

SPONTANEOUS SPOKEN ENGLISH

A new, thought-provoking book on the theory of grammar and language processing, Spontaneous Spoken English is based on the analysis of authentic speech produced in real time. Drawing on insights from cognitive psychology, neurology, and conversation analysis, the author offers a fascinating, easy-to-follow account of why spoken English is structured the way it is. The traditional product-based approach to grammar is given up in favor of a dynamic, speaker-based perspective that integrates language-structural, neurocognitive, and dialogic aspects of speech production. Based on fresh empirical research, Haselow argues that grammatical knowledge rests upon two cognitive principles of linearization called *microgrammar* and *macrogrammar*, which are shown to interact in various ways. The book discusses a broad range of speech phenomena under an integrated framework, such as the omnipresence of "unintegrated" constituents (e.g. discourse markers), ellipses, or the allegedly "fragmented" character of syntax, and explains the mechanisms of processing efficiency that guide syntactic planning.

Alexander Haselow is Assistant Professor of English Linguistics at the University of Rostock. His current research focuses on the cognitive, dialogic, and neural mechanisms underlying the production and perception of speech in real time. He is the author of *Typological Changes in the Lexicon – Analytic Tendencies in English Noun Formation* (2011) and co-editor of *Final Particles* (2015).



STUDIES IN ENGLISH LANGUAGE

General Editor: Merja Kytö (Uppsala University)

Editorial Board: Bas Aarts (University College London), John Algeo (University of Georgia), Susan Fitzmaurice (University of Sheffield), Christian Mair (University of Freiburg), Charles F. Meyer (University of Massachusetts)

The aim of this series is to provide a framework for original studies of English, both present-day and past. All books are based securely on empirical research, and represent theoretical and descriptive contributions to our knowledge of national and international varieties of English, both written and spoken. The series covers a broad range of topics and approaches, including syntax, phonology, grammar, vocabulary, discourse, pragmatics, and sociolinguistics, and is aimed at an international readership.

Already Published in This Series

HARUKO MOMMA:

From Philology to English Studies: Language and Culture in the Nineteenth Century

RAYMOND HICKEY (ED.):

Standards of English: Codified Varieties Around the World

BENEDIKT SZMRECSANYI:

Grammatical Variation in British English Dialects: A Study in Corpus-Based Dialectometry

DANIEL SCHREIER AND MARIANNE HUNDT (EDS.): English as a Contact Language

BAS AARTS, JOANNE CLOSE, GEOFFREY LEECH AND SEAN WALLIS (EDS.): The Verb Phrase in English: Investigating Recent Language Change with Corpora

MARTIN HILPERT:

Constructional Change in English: Developments in Allomorphy, Word Formation, and Syntax

JAKOB R. E. LEIMGRUBER:

Singapore English: Structure, Variation and Usage

CHRISTOPH RÜHLEMANN:

Narrative in English Conversation

DAGMAR DEUBER:

English in the Caribbean: Variation, Style and Standards in Jamaica and Trinidad

EVA BERLAGE:

Noun Phrase Complexity in English



NICOLE DEHÉ:

Parentheticals in Spoken English: The Syntax-Prosody Relation

JOCK ONN WONG:

English in Singapore: A Cultural Analysis

ANITA AUER, DANIEL SCHREIER AND RICHARD J. WATTS:

Letter Writing and Language Change

MARIANNE HUNDT:

Late Modern English Syntax

IRMA TAAVITSAINEN, MERJA KYTO, CLAUDIA CLARIDGE, AND JEREMY

Developments in English: Expanding Electronic Evidence

ARNE LOHMANN:

English Co-ordinate Constructions: A Processing Perspective on Constituent Order

JOHN FLOWERDEW AND RICHARD W. FOREST:

Signalling Nouns in English: A Corpus-Based Discourse Approach

JEFFREY P. WILLIAMS, EDGAR W. SCHNEIDER, PETER TRUDGILL, AND DANIEL SCHREIER:

Further Studies in the Lesser-Known Varieties of English

NURIA YÁÑEZ-BOUZA:

Grammar, Rhetoric and Usage in English: Preposition Placement 1500–1900

JACK GRIEVE:

Regional Variation in Written American English

DOUGLAS BIBER AND BETHANY GRAY:

Grammatical Complexity in Academic English: Linguistics Change in Writing

GJERTRUD FLERMOEN STENBRENDEN:

Long-Vowel Shifts in English, c. 1050–1700: Evidence from Spelling

ZOYA G. PROSHINA AND ANNA A. EDDY:

Russian English: History, Functions, and Features

RAYMOND HICKEY:

Listening to the Past: Audio Records of Accents of English

PHILLIP WALLAGE:

Negation in Early English: Grammatical and Functional Change

JOANNA KOPACZYK AND HANS SAUER (EDS.):

Binomials in the History of English: Fixed and flexible

MARIANNE HUNDT, SANDRA MOLLIN AND SIMONE E. PFENNINGER (EDS.):

The Changing English Language: Psycholinguistic Perspectives

Earlier titles not listed are also available



SPONTANEOUS SPOKEN ENGLISH

An Integrated Approach to the Emergent Grammar of Speech

ALEXANDER HASELOW

University of Rostock





CAMBRIDGEUNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom
One Liberty Plaza, 20th Floor, New York, NY 10006, USA
477 Williamstown Road, Port Melbourne, VIC 3207, Australia
4843/24, 2nd Floor, Ansari Road, Daryaganj, Delhi – 110002, India
79 Anson Road, #06–04/06, Singapore 079906

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning, and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9781108417211 DOI: 10.1017/9781108265089

© Alexander Haselow 2017

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2017

Printed in the United Kingdom by Clays, St Ives plc

A catalogue record for this publication is available from the British Library.

Library of Congress Cataloging-in-Publication Data NAMES: Haselow, Alexander, author.

TITLE: Spontaneous spoken English: an integrated approach to the emergent grammar of speech / Alexander Haselow, University of Rostock.

DESCRIPTION: Cambridge, England; New York: Cambridge University Press,
2017. | Series: Studies in English language | Includes bibliographical references and index.

IDENTIFIERS: LCCN 2017022978 | ISBN 9781108417211 (hardcover) SUBJECTS: LCSH: English language – Spoken English. | English language – Grammar. | BISAC: LANGUAGE ARTS & DISCIPLINES / General.

CLASSIFICATION: LCC PEI074.8 .H37 2017 | DDC 428.2/4–dc23 LC record available at https://lccn.loc.gov/2017022978

ISBN 978-1-108-41721-1 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.



Contents

Lis	st of	Figures	page x
		Tables	xi xi
		vledgments	xii
		· ·	xiv
Lt	st of .	Abbreviations	xvi
I	Int	roduction	I
	I.I	Product-Based vs. Process-Based Views of Grammar	3
	1.2	Spontaneous Speech as a Challenge for Grammatical Modeling	8
		1.2.1 "Unintegrated" Expressions	9
		1.2.2 "Disintegrated" and "Defective" Syntax	15
	1.3	Grammatical Dualism	22
	1.4	Toward a Dualistic Organization of Grammar	27
	1.5	Descriptive Dualism and Cognitive Dualism	31
	1.6	Unit of Analysis	32
	1.7	The Structure of the Present Book	34
	1.8	Database and Methodological Approach	36
	1.9	Conclusions	40
2	To	ward an Interfield Approach to the Study of Spontaneous	
	Spe	eech	43
	2.1	Structuration Theory and Linguistics	44
	2.2	Integrationalism	49
	2.3	An Interfield Approach to the Grammar of Spontaneous Speech	52
	2.4	Grammatical Analysis: Language as a System	55
	2.5	Conversation Analysis: Language as a Means to Organize Conversationa	1
		Interaction	61
	2.6	The Cognitive Neuroscience of Language: Language as a Window	
		to the Mind and the Architecture of the Brain	66
	2.7	Characteristics of Spontaneous Speech	75
	2.8	Conclusion	78



viii Contents

3	ΑI	Dualistic Approach to Grammar: <i>Microgrammar</i> and	
,		crogrammar	80
	3.I	The Conceptualization of "Grammar"	84
	3.2	Microgrammar	87
	3.3	Macrogrammar	99
	3.4	The Relation between Microgrammar and Macrogrammar	116
	3.5	Conclusion	122
4	Lin	earization and Macrogrammatical Fields	124
		Linearization in Macrogrammar: Cognitive Phases and Fields	124
	4.2	multiple to the record of the control of the contro	127
	4.3	The Initial Field	129
		4.3.1 Interjections	133
		4.3.2 Initial Adverbs	138
		4.3.3 Discourse Markers	141
		4.3.4 Address Terms/Vocatives	144
		4.3.5 Parentheticals/Comment Clauses	145
		4.3.6 Clause-Like Fragments	149
		4.3.7 Expressions in the <i>Initial Field</i> as Macrogrammatical Elements	151
		4.3.8 Functions of the <i>Initial Field</i>	151
	4.4	The Medial Fields	153
		4.4.1 The Post-Initial Phase	153
		4.4.2 <i>Medial Fields</i> at Later Points in Time	161
	4.5	The Final Field	172
		4.5.1 Conceptualization of the <i>Final Field</i>	174
		4.5.2 Macrogrammatical Expressions in the Final Field	180
		4.5.2.1 Final Adverbs	182
		4.5.2.2 Linking Adverbs/Final Particles	186
		4.5.2.3 Address Terms/Vocatives	190
		4.5.2.4 General Extenders	192
		4.5.2.5 Parentheticals/Comment Clauses	195
		4.5.2.6 Tag Questions	197
		4.5.2.7 Independent If-Clauses ("Chunks")	199
		4.5.3 Expressions in the <i>Final Field</i> as Macrogrammatical Elements	201
		4.5.4 Functions of the <i>Final Field</i>	202
	4.6	Beyond the Final Field	203
	4.7	Units of Talk without Fields	205
	4.8	Conclusion	207
5	Ma	crogrammar and the Linearization of Structural	
	Seg	ments	209
	5.1		209
	5.2	, ,	214
	5.3	Minimal Structures (Ellipses)	219
	5.4	Chaining Syntactic Segments	229



		Contents	ix
	5.5	Structural Units Based on Far-Reaching Projections	240
		5.5.1 Syntactic Mismatches	249
		5.5.2 Explaining the Capacity Limits of the Working Memory in	
		Syntactic Processing	255
		5.5.3 Individual Differences in Working Memory Capacity	257
	5.6	Conclusion	259
6	Neurolinguistic Evidence for the Grammatical Dualism		
	Assumption		262
	6. ₁	Linguistic Representation in the Brain	263
	6.2	Novel vs. Formulaic Speech	267
	6.3	Discourse Organization	272
	6.4	The Organization of Speaker–Addressee Interaction	277
	6.5	Critical Remarks on the Nature of Neurolinguistic Evidence	281
	6.6	Conclusions	284
7	Conclusions		288
	7 . I	Points of Critique	292
	7.2	Outline for Further Research	293
Re	feren	ces	296
_	Index		



Figures

2. I	The combination of three research fields relevant for the	page 54
	study of spontaneous speech into an interfield approach	
2.2	The four-component model of the working memory	68
3. I	Dependency distances within a sample clause	98
3.2	The relationship between microgrammar and macrogrammar	117
4. I	Schematic representation of the final field	177
4.2	Abstract discourse schema for final particles	188
6.1	Brain areas in the LH whose neural networks control	264
	language processing	



Tables

I.I	The spoken components of the ICE-GB	page 37
3. I	The temporal dynamics of communicative tasks during the	113
	production of a unit of talk	
	Macrogrammatical expressions in the initial field	132
4.2	The occurrence of <i>uh</i> and <i>uhm</i> within a unit of talk in the	137
	ICE-GB (S1A-001–S1A-010)	
4.3	Communicative tasks relevant in the initial phase of the	153
	production of a unit of talk	
4.4	Contexts of use distinguished for a quantitative study of	168
	I mean and you know	
4.5	Frequency of occurrence of <i>I mean</i> and <i>you know</i> in five	169
	different time slots in real-time utterance production in the	
	ICE-GB (S1A) (N=300)	
4.6	Communicative tasks relevant in the medial phase of the	173
	production of a unit of talk	
	Macrogrammatical expressions in the <i>final field</i>	181
4.8	Communicative tasks relevant in the final phase of the	203
	production of a unit of talk	
5 . I	Overview of the processing efficiency of three structural types	218
	in spontaneous speech	
	Survey of formulaic expressions and their functions	269
	Observed discourse deficits with RH-damaged speakers	274
6.3	Deficits referring to speaker–addressee interaction observed	279
	with RH-damaged subjects	
6.4	Principal correlations between neurolinguistic functions and	286
	grammatical functions	



Acknowledgments

It goes without saying that numerous colleagues have directly or indirectly influenced and contributed to the ideas discussed in this book. I am especially grateful to Elizabeth Traugott and Bernd Heine for discussions of issues of grammar and discourse, and Paul Hopper and Ursula Götz for the time and efforts they spent in reading the manuscript and for their valuable comments and suggestions. A special note of thanks goes to Lucia Kornexl, who supported me over many years at the institute. Many colleagues who I met at several occasions contributed to the enrichment of my ideas and my motivation, such as Gunther Kaltenböck, Liesbeth Degand, and Sylvie Hancil. I would also like to thank my students, who raised important questions on many of the examples and ideas discussed in this study. Helen Barton, Merja Kytö, and all those involved in the production of this book provided great support throughout the weeks preceding the publication.

Since the book that you are now holding in your hands has been published, the research project it is based on appears to be, in some way, "finished." However, it is "finished" only in the sense that at some point an author has to make the decision that a work in progress has been "completed." As with spoken utterances, points of completion in scientific research are only preliminary, given that an emergent product of the mind is never really complete, but endlessly expandable since one has never expressed the full range of ideas lingering on in one's mind. In this sense, I do not see this book as a finished product, based on a completed research project whose results are now proudly presented, but as representing a point in my ongoing research at which the ideas and results that I have accumulated during the past years of research are rich enough to be presentable as a coherent whole to an interested audience.



Acknowledgments

xiii

It was a relief to finish this book, but I feel somewhat guilty since I cannot give back to my family and friends the hours, days, and weeks that I invested in preparing this book for publication. Even though I am happy to hear some positive comments on my work here and there, it is no compensation for the people around me. I deeply apologize!



Transcription Symbols

03 line in the transcript (corresponding to one intonation unit unless marked otherwise) micropause (ordinarily less than a fourth of a second) (.) shorter pause ranging from 0.25 to 0.75 seconds (esti-(..)mated length) silence or "timed pause" (in tenths of a second) (1.4)point of overlap onset] point at which overlapping talk ends no break or pause between the units linked by the equal sign, "latching" <coughs>> comment by the transcriber but^h audible aspiration of a consonant falling (or final) intonation contour ? rising intonation continuing intonation an intonational rise stronger than a comma but weaker than a question mark prolongation or stretching of the sound preceding the :: colons; the more colons, the longer the stretching cut-off or self-interruption, often with a glottal or dental worstress/emphasis, either by higher pitch or slightly word increased loudness WOrd especially loud talk talk that is markedly quiet or soft °word° talk that is markedly softer than the talk around it sharp rise in pitch, or marking shift or resetting of pitch sharp fall in pitch, or marking shift or resetting of pitch register

xiv



Transcription Symbols

ΧV

>anyway< the talk between "more than" and "less than" symbols is

compressed or rushed

<anyway> the talk between these symbols is markedly slowed or

drawn out

.hh hearable aspiration, the more *h*s, the more aspiration;

aspiration may represent breathing or laughter

°hh inhalation (several hs indicate longer, intense inhalation)

Note that at times the transcripts presented here exhibit a reconfiguration of the numbered units of talk taken from the transcripts in the ICE-GB, most often in the case of overlapping talk. This mismatch is due to the different ways in which overlapping talk is indicated here and in the ICE-GB: for technical reasons, in the ICE-GB, overlaps are not aligned on two successive lines, but marked with colors and often at distance. The present study follows conversation analytic transcription principles and uses alignment of overlaps on two successive lines.

The prosodic details shown in the transcripts have been identified and added to the transcripts by the author himself, based on the sound files of the *ICE-GB Release 2*. Minor inconsistencies between recorded speech and the respective transcripts provided in the ICE-GB, such as word choices or the assignment of speaker roles, have been corrected.



Abbreviations

CA Conversation analysis DM Discourse marker

ICE-GB International Corpus of English - Great Britain

LH Left hemisphere
LTM Long-term memory
RH Right hemisphere

TCU Turn-constructional unit TRP Transition-relevant place

WM Working memory