### Human Evolution Beyond Biology and Culture

Evolutionary Social, Environmental and Policy Sciences

Both natural and cultural selection played an important role in shaping human evolution. Since cultural change can itself be regarded as evolutionary, a process of gene–culture coevolution operates. The study of human evolution – in the past, present and future – is therefore not restricted to biology. An inclusive comprehension of human evolution relies on integrating insights about cultural, economic and technological evolution with relevant elements of evolutionary biology. In addition, proximate causes and effects of cultures need to be added to the picture – issues which are at the forefront of social sciences such as anthropology, economics, geography and innovation studies.

This book highlights discussions on the many topics to which such generalised evolutionary thought has been applied: the arts, the brain, climate change, cooking, criminality, environmental problems, futurism, gender issues, group processes, humour, industrial dynamics, institutions, languages, medicine, music, psychology, public policy, religion, sex, sociality and sports.

Jeroen C. J. M. van den Bergh is ICREA Professor at the Institute of Environmental Science & Technology of Universitat Autònoma de Barcelona (2007–present), and full Professor of Environmental & Resource Economics at VU University Amsterdam (1997–present). He is Editor-in-Chief of the journal *Environmental Innovation and Societal Transitions* and served on the Netherlands' Energy Council. He is cited more than 17 000 times in Google Scholar, and has received the Royal/Shell Prize 2002, IEC's Sant Jordi Environmental Prize 2011 and an ERC Advanced Grant.

# Human Evolution Beyond Biology and Culture

Evolutionary Social, Environmental and Policy Sciences

JEROEN C. J. M. VAN DEN BERGH Universitat Autònoma de Barcelona, VU University Amsterdam and ICREA, Barcelona





Shaftesbury Road, Cambridge CB2 8EA, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314-321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi - 110025, India

103 Penang Road, #05-06/07, Visioncrest Commercial, Singapore 238467

Cambridge University Press is part of Cambridge University Press & Assessment, a department of the University of Cambridge.

We share the University's mission to contribute to society through the pursuit of education, learning and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9781108470971

DOI: 10.1017/9781108564922

© Jeroen C. J. M. van den Bergh 2018

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press & Assessment.

First published 2018

A catalogue record for this publication is available from the British Library

Library of Congress Cataloging-in-Publication data

Names: Bergh, Jeroen C. J. M. van den, 1965- author.

Title: Human evolution beyond biology and culture : evolutionary social, environmental and policy sciences / Jeroen C.J.M. van den Bergh, Universitat Autònoma de Barcelona.

Description: Cambridge, United Kingdom ; New York, NY : Cambridge University Press, 2018. | Includes bibliographical references and index.

Identifiers: LCCN 2018017450 | ISBN 9781108470971 (hardback : alk. paper) | ISBN 9781108456883 (paperback : alk. paper)

Subjects: LCSH: Human evolution-Social aspects. | Social change. | Technological innovations. | Evolution (Biology)

Classification: LCC GN281 .B48 2018 | DDC 599.93/8-dc23 LC record available at https://lccn.loc.gov/2018017450

978-1-108-47097-1 ISBN Hardback ISBN

978-1-108-45688-3 Paperback

Cambridge University Press & Assessment has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

## **Contents**

	Preface		
Part I P	revue		1
1	Making the Improbable Probable		
	1.1	Generalised Evolution	3
	1.2	Human Evolution Beyond Biology	6
	1.3	Practical Values of Evolutionary Reasoning	9
	1.4	Resistance to Evolutionary Social Science	13
2	The World According to Evolution		
	2.1	The V-S-I-R Algorithm	19
	2.2	Darwin's Legacy in the Social Sciences	24
	2.3	Evolutionary Philosophy	27
	2.4	Design Versus Evolution	32
Part II E	Evolutio	onary Biology	43
3	Pre-Darwinism, Darwinism and Neo-Darwinism		
	3.1	Evolutionary Thinking Until Darwin	45
	3.2	The Significance of Darwin	50
	3.3	The Rise of Genetics	58
	3.4	Central Concepts and Mechanisms of Neo-Darwinism	63
	3.5	Nine Types of Evidence	69
	3.6	Major Transitions in Natural History Along Three Dimensions	77
4	Advanced Ideas in Evolutionary Biology and Genetics		
	4.1	Beyond Neo-Darwinism	86
	4.2	Drift, Epigenetics and Directed Mutations	89
	4.3	Modular and Symbiotic Evolution	93
	4.4	Varieties of Macroevolution	96
	4.5	Early Chemical Evolution and Origin of Life	102
	4.6	Ecology, Coevolution and Niche Construction	109

CAMBRIDGE

Cambridge University Press & Assessment 978-1-108-47097-1 — Human Evolution beyond Biology and Culture Jeroen C. J. M. van den Bergh Frontmatter <u>More Information</u>

vi	Contents			
	4.7	Sexual Selection and Cultural Effects	117	
	4.8	Economic Phenomena in Biological Evolution	123	
Part III	Bridgin	g Natural and Social Sciences	129	
5	Evol	ution of Social Behaviour in Animals and Humans	131	
	5.1	Sociobiology	131	
	5.2	Social Behaviour and Organisation in Animal Species	137	
	5.3	Evolution of Empathy, Morality and Altruism in Primates	141	
	5.4	Human Sociobiology and Evolutionary Psychology	143	
	5.5	Evolution of Human Language	147	
6	Grou	155		
	6.1	Forgotten Groups	155	
	6.2	Defining Group and Multilevel Selection	157	
	6.3	Lessons from the Debate on Group Selection	159	
	6.4	Experimental and Empirical Evidence	165	
	6.5	Mechanisms of Group Selection	169	
	6.6	Cultural Group Selection	174	
	6.7	Potential Applications in Social Science	178	
Part IV	Evoluti	onary Social Sciences	181	
7	Evol	utionary Theories of Human Culture	183	
	7.1	Nature and Nurture	183	
	7.2	Evolutionary Thinking in Sociology and Anthropology	187	
	7.3	Dual Inheritance	194	
	7.4	Gene-Culture Coevolution	199	
	7.5	Evolution and Learning	204	
	7.6	Imitation and the Selfish Meme	209	
	7.7	Evolutionary Explanations of Religions	217	
	7.8	Evolving Musical Sense, Styles and Technologies	225	
	7.9	Evolution of Humour, Jokes and Laughter	232	
	7.10	A Comparison of Four Approaches to Cultural Evolution	235	
8	Evol	utionary Economics	239	
	8.1	A Typology of Evolutionary Economic Thought	239	
	8.2	Building Blocks	240	
	8.3	Evolutionary Economics and Biology Compared	243	
	8.4	A Brief History of Core Contributions	247	
	8.5	Evolutionary Games and Agent-based Models	259	
	8.6	Evolutionary Growth Theory	264	
	8.7	The Geography of Economic Evolution	267	

		Contents	vii	
9	Evolution of Organisations and Institutions			
	9.1 TI	heories of Organisations	271	
	9.2 Pc	opulation Ecology of Organisations	273	
	9.3 D	emography of Firms	278	
	9.4 E	volution of Institutions	280	
	9.5 Se	elf-organisation and Emergence	284	
	9.6 A	daptive Self-organisation	287	
10	Technol	logical Evolution	290	
	10.1	The Tree of Technology	290	
	10.2 I	nventions and Innovations at Firm Level	291	
	10.3 I	nnovation Impacts and Diffusion at Market Level	302	
	10.4 I	Long Waves at a Macro Level	304	
	10.5 I	ncreasing Returns, Path Dependence and Lock-in	308	
	10.6 N	Managing Technological Innovations	313	
	10.7 0	Optimal Technological Diversity	316	
Part V	Evolutiona	ry Cultural History	319	
11	Prehisto	ory Until the Rise of Agriculture	321	
	11.1	Social Science Palaeontology	321	
	11.2 I	Human Origins	322	
	11.3 I	Brain–Mind Evolution	332	
	11.4 I	Pre-agricultural, Pleistocene Humans	342	
	11.5 I	Fires, Dogs and Cats	344	
	11.6 I	Proximate Versus Ultimate Factors Behind the Rise of Agriculture	347	
	11.7 I	Between Neolithic and Industrial Revolutions	356	
12	Industri	alisation and Technological History	364	
	12.1 H	Preconditions and Ultimate Reasons	364	
	12.2 N	Middle Ages and Renaissance	369	
	12.3 I	Industrialisation After 1750	371	
	12.4	The Steam Engine from an Evolutionary Angle	372	
	12.5 \$	Scientific (R)Evolution	374	
	12.6 H	European Origins	377	
	12.7 I	England Versus the Low Countries	379	
	12.8	The Rise and Fall of Population Growth	385	
Part VI	Evolutiona	ary Environmental and Policy Sciences	387	
13	Survival of the Greenest			
	13.1 I	Human Maladaptation	389	
	13.2 H	Evolution in Environmental Social Studies	390	
	13.3 N	Managing Evolutionary Resources and Ecosystems	393	

viii	Contents			
	13.4	Coevolution and Evolutionary Growth	400	
	13.5	Evolutionary Transition to a Sustainable Economy	402	
	13.6	Diversity to Avoid or Escape Lock-in	405	
	13.7	Environment in Evolutionary Social Science	408	
14	Evolv	411		
	14.1	Consumption, Production and Population Externalities	411	
	14.2	Evolutionary Analysis and Design of Climate Policies	414	
	14.3	Evolution of Low-carbon Technologies	419	
	14.4	Ultimate Effects of Climate Innovation and Policies	424	
	14.5	Policy Mix for Innovation and Diffusion	427	
15	Evolu	433		
	15.1	Towards an Evolutionary Policy Theory	433	
	15.2	Policy Criteria and Evolutionary Progress	434	
	15.3	Evolutionary Studies of Policy Design	438	
	15.4	Evolutionary Political Dynamics and Policy Change	441	
	15.5	Differences with Conventional Policy Approaches	445	
	15.6	Towards Evolutionary Socioeconomic Policy	448	
16	Evolu	451		
	16.1	Science and Technology	451	
	16.2	Cultures and Religions	453	
	16.3	Economic and Political Systems	456	
	16.4	The Biosphere	459	
	16.5	Future Humans	460	
	16.6	More Futurist Scenarios	465	
	16.7	Prospect for Expanded Evolutionary Thinking	467	
	References		472	
	Index		516	
	Color			

### Preface

In the summer of 2015, my family and I visited Charles Darwin's *Down House* in the UK. While zigzagging along narrow roads through dense forests, our beloved car navigation system provided guidance. Since it had occasionally misled us in the past, we decided to double-check whether we were going in the right direction. As our station wagon crawled past the van of a young gardener, we called through the open window: 'Down House', followed by 'Darwin'. Although he gave the impression of being a local, neither name seemed to ring any bells. Indeed, occasionally one can bump into Earthlings who are unaware of Darwin and the great intellectual leap he made. A Darwinian evolutionary explanation of life represents one of the most counterintuitive results of science. That's why, more than 150 years after Darwin's magnum opus *On the Origin of Species* (...) appeared, many still refuse to accept it. Others have gone far beyond acceptance, offering evolutionary accounts of numerous phenomena outside the realm of biology. This had already begun in Darwin's time and, more recently, has advanced considerably, with the aim of better understanding all sorts of complex non-living processes and systems.

Many take for granted that the evolutionary history of humans has, like that of animals, completely been determined by genetic evolution. But there is increasing evidence that, once culture emerged in human groups, a combination of natural and cultural selection started to shape the course of human evolution. Culture as information obtained from other individuals through social transmission processes has given rise to cumulative social learning beyond generations. It has affected the evolution of human physiology, brain-mind and behaviour, for at least the last 100 000 years. This worked through cultural traits, such as cooperation with non-kin, sharing of food, exchange of products, labour division, technological innovation, religion and even cooking. In other words, the old and widespread idea that genetic evolution caused culture in a unidirectional way is erroneous. Given that cultural change takes the form of an evolutionary process, as defended in this book, a genuine process of gene-culture coevolution operates, meaning that genetic and cultural evolution exerted mutual influences. The study of human evolution - in the past, present and future - is therefore not the sole domain of biology. A comprehensive picture of human evolution relies on successfully integrating insights about cultural evolution with relevant elements of human evolutionary biology. To this, we need to add information about proximate causes and effects of cultures as studied in social sciences, such as anthropology, economics, geography and innovation studies. These two tasks characterise what this book sets out to do.

### Preface

Х

Its approach is consistent with the modern belief that the main difference between humans and the most intelligent other primates is not overall multipurpose intelligence, but the unique human ability for social learning and precise imitation. Culture is the ultimate expression of this ability, and has made humans in cultural groups immensely more intelligent than they would be if they had, hypothetically, lived a solitary life – in which case, they would have lacked frequent feedback and advice from experienced individuals since birth. Humans would then not possess cultural knowledge, tools, language, books, science and education. In fact, if one could erase social learning and hence culture from human history, the intellectual ability of humans would probably not exceed that of chimpanzees by much. Given that this is a far cry from reality, the study of human evolution requires a broader scientific approach as sketched.

Another theme guiding this book is that evolution is everywhere, what has been occasionally called 'universal Darwinism', 'generalised evolution' or an 'extended synthesis'. While a broad range of topics to which evolutionary thinking has been applied will receive attention, the treatment includes a strong emphasis on social science issues and public policy challenges. Evolutionary concepts are inextricably woven into the fields of sociology, anthropology, organisation studies, political science, economics, technological innovation studies and environmental sciences. Some of these can even be said to have a genuine 'evolutionary branch', as is definitely true for anthropology and economics. Admittedly, the term 'evolution' is not consistently used in all studies. Authors sometimes speak instead of multi-agent or agent-based modelling, population theories, heterogeneous agents or even complexity theory. In many cases, though, these reflect a foundation in evolutionary principles. My motivation for writing this book is that a comprehensive account of evolutionary thought in the social, environmental and policy sciences is utterly missing. By filling this gap, I hope to contribute to transdisciplinary exchange and learning, among the social sciences, as well as with the natural sciences. In addition, I intend to reach the well-educated reader who is interested in how genetic and non-genetic evolution affect culture, technology, the economy, the environment and climate, and even politics and policies.

The notion of 'generalised evolution', which characterises the book's approach, emphasises that a similar evolutionary framework is employed in distinct study areas. One might call this the V-S-I-R approach, referring to the combination of four basic components and processes, namely variation, selection, innovation and replication. Without submitting to 'biology envy', proponents of evolutionary approaches in a wider domain can unquestionably learn a great deal from biology, given its 150-year history of evolutionary reasoning. It is undeniable that evolutionary biology is far ahead of the crowd in exploring evolutionary concepts, models and experiments. Therefore, this book will devote attention to transferring concepts and insights from biology to the social sciences – and to some extent also vice versa. Social scientists can learn from debates in biology, such as regarding the levels of selection, the role of groups versus individuals, or the importance of modular evolution. This does not mean that one has to rely solely on biological metaphors in expanding the reach of evolutionary thinking. It should be reassuring to know that mathematics has already come up with generalised metaphors, namely in the form of evolutionary models and algorithms. These are

Preface

xi

frequently used nowadays, not only in biology but also in economics, innovation studies, operations research, computer science, robotics and artificial intelligence.

It would be an understatement to claim that evolutionary thinking in the social sciences is not accepted by everyone. Averting and defensive responses take the form of evolutionary social studies not being required, not being relevant, or being politically incorrect. No offence, but such rejection often takes the form of knocking down a straw man. Indeed, one frequently encounters a lack of understanding of basic evolutionary principles, let alone of advanced notions, among social scientists rejecting evolutionary social science thinking. Regrettably, many evolutionary biologists who dislike notions of social or cultural evolution don't do much better. Their motivations frequently witness a misapprehension of non-genetic evolution and how it differs from, as well as resembles, genetic evolution. A growing number of researchers, though, seem to accept the usefulness of evolutionary thinking to the social sciences. This book will, in various places, most systematically in Section 1.4, address the concerns of the sceptics and critics of evolutionary social science approaches. It will clarify that genetic and nongenetic evolution share many similarities - justifying the term evolution - while they also differ in important ways. Non-genetic evolution is neither an extrapolation nor a simple analogy of biological evolution. Specific differences depend on whether we are talking about cultural, economic, technological or other types of non-genetic evolution. Furthermore, the two can be interactive, ranging from reinforcing to opposing each other's tendencies and outcomes, as is central to theories of dual inheritance or geneculture coevolution. As we will see, such extended evolutionary thinking can provide surprising insights about many aspects of the modern world, including music, sports, economic development, cooking, language, medicine, criminal law, the role of sex and gender in society, religions and even humour.

I expect this book to offer stimulating ideas to different readers. Biologists may be intrigued by the details of evolutionary studies in the social sciences. Social scientists can learn from advanced theories in evolutionary biology, as well as about evolutionary thinking in social science disciplines other than their own. Unlike many other treatises, I attempt to give a balanced and fair account of ideas and theories, allowing space for pro- and contra-arguments and, when useful, adding a personal judgement. Those motivated by topical issues will be happy to find practical evolutionary outlooks on environmental problems, climate change and, more generally, the design of public policies. The book is, moreover, aimed at reaching laypersons and experts alike. Readers do not require much background as concise accounts of generalised evolutionary thinking and evolutionary biology are offered, including non-technical treatments of advanced topics. Moreover, while the book is scholarly in depth and scope, I have tried to write in accessible language. To further improve readability, a 'box format' is used throughout with the objective of separating illustrative and advanced themes from the main text.

Since my late teens, I have been intrigued by anything associated with evolutionary reasoning. It struck me as surprising and meaningful, and I felt everyone should know about it. Its focus on ultimate causes was effective in removing the mystery around many fundamental questions about origins. I miss such an approach in the social

### Preface

Xİİ

sciences, which was one motivation to write this book. Some three decades ago, I started reading seriously into evolutionary social sciences and wrote about them for the first time almost 20 years ago. Given that I conducted research on a variety of themes in environmental economics and innovation studies, and worked regularly on projects with biologists, it was almost inevitable that evolutionary thinking and modelling would enter my academic research. I have learnt a great deal from my former PhD students while working on evolutionary and related themes in environmental science, behavioural economics and innovation economics, notably Joelle Noailly, Karolina Safarzynska, Julian Garcia, Volker Nannen, Paolo Zeppini, Elisabeth Gsottbauer, Juliana Subtil Lacerda and Ardjan Gazheli. I further had the luck to collaborate in evolution-oriented studies with resourceful colleagues: Guszti Eiben, Albert Faber, Koen Frenken, John Gowdy, Annemarth Idenburg, Giorgos Kallis, Frans Oosterhuis, Christian Rammel, Sigrid Stagl and Cees Withagen. In addition, this book has profited from my teaching of evolutionary economics in Amsterdam, Barcelona and Vienna, as well as at the Max Planck Institute of Economics in Jena, Germany. The latter hosted a unique evolutionary economics unit directed by Ulrich Witt, from whom I have always received great intellectual and moral support. I am very grateful to other colleagues for spending the time and intellectual energy to comment on particular chapters or parts thereof: Jan Boersema, Jeffrey Funk, Frank Geels, John Gowdy, Fjalar de Haan, Rutger Hoekstra, Javier Martínez-Picado, Sergio Rossi, Karolina Safarzynska, Victor Sarto Monteys and David Stern. I am especially indebted to Nico van Straalen for very detailed comments on Chapters 3 and 4, to Karl Frost for critical feedback on Chapter 7, and to Eric Galbraith for closely reading Chapters 11 and 16. In addition, Matthew Kelly and Tessa Dunlop provided excellent language suggestions, while Miklós Antal helped me raise the resolution of two figures. I am grateful to the team of Cambridge University Press for such proficient support during the various stages of the production process: Dominic Lewis, Aleksandra Serocka, Jenny van der Meijden and Judith Shaw. Others inadvertently influenced my thinking about evolution. My son, Django, at times pointed me to internet videos or television documentaries featuring unusual organisms one of his fascinations. A number of these creatures have made it into this book. From him and my daughter, Gaia, I learned that evolution can be fascinating to youngsters. My wife, Rosa, served as an unmerited sounding board and insistently encouraged me to finish the manuscript. Without her, I would probably still be halfway.

Some material previously appeared in another form. Chapter 6 is a revised and extended version of an article in *Journal of Economic Behavior and Organization* (2009), 72(1), pp. 1–20. Section 8.5 contains revised and extended text parts from my chapter on 'Evolutionary modelling' in J. Proops and P. Safonov (eds), 2004, *Modelling in Ecological Economics*, Edward Elgar, Cheltenham. Some material in Sections 13.2 to 13.4 is adapted and revised from an article in *Journal of Evolutionary Economics* (2007), 17(5), pp. 521–549, and text parts in Sections 14.3 and 14.5 from an article in *Technological Forecasting and Social Change* (2013), 80(1), pp. 11–23. Chapter 15 is a revised and extended version of an article that appeared in *Journal of Bioeconomics* (2013), 15(3), pp. 281–303. Permission was granted by the associated publishers, Edward Elgar Publishing, Elsevier and Springer.

Preface xiii

In the spirit of evolutionary thought, I want to end with two disclaimers. My personal flaws – of a physiological (bad eyesight), intellectual (selective reading) and social (sporadic hermit-like behaviour) nature – serve as a proximate explanation for any mistake the reader may find in this book. The ultimate explanation is, of course, that natural selection is imperfect, having failed to wipe out such flaws in my ancestors. So, in all earnestness, at the end of the day evolution is to be blamed for all remaining errors.