

## Index

Page numbers in **bold** refer to content in tables; page numbers in *italics* refer to content in figures and maps.

- absolutism, 45  
 accounting systems, 202  
 Africa, 711  
 Aglen, Francis, 263  
 agriculture, 87–8. *See also* Great Famine (1958–1961)  
   collectivization, 566, 567, 574–7, 583–4, 586–8, 681–2, 798–9  
   farm size and productivities, 103  
   freight transportation, 475–6  
   land institutions, distribution, and credit, 115–20, **118**, **121**  
   long-term output trends, 88–99, 89, 91, 92, 93, 94  
   models of, 99–107, 105, 106  
   new elements (Qing era to 1949), 107–15, 110, 116  
   pre-1979 living standards, 599–601, **600**, 633–4, **634**  
   Qing-era land reclamation, 51–4  
   Reform Era, 725–6, 740–4, 745–6, 803  
   rural finance (1930s–1940s), 298–307, **301**, **302**, **303**, **305**, **306**  
   sideline production, 104–7, 106  
 Almond, Douglas, 679  
 American Silver Purchase Act (1934), 238, 298  
 Anhua, Hunan, 69, 70  
 Anhui province, 629, 631, 803  
 annual budget appropriations, 190  
 anti-Japanese base areas, 159, 163  
 Anti-Rightist campaign, 550–1, 580  
 apprenticeship, 503–4, 513  
 arsenal industry, 127, 171–3  
 Asian Financial Crisis (1997), 767  
 Australia, 716, 717  
 authoritarian control, 775, 776, 779, 781  
 authoritarian hierarchy, 777, 779  
 authoritarian politics, 49, 274, 789  
 authoritarian rule, 43, 228, 264, 785, 789, 828  
 authoritarian system, 44, 532, 825, 828.  
   *See also* regionally decentralized authoritarianism (RDA)  
 aviation, 487–8  
 Bai, Ying, 393, 519, 681–2  
 Balazs, Étienne, 5  
 Bandung Conference (1955), 708–11  
 Bank of China (BoC), 220–2, 223, 226–8, 272, 292, 297–8, 312  
 Bank of Communications (BoCom), 215, 220–1, 222, 223, 226–8, 297–8, 312  
 Bank of the Great Qing, 215  
 banks, 281, **282**, **283**, 283, 284–6, **285**. *See also* native banks (cash shops)  
   apprenticeships, 504  
   banker networks, 317–19, **318**  
   commerce and industry finance, 311–12  
   competition with the nationalist state, 226–8, 296–8  
   co-operation with the nationalist state, 228–32  
   depositing money, 235, 320, 568  
   evolution of the money system, 216–20, 217, 218  
   geography of, 287–9, 289, 290, 292–4, 358, 378  
   government bonds, 277  
   issuing of banknotes, 44, 210, 215, 219, 220–4, 221, 226–7  
   Reform Era, 766, 770, 805, 808

## Index

- banks (cont.)  
 relation with university development,  
 396, **397**  
 rise of Chinese institutions, 40–1  
 social status of, 317–19, **318**  
 state's takeover of, 222–4, 568  
 statistical data, 21–2, 22, 24  
 treaty ports system, 373–8, 374, 375, 377,  
**379**, 380
- Bao Shichen 包世臣, 59, 75, 84
- Baodi, Hebei, 145
- Baoshan Steel, 731, 740
- barefoot doctors, 626
- Bastid, Marianne, 251
- Baxian, Sichuan, 336
- Beijing, 41, 43, 47, 85, 86, 88, 91, 96, 110, 122, 166,  
 182, 183, 207, 224, 226, 227, 232, 233, 234,  
**239**, 242, 243, 248, 251, 252, 253, 254, 255,  
 256, 258, 260, 261, 262, 263, 264, 265, 266,  
 270, 271, 272, 273, 275, 277, 280, 281, 284,  
 286, 292, 294, 295, 297, 298, 299, 307, 309,  
 312, 313, 316, 317, 320, 322, 323, 328, 333, 336,  
 341, 356, 361, 371, 401, 426, 460, 468, 469,  
 471, 489, 490, 492, 495, 500, 501, 504, 506,  
 509, 513, **517**, 524, 526, 528, 537, 544, 557,  
 564, 580, 581, **585**, **611**, **614**, **617**, 620, 624,  
 628, 629, **630**, 630, 631, 632, **634**, 636, 637,  
 638, 641, 658, 665, 667, 675, 689, 691, 692,  
 693, 701, 702, 707, 708, 709, 710, 711, 712,  
 715, 716, 721, 726, 727, 729, 730, 732, 734,  
 735, 737, 738, 740, 741, 743, 751, 759, 760,  
 762, 763, 774, 776, 783, 784, 794, 799, 800,  
 803, 807, 808, 809, 814, 815, 817
- banks, 292–4
- currency use, 225, 226–7
- education, 514, 518
- family firms, 327
- infrastructure, 180, 476, 485, 487
- living standards, 64, 95, 96, 614
- Olympic Games (2008), 814
- Tangshan earthquake (1976), 595
- warlord era finance, 261–6, **262**
- Beijing Stock Exchange, 313
- Beijing–Hankou railroad, 474, 476, 481, 483
- Beiyang Xuetaang, 130
- Belt and Road initiative, 719, 816, 817
- Bergère, Marie-Claire, 144
- Berlin, Isaiah, 639
- Bernstein, Thomas P., 668
- bimetallism, 208–9
- birth control, 65, 727
- birth rates, 63, 65
- body shares, 337–8
- Bolshevism, 541–3, 546–7
- bondholders, 41, 230
- bonds, 44, 229–32, 255, 263, 264, 272  
 stock markets, 313–16, **314**, **315**  
 wartime, 277
- Boserupian framework, 100–3, 101
- bottom-up development, 46, 240, 741, 776, 809
- Boxer Rebellion (1899–1901), 130, 244, 255,  
 259–60
- Boyd Company, 128
- Brandt, Loren, 119, 680
- Bretton Woods Agreement (1944), 160, 688
- Britain  
 business organization, 345  
 consumption, 622  
 industrialization, 16, 354  
 infrastructure, 467  
 investment, 439, 440  
 loans, 275  
 trade, 20, 72–3, 422–5, 435, 701  
 treaty ports system, 360–1, 367
- British American Tobacco Company, 112,  
 131, 477
- British East India Company, 423
- Broadberry, Stephen, 622
- Brown, Shannon, 779
- Buck, John, 88, 102, 120, 615
- Burgess, John, 514
- bus transportation, 486
- business organization, 324–5  
 early twentieth-century developments,  
 348–51  
 family firms, 325–32  
 private ordering, 332–42  
 Western influence, 342–8
- Cai Yuanpei 蔡元培, 525
- California School, 27, 28, 87, 100
- Campbell, Cameron, 65
- Canada, 716, 717
- Canton System, 422, 427
- Cao Shuji 曹樹基, 648, 650
- Cao Yu 曹禺, 317
- capital flow, 235, 236, 439, **440**
- capital markets, 448, 450
- capitalism, 7, 27–8
- ensorship, 778
- central account offices, 350–1
- Central Air Transport Corporation  
 (CATC), 487
- Central Bank (PBoC), 220, 222, 223–4, 231, 272,  
 297, 568, 569, 578, 580, 762, 805
- Central China Cocoon and Silk Company, 158

## Index

- Central China Promotion Company, 158  
 central control, 32, 258, 259, 274, 581, 784, 807–15  
 centralization of state-controlled fiscal and financial resources, 825  
 centralized authoritarian control, 781  
 central-level enterprise groups, 784  
 centrally supervised enterprise groups, 814  
 centrally planned command system. *See* planned (command) economy  
 ceramics, 132  
 Ch'en Huan-chang 陈焕章, 1  
 Ch'ien, Tuan-Sheng, 514  
 Chairman Mao. *See* Mao Zedong 毛泽东  
 Chan, Wellington, 344, 348  
 Chang Chung-li 张仲礼, 499, 507  
 Chang, Gene Hsin, 675  
 Chang Jung, 648  
 Chang Yu-fa 张玉法, 357, 367–9, 385  
 Changchun, Jilin, 294  
 Changshu, Jiangsu, 146  
 Changzhou, Jiangsu, 59  
 Chao Kang 赵冈, 92, 100, 101, 119  
 Chartered Bank, 40  
 Chefoo Convention (1876), 258  
 Chen Duxiu 陈独秀, 544  
 Chen Hansheng, 5  
 Chen Hongmou 陈宏谋, 52, 54  
 Chen Jitang 陈济棠, 193  
 Chen Qiyuan 陈启源, 134, 149, 341  
 Chen Shuo, 669  
 Chen Yi 陈毅, 696  
 Chen Yizi, 648  
 Chen Yonggui 陈永贵, 734  
 Chen Yun 陈云, 565, 571–2, 574, 581, 586, 732–3, 739–40, 745, 748, 749–50, 755, 756, 759  
 Chen Yuyu, 678  
 Cheng Linsun, 286  
 Chenggong, Yunnan, 521  
 Cheong Weng Eang, 341  
 Cheung Sui Wai, 68  
 Chiang Ching-kuo 蒋经国, 545  
 Chiang Kai-shek 蒋介石, 188, 196, 267, 272, 274, 463, 466, 485, 545, 715, 788. *See also* Jiang Jieshi  
 China Dream, 816  
 China Economic Statistics Institute, 203  
 China Health and Nutrition Survey (CHNS), 621  
 China Integrated Circuit Industry Investment Fund (CICIF), 817  
 China Merchants Steam Navigation Company (CMSNC), 169, 174–6, 307, 308, 344, 465, 492, 737  
 China National Aviation Corporation (CNAC), 487  
 Chinese Economic Yearbook, 306  
*Chinese Monetary History*, 8  
 Chinese Revolutionary Alliance, 406  
 Chinese Textile Construction Company, 163  
 Chong, Ja Ian, 255, 266  
 Chongqing, 159, 189, 487  
 Christianity, 391  
 Chuan, Han-sheng 全汉升, 67, 68  
 Chuxing Company, 351  
 civil service exams, 28, 405, 500, 501, 502, 519, 777  
 Cixi, Empress Dowager of Qing, 38, 171  
 class struggle, 585–6, 592  
 climate change, 50  
 Cohen, Myron, 333  
 Cohen, Paul, 26–7, 34  
 Cold War, 692, 697–702  
 collectivization, 657–60, 725, 741–4, 798  
 Comintern, 541, 543, 544  
 commerce. *See also* business organization; international trade  
 apprenticeships, 504  
 domestic trading, 66–9, 370–3, 372  
 economic effects from new technology, 444–7, 445, 446  
 financial capital, 307–12  
 Maoist era, 568, 797–8  
 money market integration, 232–4  
 commercial law, 37  
 Common Program (1949), 548  
 communal dining, 675–7  
 communes, 553–4, 583, 645, 657–9, 675–7, 724  
 communication infrastructure, 457–64  
 Communist institutional origins, 531–3  
 analyzing institutional evolution, 533–4  
 creation of a totalitarian regime, 548–51  
 empire comparisons, 534–40, 535, 538, 539  
 origins of totalitarianism, 541–3  
 regionally decentralized authoritarianism (RDA), 556–63  
 regionally decentralized totalitarianism (RDT), 551–6  
 totalitarianism's introduction to China, 543–8  
 company founders, 148–52  
 company law, 181, 182, 200, 345, 347–8, 469, 811  
 competition, 29, 740, 779, 786, 788, 812, 816, 820, 825, 827  
 conflict between political and economic objectives, 782  
 Confucian Classics, 28, 42, 43, 170, 359, 499, 501, 510

## Index

- Confucianism, 2, 29, 34, 42, 169, 390, 496, 510  
 consolidated tax, 274  
 Constitutionalists, 405–11, 407, 408  
 constraints, 776, 794, 818–22  
 consumption  
   communal dining, 645, 675–7  
   Maoist era trends, 599–601, 601, 604, 604, 615–24, 617, 621, 623, 631–2, 632  
   Qing era, 62, 64–5, 94–6  
   Republican era, 109  
 contracts, business, 334–6  
 copper, 74–5, 208, 211–13  
   debasement of, 224–6  
 corruption, 778  
 cotton  
   modern industries, 23, 23, 111, 131–2, 141–6, 143, 155  
   postwar era, 163  
   Qing-era industry, 70–1, 73, 104, 113, 426  
   wartime production, 159  
 Council for Mutual Economic Assistance (COMECON), 692  
 counterfeiting, 212, 214, 225  
*Crop Reports*, 615  
 crop yields. *See* agriculture  
 cross investment, 340  
 Cuba, 488  
 Cultural Revolution (CR), 532, 554–6, 557, 592–3, 594–5, 728, 732, 751  
 currency, 208–11  
   Daoguang depression, 74, 74–7  
   government–private sector relationship, 224–32  
   major currencies overview, 211–16  
   Maoist era, 566  
   money market integration, 232–5, 238  
   Nanjing era, 44  
   postwar era, 162  
   pre-1950 period, 24, 25  
   role of banks, 219–20, 221  
   role of the state, 220–4  
   tax collection, 250  
 Da Long, 130  
 Da Sheng, 149, 150  
 Dai Yi 戴逸, 48  
 Dalian, Liaoning, 234  
 Dane, Richard Morris, 262  
 Daoguang depression, 73–7  
 Daoguang, emperor of Qing 道光皇帝, 49, 51, 54, 56, 73, 85, 97, 426  
 Dazhai, Shanxi, 726, 734  
 decentralization, 39, 537, 555, 581, 584, 588, 754.  
   *See also* regionally decentralized authoritarianism (RDA)  
 decentralization programs (1957 and 1970), 809  
 decentralized development, 782  
 decolonization, 708  
 defense industries, 127–8, 154, 155, 171–3, 186–7  
 deflation, 73  
 demographics. *See* population changes  
 Deng Xiaoping 邓小平, 548, 562, 586, 587, 595, 596, 647, 729, 731, 733, 735, 737, 739, 745, 747, 748, 755, 756, 758–60, 783, 808  
 Deng Zihui 邓子恢, 657  
 depositing money, 234–5, 320, 341, 568  
 Demberger, Robert, 355–6  
 developmental state  
   central state enterprises, 188–91  
   Guangdong enterprises, 192–6  
   Guizhou enterprises, 198–202  
   ideology and policy of, 184–8  
   public versus private enterprise, 202–6  
   Shanxi enterprises, 196–8  
 Dexin, 483  
 Dikötter, Frank, 628, 648  
 Dimitrov, Georgi, 545  
 Ding Richang 丁日昌, 171  
 Ding-wu Disaster, 98  
*Discovering History in China*, 26  
 Doctrine of Nationalism, 187  
 Doctrine of People's Livelihood, 187  
 domestic public debt, 41, 44  
 Dongting lake, 52  
 double-cropping, 60, 102  
*Dream of the Red Chamber*, 316  
 droughts, 51, 98, 623  
 Du Xuncheng 杜询诚, 21, 292, 373, 374  
 dual-track system, 753–4, 804  
 Duan Qirui 段祺瑞, 265, 266  
 Dundas, Henry, 423  
 Dutch East India Company, 423  
 East India Company, 72, 423  
 Eastern Bloc nations, 558–9, 560, 692, 695–6  
 Eckstein, Alexander, 693, 711  
 ecological decay, 50–7  
*Economic Growth in Prewar China*, 164  
*Economic Principles of Confucius and His School, The* (1911), 1  
 education, 496–7, 777. *See also* overseas study  
   Cultural Revolution era, 594–5  
   modern universities, 394–9, 395, 396, 397, 400, 404

## Index

- new system, post-1905, 509–18, 510, **511**, **516**, **517**, **518**  
 role of missionaries, 392, 392–4, **393**  
 socioeconomic changes, 519–26, **520**, **523**  
 traditional system, pre-1905, 359, 497–508, 499, 501, **506**, **508**
- egg products, 138
- Eighth Party Congress (1956–1958), 571, 580, 581, 584, 670
- Eighth Route Army, 570
- electricity supply, 358, 382, 385, 386, 489
- Elvin, Mark, 9, 87, 100
- employment levels, 588–9, 590, **601**, 601, 746, 767, 801
- energy industry, 191
- Eng, Robert, 339
- engineers, 396–9, **397**, 400, **520**, 570
- England. *See* Britain
- entrepreneurs, 127, 132, 148–52, 350–1, 810
- Essay on the Principle of Population*, 58
- Europe  
   living standards comparison, 62–3  
   market prices, 67  
   trade, 70, 425–6
- European Union (EU), 822
- exchange rates, 74–5, 160–1, 250, 352, 761
- exchange reserves, 814
- exploitation, 119–20
- export-oriented industrialization, 132–40
- Fairbank, John, 26, 419, 777
- family firms, 325–32, 348–9
- family management, 150
- famine, 51, 485, 554, 587. *See also* Great Famine (1958–1961)
- Fan Xudong, 342, 350
- Fang Xing 方行, 62–3, 64
- farm size, 88, 102–3, **103**
- Farmers Bank of China, 299
- Faure, David, 181, 343
- Fei Xiaotong 费孝通, 5, 136
- feudalism, 3, 6, 7
- Feuerwerker, Albert, 8, 9, 461
- fiat money system, 44, 238
- financial institutions, 280–7, **282**, **283**, **285**. *See also* banks; native financial institutions  
   business and commerce, 307–12, **308**, **310**  
   geography of, 287–94, 288, 289, 290, 291, 293  
   rural finance, 298–307, **301**, **302**, **303**, **305**, **306**  
   social status of, 316–21, **318**  
   state's role, 294–8  
   stock markets, 312–16, **314**, **315**
- financial trade associations, 319
- First Five-Year Plan (1953–1957), 489, 490, 567, 579, 692, 694, 794
- First National Finance Conference (1928), 270
- First World War (1914–1918), 144, 311
- Five Small Industries (FSI) campaign, 555
- floods, 50–1, 52, 54, 98, 623
- Foochow Naval Shipyard, 172
- Food and Agriculture Organization (FAO), 615, 616, 725
- Food and Money Semi-Monthly* (*Shihuo banyuekan* 食貨半月刊), 4
- Foot, Rosemary, 697
- foreign-aid strategy, 711–12
- foreign debt (FD), 356, 365–70, 367, 368
- foreign direct investment (FDI), 22, 356–7, 370, 414–17, **439**, 439–42, **440**, 442, 790  
   impact of treaty ports, 365–70, 367, 368, 369, 371  
   railroad lines, 472  
   Reform Era, 738, 740, 811–12, 817
- foreign firms, 373–6, 374, 375, **379**, 440–2  
   railroad construction, 466–7  
   Reform Era, 813  
   relation with university development, 396–9, **397**
- foreign influence, 442–8, 445, **446**, 449, 450
- foreign trade. *See* international trade
- Four Cardinal Principles, 562
- Fourth Five-Year Plan (1971–1975), 579, 591, 594, 596
- France, 701
- freight transportation, 473–80, **491**, 491–2
- Friedman, Milton, 751
- frontier innovation, 819–20
- Fu Yiling 傅衣凌, 7
- Fujian province, 631, 739, 740
- Fukang (native bank), **310**
- Furong, Sichuan, 83
- Fuzhou, Fujian, 172
- Gamble, Sidney, 514
- Gang of Four, 596
- Gansu corridor, 287
- Gansu province, 289
- Gao Gang 高岗, 548
- Gao, Mobo, 617
- Gao Wangling 高王凌, 49, 61
- Gaojia yan dike, Qingjiang, 56
- Gaoyang, Hebei, 145, 151
- Gaozong, emperor of Qing, 78. *See also* Qianlong Emperor
- gap between actual and potential output, 797–801

## Index

- gap between urban and rural living standards, 796
- Ge Zhaoguang 葛兆光, 30, 38
- gender inequality, 521–3, **523**
- gentry degrees, 82
- Germany, 187, 467, 524
- Gesellschaft* (impersonal social relations), 6
- Global Financial Crisis (2008), 561, 769, 771, 815
- Gooch, Elizabeth, 682
- grain procurement, excessive, 664–74, **672**
- grain tribute, 55–6
- Grand Canal, 55–6, 246, 248
- Great Depression, 111, 136, 238, 267, 271
- Great Divergence debate, 10, 87
- Great Famine (1958–1961), 642–7  
 communal dining's impact, 675–7  
 excess death estimates and salient features, 647–50, 651  
 excessive grain procurement, 664–74, **672**  
 long-term effects, 677–82  
 production failures, 655–64, **663**
- Great Leap Forward (GLF), 532, 552–4, 580–5, **585**, 643–7, 656, 712–16, 728
- Great Northern Telegraph Company, 460
- gross domestic product (GDP), 18–20, 19, 23, 238, **239**, 245, 446, 531, 723–9, **724**  
 Maoist era, 596, **597**, 597–600, **598**, 607–8, 655  
 Reform Era, 559, 561, 760, 766, 807, 812  
 treaty ports, 358, 388, **389**
- Gu Mu 谷牧, 730
- Gu Yanwu 顾炎武, 30
- guandu shangban* enterprises, 344–5
- Guangdong Enterprise Corporation (GDEC), 193–6
- Guangdong province, 38, 72, 136, 138, 192–6, 212, 614, 628, 738, 740
- Guangxi province, 320
- Guangxu, emperor of Qing, 38, 171, 364, 788
- Guangzhou (Canton), 57, 70, 192, 316, 360, 422, 514, 523
- guilds, 66, 342
- Guiyang, Guizhou, 202
- Guizhou Enterprise Corporation (GZEC), 198–202
- Guizhou province, 198–202, 631, 743
- Guo Family Shop, 326–7
- Guo Le, 149
- Guo Moruo 郭沫若, 3
- Guo Songtao 郭嵩焘, 41, 173
- Guo Songyi 郭松义, 90
- Hai river basin, 50–1
- Halliday, Jon, 648
- Halsey, Stephen, 254, 492
- Han dynasty, 417
- Han river, 52, 53
- handicrafts, 124–7, 126  
 and agriculture, 104–7  
 Communist era, 568  
 new export-oriented industries, 138–40  
 socialization of, 163  
 textiles industry, 131, 133, 138, 139, 140, 144–6
- Hangzhou Electric Light Company, 346–7
- Hangzhou, Zhejiang, 483
- Hankou, Hubei, 69, 70, 217, 218, 309, 444
- Hanyang Iron Works, 179
- Hanyeping Company, 178–80, 307
- Hao Yen-ping, 343
- Hart, Robert, 252, 254, 259, 459
- He Changling 贺长龄, 56
- He Liping 贺力平, 76
- He Ziquan 何兹全, 4
- health care, 626, 769
- Henan province, 485, 583, 614
- Hengjialou dike, Henan, 55
- Hengzhou, Hunan, 65
- Heshen 和珅 (Qing official), 49, 50
- high-level equilibrium trap, 100, 106
- highlands settlement, 52–4
- Hino Kaisaburō, 4
- Ho, Chun-Yu, 228
- Ho, Hon-wai, 251
- Ho, Ping-ti 何炳棣, 9, 58, 97
- hoarding, 234
- Hong Kong, 286, 294, 345, 422, 434, 582, 699, 737–8, 811, 814
- Hong Kong and Shanghai Banking Corporation (HSBC), 40, 296
- Hong Liangji 洪亮吉, 58, 84
- Hong Ren'gan 洪仁玕, 780
- honorary titles, 295
- Hou Yangfang 候杨方, 99
- household contracting, 743
- household division. *See* family firms
- household subsidiary activities, 104–7
- Housheng Cotton Mill, 311
- Hu Jintao 胡锦涛, 785
- Hu Qiaomu 胡乔木, 734
- Hu Shi 胡适, 789
- Hu Yaobang 胡耀邦, 562, 756–7
- Hu Yuanhe lineage trust, 331
- Hua Guofeng 华国锋, 596, 729, 741
- Huaibei district, 81
- Huainan district, 81
- Huang Jingbin 黄敬斌, 63, 64
- Huang, Philip, 87, 100, 102, 105

## Index

- Huang Zongxi 黄宗羲, 30  
 Huang Zongxian, 134  
 Huang Zunxian 黄遵宪, 38  
 Hubei Coal Mining Company, 176  
 Hubei province, 52, 71, 80, 629, 631  
 Hubei Textile Bureau, 351  
 Huguang Loan (1911), 261, 264  
 Huizhou, Guangdong, 93, 98  
*hukou* system, 588  
 Hunan province, 38  
 Hundred Days Reform (1898), 38  
 Hundred Flowers movement, 580  
 Hupei Cotton Cloth Mill, 178, 179  
 hyperinflation, 45, 566
- ideological change (1850–1950), 15–18  
   age of culture, 41–3  
   age of institutions, 38–41  
   age of machines, 34–7  
   economic statistics, 18–26, 19, 21, 22, 23, 25  
   end of the financial revolution, 44–5  
   Qing political regime, 28–31  
   Western impact and Chinese response  
     framework, 26–8, 32–4
- Imperial Academy, 500  
 imperial examination system, 536, 777  
 imperial land system, 535  
 Imperial Post Office, 459  
 Imperial Telegraph Administration, 176, 461–3  
 import-substitution industries, 140–8, **141**, **143**  
 incentive problems, 116, 117, 558, 583  
 incentive-compatible (IC) transformation,  
   534, 546–7  
 incentives, 231, 234, 533–4, 555, 560, 752–3, 796–7  
 income inequality, 627–31, 628, **630**, 634–9, 637  
 income trends (Communist era), 602–4,  
   608–14, **611**, **612**, **614**
- India, 439, 517, 624  
 industrialization. *See* handicrafts; modern  
   industries  
 inefficiency, 598, 727, 785, 796, 800, 801  
 inflation, 61, 63, 73, 162, 277, 590, **591**, 754, 755,  
   756, 757, 761–2, 766  
 infrastructure, 152, 182, 357, 457–8  
   and agriculture, 112, 475–6  
   freight transportation, 473–80, **491**, 491–2  
   interruptions to railroad development,  
   471–3  
   motor roads and aviation, 484–8  
   passenger transportation, 480–4, 481  
   postal and telegraph communication,  
   459–64  
   postwar rail expansion, 488–92, **491**  
   steamships and railroads, 464–71, 468  
 innovation, 101, 439, 772, 776, 786, 818, 819–20,  
   821, 826  
 insurance companies, 292, 293  
 intellectual elites, 523–6  
 interest rates, 119–20, **121**, 210, 236–8, 237, 448  
   rural finance, 299, 302, **305**  
*International Development of China*, 185  
 international relations (Maoist era), 685–8  
   Cold War geopolitical and economic blocs,  
   697–702, **699**, 700  
   impact of domestic campaigns, 712–16  
   legacy of imperialism, 708–12  
   legacy of Japanese empire, 702–8  
   Soviet Union and the planned economy,  
   688–97  
 International Settlement, Shanghai, 32  
 international trade, 414–17, 434, 687, **687**  
   commodity-level trade, 448–55, **451**, 454  
   country composition, 433–5, **435**, **436**  
   export-oriented production, 132–40  
   integrated statistics, 442–4, 443  
 Maoist era, 596, 686, 691–6, 695, 697–702,  
   **699**, 700, 704–5, 706, 709–10, 713, 714,  
   715–16, 717  
 Maritime Customs records, 129, 429–33, 431  
 opium, 75–7  
 postwar era, 160–2  
 pre-1839 era, 417–28  
 pre-1950 period, 20–1, 21, 35–7  
 Qing maritime trade, 71–3  
 Qing trade networks, 57  
 Reform Era, 730–2, 737, 811  
 steamships, 464–5  
 tea export, 69–70  
 volume of, 436–8, 438
- interregional trade. *See* commerce  
 involution, 99–107  
 Irish potatoes, 109  
 iron industry, 582, 656  
 Iwai Shigeki, 279  
 lyigun, Murat, 31
- Jamieson, George, 248, 340  
 Japan  
   consumption, 622  
   cotton industry, 142–3  
   education, 515, 517  
   gross domestic product (GDP), 19, 19  
   industrialization, 126, 131–2, 146–7,  
   707–8, 730  
   industry in occupied areas, 156–9, 704  
   infrastructure, 463, 464, 471, 479–80, 493

## Index

- Japan (cont.)  
 investment, 439  
 legacy of empire, 702–8  
 Meiji influence on China, 38–9  
 overseas study, 401, 404–11, 408, 524  
 scholarship, 2, 4, 6  
 silk production, 114, 116, 136–8  
 technical expertise, 696, 705  
 trade, 72, 434, 702, 704–5, 715–16  
 treaty ports system, 367  
 Western impact on, 31
- Jardine, Matheson & Co., 134, 142, 426, 441, 466
- Jian family, 349
- Jian Yujie, 149
- Jian Zhaonan, 149
- Jiang Jieshi 蒋介石, 43. *See also* Chiang Kai-shek
- Jiang Qin, 503
- Jiang Qing 江青, 557
- Jiang Rongzhuang, 338
- Jiang Zemin 江泽民, 758, 762, 810, 813
- Jiangnan Plain, 54
- Jiangnan Arsenal, 127, 172
- Jiangnan region, 57, 59  
 commerce and industry, 68, 136, 144  
 consumption, 62  
 living standards, 64  
 population change, 63
- Jiangsu province, 56, 272, 317  
 infrastructure, 468  
 population change, 59  
 taxation, 264  
 textiles industry, 115, 135, 144
- Jiaqing, emperor of Qing 嘉庆皇帝, 49–50, 54, 55, 78, 79, 82
- Jiaxing, Zhejiang, 60
- Jicheng bao*, 346
- Jilin City, Jilin, 57
- Jilin province, 631
- Jin Guantao 金观涛, 29
- Jinan Puyi sugar factory, 114
- Jingdezhen, Jiangxi, 66
- Jingzhou, Hubei, 54
- Jin-Pu Railway, 180
- Jiuda Salt Refinery, 350
- Joffe, Adolf, 544
- joint household economies. *See* family firms;  
 private ordering
- joint-stock companies, 175, 344, 345, 690
- Joint-Stock Companies Act (1856), 345
- Ju Qingyuan 鞠清远, 4
- junxian* system, 535, 537, 538
- Kaiping Coal Mines, 176–7
- Kaixian Gong Village, 106
- Kang Youwei 康有为, 38, 42
- Kangxi, emperor of Qing 康熙皇帝, 51, 69, 71, 73, 391, 420
- Kasahara, Hiroyuki, 667
- Kaske, Elisabeth, 82, 470
- Katō Shigeshi, 4
- Keller, Wolfgang, 448
- Keynesian economics, 6
- Khrushchev, Nikita, 713–15
- Kiakhta, Russia, 69
- Kincheng (Jincheng) Banking Corporation, 311
- Kirby, William, 695, 793
- Kitamura Hironao, 67
- Koito Seisakujo, 157
- Köll, Elisabeth, 180
- Kong Xiangxi 孔祥熙, 267
- Korean War (1950–1953), 694, 698
- Kraus, Richard, 67, 68
- Kueh, Yak Yeow, 660–1
- Kuhn, Philip A., 49
- Kung, James Kai-sing, 393, 503, 650, 659, 665, 669–70, 676, 681–2
- Kuran, Timur, 31
- Kuznets, Simon, 20, 390
- labor allocation, 573–4
- laissez-faire* principle, 170
- land acreage, 97–8
- land distribution, 119–20, 566, 602
- land institutions, 115–19, 118
- land tax, 246, 248, 261, 267–70, 273
- Lardy, Nicholas, 796
- Late Qing Reform (New Policies, 1903–1911), 112, 130, 244, 256
- latent capacity, 800
- latent potential  
 in agriculture, 798–9  
 in industry, 800–1  
 in international and domestic exchange, 797–8
- League of Nations, 448
- Lee, James, 60–1, 65
- Legalism, 170
- Lenin, 541, 543, 544, 546
- Leninist party, 540, 541, 558
- Levine, Ross, 232
- Lewis, W. Arthur, 355
- Li Bingjing, 667
- Li Bozhong 李伯重, 59–60, 68
- Li Chihua, 679
- Li, Dan, 228



## Index

- Li Dazhao 李大钊, 544  
 Li Fusun, 230, 232  
 Li Hongzhang 李鸿章, 35, 38, 141, 171, 174–5, 180, 307, 343, 466  
 Li, Lillian, 51  
 Li lineage, 333  
 Li Peng 李鹏, 757, 762  
 Li Quanshi 李权时, 268  
 Li Siyou lineage trust, 332  
 Li Xiannian 李先念, 738, 741, 748, 799  
 Li Yingzhou, 338  
 Li Yuanhong 黎元洪, 351  
 Liang, Ernest, 473  
 Liang Fangzhong 梁方仲, 5  
 Liang Qichao 梁启超, 33–4, 38, 41–2, 43, 350, 789  
 Liang-Huai salt district, 81, 84  
 Liao dynasty, 51  
 Liao Zhongkai 廖仲恺, 545  
 Liaoning province, 65, 614, 635  
 life expectancy. *See* mortality rates  
 lijin duties, 248–51, 251, 252, 258–60, 264, 265, 267, 270, 478  
 Lin, Justin Yifu, 658, 665, 676  
 Lin Man-houng, 77  
 Lin Zexu 林则徐, 427  
 lineage trusts, 328–32, 349  
 Lingnan region, 50  
 Linxiang, Hunan, 69  
 literacy rates, 505  
 Liu family, 333  
 Liu Hongsheng 刘鸿生, 149, 351  
 Liu Mingchuan 刘铭传, 180  
 Liu Qingfeng 刘少奇, 29  
 Liu Shaoqi, 545, 586, 587, 664  
 Liu Ta-Chung, 608, 615  
 Liu Yan 刘晏, 168  
 Liu Yuan, 676  
 living standards (Maoist era), 606–7  
   agricultural output, 633–4, **634**  
   food consumption trends, 615–24, **617**, **621**, **623**, 632  
   income inequality, 627–31, 628, **630**, 634–9, 637  
   income trends, 608–14, **611**, **612**, **614**  
   mortality record, 624–6, **625**  
   per capita GDP trends, 607–8, 723–5  
   rural poverty, 631–4  
 living standards (Qing era), 62–6  
 loans, 237. *See also* rural credit  
   Maoist era, 690, 694  
   Nanjing Decade, 272  
   Qing era, 259, 261, 296  
   warlord era (1912–1927), 261–3, 266, 472  
   wartime, 275  
 Lü Xuehai, 138  
 Lumey, L.H., 679  
 Lushan Conference (1959), 668, 676  
 luxury goods, 57, 73, 426  
  
 Ma, Debin 马德斌, 64, 137  
 Ma Jianzhong 马建忠, 173  
 Ma Jinhua, 266  
 Ma Junya, 144  
 Macartney, George, 1st Earl Macartney, 15, 423–4  
 Macau, 422, 737  
 machinery, adoption of, 385–8, **388**  
 Mackay Treaty (1902), 260, 264  
 macroeconomics. *See* money markets  
 Maddison, Angus, 607  
 Made in China 2025, 719, 780, 816, 820  
 Mah, Feng-hwa, 694  
 maize, 108  
 Makino Fumio, 125  
 Malthus, Thomas, 58, 60  
 Malthusian framework, 100–3, 101  
 management styles, 148–52  
 Manchuria, 23, 127, 156–7, 160, 471, 479–80, 488, 615, 704–5, 791  
*Manifesto of the First Nationalist Party Congress*, 185  
 Mann Jones, Susan, 49  
 Mao Zedong 毛泽东, 27, 45, 545, 550  
   agricultural decisions, 566, 577, 728  
   Communist institutional origins, 551–5  
   death of, 595  
   Great Famine (1958–1961), 668  
   Great Leap Forward, 643–5, 713–14  
   Soviet relations, 688–92  
   transition to the Great Leap Forward, 580–4  
 Maritime Customs Service (MCS), 33, 40, 252–4, 253, 271, 273  
   government bonds, 227, 229, 231, 263, 264  
   postal system, 459–60  
   tax collection, 36, 71–3, 248, 258–9  
   trade records, 20, 128, 415, 429–33, 431, 442–4, 451–2, 454  
 maritime trade, 71–3, 85  
 market  
   forces, 569, 572–3, 578, 580, 747, 767, 783, 807–15, 825  
   integration, 232–40, 236, 237  
   opening, 810–12, 825  
   outcomes, 812–15

## Index

- market's role in allocating resources, 781, 801, 812
- Marks, Robert, 50
- marriage, 66
- Marxism, 3, 6–7, 27, 45, 544
- Marxism–Leninism, 541, 544, 552
- match production, 147
- Mawei Shipbuilding Yard, 128
- May Fourth Movement (1919), 266
- Mayers, William, 788
- Meiji era, Japan, 16, 17, 31, 38–9, 42, 130, 167, 405, 493
- Meng family, 327, 348
- Meng Zhang, 57, 337
- Meng Xin, 665, 678
- Mentougou coal mines, 336
- merchants  
 as business managers, 344–5  
 business organization, 334, 337–8, 340, 342–3  
 currency use, 214  
 industrial entrepreneurship, 148–9  
 pre-1839 trade, 420, 422  
 state–enterprises relationship, 168, 170, 173–81, 784  
 steamship companies, 465
- metalworks, 178, 582, 656
- Mexico, 215
- migration, 108, 573, 589, 821
- Mikoyan, Anastas, 688, 690
- military institutions, 248
- Minami Ryoshin, 125
- Ming dynasty, 7, 69, 208, 244, 419, 421
- Minimum List of Commodities (MLC), 448
- mining industry, 23, 311, 336–7, 635, 770
- Minong, Taiwan, 333
- minting bureaus, 211
- missionaries, 359, 390–4, 391, 392, 393, 402, 514
- Miyazaki Ichisada, 4
- modern industries, 124–7, 126  
 company founders and management, 148–52  
 education's role, 519–21, 520  
 export-oriented production, 132–40, 135, 139  
 financial capital, 307–12, 308, 310  
 import substitution, 140–8, 141, 143  
 industrialization from abroad, 127–8, 129  
 Maoist era, 568–80, 571, 584, 800–1  
 postwar reorganization, 160–4, 161, 165  
 role of treaty ports, 357–8  
 technology transfer, 128–32, 146  
 wartime, 152–60, 154, 155, 165
- Mokyr, Joel, 31, 390
- money market, 208–11  
 centrally planned command system, 577–80  
 government–private sector relationship, 224–32  
 integration of, 232–40, 236, 237, 239  
 major currencies overview, 211–16  
 role of financial institutions, 216–20, 217, 218, 221  
 role of the state, 220–4, 294–8
- moonshot innovations, 820
- Morck, Randal, 338
- Mori Tokihiko, 143
- mortality rates, 63, 624–6, 625  
 Great Famine (1958–1961), 647–50, 651
- Motono, Eiichi, 36–7
- motor vehicles, 486
- Mukden Incident (1931), 471
- munitions industry, 154, 379, 382, 383
- Murphey, Rhodes, 25
- Muslim Rebellion (1862–1873), 98
- Myers, Ramon, 9, 82
- Naitō Konan, 2, 4
- Nakai Hideeki, 142
- Nakamura Jihei, 67
- Nan Hanchen, 701
- Nanghai, Guangdong, 134, 341
- Nanjing University, 112, 120
- Nanjing, Jiangsu, 43, 66, 114, 371
- Nanyang Brothers Tobacco Company, 113, 131, 149, 349
- Nanyang Gongxue, 130
- Nathan, Andrew, 789
- National Agricultural Research Bureau (NARB), 615
- National Capitalism and the Old Chinese Government*, 373
- National Commercial Bank, 312
- National Defense Planning Commission, 203
- National Federation of Education, 521
- National Resources Commission, 153, 154, 189–91, 203, 205
- native banks (cash shops), 214, 235, 281, 282, 283, 284–6, 285, 291, 291, 295, 297, 298  
 commerce and industry finance, 307–12, 308, 310  
 rural finance, 299, 300  
 social status of, 317
- Native Customs, 248, 253, 259, 273
- native financial institutions, 213, 216, 217. *See also* native banks (cash shops)
- native-place affiliations, 340–1
- natural disasters, 98–9, 99, 576, 595, 660–4, 663

## Index

- navigation transport, 174–6  
 Needham, Joseph, 390  
 network interests, 778–9, 802, 824  
 networks, of bankers, 317–19, **318**  
 New Culture Movement, 525  
 New Democracy, 691  
 New World crops, 53, 93, 108–9  
 newspapers, 344, 346  
 Ni Yuping, 85  
 Niida Noboru, 6  
 Ningbo, Zhejiang, 294, 317, 364, 446, 522  
 Ningjin, Hebei, 75  
 Nippon Railway, 493  
 Nippon Steel, 731  
 Nishijima Sadao, 7  
 North China Development Company, 157  
 Northern Expedition (1927), 788  
 Northwestern Industrial Corporation (NIC), 196–8
- oil production, 596, 728  
 on-the-job training (OJT), 131  
 one-child policy, 727  
 openness, 17, 455, 691, 739, 779–81, 788, 789, 813  
 opium, 73, 75–7, 111, 249, 250, 259, 423, 426–7  
 Opium War (1839–1842), 15, 31, 35, 48, 73, 124, 213, 247, 342, 360, 391, 414, 427  
 Opium War (1856–1860), 254, 361, 428  
 Oriental culture, 2, 3  
 overseas study, 400–11, 402, **403**, **408**, 524–5
- Panzhihua, Sichuan, 636, 638  
 paper money, 210, 215–16, 219, 226–7  
 role of the state, 220–4  
 Paris Peace Conference (1919), 265  
 partnerships, 150, 151. *See also* family firms  
 private ordering, 332–42  
 Party Work Conference (1978), 732–4  
 passive investors, 340  
 patronage, 36, 59, 458, 778  
 pawnshops, 280, 281, **282**, **283**, 284, **285**, 295  
 rural finance, 299, 300, 306  
 social status of, 316, 320
- Pearl river delta, 737  
 Peking University, 525  
 Peng Dehuai 彭德怀, 584  
 Peng Nansheng 彭南生, 146  
 Peng Shihong, 197  
 Peng Xinwei 彭信威, 8  
 Peng Yuxin 彭雨新, 69  
 Peng Zeyi 彭泽益, 66–7, 76  
*pengmin* (shed people), 53
- People's Bank of China. *See* Central Bank (PBoC)  
 People's Commune, 553–4, 583, 645, 657–9, 675–7, 724  
 Perkins, Dwight, 9, 59, 68, 90, 96, 232, 505, 795  
 personal networks, 778–9  
 petroleum industry, 191  
 Piazza, Alan, 607, 616  
 Ping County coal mine, 307  
 planned (command) economy, 45, 567–80, 749  
 productivity stagnation, 796–7  
 Platt, Stephen, 780  
 Plekhanov, Georgi, 543  
 Pomeranz, Kenneth, 16, 62–3, 70, 83  
 population changes  
 diffusion of New World crops, 108  
 Maoist era, 588–9, 590, 726–7  
 Qing era, 57–66, 98–9, 99  
 Reform Era, 801
- porcelain, 66  
 postal system, 459–60  
 postwar industrial reorganization, 160–4, 161  
 Potter, Sulamith, 617  
 Pottinger, Henry, 428  
 poverty, 631–4  
 price increases. *See* inflation  
 primary school enrollment, 794  
 primary sector. *See* agriculture  
 Prince Gong (Yixin), 171  
 prison reform, 791  
 private ordering, 332–42  
 private plots, 567, 574, 584, 587, 617–18, 619, 726, 797  
 privately owned firms, 155, 373, 493. *See also* foreign firms  
 privatization, 559, 562, 603, 750, 767, 809, 810–11  
 producer co-operatives, 566, 567, 574–6, 583, 657, 724  
 productivity growth, 233, 598–9, 801–2, 806, 813, 815, 822–3, 825  
 fall in, 658, 785, 795, 796–7, 823, 827  
 property rights, 83, 115, 117, 535, 554, 559–60  
 provincial finances, 245, 251–5  
 Qing centralization, 255–61, **256**, **257**
- public finance, 244–6  
 Nanjing Decade, 267–72, **269**  
 Qing centralization, 255–61, **256**, **257**  
 Qing fiscal governance, 77–82, **80**, 246–55, 251, 253  
 warlord era (1912–1927), 261–6, **262**  
 wartime, 272–7, **276**
- public-health campaigns, 626

## Index

- Puqi, Hubei, 69  
 purchase shares, 300  
 Putterman, Louis, 659
- Qi Xin Cement Co., 181  
 Qian Changzhao, 187, 189, 191  
 Qian, Nancy, 665, 678  
 Qianlong, emperor of Qing 乾隆皇帝, 15,  
 48–9, 77, 79, 82, 421, 423–4. *See also*  
 Gaozong, emperor of Qing
- Qianmen, Beijing, 327  
 Qimen, Anhui, 333  
 Qin Shihuang 秦始皇, emperor of Qin, 45, 55  
 Qing political regime, overview, 28–31, 48–50  
 Qingdao–Ji'nan railroad, 474  
 Qiyang, Hunan, 67  
*qiying baotai* formula, 48  
 Qu Fulu, 338  
 Quan Hansheng 全汉升, 4, 5, 178
- railroad army corps, 489  
 Railroad Bureau, 472–3  
 railroad development, 180, 260, 466–71, 468  
   freight transportation, 473–80  
   interruptions to, 471–3  
   passenger transportation, 480–4, 481  
   postwar expansion, 488–92  
 Rawski, Thomas, 20, 22, 164, 233, 465, 473, 475,  
 499, 505, 795  
 Readjustment Program (1979–1981), 733,  
 735–6, 745  
*Red Flag*, 553  
 Red Guards, 592  
 Reform Era, 722–3, 801–2  
   baseline, 723–9, 724  
   beginning of economic reform, 729–36  
   breakthroughs of the 1990s, 758–68, 764,  
   768, 807–15  
   creativity of the 1980s, 748–58, 756, 802–7  
   culmination and post-transition, 768–71  
   opening up foreign exchange, 736–40  
   post-Global Financial Crisis (2008), 815–23  
   renewed reform and dynamics, 744–8  
   rural reform, 740–4  
 regional competitions, 559–61  
 regional currencies, 209, 213  
 regional merchant groupings, 340  
 regionally decentralized authoritarianism  
   (RDA), 532, 556–63  
 regionally decentralized totalitarianism  
   (RDT), 532–3, 551–6  
 Reinhardt, Anne, 465, 492  
 Remer, C.F., 439
- remittance houses, 281, 282, 283, 283–6, 285,  
 287, 288, 295–6, 297  
 Reorganization Loan (1913), 261, 264, 266  
*Report on Conditions of Chinese Industry*, 203–4  
 responsibility system, 586, 660, 744, 745  
 Restoration Society, 406  
 revenue figures, 262, 760  
   Nanjing Decade, 269  
   Qing era, 78–82, 80, 256, 257  
   wartime, 276  
 Revive China Society, 406  
 revolutionists, 406–11, 407, 408  
 rice prices, 63, 67  
 rice trade, 52, 67–9, 72  
 Rishengchang, 338  
 road development, 484–7  
 Roll, Charles, 796  
 Rong family, 149, 311, 321, 349  
 rotating credit societies, 280, 281, 282, 283, 285  
 Rubin, Jared, 31  
 Ruifuxiang, 327, 348  
 rural construction, 583, 586  
 rural co-operative treasuries, 287, 292, 293,  
 299–300, 301, 302, 303, 306  
 rural credit, 119–20, 121, 298–307, 301, 302, 303,  
 305, 306  
 rural reforms, 740–4, 746, 771  
 Russell & Co., 134  
 Russia, 69, 439, 471. *See also* Soviet Union  
   (USSR)  
   origins of totalitarianism, 541–3  
   totalitarian influence on China, 544–6
- S.C. Farnham and Co., 128  
 salt industry, 80–1, 337  
   family firms, 328, 329–30, 331–2  
   Sichuan province, 66, 83–4  
   taxation, 247, 249, 250, 260, 262–3, 264,  
   271, 273  
 Sands, Barbara, 119  
 Santiaoshi district, Tianjin, 130  
 seagoing junk business, 170  
 seasonal labor, 104–5, 105  
 Second National Finance Conference  
   (1934), 270  
 self-initiated ports (SIPs), 357, 358, 362, 363–5,  
 365, 366, 371  
   long-term effects of, 388, 389  
   modernization, 376–8, 388, 388  
   overseas study, 403  
   universities, 394–9, 396, 397, 400  
 self-reliance, 707, 711, 718, 781, 797  
 Self-Strengthening Movement, 35, 38, 167

## Index

- arsenal industry, 171–3  
 business organization, 345  
 modern industries, 343–4, 357, 379  
 officials and business enterprise, 173–81  
 origins of, 577–8  
 semi-industrial firms, 151–2, 163, 164  
 sericulture, 114–15, 116, 135, 136  
 sex ratios, 65  
 Shaanxi province, 52, 75  
 Shandong province, 468, 485  
 Shang Yue 尚鉞, 7  
 Shanghai  
   banks, 40, 217–19, 218, 223, 227, 229, 237, 272,  
     292–4, 296, 311  
   cotton industry, 23, 142, 143, 145, 159  
   education system, 518  
   infrastructure, 461, 466, 483, 487  
   Reform Era, 739–40, 760  
   silk industry, 133–4, 136  
   trade, 70, 436–8, 438, 442–4, 443, 446, 731  
   treaty ports system, 371, 786  
   Western impact and investment, 27, 32,  
     363, 441  
 Shanghai Commercial and Savings Bank, 217,  
   311, 320  
 Shanghai–Hangzhou railroad, 484  
 Shanghai Merchant Steamship Company, 150  
 Shanghai–Nanjing railroad, 475, 481  
 Shanghai Native Bankers’ Association, 40  
 Shanghai Stock Exchange, 313, 315, 817  
*Shanghai xinbao*, 346  
 Shangyu, Zhejiang, 326  
 Shanxi province, 196–8, 284, 288, 339, 521  
 Shaoxing, Zhejiang, 317  
 sharecropping, 116  
 shareholder partnerships, 332–42  
 shares market, 346–7  
 shed people (*pengmin*), 53  
 Shekou, Guangdong, 739  
 Shen Baozhen 沈葆楨, 172  
 Shen Xin company, 149, 150  
*Shenbao*, 346, 347  
 Sheng Xuanhuai 盛宣怀, 130, 174, 177, 179–80,  
   296, 461  
 Shenyang, Liaoning, 234  
 Shenyi tang, 331  
 Shenzhen, Guangdong, 737, 738, 747, 762, 786  
 Shi Zhihong 史志宏, 90, 93, 97  
 Shiba Yoshinobu, 9  
 shipbuilding, 127–8, 493, 817  
 Shiue, Carol H., 448  
 Shunde, Guangdong, 135  
 Siam, 72  
 Sichuan province, 470, 803  
   ecological changes, 52  
   financial institutions, 288, 300  
   Great Famine (1958–1961), 650  
   living standards, 631, 636  
   modern industries, 153, 593  
   salt industry, 66, 250  
   silk industry, 135, 158  
 silk industry, 111, 131–2  
   business organization, 339  
   export-oriented, 133–8, 135, 139  
   freight transportation, 475  
   sericultural improvements, 114–15, 116  
   wartime production, 158–9  
 Silk Road trade, 417  
 silver, 208–10, 213–15  
   Daoguang depression, 74, 74–7  
   money market integration, 232–5, 238  
   role of banks, 219–20  
   role of the state, 220  
 Sinking Fund Commission, 44, 229–32  
 Sino-American Trade Commercial Treaty  
   (1946), 162  
 Sino-Foreign Salt Inspectorate, 262, 271, 273  
 Sino-Japanese War (1894–1895), 31, 33, 37, 38,  
   130, 179, 244, 255, 259, 401, 463, 467, 703  
 Sino-Japanese War (1937–1945), 185, 189, 197,  
   198, 205  
   modern industries, 152, 154, 155  
   public finance, 272–7, 276  
 Skinner, G. William, 9, 71  
 small-scale firms, 151–2, 164, 571, 767  
 Smithian growth, 102  
 smuggling, 80, 430, 478  
 soap production, 147–8  
 Social History Debate, 3  
 Soda Saburo, 135  
 SOEs. *See* state-owned enterprises (SOEs)  
 Sogabe Shizuo, 4  
 soil fertility, 53  
 Sommer, Matthew, 66  
 Song dynasty, 215, 328, 342  
 Song family, 43  
 Song Ziwen 宋子文, 44, 267, 271, 272  
 Songjiang–Taicang region, 145  
 South China Sea, 418, 420  
 South Manchurian Railway, 156, 287, 471, 479–80  
 Southeast Asian trade, 72  
 Southern Huai salt industry, 250  
 Southern Tour (1992), 758, 808  
 Soviet Growth Model (1949–1978)  
   centrally planned command economy,  
     567–9

## Index

- Soviet Growth Model (1949–1978) (cont.)  
 command system in 1956–1957 period,  
 569–80, 571  
 early years, 565–7  
 economic recovery 1961–1964, 585–91,  
 590, 591  
 evaluation of economic performance, 597,  
 597–605, 598, 600, 601, 604  
 organization and policies 1965–1978, 591–7  
 transition to the Great Leap Forward,  
 580–5, 585
- Soviet Union (USSR), 187, 479, 593, 688–97,  
 713–15  
 Cold War geopolitical and economic blocs,  
 697–702  
 loans and aid, 275, 712  
 railway development, 488  
 technical expertise, 490  
 trade, 695
- Spanish pesos, 209, 214
- special economic zones (SEZs), 738–9, 745,  
 747, 759, 804
- Spence, Jonathan, 390
- sprouts of capitalism, 7, 27
- Stalin, 545, 549, 691–2
- Standard International Trade Classification  
 (SITC), 448
- state capitalism, 153, 815–23
- state incapacity, 759, 776
- State Planning Commission, 577–8, 582, 713
- State Statistical Bureau (SSB), 608, 609, 618,  
 619, 634
- state trading companies, 578
- state weakness, 776, 779, 825
- state's non-economic objectives, 802
- state–enterprises relationship, 167, 344. *See also*  
 planned (command) economy  
 arsenal industry, 171–3  
 developing central state enterprises, 188–91  
 Guangdong enterprises, 192–6  
 Guizhou enterprises, 198–202  
 ideology of the developmental state, 184–8  
 officials and business enterprise, 173–81, 784  
 origin of self-strengthening, 577–8  
 public versus private enterprise, 202–6  
 Reform Era, 809, 818  
 Shanxi enterprises, 196–8
- state-led development, 152, 813
- State-owned Assets Supervisory Commission  
 (SASAC), 562, 784
- state-owned enterprises (SOEs), 66, 127, 152,  
 184–6, 189, 555–6, 740, 747, 753, 767, 784,  
 809, 826
- state-sector downsizing, 809, 814
- Stauffer, Milton, 391
- steam engines, 385–8, 387, 388
- steamships, 464–6
- steel industry, 156, 582, 656
- stock markets, 312–16, 314, 315, 762
- Study of China's Ancient Society* (1930), 3
- Sudō Yoshiyuki, 6
- sugar, 62, 114
- Sugihara, Kaoru, 132
- Suiping, Henan, 553
- Sun Lanzhi, 76
- Sun Pinghua, 704
- Sun Yat-sen 孙逸仙, 185, 188, 261, 263, 266,  
 406, 493, 543
- Sun Yefang 孙冶方, 586
- Sun Yingde, 341
- Sun–Joffe Manifesto, 544
- Sunrise* (Cao Yu), 317
- surveillance, 777
- Suzhou, Jiangsu, 466
- Suzuki Chusei, 53
- sweet potatoes, 108, 618
- symbolic capital, 170
- System Reform Institute, 753
- Taiping Rebellion (1851–1864), 33, 35, 60, 63, 85,  
 98, 124, 127, 171, 224, 244, 343, 429, 466, 786
- Taiwan, 339, 811
- Taiyuan, Shanxi, 197
- Takasaki Tatsunosuke, 704
- Tanaka Issei, 63
- Tanaka Masatoshi, 7
- Tangshan earthquake (1976), 176, 595
- Tang–Song transition, 2, 4, 6, 417
- Tao Xisheng 陶希圣, 4
- Tao Zhu, 56, 81
- Tawney, R.H., 25
- tax collectors, 250, 254
- taxation. *See also* *lijin* duties  
 Nanjing Decade, 267–72  
 Qing era, 79–80, 244, 247, 248–51, 251, 252–5,  
 253, 258–60, 429–30  
 Reform Era, 765, 808, 812  
 remittance houses, 295–6  
 silver payments, 213, 214  
 trade, 36–7, 248–51, 251, 429–30  
 warlord era (1912–1927), 261, 262–6  
 wartime, 272–7
- tea, 62, 69–70, 72, 110, 426
- teacher-training schools, 518, 518, 522
- technical education, 128, 130–1, 132, 173, 359
- technological changes

## Index

- economic effects from, 444–7, 445, **446**  
 pre-1950 period, 34–7  
 technology transfer, 128–32, 146, 730–2  
 telegraph communication, 460–4  
 Telford, Ted, 65  
 Temple Destruction Movement, 512  
 tenancy system, 116–17, **118**  
 Teng, Ssu-yu, 26, 419  
 textiles. *See* cotton industry; silk industry  
 TFP (total factor productivity), **598**, 795, 799,  
 807, 822  
 Thaxton, Ralph A., 619  
 Third Five-Year Plan (1966–1970), 591, 594, 596  
 Third Front, 593–4, 637, 800  
 Third National Finance Conference (1941), 273  
 Third Plenum (1978), 732, 735–6  
 Third Plenum (1993), 763–6, **764**  
 Three Doctrines of the People, 185, 186,  
 192, 511  
 Three Gorges, 98  
 Tiananmen Square Protests (1989), 562, 757  
 Tianjin, 130, 147, 217, 218, 294, 317, 444, 461,  
 467, 476  
 Tianjin Arsenal, 128  
 Tianjin Soap Company, 147  
 Tianjin Technology and Business  
 University, 520  
 Tianjin–Pukou railroad, 467–70, 474, 478, 483  
 timber industry, 337  
 Ting Zhili, 515  
 tobacco, 112–13, 274, 477  
 Toffler, Alvin, 751  
 Tokugawa era, Japan, 31, 42, 72, 505  
 Tong Kingsing 唐景星, 174, 177  
 Tongcheng, Anhui, 65  
*Tongmenghui* (Alliance Society), 526  
 Tongrentang, 327, 335  
 Tongzhi Restoration (1861–1875), 35  
 Tongzhi, emperor of Qing, 171  
 total factor productivity. *See* TFP (total factor  
 productivity)  
 Township and Village Enterprises (TVEs),  
 746, 747, 753, 783, 804  
 Toyota company, 157  
 transfer of technology. *See* technology  
 transfer  
 translated works, 38  
 transportation infrastructure, 457–8  
 freight transportation, 473–80, **491**, 491–2  
 interruptions to railroad development,  
 471–3  
 motor roads and aviation, 484–8  
 passenger transportation, 480–4, 481  
 postwar rail expansion, 488–92, **491**  
 steamships and railroads, 464–71, 468  
 Treaty of Nanking (1842), 21, 252, 360, 371, 379,  
 391, 427–8, 464  
 Treaty of Shimonoseki (1895), 37, 142, 259, 362,  
 364, 376, 441, 703  
 Treaty of Tientsin (1858), 391, 428, 464  
 treaty ports system, 15, 40, 44, 354, 356, 358–9  
 early industrialization, 124, 128  
 foreign investment and debt, 365–70, 367,  
 368, 369, 370, 371, **439**, 439–42, **440**, 442  
 geography of, 365, 369  
 hinterland economic zones, 427–8  
 human capital development, 390–9, 391, 392,  
**393**, 395, 396, **397**, 400  
 long-term effects of, 388, **389**  
 Maritime Customs records, 429–33, 431  
 market integration, 370–3, 372  
 modernization, 373–88, 374, 375, 377, 378, **379**,  
 380, 382, **383**, 385, 387, **388**  
 origins of, 360–3, 361, 362  
 overseas study, 402–4, **403**  
 spillover benefits, 447–8  
 taxation, 36, 252  
 trade, 414, 427  
 Triads, 543  
 tributary system, 29, 246, 418–20  
 Tsinghua University, 524  
 Twitchett, Denis, 9  
 underutilized resources, 796  
 United States of America (USA)  
 Cold War trade embargo, 697–702  
 gross domestic product (GDP), **239**, 246  
 infrastructure, 488  
 loans, 275  
 overseas study, 524  
 trade, 136, 161–2, 435  
 Vietnam War, 593  
 universities, 394–9, 395, 396, **397**, 400, 404, 524, 594  
 van de Ven, Hans, 252, 259  
 Vietnam, 593  
 Viraphol, Sarasin, 72  
 Vogel, Hans Ulrich, 75  
 Voitinsky, Grigori, 544  
 von Glahn, Richard, 61, 68, 74, 77, 82  
 wage levels  
 Maoist era, 573–4, 590–1, **591**, 600, 602,  
 614, 636  
 Qing era, 61, 64, 95, 96, 105  
 Wan Li 万里, 733–4, 741, 743

## Index

- Wancheng dike, Hubei, 54  
 Wang Fansen 王汎森, 17, 30  
 Wang Jiayang 王稼祥, 545  
 Wang lineage trust, 329–30  
 Wang Luman, 296  
 Wang Qisheng 王奇生, 524  
 Wang Renzhong 王任重, 741  
 Wang Yangming 王阳明, 43  
 Wang Yaosheng 王耀生, 502  
 Wang Yeh-chien 王业健, 63, 73, 75, 79, 82, 245  
 Wang, Yejian 王业健, 237  
 Wang Zhiyi, 54  
 Wangquantang, 327  
*Warnings to a Prosperous Age*, 130  
 Washington Naval Conference (1921), 265  
 water control, 54–6  
 Weber, Max, 3, 393  
 Wei Xian, Shandong, 145  
 Wei Yuan 魏源, 56, 79, 170, 779  
 Wei Xiahai, 676  
 Weifang, Shandong, 152  
 well-field system, 169  
 Wen Jiabao 温家宝, 769  
 Wen lineage, 331  
 Wen Xiang 文祥, 171  
 Wen, Guanzhong James, 675, 676  
 Weng Wenhao 翁文灏, 186, 187, 188, 189, 191  
 Wen-hsin Yeh, 317  
 Western culture, 2, 41–3  
 Western impact, 354–60, 779–81  
   business organization, 342–8  
   firms and banks data, 21–2, 22  
   foreign investment and debt, 365–70, 367, 368, 369, 370, 371  
   human capital development, 390–9, 391, 392, 393, 395, 396, 397, 400  
   impact–response framework, 26–8, 32–4, 40  
   long-term effects of treaty ports, 388, 389  
   overseas study and political change, 400–11, 402, 403, 408  
   self-initiated ports (SIPs), 363–5, 365, 366  
   treaty ports and market integration, 370–3, 372  
   treaty ports and modernization, 373–88, 374, 375, 377, 378, 379, 380, 382, 383, 385, 387, 388  
   treaty ports system, 36–7, 360–3, 361, 362  
 Western scholarship, 8–11  
 White Lotus Rebellion (1796–1804), 54, 79, 246  
 Will, Pierre-Étienne, 52  
 Wittfogel, Karl, 3  
 working capital, 338–40  
 World Bank, 609, 624, 635, 751, 770, 781  
 World Trade Organization (WTO), 767, 776, 785, 811, 814  
 Wright, Mary, 35  
 Wright, Tim, 144  
 Wu Chengming 吴承明, 10, 69, 73, 109, 275  
 Wu Dingchang, 198–9, 200  
 Wu Hui 吴慧, 90, 92, 94  
 Wu Songdi, 233  
 Wu Xiaozhen, 65  
 Wuchang Uprising (1911), 406  
 Wuchang, Hubei, 63  
 Wuhan, Hubei, 614, 635  
 Wuxi, Jiangsu, 114, 115, 135, 137  
 Xi Jinping 习近平, 780, 785, 816, 819  
 Xi Zhongxun 习仲勋, 548, 670  
 Xianfeng, emperor of Qing 咸丰皇帝, 224  
 Xiang river, 52  
 Xiangyin county, Hunan, 54  
 Xianning, Hubei, 71  
 Xiaogangcun, Anhui, 741  
 Xiaoshan, Zhejiang, 63  
 Xicun, Guangdong, 192  
 Xie Nanming, 149  
 Xin Deyong, 98  
 Xinhai Revolution (1911), 359, 400, 401, 406  
 Xinjiang region, 49, 77, 289  
 Xiuning, Anhui, 333  
 Xu Dixin 许涤新, 109  
 Xu Rongting, 351  
 Xu Run, 307  
 Xu Xinwu 徐新吾, 145  
 Xue Muqiao 薛暮桥, 751  
 Yan Xishan 阎锡山, 196–7, 288  
 Yan Yutang, 149  
 Yan Hongzhong, 236  
 Yan'an Rectification Campaign (1942), 545, 550  
 Yan'an, Shaanxi, 565  
 Yang, Dennis Tao, 796  
 Yang Fan, 338  
 Yang Jisheng 杨继绳, 648  
 Yang Lien-sheng, 8  
 Yang Nianqun 杨念群, 34  
 Yang Xifu, 52  
 Yanglinzhai, Hunan, 54  
 Yangzhou, Jiangsu, 81, 84  
 Yangzi river, 52, 362, 464–5, 467  
 Yao Yilin 姚依林, 757  
 Yared, Pierre, 665  
 Yeh, Kung-chia, 608, 615  
 Yellow River, 55, 246, 247  
 Yinghe (Qing official), 56



## Index

- Yong An company, 150  
 Yongtai Company, 114, 137  
 Yongzheng, emperor of Qing 雍正皇帝, 51, 82  
 Yongzhou, Hunan, 67  
 Yoon, Wook, 462  
 Yu Jieqiong, 74  
 Yu Zhiqing, 149  
 Yuan Geng 袁庚, 738  
 Yuan Shikai 袁世凯, 130, 173, 181, 226,  
 261–4, 266  
 Yuchtman, Noam, 520  
 Yue Fengyi, 327  
 Yue Xianyang, 327  
 Yuezhou, Hunan, 364  
 Yugan, Jiangxi, 521  
 Yunnan province, 153, 629
- Zeng Guofan 曾国藩, 35, 38, 43, 171  
 Zhang Fangzuo, 131  
 Zhang Jian 张謇, 132, 149, 178–9, 185, 342, 467  
 Zhang Naiqi, 316  
 Zhang Zhidong 张之洞, 113, 173, 178–9, 351,  
 364, 401, 780  
 Zhangjiakou, Hebei, 69  
 Zhangqiu, Shandong, 55
- Zhao Erxun, 114  
 Zhao Ziyang 赵紫阳, 562, 741, 743, 748–9,  
 751–2, 755–7, 781  
*zhaoshang* system, 168, 170  
 Zhejiang province, 60, 82, 115, 272, 317  
 Zheng empire, 419  
 Zheng Guanying 郑观应, 130, 141, 149, 173, 461  
 Zhili Gongyiju, 131, 148  
 Zhili province, 50, 130, 174, 468, 485, 502  
 Zhou Enlai 周恩来, 552, 595, 707, 709–12,  
 715, 728  
 Zhou Heng Shun factory, 130  
 Zhou Xuexi 周学熙, 149, 181, 185  
 Zhou Yumin, 252  
 Zhou Ziqi, 264  
 Zhou Hao, 796  
 Zhou Li-An, 678  
 Zhou, Titi, 650, 670  
 Zhu Jiaye, 521  
 Zhu Rongji 朱镕基, 762, 765–8, 776, 810  
 Zhu Xianfang, 131  
 Zigong, Sichuan, 329, 331,  
 337, 339  
 Zongli Yamen (Foreign Office), 254  
 Zuo Zongtang 左宗棠, 171, 172, 254