Cambridge University Press & Assessment 978-1-108-73856-9 — Confucian Culture and Competition Law in East Asia Jingyuan Ma , Mel Marquis Index More Information

## INDEX

Administrative guidance, 169 Administrative monopolies, 76 Agencies administrative of, 9, 86 bureaucratic, 176 regulatory capture, 203 selection of officials, 188-190 Agreements monopolistic, 69 resale price maintenance (RPM), 69 Analects, 148 Anti-monopoly Act (AMA) criminal penalties, 325 enforcement guidelines, 181 goals of competition law in Japan, 60 injunctions, 261 unfair trade practices, 255 zaibatsu, break up of, 48 Anti-monopoly Law (AML) Article 1, 71 civil liability, 247 competition goals, 72 enforcement authorities, 184-187 exemptions, 69 function of, 71 private enforcement, 246 private litigation, 251-253 review and amendment of (2020-21), 69 Authoritarian governance, 180 Authoritarianism, 35 Autumn and Spring Period, 4 Barrier to justice, 13, 205

Benevolence, 6, 309 Buddhism, 28 Cameron, Kim S., 115 Carrots and sticks, 90, 125, 334 Cartels criminal sanctions, 291 criminalization of, 14, 123, 346 government-sponsored, 8 sanction-based laws, 87 Cause-and-effect relationships, 339 **CEOs** collusions, 134 compliance duties, 126 whistle-blowing, 133 Chaebol Business groups, 82-83 family ownership, 58 multinationals, 109 relationship with the government, 57 the development of export-led economic policy, 83 Chosŏn Dynasty Confucian philosophy and practice, 32 Class actions frivolous, 204 in Korea, 284-286 opt-in, 276 opt-out, 205, 287 Clayton Act, 254 Collective redress, 200 Collectivism, 35, 83 Command-and-control mechanisms corporate compliance, 141 Competing Values Framework in Japan, 114 organizational effectiveness, 114 Competition culture, 86 commitment, 85

412

INDEX

culture evolution or transformation, 85 education, 87 Competition goals comparison, 177-179 in China, 65-85 in Japan, 60-63 in Korea, 63-64 in the US and EU, 59 Competition law administrative enforcement of, x authorities, 88 private enforcement of, 2 Compliance culture, 131 education, 84 ethics, 142 guides, 88 officer, 135-138 programmes, 92, 127-130 training, 88, 140 Confucianism, 4, 10, 18 Confucianization of the law, 151, 210, 307-309 Confucius culture, 1 doctrines, 9 ethical principles, 21 heritage, 1 Life of, 4 moral ethics, 26 moral tradition, 23 philosophy, 20 thought, 28 tradition, 167 Consumer welfare maximization, 73 Contemporary New Confucianism, 159 Convergence antitrust regulation, 75 Corporate culture definition of, 121 Corporate governance relation-based, top-down, 108 Corporate social responsibility, 84 Cost-benefit analysis, 13, 205 Criminal sanctions, 14 Cultural norms, 100 Cultural stereotyping, 337

### 413

Da Tong, 209 Damages actions in Korea, 265 Daoist, 28 Deterrence criminal sanctions, 346 link to corporate compliance, 91 Developmental State, 76, 175, 194 Economic development national goals of, 56 Economic Planning Board, 187 Economics-oriented rationality, 1 Egalitarianism, 19, 52 Fa Jia, 294 Fan Zhong-yan, 153 Filial piety, 21, 104 Gainen hogaku, 232 Guanxi system, 36, 111-112 Haley, John O., 215 Han Dynasty, 22, 154 Han Fei Zi, 305 Han Wu Di, 22, 151 He (harmony), 99 Hierarchy leadership, 134 management structure, 28 organizational structure, 107-108 Hofstede, Geert, 3 power distance, 52 Homo economicus, 13, 205 Industrial policy administrative model, 191-194 Interpersonal networks, 110 Japan Fair Trade Commission (JFTC), 181 cartel enforcement, 191 follow-on claims, 255 institutional structure, 77 organization, 187 Japan's Court Organization Act, 231 Japanese Civil Code, 254, 256 Judicial activism, 235

## CAMBRIDGE

Cambridge University Press & Assessment 978-1-108-73856-9 — Confucian Culture and Competition Law in East Asia Jingyuan Ma , Mel Marquis Index More Information

## 414

Iudicial conservatism, 227 Judicial independence empirical evidence, 231 Judicialization, 162 Jūmin soshō, 257 Kagawashi, Norikazu, 234 Kawashima, Takeyoshi, 213 Keiretsu, 44-45 Keju system, 154-156 Koguryŏ Kingdom, 170 Kokumin dōtoku, 5 Kolasky, William, 291 Korea Fair Trade Commission (KFTC), 77, 187 Korean Constitutional Court, 228 Koryŏ era, 170 Kyōsei, 30 Lawyers in comparison, 244 in Japan, 243 in Korea, 245 in China, 241 Legal consciousness, 208 Legalism Fa Jia, 298 legal pragmatism, 303, 314 school of thought, 151 Legalist, 295 Leniency programmes deterrent effect, 87 Lifetime employment, 51 Logic of appropriateness, 2 Meiji Constitution, 168, 233 Meiji Restoration, 47 Mencius, 153 Mill, John Stuart, 330 Ministry of Commerce of PRC (MOFCOM) considerations of efficiencies, 70 merger decisions, 193 Ministry of International Trade and Industry (MITI), 43, 181 Monopoly Regulation and Fair Trade Act (MRFTA), 56, 192 criminal penalties, 323

#### INDEX

damages, 274 evidence disclosure, 288 revisions and amendments, 263 Moral gentleman competition compliance, 139 Moral righteousness, 140, 310 Moral rules, 19 Moral wrongfulness criminal law, 331-332 definition of, 328 Nakahigashi, Masafumi, 99 Nakamura, Masao, 100 National civil service examination competition agency candidates, 189 in Japan, 168 in Korea, 171-172 National Development and Reform Commission (NDRC), 77 Nemawashi, 53 Neo-Confucianism, 5, 311 Noda, Yoshiyuki, 213, 317 Non-adversarialism, 14, 205, 272 Non-litigious culture in Chinese courts, 212 in Japan, 213 North, Douglass, 2 Officials Confucian scholar, 162-165, 180 harmonious social order, 161 selection of, 157 training and promotion, 173 Organization, culture of definition, 121 impact on management of Chinese firms, 106-107 Parker, Christine, 123, 138 Paternalism, 36, 104 leadership style in East Asia, 133 - 134Political embeddedness, 241 Principle of righteousness, 2 Private enforcement institutional barriers, 257 Private litigation

advantages, 203

# CAMBRIDGE

Cambridge University Press & Assessment 978-1-108-73856-9 — Confucian Culture and Competition Law in East Asia Jingyuan Ma , Mel Marquis Index More Information

INDEX

derivative action, 259 economic incentives, 258 follow-on actions, 251, 268 institutional mechanisms, 204 joint litigation, 250 law and economics debate, 202 reinforcement in Korea, 266 stand-alone actions, 270 underdevelopment, 205 'US Style', 207 Public enforcement empirical evidence of, 204 Pyong-choon, Hahm, 224 Qin Dynasty, 152, 304-305 Qing Code, 313 Quinn, Robert, 114 Ramseyer, Mark, 217 Rational-actor-oriented economic reasoning, 11 Regulatory culture bureaucratic, 9 Ren zheng, 152 Ringiseido, 53 Ritual, 21 Ritual norms, 309 Rohrbaugh, John, 114 Rule by law, 303 Sa-Daebu, 171 San Gang, 24, 150 Sato, Yasunobu, 215 Seika, Fujiwara, 31 Self-cultivation, 153 Self-examination, 20 Seonbie, 171 Shang Yang, 297 Shavell, Steven, 203 Sherman Act, ix Shihō shiken, 220 Shingikai, 169, 182 Shu (fairness) in business relations, 74 Shūshin koyō, 50 Shūshin kyōiku, 167 Sima Qian, 294 Social order

415

harmonious, 23 hierarchical, 19 Sodoku ginmi, 166 Song Dynasty, 148, 153 Spring and Autumn Period, 296 State Administration for Industry and Commerce (SAIC), 77 State Administration of Market Regulation (SAMR), 78, 185 State-Owned Assets Supervision and Administration Commission (SASAC), 37 State-owned enterprises (SOEs) exemptions, 68 mainland China, 37 organizational culture, 115-117 strategic industries, 68 Supreme People's Court (SPC), 248 Tanase, Takao, 217 Tang Code, 313 Tang Dynasty, 151, 297 The 'grabbing-hand' government, 180 The 'helping-hand' government, 180 Tokyo District Court, 256, 258 Tokyo High Court, 256 Transplant legal, ix Tu Wei-ming, 159 Tung Chung-Shu, 22 UK Competition Appeal Tribunal (CAT), 201 Upham, Frank, 217 Van Uytsel, Steven, 132 Vande Walle, Simon, 254, 258, 262 Virtue corporate conduct, 141 ethical rules, 20 instrumental function of the law, 151 rule of, 145, 152, 305, 310

Wang, Xiaoye, 69 Western Beckerian sanctions, 80 civil law functions, 161 Cambridge University Press & Assessment 978-1-108-73856-9 — Confucian Culture and Competition Law in East Asia Jingyuan Ma , Mel Marquis Index <u>More Information</u>

### 416

## INDEX

Yongo, 111

Yoshida, Masayuki, 215

Western (cont.) competition philosophy, ix corporate governance, 126 economic and commercial ideas, x economic goals and values of, 7 jurisdictions, xii, 74 moral philosophy, 14, 292 observers, 44 reforms and technologies, 29 Wu Song, 209

Xia Dynasty, 297

Zaibatsu business groups, 36 family ownership, 46–47 relationship with the government, 47–49 structure of, 42 Zheng ming, 152 Zhou Dynasty, 151 Zhu Xi, 29, 316