Introduction

It makes sense to study the reception of operetta in both London and New York because, as Walter James MacQueen-Pope recognized in his retrospective study of Edwardian theatre, ‘the closest theatrical interchange’ existed between the stages of the UK and USA. The same English version of Franz Lehár’s Die lustige Witwe was produced in the West End and on Broadway in the same year, 1907, and stimulated the same hearty appetite for operetta from the German stage. The world-wide success of The Merry Widow launched what became known as the ‘Silver Age’ of operetta. Rutland Barrington, a singer renowned for his roles in the Gilbert and Sullivan comic operas, was performing in an operetta by Leo Fall in 1910, and remarked, ‘the long-threatened German invasion’ of the West End was ‘an accomplished fact’. Although the First World War brought a temporary diminution of opportunities for new productions, the 1920s witnessed an enthusiastic renewal of interest. During that decade, operettas from the stages of Berlin theatres (such as the Metropol, the Thalia, and the Theater am Nollendorfplatz) were regularly produced in London’s West End and on Broadway. In addition to Lehár, among the various much-admired imports to both cities were operettas by Oscar Straus, Leo Fall, Jean Gilbert (real name, Max Winterfeld), Emmerich Kálmán, Eduard Künneke, and Ralph Benatzky. The enormous demand for silver-age operetta in London and New York is forgotten, and yet, between 1907 and 1938, over sixty operettas from the German stage were produced in the West End, and more than seventy on Broadway. There are also surprises if we look at the top twenty successful operettas in each city by initial numbers of performances (Appendix 2). In London, Gilbert has four operettas in the top twenty, one more than Lehár, and in New York, Kálmán has four compared to Lehár’s two. It may also raise eyebrows to learn that before the First World War, not all operettas came from Vienna:

2 Rutland Barrington, More Rutland Barrington (London: Grant Richards, 1911), 219. The operetta was Die geschiedene Frau, produced in London and New York in 1910 as The Girl in the Train.
in the three years before Britain’s declaration of war (4 August 1914), six operettas from Berlin were produced in London.

This book investigates operetta productions in the context of audience expectations, aspirations, and anxieties. It explores the social, cultural, and moral values of the period, and asks how operettas engaged with modernity, innovative technology, social change, and cultural difference. Seeking to enhance knowledge of international cultural exchange, I study the business world that surrounded them, and consider the changes made for London and New York productions. Beyond a desire to know what it was in German operetta that appealed to British and American audiences, I look for activities that can advance understanding of cosmopolitanism in music, because operetta, along with ragtime and early jazz, was able to cross national borders with remarkable ease.

Operettas of the silver age have features that set them apart from the golden age of Jacques Offenbach, Arthur Sullivan, and Johann Strauss, Jr. They differ in the way they were disseminated, because of the stronger links between those involved in their creation and the agents and business entrepreneurs who were keen to target metropolitan markets. The means of doing so had been facilitated by improved transport networks, technological developments, such as telephones, and protective legislation in the form of copyright law. International distribution occurred quickly because it was already built into the planning of new theatre productions and was aided by a growing number of international stars. Kurt Gänzl observes that ‘silver age’ suggests a less important and successful period than its ‘golden’ predecessor but notes that silver-age operetta proved more successful internationally. The operettas themselves often differed in content from those of the previous century. Undoubtedly, the satirical character diminished, but they often engaged with modernity. There were changes in the music, too: a more extreme mixture of musical styles became the norm. Die lustige Witwe includes the csárdás, fast and slow waltzes, march, polka, gallop, polonaise, mazurka, cake-walk, and Serbian kolo, and later operettas extended the variety of styles to include the latest fashionable dances (for example, the fox trot and tango).

This book is divided into two parts, the first relating to issues of operetta production and the second to its reception. Inevitably, it is not a distinction that can be tightly contained, and there are inevitable overlaps – especially

in Chapter 6 on intermediality. The book begins with an examination of the music of silver-age operetta, and it is followed by a study of the changes that occurred as these operettas were re-worked for audiences in other countries. These revisions enhance our knowledge of the workings of cultural transfer. Chapter 3 investigates how the production of operetta operated as a transcultural entertainment industry. Its business practices illustrate the beginnings of the kind of distribution and consumption of culture that would, in the second half of the twentieth century, begin to be of major economic significance to a country’s gross domestic product. Part 1 ends with a survey of those involved in the staging of operetta: its producers, directors, designers, and performers.

I should alert the reader to the importance of the list of operetta productions given in Appendix 1. It is sometimes impossible to guess the former German title from that used in an English version: to give two examples, Franz Lehár’s Das Fürstenkind and Eduard Künneke’s Der Vetter aus Dingsda became Maids of Athens and Caroline respectively when produced on Broadway. Since my focus is on operettas from the German stage, Appendix 1 does not include operettas composed to English librettos, even if the composer continued to be actively involved with the stages of Vienna and Berlin. These can, however, be found in Appendix 3.

Some readers may be disappointed that there is not more consideration given to the Broadway musicals of Kurt Weill, which so often overlap with features of operetta. Weill is often seen as standing apart from the musical theatrical practice of his time, especially in the German context, but this impression is dispelled once a familiarity with operetta of the 1920s has been acquired.4 Die Dreigroschenoper was first performed at the Theater am Schiffbauerdamm (1928), as was Happy End (1929) and, after its Leipzig try-out, so was Aufstieg und Fall der Stadt Mahagonny (1931). In the first two decades of the twentieth century, that theatre, known then as the Neues Theater, had staged many operettas, including worldwide successes such as Franz Lehár’s Der Graf von Luxemburg in 1909 and Leo Fall’s Der liebe Augustin in 1912. Weill actually labelled Der Kuhhandel an operetta.5 It was produced as A Kingdom for a Cow at the Savoy Theatre, during his temporary exile in England in 1935. It enjoyed little success, but

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4 While acknowledging that the success of Die Dreigroschenoper cannot be adequately measured using an operetta yardstick, Bernard Grun insists that its stimulating effect should not be denied. Kulturgeschichte der Operette (München: Langen Müller Verlag, 1961), 442.

5 At this time, argues Stephen Hinton, Weill had ‘increasingly embraced operetta as a positive model’. Weill’s Musical Theater: Stages of Reform (Berkeley: University of California Press, 2012), 224.
Weill reused the melody of one of its numbers, ‘Seit ich in diese Stadt gekommen bin’, for ‘September Song’, the international hit from his Broadway musical *Knickerbocker Holiday* (1938).6

Part 2 of the book links the reception of operetta to wider social and technological changes, beginning with a general survey of reception. Next, operetta is considered as a form of entertainment created in the knowledge that it would circulate via differing media platforms and channels (such as records, radio, films, and dance-band arrangements). Film adaptations are important, because not only did cinema become a rival of theatre on Broadway and in the West End, but also many actors and singers moved between stage and film. Next, I discuss how operetta resonated with modernity, the glamorous, the sophisticated, the new, and the experiences of city life. Those experiences lead to an examination of the metropolis as a site of cosmopolitanism and transcultural exchange. Operetta may be called a cosmopolitan genre in that it was an artistic form appealing to people of differing cultural backgrounds, and involving a transnational mix of performers, composers, lyricists, directors, designers, and entrepreneurs. Nothing illustrates arguments about cosmopolitanism better than the international transfer of musical goods. The nineteenth century witnessed the beginnings of a transcultural entertainment industry that eventually became the globalized entertainment industry of today.7

Although operetta’s role in offering insight into social history may be accepted, some readers may wonder if anything as positive can be said about its value as an artistic genre. It is easy to jump to the conclusion that it merely offers comforting emotions and sentimental escapism. Yet, because it is often lacking in theatrical illusionistic realism, it is often able to stimulate critical thought. Consider the comments made by Viennese satirist Karl Kraus:

> It is plausible when you hear operetta conspirators sing, but in opera conspirators are serious and their unmotivated singing undermines the seriousness of their project. Operetta nonsense is romantic. The function of music, to relax the restrictions of life and revitalize mental activity, is coupled with an irresponsible

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6 Weill was not alone in feeling the influence of operetta: contemporary topics and modern dance styles are found in operetta before they appear in Zeitopern such as Ernst Krenek’s *Jonny spielt auf* of 1926.

exhilaration, and we gain a sense of our own real follies in the confusion created. The operetta is an intoxication that gives rise to thought.\(^8\)

Kraus’s words bring to mind Walter Benjamin’s thoughts on humour and critical awareness: “There is no better start for thinking than laughter.”\(^9\)

Without deeper knowledge of these stage entertainments and their reception, we lack adequate understanding of the musical-theatrical mainstream in the early twentieth century. Operetta is part of a cultural middle ground that is often neglected in academic work, the focus of which so often falls on the predilections of a cultural elite, or on working-class leisure pursuits. Much of the work undertaken on twentieth-century operetta until recent times has been of a cataloguing and plot-descriptive nature, rather than interpretative or critical.\(^10\) That is now changing, as scholars investigate operetta productions in the context of cultural history and the social issues with which they engage.\(^11\) In saying this, I do not mean

\(^8\) Karl Kraus, Sprüche und Widersprüche [1909, rev. 1923], in Karl Kraus, Aphorismen, ed. Christian Wagenknecht (Berlin: Suhrkamp, 1986, 4th edn 1994), 7–177, at 97. Translations are mine, unless otherwise stated.


to denigrate Richard Traubner’s excellent general survey, *Operetta: A Theatrical History* (revised 2003), or Andrew Lamb’s *150 Years of Popular Musical Theatre* (2000). I am thinking strictly in terms of the academic limitations of research that works outside the apparatus of footnotes, and often fails to offer adequate detail regarding sources of information. I might add at this point that I have, throughout the book, employed what some may consider an excessive number of footnotes. My concern is to present, whenever possible, information that may be validated and verified. In my own research, I have discovered the necessity of confirming that a source is reliable, or, checking to see if it has been accurately cited and not misinterpreted. I therefore feel obliged to offer readers the opportunity to scrutinize my own interpretation of sources. Yet, while I hope this book will contribute to the scholarly study of operetta, I am also keen to appeal to general readers who enjoy operetta and wish to enhance their enjoyment by delving into the history of the genre. I want to assure such readers that all essential content is located in the main body of the text.

In his music criticism of the early 1930s, Theodor Adorno, explained that operetta appealed to people who wanted the relaxation and physical pleasure that ‘real art’ had forbidden in the early twentieth century.\(^\text{12}\) Such desires used to be satisfied in community art, but capitalism, he argued, had worked to eliminate a living folk culture and turn music into a market article. He believed that nineteenth-century composers such as Johann Strauss Jr still had room for manoeuvre, but that vanished with the industrialized production of the next century.\(^\text{13}\) There was no longer a ‘vulgar music’ that a composer could draw on in order to regenerate musical material.\(^\text{14}\) Adorno identifies ‘historical dregs’ of a disheartening


\(^\text{14}\) ‘Zur gesellschaftlichen Lage der Musik’, 771.
kind in operetta, made up of that which can no longer be transformed into individual human expression in ‘objective types of music’. He regards human expression in operetta as a self-confident but empty spirit that yields nothing of substance, and ignores the arguments Erich Urban made back in 1903 that operetta has its own ‘justification, meaning and history’ as a genre. Adorno is unable to comprehend popular music as anything other than ‘outdated or depraved art music’. There is no recognition of popular musical genres developing their own individual musical styles, techniques, and devices. In fact ‘light music’ becomes ever worse, and Adorno links its erosion and trivialization specifically to the industrialization of its production.

Unlike ‘serious’ opera, which preferred historical and mythological subject matter, operetta frequently engaged with social modernity, if not with artistic modernism. It was common for operettas to relate to features of the modern city, such as trains, cars, department stores, cinemas, and to social changes associated with the development of a capitalist economy. A perceived lack of moral tone in operetta was of special concern in cities that were part of a nineteenth-century ‘national awakening’, such as Athens or Helsinki: indecency and lack of high-mindedness could seem inappropriate to national ambition. In spite of that, however, people in all social strata shared an appetite for operetta, although this genre addressed most consistently the worldview, desires, and aspirations of the urban middle classes.

Defining what an operetta is is problematic because its classification is fluid. For the purposes of this book, I include a broad range of stage works that relate to the European heritage of operetta, but which may sometimes be classified as musical plays, operetta-revue, vaudeville operetta, and

15 ‘Arabesken zur Operette’, 516.
17 ‘Zur gesellschaftlichen Lage der Musik’, at 771.
18 I put the case for these developments in Sounds of the Metropolis. Adorno’s inability to see any distinct developments in popular music leads him to make sweeping and patently inaccurate statements, such as ‘die Jazz-Fertigindustrie lebt von der Verarbeitung “klassische” Musik, die Bildung als Rohstoff ihr liefert’ (the jazz industry thrives by processing ‘classical’ music as a heritage of raw material). ‘Zur gesellschaftlichen Lage der Musik’, at 773.
musical farce. The term ‘musical comedy’ tended to be associated with a type of British and American stage entertainment that arose in the 1890s. It was often contrasted with operetta in the minds of critics, especially during the years between the success of *The Merry Widow* in 1907 and the appearance in the mid-1920s of the new-style Broadway musicals of George Gershwin and others. Volker Klotz has attempted to distinguish operetta from the stage musical by insisting that, in the former, the spoken dialogue is part of a stage world shaped by music, and that the dramatic highlights are the ensembles in which individual tensions are revealed. That is often true, but, as the Broadway musical developed, a much more obvious distinction became the styles of singing associated with each. While operetta singing remained rooted in operatic technique, the musical began to demand a range of different styles, among which the ‘operatic’ vocal sound was but one option.

Another difficulty lies in distinguishing an operetta from an opera. It is my contention that searching for defining structural features is a pointless exercise. What makes an opera an opera is more a question of musical style and, in particular, the presence or absence of musical styles associated with commerce or entertainment. In the eighteenth century, popular styles could be incorporated into opera, as in *Die Zauberflöte*, without creating the same definitional problems that occur following the growth of a music industry. The use or adaptation of later popular styles, as happens in *Die Dreigroschenoper*, became aesthetically problematic. Significantly, this stage work ran very successfully on Broadway as *The Threepenny Opera*, but is not in the regular repertory of any major opera company. The definition of operetta given in Lehár’s *Der Göttergatte*, however, ignores musical style altogether, and describes it simply as ‘an entertainment in which women flash their legs to musical accompaniment’. A late 1920s development, particularly hated by Adorno, was revue operetta, which he accused of playing irresponsibly with people’s aspirations and desires.

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22 I have elsewhere categorized such music as the ‘Third Type’ in order to distinguish it from classical or folk music. In European countries it is known by such labels as *Unterhaltungsmusik*, *variétés*, *musica leggiera*, and λαϊκή μουσική. See ‘Musical Theatres’, in Helen Greenwald, ed., *The Oxford Handbook to Opera* (New York: Oxford University Press, 2014), 53–72.

23 ‘Zur gesellschaftlichen Lage der Musik’, 772.
was a loose structure in which dialogue increased, and songs were scattered here and there with little that made them essential to the action. To the question, ‘where are we after this song?’ the answer was likely to be, ‘exactly where we were before the song began’. Producer-director Erik Charell was associated with the rise of revue operetta in Berlin, an example being his hugely successful *Im weißen Rössl* (*White Horse Inn*).

Some words are necessary concerning the problem of categorizing the stage works that are the focus of this book. ‘Operettas from the twentieth-century German stage’ is a useful phrase for outlining its general remit, even if a small number of operettas that reached Broadway and the West End were heard first on the Hungarian stage, and secondly on the German stage. Another common description refers to this period of operetta production as the *silberne Ära* or *silberne Zeitalter* (silver age). This designation may seem to be the simplest and most appropriate, because it appears to avoid the national identification problems of this cosmopolitan genre. However, for some, the silver age is associated with Vienna, and not Berlin.\(^{24}\) I am examining the work of composers born in Germany (Lincke, Gilbert), as well as Bohemian and Moravian composers (Nedbal, Benatzky, Korngold) and composers whose country of birth has changed: for example, Paul Abraham [Pál Ábrahám], born in Apatin, once in Hungary (and before that under Habsburg control) but now in Serbia, and Walter Kollo, born in Neidenburg, once East Prussia but now Nidzica in Poland. Franz Lehár, whose birthplace now lies in Slovakia (in Komárno), illustrates the difficulties for those keen on establishing ethnic identity. Kálmán sometimes referred to Lehár as ‘the Slovak’, yet his father was Austrian (there was originally no accent on the ‘a’ of the family name\(^ {25}\)), and his mother was Hungarian (albeit of German descent). Lehár grew up speaking Hungarian and German, studied in Prague, and obtained his first job with his father’s band in Vienna.

When many of the composers named above were born it was born into territory controlled by the Dual Monarchy, but that territory shrank considerably after the First World War. Following the Treaty of Saint Germain, 1919, the Austro-Hungarian Empire lost Bohemia, Moravia, the Trentino, South Tyrol, Galicia, Bukovina, Bosnia-Herzegovina, Dalmatia, and Slovenia. Some operettas were composed to Hungarian

\(^{24}\) Some critics apply the label to Vienna only, and sometimes it refers to the first two decades of the twentieth century only, as in Franz Hadamowsky and Heinz Otte. *Die Wiener Operette: Ihre Theater- und Wirkungsgeschichte* (Vienna: Bellaria, 1947), 297–346.

\(^{25}\) It was added to match the German pronunciation of ‘a’; without an accent, an ‘a’ in Hungarian is more like ‘o’ in the word ‘hot’.
libretti and later translated into German, although it was often the German version that was the basis of an English-language adaptation. After extensive deliberation, I have chosen the simple but not unproblematic term ‘German operetta’ to describe the research object of this book. The main thing for the reader to understand is that I am using this term to refer to stage works performed in the German language before they were given in English versions on Broadway and in the West End. In other words, I am using ‘German’ in the sense that people speak of Mozart’s German operas, rather than his Austrian operas.

I have decided to spell names in the form in which the person became widely known, thus, the reader will find mention of the Shubert brothers (not Shubart), and Paul Abraham (not Pál Ábrahám). Nevertheless, I find it difficult to be fully consistent. For example, I use Emmerich (not Imre) as Kálmán’s given name, but I leave the diacritical markings on Kálmán, although his gravestone in Vienna’s Zentralfriedhof does not. My excuse is that there was an inconsistency in presenting his name, and a choice had to be made (and Kálmán was not a name he was born with, but one he chose himself). When I name an operetta, if the title appears in German, then I am referring to the German language version. An English title refers to the English version, and, if there are differing American and British versions, then I specify Broadway or the West End. This book concentrates on English language performances in those cities, but there were occasional performances in German. Operettas could transfer easily from the German stage to the Irving Place Theatre, New York’s most significant German-language theatre (built in 1888, with a seating capacity of over 1500), the Yorkville Theatre (Upper East Side), or the Wintergarden zum schwarzen Alder. The musical director of the Yorkville Theatre, Rudolf Bach, gave operetta performances in German in the mid-1920s, including Stolz’s Mädi, Jarno’s Die Försterchristl, Fall’s Madame Pompadour, and Kálmán’s Die Bajadere.26 German productions also took place in London, for example, at the Coliseum in 1912 and the Aldwych in 1933. There were also a few performances of operetta in other languages. Edmund Eysler’s Pufferl was given in Italian translation, at the Majestic, New York, in 1911, and Oscar Straus’s Mariette in its original French version at His Majesty’s, London, in 1929.27 Performances were also given in Yiddish in New York (Irving Place became a Yiddish theatre in the later 1920s) and in London

27 There is some limited reference to performances in languages other than English provided in Appendix 1.