

Brief contents

Tab Tab List	face to the Fourth Edition le of International Cases le of National Cases t of Abbreviations t of Abbreviations of Book Titles	page xv xvi liv lx lxv
Par	t I Introduction	1
1	Introduction: What is International Criminal Law?	3
2	The Aims, Objectives and Justifications of International Criminal Law	28
Par	rt II Prosecutions in National Courts	47
3	Jurisdiction	49
4	National Prosecutions of International Crimes	69
5	State Cooperation with Respect to National Proceedings	89
Par	et III International Prosecution	113
6	The History of International Criminal Prosecutions: Nuremberg and Tokyo	115
7	The Ad Hoc International Criminal Tribunals	127
8	The International Criminal Court	144
9	Other Hybrid and Special Courts	173
Par	rt IV Substantive Law of International Crimes	203
10	Genocide	205
11	Crimes Against Humanity	227
12	War Crimes	259
13	Aggression	297
		vii



viii	Brief contents		
14	Transnational Crimes, Terrorism and Torture	319	
15	General Principles of Liability	341	
16	Defences/Grounds for Excluding Criminal Responsibility	380	
Par	rt V International Criminal Procedure and Sentencing	403	
17	International Criminal Procedure	405	
18	Victims in the International Criminal Process	445	
19	Punishment and Sentencing	465	
Par	rt VI Relationship Between National and International Systems	481	
20	State Cooperation with the International Courts and Tribunals	483	
21	Immunities	506	
22	Alternatives and Complements to Criminal Prosecution	532	
23	The Future of International Criminal Law	550	
Ind	Index		



Contents

Preface to the Fourth Edition			XV				
Table of International Cases Table of National Cases List of Abbreviations							
				List	of Al	bbreviations of Book Titles	lxv
				Par	t I	Introduction	1
1	Intro	oduction: What is International Criminal Law?	3				
	1.1	Meaning of international criminal law	3				
	1.2	Other concepts of international criminal law	5				
	1.3	Sources of international criminal law	8				
	1.4	International criminal law and other areas of law	13				
	1.5	A body of criminal law	17				
	1.6	International criminal law and philosophy	22				
	Further Reading						
2	The Aims, Objectives and Justifications of International Criminal Law						
	2.1	Introduction	28				
	2.2	What international criminal justice is for	30				
	2.3	Broader goals	38				
	2.4	Other critiques of criminal accountability	43				
	Furt	her Reading	45				
Par	t II	Prosecutions in National Courts	47				
3	Jurisdiction						
	3.1	Introduction	49				
	3.2	Forms of jurisdiction	49				
	3.3	Conceptual matters	51				
	3.4	'Traditional' heads of jurisdiction	52				
	3.5	Universal jurisdiction	56				
	Fur	ther Reading	68				

ix



x Contents

4	National Prosecutions of International Crimes	69		
	4.1 Introduction	69		
	4.2 National prosecutions	69		
	4.3 State obligations to prosecute or extradite	74		
	4.4 Domestic criminal law and criminal jurisdiction	78		
	4.5 Statutory limitations	82		
	4.6 Non-retroactivity principle	84		
	4.7 Ne bis in idem or double jeopardy	85		
	4.8 Practical obstacles to national prosecutions	87		
	Further Reading	88		
5	State Cooperation with Respect to National Proceedings			
	5.1 Introduction	89		
	5.2 International agreements and other bases of cooperation	90		
	5.3 Some basic features	92		
	5.4 Extradition	98		
	5.5 Mutual legal assistance	107		
	5.6 Transfer of proceedings	109		
	5.7 Enforcement of penalties	110		
	Further Reading	110		
Par	rt III International Prosecution	113		
6	The History of International Criminal Prosecutions: Nuremberg and Toky	yo 115		
	6.1 Introduction	115		
	6.2 Commission on the responsibility of the authors of the war	115		
	6.3 Nuremberg International Military Tribunal	116		
	6.4 Tokyo International Military Tribunal	120		
	6.5 Control Council Law No. 10 trials and military commissions in			
	the Pacific sphere	125		
	Further Reading	125		
7	The Ad Hoc International Criminal Tribunals	127		
	7.1 Introduction	127		
	7.2 International Criminal Tribunal for the former Yugoslavia	127		
	7.3 International Criminal Tribunal for Rwanda	137		
	Further Reading			
8	The International Criminal Court			
	8.1 Introduction	144		
	8.2 Creation of the ICC	144		
	8.3 Structure and composition of the ICC	146		
	8.4 Crimes within the jurisdiction of the ICC	147		
	· ·			



		Contents	xi
	8.5	Jurisdiction	148
	8.6	How the Court works: an overview	151
	8.7	Complementarity	155
	8.8	Gravity	161
	8.9	Interests of justice	162
	8.10	Cooperation	163
	8.11	Opposition to the ICC	164
	8.12	Appraisal	169
	Furt	her Reading	171
9		r Hybrid and Special Courts	173
		Introduction	173
	9.2	Courts established by agreement between a State and an international	
		organization or between States	176
	9.3	Courts established by international administration	188
		Courts established by a State with international support	193
		Relationship with the ICC	198
		Concluding remarks	199
	Furt	her Reading	201
Par	t IV	Substantive Law of International Crimes	203
10	Gene	ocide	205
	10.1	Introduction	205
	10.2	Protected groups	210
	10.3	Material elements	214
	10.4	Mental elements	219
	10.5	Other modes of participation	226
	Further Reading		226
11	Crin	nes Against Humanity	227
	11.1	Introduction	227
	11.2	Common elements (contextual threshold)	231
	11.3	Prohibited acts	242
	Further Reading		258
12	War	Crimes	259
	12.1	Introduction	259
	12.2	Common issues	269
		Specific offences	278
	Furt	her Reading	296
13	Aggression		297
	13.1		297
	13.2	Material elements	303



xii	Contents		
	13.3 Mental elements13.4 Prosecution of aggression in the ICCFurther Reading	311 312 317	
14	Transnational Crimes, Terrorism and Torture 14.1 Introduction 14.2 Terrorism 14.3 Torture Further Reading	319 319 322 334 339	
15	General Principles of Liability 15.1 Introduction 15.2 Perpetration/commission 15.3 Aiding and abetting 15.4 Ordering, instigating, soliciting, inducing and inciting 15.5 Planning, preparation, attempt and conspiracy 15.6 Mental elements 15.7 Command/superior responsibility Further Reading	341 341 342 355 359 363 365 368 379	
16	Defences/Grounds for Excluding Criminal Responsibility 16.1 Introduction 16.2 ICC Statute and defences 16.3 Mental incapacity 16.4 Intoxication 16.5 Self-defence, defence of others and of property 16.6 Duress and necessity 16.7 Mistake of fact and law 16.8 Superior orders 16.9 Other defences Further Reading	380 380 382 383 384 386 389 391 393 398 400	
Par	rt V International Criminal Procedure and Sentencing	403	
17	International Criminal Procedure 17.1 General issues 17.2 Actors 17.3 Rights 17.4 Jurisdiction and admissibility procedures 17.5 Investigation 17.6 Coercive measures 17.7 Prosecution 17.8 Pre-Trial Process	405 405 410 415 420 421 424 426 429	
	17.9 Evidentiary rules	433	



		Contents	X111
	17.10 G	uilty plea and admission of guilt	435
	17.11 Tr	• •	436
	17.12 A	ppeals and review	438
	17.13 O	ffences against the administration of justice	441
	17.14 C	oncluding remarks	441
	Further R	eading	443
18	Victims in the International Criminal Process		
	18.1 Inti	roduction	445
	18.2 Vic	tims and international criminal justice	446
	18.3 Det	finition of victims	447
	18.4 Pro	tection of victims and witnesses	448
	18.5 Vic	tim participation at the ICC	451
	18.6 Rep	parations to victims	459
		assessment	461
	Further R	eading	463
19	Punishment and Sentencing		465
	19.1 Inte	ernational penal regime	465
	19.2 Pur	poses of punishment	467
	19.3 Ser	itencing practice	469
	19.4 Ser	atencing procedures	475
		don, early release and review of sentence	476
		forcement	477
	Further R	eading	478
Par	t VI Rel	ationship Between National and International Systems	481
20	State Cooperation with the International Courts and Tribunals		
	20.1 Nat	ture of the cooperation regimes	483
	20.2 Ob	ligation to cooperate	485
	20.3 No	n-States Parties, international organizations and other entities	489
	20.4 Au	thority to seek cooperation and rights of parties	492
	20.5 Arr	rest and surrender	492
	20.6 Oth	ner forms of legal assistance	495
		mestic implementation	499
		n-compliance and enforcement	501
		operation and complementarity	502
		oncluding remarks	503
	Further R	eading	504
21	Immunities		506
		roduction	506
	21.2 Fur	nctional immunity and its limits	512



xiv		Contents	
	21.3	Personal immunity: no exception based on the crimes alleged	517
	21.4	Personal immunity: inroads in international courts	520
	21.5	Conclusion	530
	Furth	ner Reading	530
22	Alternatives and Complements to Criminal Prosecution		532
	22.1	Introduction	532
	22.2	Amnesties	534
	22.3	Truth commissions	542
	22.4	Lustration	546
	22.5	Reparations and civil claims	547
	22.6	Local justice mechanisms	548
	Furth	ner Reading	549
23	The Future of International Criminal Law		550
	23.1	Introduction	550
	23.2	International courts and tribunals	550
	23.3	National prosecutions of international crimes	553
	23.4	Engraining a commitment to accountability	554
	23.5	Evolution of substantive international criminal law	556
	23.6	The path forward (or back?)	557
Ind	ex		560