory, is given strength by information theory, and is fed by the ancient field of functional equations. It applies the power of the axiomatic method to a biological problem of pressing concern, but it also presents new theorems that stand up as mathematics in their own right, independently of any application. The question 'what is diversity?' has surprising mathematical depth, and this book covers a wide breadth of mathematics, from functional equations to geometric measure theory, from probability theory to number theory. Despite this range, the mathematical prerequisites are few: the main narrative thread of this book requires no more than an undergraduate course in analysis.

TOM LEINSTER is Professor of Category Theory at the University of Edinburgh, a member of the University of Glasgow's Boyd Orr Centre for Population and Ecosystem Health, and co-author of a highly cited *Ecology* article on measuring biodiversity. He was awarded the 2019 Chauvenet Prize for mathematical writing.

Cambridge University Press 978-1-108-83270-0 — Entropy and Diversity Tom Leinster Frontmatter <u>More Information</u>

Entropy and Diversity

The Axiomatic Approach

TOM LEINSTER University of Edinburgh



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CAMBRIDGE UNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314–321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi – 110025, India

79 Anson Road, #06-04/06, Singapore 079906

Cambridge University Press is part of the University of Cambridge.

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www.cambridge.org Information on this title: www.cambridge.org/9781108832700 DOI: 10.1017/9781108963558

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First published 2021

A catalogue record for this publication is available from the British Library.

ISBN 978-1-108-83270-0 Hardback ISBN 978-1-108-96557-6 Paperback

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> Much of this book was written in Catalonia during the years 2017 to 2019.

I dedicate it to all those who defend democracy.

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Acknowledgements

Many people have given me encouragement and the benefit of their insight, wisdom, and expertise. I am especially grateful to John Baez, Jim Borger, Tony Carbery, Josep Elgueta, José Figueroa-O'Farrill, Tobias Fritz, Herbert Gangl, Heiko Gimperlein, Dan Haydon, Richard Hepworth, André Joyal, Joachim Kock, Barbara Mable, Louise Matthews, Richard Reeve, Emily Roff, Mike Shulman, Zoran Škoda, Todd Trimble, Simon Willerton, and Xīlíng Zhāng. I also thank Roger Astley, Clare Dennison and Anna Scriven at CUP, as well as the copyeditor, Siriol Jones.

My heartfelt thanks go to Christina Cobbold and Mark Meckes, not only for many thought-provoking mathematical conversations over the years, but also for taking the time to read drafts of this text, which their perceptive and knowledgeable comments helped to improve.

I thank all of those involved in the original functional equations seminar course in Edinburgh for their good humour and friendly participation. Interactions during those seminars were formative for my understanding. So too were many conversations at *The n-Category Café*, a research blog that has been invaluable for my learning of new mathematics.

I owe a great deal to the Boyd Orr Centre for Population and Ecosystem Health, an interdisciplinary research centre at the University of Glasgow, of which I have been happy to have been a member since 2010. It is a model of the interdisciplinary spirit: welcoming, collaborative, informal, and scientifically ambitious. Warm thanks to all who foster that positive atmosphere.

This work was supported at different times by an EPSRC Advanced Research Fellowship, a BBSRC FLIP award (BB/P004210/1), and a Leverhulme Trust Research Fellowship. Critical research advances were made during a 2012 programme on the Mathematics of Biodiversity at the Centre de Recerca Matemàtica (CRM) in Barcelona, which was supported by the CRM, a Spanish government grant, and a BBSRC International Workshop Grant; I

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Acknowledgements

was also supported personally there by the Carnegie Trust for the Universities of Scotland. Finally, I thank the Department of Mathematics at the Universitat Autònoma de Barcelona for their hospitality during part of the writing of this book.

Some parts of Section 6.3 first appeared in Leinster and Meckes [221], and are reproduced with the second author's permission.

Note to the Reader

This book began life as a seminar course on functional equations at the University of Edinburgh in 2017, motivated by recent research on the quantification of biological diversity. The course attracted not only mathematicians in subjects from stochastic analysis to algebraic topology, but also participants from physics and biology. In response, I did everything I could to minimize the mathematical prerequisites.

I have tried here to retain the broad accessibility of the course. At the same time, I have not censored myself from including the many fruitful connections with more advanced parts of mathematics.

These two opposing forces have been reconciled by confining the more advanced material to separate chapters or sections that can easily be omitted. Chapter 9 requires some probability theory, Chapter 11 some abstract algebra, and Chapter 12 some category theory, while Sections 3.4, 6.4 and 6.5 also call on parts of geometry, analysis and statistics. However, the core narrative thread requires no more mathematics than a first course in rigorous (ε - δ) analysis. Readers with this background are promised that they are equipped to follow all the main ideas and results. The parts just listed, and any remarks that refer to more specialized knowledge, can safely be omitted.

Moreover, those who regard themselves as wholly 'pure' mathematicians will find no barriers here. Although much of this book is about the diversity of ecological systems, no knowledge of ecology is needed. Similarly, the information theory that we use is introduced from the ground up.

In the middle parts of the book, many conditions on means and diversity measures are defined: homogeneity, consistency, symmetry, etc. Appendix B contains a summary of this terminology for easy reference. There is also an index of notation.

Interdependence of Chapters

A dotted line indicates that one chapter is helpful, but not essential, for another.

