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How Groups Grow

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Preface

The topic of growth entered group theory, with a geometric motivation, at the middle of last century. It associates to each finitely generated group a number-theoretical function, its *growth*, and investigates the relationship between the properties of the group and of its growth function. The subject has attracted attention gradually, until, in about 1980, two seminal theorems were proved: first Misha Gromov determined the groups of polynomial growth, and a short time later Slava Grigorchuk constructed groups of intermediate growth. Both theorems were the starting points for rich mathematical developments. As far as I know, there is no detailed treatment of growth in book form. Of course, I should not ignore Pierre de la Harpe's remarkable text *Topics in Geometric Group Theory*, a large part of which is devoted to growth, but in that text most results are quoted without proofs (an exception is the chapter about Grigorchuk's group, but even about that group we were able to include in the present text more recent results).

For several years now, I have been teaching courses devoted to one or the other of the above results. Most of these were one-semester courses in the Einstein Institute of Mathematics in the Hebrew University, but some were given at several Italian universities. The present notes were prepared for, and based on, these courses. They can be divided roughly into four main parts: introductory, polynomial growth, intermediate growth, and miscellany. The first part includes the first two chapters, of which the first consists mainly of definitions and examples, and sets the context for the rest of the book. The second chapter was written mainly for the benefit of readers who are either non-specialists, or beginners, in group theory, but Section 2.2 of that chapter, which develops the elementary theory of growth, should be read carefully. Section 2.5 is a digression about isoperimetric inequalities. Then follow seven

chapters devoted to polynomial growth. Chapter 3 discusses groups of linear growth, for which a completely elementary treatment is available, and indeed preceded Gromov's theorem; the rest of the book is independent of that chapter. Then come three chapters about the growth of nilpotent, soluble, and linear groups. Chapter 4 includes a classification, up to commensurability, of nilpotent groups of small growth degree, and Chapter 5 includes a reduction of the proof of the uniformity of exponential growth of soluble groups to the polycyclic case. While most of the notes are essentially self-contained, Chapter 6, on linear groups, consists mostly of results that are quoted without proof. Then we introduce asymptotic cones, and finally, in Chapter 8 we prove Gromov's theorem. The approach is similar to Gromov's original one, as modified by Wilkie and van den Dries, and Gromov himself. In the definition of asymptotic cones we were influenced by Cornelia Drutu's nice survey [Dr 02], but of course we had to supply the details. It seems that this is the first detailed treatment of asymptotic cones in a book form. A different approach to Gromov's theorem was suggested recently by Bruce Kleiner, applying harmonic functions on Cayley graphs. While this has the advantage of not relying on the deep solution of Hilbert's fifth problem, the method is very different from the ones of this book, and we refer to it only in passing. Chapter 9 derives corollaries of Gromov's theorem for groups that are infinitely generated, but are of locally polynomial growth. The readers, or instructors, who want to arrive quickly at Gromov's theorem will take what they need from the first two chapters, and skip Chapter 3 and Sections 4.2 and 5.2.

The next four chapters deal with intermediate growth. First we construct Grigorchuk's group, following Grigorchuk's original approach, applying permutations of the unit interval. Then we derive several of the many remarkable properties of that group, in particular proof that it has intermediate growth, giving explicit lower and upper bounds. In the next chapter we describe generalizations of the construction, and also other approaches, via actions on regular finitary trees, or finite automata, and some other examples of intermediate growth. The next two chapters relate intermediate growth to two other important group theoretical notions: amenability and residual finiteness. The chapter on amenability contains further discussion of isoperimetry. If one is interested mainly in intermediate growth, it is possible to go directly from Chapter 2 to this part (except that in Chapter 12 we quote from Chapter 7 the notion of ultralimit).

The last four chapters are the "miscellany" part. We first show how

to calculate the growth of, say, amalgamated products, or other group-theoretical constructs, from the growth of the components. We also give a detailed calculation of the growth of one group of geometrical interest, to demonstrate that even for groups of very easy description, such calculations are far from trivial. In the next chapter we discuss the generating growth function. We want first to point out the applicability of analytic methods. Then we prove the rationality result for finitely generated abelian groups, with a proof that relates the group-theoretical growth to a ring-theoretical one. We also treat briefly, without proofs, the growth of hyperbolic groups. The next chapter proves uniform exponential growth, with explicit bounds, for several classes of groups, amalgamated free products, HNN-extensions, and groups of positive deficiency, in particular one-relator groups. The final chapter introduces and discusses briefly conjugacy class growth, a different type of growth from the previous one, but closely related to it.

We end with a list of open research problems.

There are many topics that are not touched upon in this book; to do so would have delayed the publication considerably, perhaps indefinitely. We do not discuss connections with geometry, e.g. the relation between volume growth in a Riemannian manifold and the growth of its fundamental group, or between the volume growth of a Lie group and the growth of a discrete dense subgroup. Kleiner's proof was mentioned already. As we said, we treat uniform exponential growth for several classes of groups, but the important cases of soluble or linear groups are only quoted without proofs, except for a partial result for soluble groups. We also only quote without proofs the results by Grigorchuk and John S. Wilson, that the polynomiality assumption in Gromov's theorem can be relaxed, if we restrict ourselves to residually nilpotent, or residually soluble, groups. These, and other interesting ideas, may form the contents of another publication.

Preliminary versions of these notes have been circulating for some time, and I received comments on them from quite a few people, as well as from my students, and from an anonymous referee. My sincere thanks to all of them. And thanks to Eva Goldman for drawing the figures in Chapter 11.

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