S-Parameters for Signal Integrity

Master the usage of s-parameters in signal integrity applications and gain full understanding of your simulation and measurement environment with this rigorous and practical guide. Solve specific signal integrity problems, including calculation of the s-parameters of a network, linear simulation of circuits, de-embedding, and virtual probing, all with expert guidance. Learn about the interconnectedness of s-parameters, frequency responses, filters, and waveforms. This invaluable resource for signal integrity engineers is supplemented with the open-source software *SignalIntegrity*, a Python package for scripting solutions to signal integrity problems.

Peter J. Pupalaikis is an electrical engineer and inventor who works for Teledyne LeCroy. He is an IEEE Fellow.

"The most modern and up-to-date book on linear network theory with applications. Deep and comprehensive theory is coupled with detailed applications, making this book a must-have not only for signal integrity professionals, but for any microwave engineer."

Andrea Ferrero, Keysight

"This book provides unique and consistent description of s-parameters' use for analysis of linear networks, and signal measurement and processing in one volume, supplemented and illustrated with free open-source signal integrity software. The book can be used for learning the subject of emerging microwave signal integrity or as a comprehensive and indispensable reference for every microwave and signal integrity engineer and scientist."

Yuriy Shlepnev, Simberian Inc.

"This is an outstanding and refreshing book for the novice and advanced engineer alike. Written by a well-known expert in the field, it provides a rather unique access to the difficult topic of signal integrity, through a systematic learning-by-doing approach. Software which is freely accessible through an open-source Python library, *SignalIntegrity*, allows the user to easily program the numerous examples that accompany the theory. The material ranges from simple to complex problems, using the s-parameter concept for high-speed signal integrity as a unifying theme. The book is appropriate for self-study and as a reference for teaching, and empowers the reader with a very unusual and stimulating blend of competences."

Peter Wittwer, University of Geneva

S-Parameters for Signal Integrity

PETER J. PUPALAIKIS

Teledyne LeCroy, Inc.



CAMBRIDGE UNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314–321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi – 110025, India

79 Anson Road, #06-04/06, Singapore 079906

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning, and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9781108489966 DOI: 10.1017/9781108784863

© Teledyne LeCroy, Inc. 2020

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2020

Printed in the United Kingdom by TJ International Ltd, Padstow Cornwall

A catalogue record for this publication is available from the British Library.

ISBN 978-1-108-48996-6 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

> This book is dedicated to my father, my favorite engineer, whose passing separated my life into the two parts that are with him and without him...

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

Contents

Preface)	$page \ \mathbf{xiii}$
Abbreviations		
Introdu	action	1
Part I	Scattering Parameters	5
1 Net	work Parameter Models	7
1.1	The Concept of Network Parameter Models	7
1.2	Network Parameter Models of Circuit Elements and Circuit Models	16
1.3	Network Parameter Conversions	21
1.4	Network Simulation	25
1.5	Cascading Networks	26
1.6	Network Parameter Summary	28
2 Way	'es	29
2.1	Wave Relationships to Voltage and Current	29
2.2	Wave Definition Requirements	31
2.3	Power and the Normalization Factor	34
2.4	Wave Equations	37
2.5	Power Wave Equations	38
3 Scat	tering Parameters	41
3.1	S-Parameter Definition	41
3.2	Method of Determining S-Parameters of Circuits	42
3.3	Example S-Parameter Circuit Calculations	46
3.4	S-Parameter Conversions	55
3.5	Power Wave Based S-Parameters	62
3.6	T-Parameters	65
3.7	Cascading	69
3.8	Inverse and Identity Sections	69
3.9	De-embedding S-Parameters	70
3.10	Network Parameters of Common Elements	71
3.11	Advanced Cascade Parameters – Multi-Port T-Parameters	73
3.12	S-Parameter File Format	77

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

viii		Contents
4 S-P	arameter System Models	82
4.1	Interconnection of S-Parameter Networks	83
4.2	Signal-Flow Diagram Representation of Systems	87
4.3	S-Parameters of Systems	98
4.4	Block Matrix Solution of S-Parameter Systems	103
4.5	System Reduction Through Node Removal	109
4.6	Node Removal Using Graphical Equation Methods	122
4.7	Examples	126
4.8	Summary	133
5 Ref	erence Impedance	134
5.1	Basic Reference Impedance Transformation	134
5.2	The Reference Impedance Transformer	136
5.3	Reference Impedance Transformers in Wave Measurements	141
5.4	Reference Impedance Transformation Using Transformers	146
6 Sou	irces	152
6.1	Source Elements	152
6.2	Sense Elements	156
6.3	Dependent Sources	159
6.4	Amplifiers	162
6.5	Transistors	176
6.6	Ideal Transformer	176
7 Tra	nsmission Lines	178
7.1	The Transmission Line Model	178
7.2	Simulation Example of Single-Ended Transmission Line	191
7.3	Differential Signaling	194
7.4	Differential Transmission Lines	200
7.5	Mixed-Mode Terminations	209
Part l	I Applications	215
8 Svs	tem Descriptions	217
-	System Descriptions	218
8.2	System Description Example	225
8.3	Symbolics	228
8.4	The System Description Parser	$\frac{1}{240}$
8.5	Numeric Solutions	245
8.6	Subcircuits	256
	Summary of Python Code Arrangement	259

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

Contents	

9	9 Simulation 2		260
	9.1	Simulation Solutions	260
	9.2	The Simulator Class	264
	9.3	Symbolic Simulation Solutions	266
	9.4	The SimulatorParser Class	266
	9.5	Numeric Solutions	271
10) De-e	embedding	282
	10.1	One-Port De-embedding	283
	10.2	Two-Port De-embedding	284
	10.3	Fixture De-embedding	287
	10.4	Two-Port Tip De-embedding	289
	10.5	Extensions to the Fixture De-embedding Problem	290
	10.6	The Deembedder Class	302
	10.7	Symbolic De-embedding Solutions	304
	10.8	The DeembedderParser Class	310
	10.9	Numeric De-embedding Solutions	312
	10.10	Numeric De-embedding Example	314
11	Virt	ual Probing	319
	11.1	A Simple Case of Virtual Probing	319
	11.2	A Multiple Input and Output Example	323
	11.3	A Degree of Freedom Example	327
	11.4	The Virtual Probe General Case Equations	329
	11.5	Virtually Probing a Virtual Circuit	331
	11.6	Programmatic Methods	334
	11.7	Virtual Probing Numeric Example	344

Part III	Signal Processing and Measurement	355
19 Froque	ncy Responses Impulse Responses and Convolution	357

12 Fre	quency Responses, Impulse Responses, and Convolution	357
12.1	Discrete-Time Waveforms	358
12.2	Discrete-Frequency Responses	364
12.3	The Discrete Fourier Transform	368
12.4	Frequency Responses and Impulse Responses	384
12.5	Resampling	395
13 Wa	veforms and Filters	404
13.1	Convolution and Time	404
13.2	Upsampling and Interpolation	414
13.3	Fractional Delay Filters	423
13.4	Waveform Adaption	429
13.5	Transfer Matrices Processing	436

ix

х

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

14 The	e Impedance Profile	440
14.1	Impedance and Time-Domain Reflectometry	440
14.2	Impedance Profile Approximation with the Step Response	443
14.3	Impedance Profile Approximation from S-Parameters	445
14.4	Impedance Profile Calculation Using Peeling	448
14.5	Python Impedance Profile Software	450
14.6	Problems with the Impedance Profile	456
$15 { m Me}$	asurement	457
15.1	The Twelve-Term Error Model	459
15.2	Calibration	462
15.3	Calculation of the Device Under Test	474
15.4	Calibration and Measurement Summary	475
15.5	Calibration Standards	479
15.6	Time-Domain Reflectometry	485
15.7	S-Parameter Checking and Conditioning	498
16 Mo	del Extraction	512
16.1	Linear Equations	513
16.2	Newton's Method	517
16.3	The Levenberg–Marquardt Algorithm	521
16.4	Python Fitting Code	521
16.5	Transmission Line Model Fitting	524
Part I	V SignalIntegrity	533
	alIntegrity.Lib Package	537
17.1	Package Organization	537
17.2	Universal Modeling Language Diagrams	541
17.3	SignalIntegrity Applications	542
17.4	Waveform Processing	557
17.5	Measurement	561
18 Sig	nalIntegrityApp	562
18.1	Project File Format	562
18.2	SignalIntegrityAppHeadless Application Programming Interface	565
18.3	Calculation Properties	566
18.4	S-Parameter Viewing and Transfer Matrices	568
18.5	SignalIntegrityApp Equalization Example	570
Afterw	vord	590
Appen	dix A Terminology and Conventions	592
Appendix B Telegrapher's Equations 59		595

Contents

Contents	xi
Appendix C Matrix Algebra	598
Appendix D Symbolic Device Solutions	608
References	631
Index	636

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

Preface

THIS BOOK is the culmination of about ten years of writing that began while I was developing software for signal integrity analysis in oscilloscopes, which my company, Teledyne LeCroy, ended up branding as *Eye Doctor*. During the development of this software, I found many recurring patterns in the solutions of s-parameter systems that allowed me to do a lot of things with a slight change of the recipe. And in the development of the SPARQ and the WavePulser, two time-domain reflectometry instruments used to measure s-parameters, I learned a lot about s-parameters and calibrations.

Why s-parameters and signal integrity? Around the turn of the millennium, the field of signal integrity changed dramatically. I'm not even sure the field had an actual name at that time. As a check, I looked at two books written by a foremost authority on signal integrity and one of my favorite authors, Howard Johnson. His first book [1] published in 1993 has no index entry for signal integrity, while his second book [2], published in 2003, has extensive entries. As signal integrity became more about signal propagation (in the title of Dr. Johnson's second book), the field tended increasingly towards electromagnetic properties and today heavily overlaps with the field of microwaves and radio frequency (RF); with this has come an increasing use of scattering parameters, or s-parameters, and the vector network analyzer (VNA), which is the primary instrument for measuring them.

The fields of s-parameters and microwaves and RF are primarily about the frequency domain, while the business of signal integrity is the time domain. Despite the wide use of frequency-domain electromagnetic analysis, it's in the end mostly about whether a bit that is transmitted as a one or zero gets to the receiver and is interpreted properly.

S-parameters can be a confusing topic in many ways, including how they are measured, what they represent, how they connect to circuit theory, how they are manipulated either to integrate or remove them from a measurement, and especially how they interact with waveforms in the time domain. This book is mostly about these things.

My feeling is that it is always good to understand better what is going on, even if one is one is relying on software simulation tools or measurement instruments. Both of these require their own level of understanding and expertise. Any experienced engineer has been burned by the simulator or measurement instrument, either by doing something incorrectly, or because of the limitations of these tools, which is why we constantly try to correlate the two.

My expertise is mostly in measurement instruments, which are in themselves often quite intricate and expensive, and have an industry of their own. Software and simulation, meanwhile, have grown into a giant industry, and the advances in the technology, especially electromagnetic field solvers, have greatly increased the ability of signal integrity engineers. In some ways, however, they have weakened our minds, especially when overly relied on, and certainly have emptied our wallets. My original goal in the writing of this book was to teach methods that can be employed to solve relatively simple problems. These simple prob-

xiii

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

xiv

Preface

lems include aggregating systems of s-parameter blocks, de-embedding s-parameter blocks, performing linear simulations, and performing time-domain de-embedding and embedding of waveforms measured in systems. Expensive software is often used to perform even these common and not too difficult tasks. But, while writing out the math and explanations of all this, I was struck by a disappointing thought: No one is actually going to use this. I found that even these relatively simple solutions involved huge amounts of math with giant equations. That's when the idea for the *SignalIntegrity* software was born.

The *SignalIntegrity* software is an open-source library that allows you to do everything taught in this book, and it's free. I used this software to test what I had written, and, eventually, a lot of the book became about both the math used to generate the software and the software itself. Finally, somewhat close to the end of this project, I decided to wrap this up in a graphical user interface application which makes it much easier to solve these problems.

I'm a big fan of open-source software, and my desire is to collaborate with others in extending this software and to continue to advance its capability.

To summarize, this book is about:

- 1. S-parameters it unifies the analysis of circuits and networks using s-parameters and methods conducive to s-parameters.
- 2. Four specific signal integrity problems and their solutions, these being the calculation of the s-parameters of a network, the linear simulation of circuits, de-embedding, and virtual probing.
- 3. A set of software tools called *SignalIntegrity*, a Python package that contains a library of modules used for scripting solutions to various problems in signal integrity; it also contains a graphical user interface (GUI) based application for schematic entry and solution.
- 4. The interconnectedness of s-parameters, frequency responses, filters, and waveforms, whose underlying theory enables one to deal with many signal integrity problems, especially where the time domain meets the frequency domain.
- It is probably equally about these four things.

A colleague of mine who saw my original draft said to me, "This is not a signal integrity book." I was shocked by this. But I think what he meant is, "It's not the standard signal integrity book." It contains none of the things normally found, like board stack-ups, vias, electromagnetic theory, physics, or anything like that. Mostly it is about abstractions and mathematical manipulations of s-parameters and waveforms. It is also about how s-parameters connect with circuit theory.

And despite not being the typical signal integrity book, as another colleague told me, "It's your book." I hope you like it and that you learn something useful.

I would like to take this opportunity to thank and acknowledge several people. I'll start with people who did actual work on this.

First, and foremost, I'd like to thank Tom Reslewic, former CEO of Teledyne LeCroy. The contents of this book are intertwined so much with my company's work and intellectual property that it never could have been published without Tom going to bat for me. Despite the fact that Tom is foremost a businessman and I am foremost an innovator, we've shared

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

Preface

a relationship of trust through the years. I cannot understate the value of having a friend, colleague, and, now that I think of it, leader like Tom supporting my efforts.

Next, I'd like to thank my friend and colleague Dr. Kaviyesh Doshi. There was a time at LeCroy when I was *the* mathematics expert. That time ended with his arrival at the company, and we have worked so closely over the years that much of his knowledge was imparted to me. This book would be much less interesting without the things that he taught me, namely in the area of linear algebra, and Kavi contributed significantly to many of the algorithms and solutions presented in this text. Kavi read and checked the math presented here, but, as the saying goes, all errors are my own.

Finally, I'd like to thank my boss for many years, Dave Graef, former CTO of Teledyne LeCroy. Dave negotiated the contract with Cambridge University Press. For some reason, this was a long and drawn out process that I thought would never end. He promised me that the contract would be signed before he retired and he kept his word; it was his last act as CTO prior to leaving the company.

Several people were generous with their time and attention in looking over the first manuscript. Their generosity is a testament to the kinds of people I find myself surrounded with in this field.

Dr. Istvan Novak, a colleague and friend, gave me lots of helpful advice and, most importantly, encouragement to finish this truly enormous task.

My college professor who I've now known for over thirty years, Dr. Peter Wittwer, also gave lots of encouragement and advice on the organization of this book. The multi-port s-parameter calculation came from collaboration with him originally.

During my writing, I reached out to Dr. Gilbert Strang, who is the world's top authority on linear algebra. I asked him some philosophical questions about writing and teaching in an e-mail; he responded in five minutes and, after sending him a chapter that he immediately read and reviewed (and corrected), he allayed my fear of presenting all this math. And his last e-mail comment was "... and back to writing my [his own] book." Thanks a lot Dr. Strang.

Dr. Yuriy Shlepnev provided important feedback on certain areas such as normalization and local port referencing; feedback that I mostly followed. And, despite critical comments, he also gave a lot of encouragement.

Dr. Eric Bogatin, himself a prolific author, supplied the "It's your book" encouragement.

I'd like to thank Julie Lancashire, executive editor at Cambridge University Press, for providing the opportunity to offer this book through such a prestigious publisher, and especially Irene Pizzie, who proofread this book and corrected my numerous grammatical errors.

I'm grateful to everyone involved.

Cambridge University Press 978-1-108-48996-6 — S-Parameters for Signal Integrity Peter J. Pupalaikis Frontmatter <u>More Information</u>

Abbreviations

\mathbf{AC}	alternating current	K
ADC	analog-to-digital converter	K
AMS	American Mathematical Society	\mathbf{L}
API	application programming interface	Ν
BERT	bit error rate tester	Ρ.
\mathbf{CZT}	chirp z-transform	Р
DC	direct current	Р
\mathbf{DFT}	discrete Fourier transform	P
DSO	digital storage oscilloscope	R
DSP	digital signal processing	R
\mathbf{DUT}	device under test	
\mathbf{ESD}	electrostatic discharge	rr
\mathbf{ESR}	effective series resistance	\mathbf{S}
\mathbf{FET}	field-effect transistor	S
FFE	feed-forward equalizer	S
FIR	finite impulse response	\mathbf{S}
\mathbf{GPL}	general public license	Т
GUI	graphical user interface	U
IDFT	inverse discrete Fourier transform	U
ISI	inter-symbol interference	V

KCL	Kirchhoff's current law
KVL	Kirchhoff's voltage law
LMSE	least mean-squared error
NRZ	non-return-to-zero
PAM	pulse amplitude modulation
PCB	printed circuit board
PRBS	pseudo-random bit sequence
PyPI	Python Packaging Index
\mathbf{RF}	radio frequency
RLGC	resistance, inductance, conductance, capacitance
	conductance, capacitance
\mathbf{rms}	root mean squared
\mathbf{SNR}	signal-to-noise ratio
SOLT	short-open-load-thru
SOLR	short-open-load-reciprocal
\mathbf{SVD}	singular value decomposition
TDR	time-domain reflectometer
\mathbf{UI}	unit interval
UML	universal modeling language
VNA	vector network analyzer

xvi