

Contents

<i>Preface</i>	<i>page</i> xiii
<i>List of Contributors</i>	xv
1 Introduction	1
<i>Tim Roughgarden</i>	
1.1 The Worst-Case Analysis of Algorithms	1
1.2 Famous Failures and the Need for Alternatives	3
1.3 Example: Parameterized Bounds in Online Paging	8
1.4 Overview of the Book	12
1.5 Notes	20
 PART ONE REFINEMENTS OF WORST-CASE ANALYSIS	
2 Parameterized Algorithms	27
<i>Fedor V. Fomin, Daniel Lokshtanov, Saket Saurabh, and Meirav Zehavi</i>	
2.1 Introduction	27
2.2 Randomization	31
2.3 Structural Parameterizations	34
2.4 Kernelization	35
2.5 Hardness and Optimality	39
2.6 Outlook: New Paradigms and Application Domains	42
2.7 The Big Picture	46
2.8 Notes	47
3 From Adaptive Analysis to Instance Optimality	52
<i>Jérémie Barbay</i>	
3.1 Case Study 1: Maxima Sets	52
3.2 Case Study 2: Instance-Optimal Aggregation Algorithms	60
3.3 Survey of Additional Results and Techniques	64
3.4 Discussion	65
3.5 Selected Open Problems	66
3.6 Key Takeaways	67
3.7 Notes	68

CONTENTS

4 Resource Augmentation	72
<i>Tim Roughgarden</i>	
4.1 Online Paging Revisited	72
4.2 Discussion	75
4.3 Selfish Routing	77
4.4 Speed Scaling in Scheduling	81
4.5 Loosely Competitive Algorithms	86
4.6 Notes	89
PART TWO DETERMINISTIC MODELS OF DATA	
5 Perturbation Resilience	95
<i>Konstantin Makarychev and Yury Makarychev</i>	
5.1 Introduction	95
5.2 Combinatorial Optimization Problems	98
5.3 Designing Certified Algorithms	101
5.4 Examples of Certified Algorithms	106
5.5 Perturbation-Resilient Clustering Problems	108
5.6 Algorithm for 2-Perturbation-Resilient Instances	111
5.7 $(3 + \varepsilon)$-Certified Local Search Algorithm for k-Medians	113
5.8 Notes	115
6 Approximation Stability and Proxy Objectives	120
<i>Avrim Blum</i>	
6.1 Introduction and Motivation	120
6.2 Definitions and Discussion	121
6.3 The k-Median Problem	125
6.4 k-Means, Min-Sum, and Other Clustering Objectives	132
6.5 Clustering Applications	133
6.6 Nash Equilibria	134
6.7 The Big Picture	135
6.8 Open Questions	136
6.9 Relaxations	137
6.10 Notes	137
7 Sparse Recovery	140
<i>Eric Price</i>	
7.1 Sparse Recovery	140
7.2 A Simple Insertion-Only Streaming Algorithm	142
7.3 Handling Deletions: Linear Sketching Algorithms	143
7.4 Uniform Algorithms	148
7.5 Lower Bound	154
7.6 Different Measurement Models	155
7.7 Matrix Recovery	158
7.8 Notes	160

CONTENTS

PART THREE SEMIRANDOM MODELS

8 Distributional Analysis	167
<i>Tim Roughgarden</i>	
8.1 Introduction	167
8.2 Average-Case Justifications of Classical Algorithms	171
8.3 Good-on-Average Algorithms for Euclidean Problems	175
8.4 Random Graphs and Planted Models	179
8.5 Robust Distributional Analysis	183
8.6 Notes	184
9 Introduction to Semirandom Models	189
<i>Uriel Feige</i>	
9.1 Introduction	189
9.2 Why Study Semirandom Models?	192
9.3 Some Representative Work	196
9.4 Open Problems	209
10 Semirandom Stochastic Block Models	212
<i>Ankur Moitra</i>	
10.1 Introduction	212
10.2 Recovery via Semidefinite Programming	215
10.3 Robustness Against a Monotone Adversary	218
10.4 Information Theoretic Limits of Exact Recovery	219
10.5 Partial Recovery and Belief Propagation	221
10.6 Random versus Semirandom Separations	223
10.7 Above Average-Case Analysis	226
10.8 Semirandom Mixture Models	230
11 Random-Order Models	234
<i>Anupam Gupta and Sahil Singla</i>	
11.1 Motivation: Picking a Large Element	234
11.2 The Secretary Problem	237
11.3 Multiple-Secretary and Other Maximization Problems	238
11.4 Minimization Problems	247
11.5 Related Models and Extensions	250
11.6 Notes	254
12 Self-Improving Algorithms	259
<i>C. Seshadhri</i>	
12.1 Introduction	259
12.2 Information Theory Basics	263
12.3 The Self-Improving Sorter	266
12.4 Self-Improving Algorithms for 2D Maxima	272
12.5 More Self-Improving Algorithms	277
12.6 Critique of the Self-Improving Model	278

CONTENTS

PART FOUR SMOOTHED ANALYSIS

13 Smoothed Analysis of Local Search	285
<i>Bodo Manthey</i>	
13.1 Introduction	285
13.2 Smoothed Analysis of the Running Time	286
13.3 Smoothed Analysis of the Approximation Ratio	301
13.4 Discussion and Open Problems	304
13.5 Notes	305
14 Smoothed Analysis of the Simplex Method	309
<i>Daniel Dadush and Sophie Huiberts</i>	
14.1 Introduction	309
14.2 The Shadow Vertex Simplex Method	310
14.3 The Successive Shortest Path Algorithm	315
14.4 LPs with Gaussian Constraints	319
14.5 Discussion	329
14.6 Notes	330
15 Smoothed Analysis of Pareto Curves in Multiobjective Optimization	334
<i>Heiko Röglin</i>	
15.1 Algorithms for Computing Pareto Curves	334
15.2 Number of Pareto-optimal Solutions	342
15.3 Smoothed Complexity of Binary Optimization Problems	352
15.4 Conclusions	354
15.5 Notes	355

PART FIVE APPLICATIONS IN MACHINE LEARNING AND STATISTICS

16 Noise in Classification	361
<i>Maria-Florina Balcan and Nika Haghtalab</i>	
16.1 Introduction	361
16.2 Model	362
16.3 The Best Case and the Worst Case	363
16.4 Benefits of Assumptions on the Marginal Distribution	365
16.5 Benefits of Assumptions on the Noise	374
16.6 Final Remarks and Current Research Directions	378
17 Robust High-Dimensional Statistics	382
<i>Ilias Diakonikolas and Daniel M. Kane</i>	
17.1 Introduction	382
17.2 Robust Mean Estimation	384
17.3 Beyond Robust Mean Estimation	396
17.4 Notes	399

CONTENTS

18 Nearest Neighbor Classification and Search	403
<i>Sanjoy Dasgupta and Samory Kpotufe</i>	
18.1 Introduction	403
18.2 The Algorithmic Problem of Nearest Neighbor Search	403
18.3 Statistical Complexity of k-Nearest Neighbor Classification	411
18.4 Notes	419
19 Efficient Tensor Decompositions	424
<i>Aravindan Vijayaraghavan</i>	
19.1 Introduction to Tensors	424
19.2 Applications to Learning Latent Variable Models	426
19.3 Efficient Algorithms in the Full-Rank Setting	430
19.4 Smoothed Analysis and the Overcomplete Setting	433
19.5 Other Algorithms for Tensor Decompositions	440
19.6 Discussion and Open Questions	441
20 Topic Models and Nonnegative Matrix Factorization	445
<i>Rong Ge and Ankur Moitra</i>	
20.1 Introduction	445
20.2 Nonnegative Matrix Factorization	448
20.3 Topic Models	454
20.4 Epilogue: Word Embeddings and Beyond	461
21 Why Do Local Methods Solve Nonconvex Problems?	465
<i>Tengyu Ma</i>	
21.1 Introduction	465
21.2 Analysis Technique: Characterization of the Landscape	466
21.3 Generalized Linear Models	468
21.4 Matrix Factorization Problems	471
21.5 Landscape of Tensor Decomposition	476
21.6 Survey and Outlook: Optimization of Neural Networks	478
21.7 Notes	482
22 Generalization in Overparameterized Models	486
<i>Moritz Hardt</i>	
22.1 Background and Motivation	486
22.2 Tools to Reason About Generalization	488
22.3 Overparameterization: Empirical Phenomena	493
22.4 Generalization Bounds for Overparameterized Models	497
22.5 Empirical Checks and Holdout Estimates	500
22.6 Looking Ahead	502
22.7 Notes	502

CONTENTS

23 Instance Optimal Distribution Testing and Learning	506
<i>Gregory Valiant and Paul Valiant</i>	
23.1 Testing and Learning Discrete Distributions	506
23.2 Instance Optimal Distribution Learning	507
23.3 Identity Testing	516
23.4 Digression: An Automatic Inequality Prover	519
23.5 Beyond Worst-Case Analysis for Other Testing Problems	522
23.6 Notes	523
PART SIX FURTHER APPLICATIONS	
24 Beyond Competitive Analysis	529
<i>Anna R. Karlin and Elias Koutsoupias</i>	
24.1 Introduction	529
24.2 The Access Graph Model	530
24.3 The Diffuse Adversary Model	534
24.4 Stochastic Models	537
24.5 Direct Comparison of Online Algorithms	540
24.6 Where Do We Go from Here?	541
24.7 Notes	542
25 On the Unreasonable Effectiveness of SAT Solvers	547
<i>Vijay Ganesh and Moshe Y. Vardi</i>	
25.1 Introduction: The Boolean SAT Problem and Solvers	547
25.2 Conflict-Driven Clause Learning SAT Solvers	550
25.3 Proof Complexity of SAT Solvers	554
25.4 Proof Search, Automatizability, and CDCL SAT Solvers	557
25.5 Parameteric Understanding of Boolean Formulas	558
25.6 Proof Complexity, Machine Learning, and Solver Design	562
25.7 Conclusions and Future Directions	563
26 When Simple Hash Functions Suffice	567
<i>Kai-Min Chung, Michael Mitzenmacher, and Salil Vadhan</i>	
26.1 Introduction	567
26.2 Preliminaries	571
26.3 Hashing Block Sources	575
26.4 Application: Chained Hashing	576
26.5 Optimizing Block Source Extraction	577
26.6 Application: Linear Probing	578
26.7 Other Applications	580
26.8 Notes	581
27 Prior-Independent Auctions	586
<i>Inbal Talgam-Cohen</i>	
27.1 Introduction	586
27.2 A Crash Course in Revenue-Maximizing Auctions	587

CONTENTS

27.3 Defining Prior-Independence	591
27.4 Sample-Based Approach: Single Item	593
27.5 Competition-Based Approach: Multiple Items	598
27.6 Summary	602
27.7 Notes	603
28 Distribution-Free Models of Social Networks	606
<i>Tim Roughgarden and C. Seshadhri</i>	
28.1 Introduction	606
28.2 Cliques of c -Closed Graphs	607
28.3 The Structure of Triangle-Dense Graphs	612
28.4 Power-Law Bounded Networks	615
28.5 The BCT Model	619
28.6 Discussion	621
28.7 Notes	623
29 Data-Driven Algorithm Design	626
<i>Maria-Florina Balcan</i>	
29.1 Motivation and Context	626
29.2 Data-Driven Algorithm Design via Statistical Learning	628
29.3 Data-Driven Algorithm Design via Online Learning	639
29.4 Summary and Discussion	644
30 Algorithms with Predictions	646
<i>Michael Mitzenmacher and Sergei Vassilvitskii</i>	
30.1 Introduction	646
30.2 Counting Sketches	649
30.3 Learned Bloom Filters	650
30.4 Caching with Predictions	652
30.5 Scheduling with Predictions	655
30.6 Notes	660
<i>Index</i>	663