

Index

- Acxiom, 112, 185
 ADA. *See* Americans with Disabilities Act
 Administration for Children and Families, 170
 Agency for Healthcare Research and Quality, 126, 171
 Aidin, 178
 Akron General Hospital, 1
 all-payer claims databases, 172, 178
 Allscripts Healthcare Solutions, 89
 American College of Physicians, 30
 American Health Information Management Association, 26
 statement regarding copy/paste functionality, 26
 American Medical Association (AMA), 50, 51
 framework for improving EHR system usability, 99–100
 guidance, physicians' use of e-mail, 102–3
 American National Standards Institute, 47
 Americans with Disabilities Act (ADA), 185, 187, 195–6, 202
 definition of disability, 195, 202
 “regarded as” provision, 195
 See also discrimination
 Amplino, 175
 anonymized health information. *See* deidentified information
 Anthem Blue Cross and Blue Shield, 35
 Association of Korean Medicine, 59
 audit trails, 96, 98, 107. *See also* discovery and litigation; metadata
 Aull, Katherine, 175

 Benitez, Kathleen, 136–7
 big data. *See* medical big data
 Bipartisan Policy Center, 37

 Birkmeyer, John, 33
 Blue Cross and Blue Shield of Tennessee, 67
 Brandeis, Louis, 56
 breaches, 34–5, 53, 56–61, 81, 93–5, 149
 breaches affecting 500 or more individuals, 35, 53, 68
 breach notification. *See* HIPAA: breach notification rule
 consequent harms, 59–60, 66–7
 cost of breaches, 66–7
 insurance for, 60–1
 prevention of, 70–2
 Broccolo, Bernadette, 72
 Burlington Northern and Santa Fe Railway Company, 123–4
 Bush, George W., 1

 Cable Communications Policy Act, 76
 Canadian Institute for Health Information, 169
 Cancer Biomedical Informatics Grid, 127
 Cassidy, Bill, 54
 Centers for Disease Control and Prevention (CDC), 121, 170, 177, 190, 192
 CDC Wonder, 185, 193
 Morbidity and Mortality Weekly Report, 176
 Vaccine Safety Datalink (VSD), 121–2
 Centers for Medicare & Medicaid Services (CMS), 22, 38, 42, 45–7, 53–4, 119–20, 173
 Chronic Condition Data Warehouse (CCW), 125, 171
 Hospital Compare, 22, 120, 178, 180
 provider utilization and payment data, 173, 178
 See also Medicare and Medicaid EHR Incentive program
 Cerner, 14

- certified EHR systems, 14–15, 38, 46–8, 53, 92
 certification criteria, 46–7, 50, 53, 106
 certification process, 47–8, 55, 92–3
 certified Health IT Product List, 49
 complete EHRs, 49
 EHR modules, 49
 EU-wide certification, 38
 lack of adverse event reporting, 52–4
 lack of clinical safety testing, 50–2
 lack of continuing review, 52–4, 202
 testing criteria, 48
 user-centered design, 48
- Church, George, 174
- Citizen Science, 168, 186, 188
 citizen scientists, 175, 176, 177, 189, 191, 194, 196–7, 201
 definition of, 168
 incorrect findings and conclusions, 188–90
See also Do-It-Yourself Biologists; open data
- Cleveland Clinic Foundation, 126
- clinical decision support (CDS), 10, 12, 16–17, 43, 45, 101, 103, 200
 alerts and reminders, 10, 12, 16, 28–9, 32, 101, 103
 benefits of, 16–17
 challenges of, 28–9, 81
 policies for, 103
See also EHR systems: attributes; EHR systems: benefits of; Meaningful Use regulations
- clinical quality measures (CQMs), 11, 44–5
- clinical studies, 20, 113–16, 147
 randomized, controlled clinical trials, 20–1, 113–16, 141, 159
See also observational studies
- cloud-based EHR systems, 14, 58, 72
 security measures, 72
 third-party cloud operators, 34, 58, 72
- cloud computing, 14, 34, 126
 definition of, 14
- Common Rule, 131, 134, 147, 149, 165, 202
 exemption for research with deidentified data, 131, 165, 202
 human subject definition, 131
 institutional review board (IRB) approval, 130, 131, 134, 147, 165, 201
 jurisdiction of, 131
 Notice of Proposed Rulemaking (NPRM), 131, 134, 147, 165
See also EHR-based research; informed consent; deidentified information
- comparative effectiveness research (CER), 21, 118. *See also* observational studies; Patient Protection and Affordable Care Act of 2010
- Computer Emergency Readiness Team, 71
- computerized provider order entry and management (CPOE), 10, 43, 45. *See also* EHR systems: attributes
- confidentiality, 57, 63, 69
 definition of, 57
See also liability; tort law: breach of confidentiality; privacy
- Connecticut Unfair Trade Practices Act, 90
- CRICO, 83
- Critical access hospitals (CAHs). *See* Medicare and Medicaid EHR incentive programs
- Crowdfunding, 177
- Cytokine Institute, 124
- data audits, 101–2, 163, 164, 165, 201
- data brokers, 185
- data mining, 195–6, 199, 202
 data miners, 182, 184–5
- data review boards, 149–50, 192–3, 201
- data use agreements, 134, 148, 150, 171, 192, 193–4, 196, 201
- decision support. *See* clinical decision support (CDS)
- Declaration of Helsinki, 142–3
- deidentified information, 127, 130, 132–4, 135, 140, 174, 182, 185, 192
 deidentification, 130, 132, 134, 136, 137, 148, 149, 182, 183, 192, 201
 HIPAA-compliant de-identification, 132–4, 148
 regulatory requirements, 131–4
See also HIPAA Privacy Rule: limited data sets, “safe harbor” provision; reidentification
- DeSalvo, Karen, 36, 50
- discovery and litigation, 95–8, 107–8
 advantages of EHRs, 95–6
 difficulties caused by EHRs, 97–8
 epidemiological evidence, 122–3
See also audit trails; metadata
- Discharge Abstract Database (DAD), Canada, 127
- discrimination, 79, 180, 183–8, 195–6, 203

- American antidiscrimination laws, 79, 186, 188. *See also* Americans with Disabilities Act
- discrimination by employers, 183–6
- discrimination by financial institutions and marketers, 187–8
- Distributed Ambulatory Research in Therapeutics Network Institute (DARTNet), 126, 149
- Clinical Data Repository (CDR), 126–7
- eNQUIRENet, 126
- Do-It-Yourself Biology, 168–9
- Do-It-Yourself Biologists, 175
- Doctors Company, 71, 83
- Driver's Privacy Protection Act of 1994, 76
- Drummond Group, 49, 53
 - complaint process summary, 53
- Dryad Digital Repository, 173, 181

- EHR-based research, 111–19, 129–30, 142–3, 144, 147–8, 201
 - data security measures, 70, 148–9
 - dignitary harms, 139–40
 - exemptions from HIPAA authorization, 132, 134
 - exemptions from informed consent, 131, 134
 - federal regulations, 131–5. *See also* Common Rule; HIPAA Privacy Rule
 - informed consent requirements. *See* informed consent
 - international regulations, 135
 - privacy risks, 136–9. *See also* breaches; deidentified information; HIPAA Privacy Rule; HIPAA Security Rule
 - secure statistical analysis of distributed databases, 148–9
 - state law regulations, 134–5
 - See also* observational studies
- EHR database initiatives, 124–8
- EHR systems
 - adoption of, 1–2, 41–2
 - advanced search capacities, 17, 96
 - attributes of, 9–14
 - benefits of, 15–21, 35–6, 112
 - copy and paste function, 25–6, 31, 83, 106, 201
 - cost of implementation and maintenance, 39–40
 - customization, 29–30, 53
 - data display flaws, 31–2, 81
 - data input errors, 24–5, 26–7, 81, 153–4, 162
 - effects on clinician workload, 30–2
 - effects on physician-patient relationship, 32–4
 - error rates, 26–7, 154
 - inflexibility of, 29–30
 - liability concerns. *See* liability
 - physicians' satisfaction with, 32–3, 99
 - privacy and security concerns. *See* breaches
 - regulation. *See* Health Information Technology for Economic and Clinical Health (HITECH) Act; Medicare and Medicaid Incentive program; Meaningful Use regulations
 - security of, 34–5, 56–61
 - shortcomings of, 23–37, 80–1. *See also* software: bugs, failures, and glitches
 - up-coding entries, 24
 - See also* certified EHR systems; cloud-based EHR systems; EHR vendors; Health Information Technology for Economic and Clinical Health (HITECH) Act; personal health record (PHR); secure messaging
- EHR vendors, 14–15, 48, 50, 52, 53, 54, 55, 88–92, 161, 203. *See also* certified EHR systems; liability
- electronic communication, 10, 13, 84–5, 201. *See also* e-mail; secure messaging
- Electronic Communications Privacy Act, 76
- electronic health record. *See* EHR systems
- electronic medical record (EMR), 1, 3–4. *See also* EHR systems
- electronic Medical Records and Genomics Network (eMERGE), 126
- electronic messaging. *See* secure messaging
- e-mail, 10, 18, 85, 102–3
 - privacy and security concerns, 18, 34, 56, 84
 - See also* American Medical Association (AMA): guidance; electronic communications; secure messaging
- Emam, Khaled El, 137
- Encryption, 66, 71, 72
- Epic Systems, 15
- European Union, 38, 61, 135
 - Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the Protection of Individuals with regard to the Processing of Personal Data and on the Free Movement of Such Data, 61, 135
 - Article 17 on “Security of Processing,” 61
 - EU-ADR project, 127

- European Union (cont.)
 Recommendation No. R (97) 5 on the Protection of Medical Data, by the Council of Europe, 61, 104
 Working Party on the Protection of Individuals with regard to the Processing of Personal Data, 61, 81
- Evans, Barbara, 121
- Explorys, 126
- Extracorporeal Life Support Organization, 127
- FDA. *See* Food and Drug Administration
- FloridaHealthFinder.gov, 171
- Food and Drug Administration (FDA), 92, 93, 114, 116, 140, 141, 178
 postmarketing surveillance of drugs and devices, 120–1, 125. *See also* medical big data: benefits of
 Risk Evaluation and Mitigation Strategies, 120
 Sentinel Initiative, 125, 149
- Food and Drug Administration Amendments Act of 2007 (FDAAA), 120
- Gallagher, Lisa, 70
- Garvin, Michael, 70
- GenBank, 172, 179
- genome-wide association studies (GWAS), 118, 126
 genome-wide linkage studies (GWLS), 118
- GE Healthcare, 15
- Geisinger Health Systems, 126
- George Washington University, 11
- Google, 17, 111, 112
- hackers, 34, 35, 58, 77, 154
- Healthcare Cost and Utilization Project (HCUP), 171–2, 193
- HealthData.gov, 170, 179, 182
- health data rights, 139
 informational privacy right, lack of, 139
 ownership rights, 139
 right to access medical data, 104
 right to request amendment of medical records, 104–5, 107
- Health Data New York, 171
- health information databases. *See* medical big data
- Health Information Technology for Economic and Clinical Health (HITECH) Act, 2, 38, 199. *See also* Meaningful Use regulations; Medicare and Medicaid EHR incentive programs
- Health Insurance Portability and Accountability Act of 1996. *See* HIPAA Privacy Rule; HIPAA Security Rule
- HealthIT.gov, 40
- HIPAA Privacy Rule
 audits, 68–9
 breach notification rule, 68
 civil penalties, 66–7, 78
 complaints by aggrieved individuals, 66, 76, 78–9, 106
 covered entities, definitions of, 73–5, 202
 criminal penalties, 67
 deidentified data exemption, 132–4
 exemptions, other, 134
 general requirements of, 62, 132
 health information, definition of, 74–5, 202
 individually identifiable health information, 59, 132, 194
 lawsuits by state attorneys general, 67–8, 75, 76
 limited data sets, 134, 137, 147, 148, 149–50, 192
 patient authorization for release of protected health information, 132, 134, 135, 136, 144, 145
 private cause of action, lack of, 75–8, 202
 protected health information (PHI), 59, 64–6, 69, 71–2, 75, 202
 recommended modifications, 73–5, 78–9, 192, 202
 right to request amendment, 104–5, 107
 “safe harbor” provision, 133–4, 136, 137, 150, 182
- HIPAA Security Rule, 63–79, 106–7, 148, 164–5, 202
 addressable implementation specifications, 63–5
 administrative safeguards, 64, 69
 complaints regarding violations, 66, 76, 78–9
 compliance resources, 70–2
 flexibility of approach, 69–70
 general requirements for covered entities, 63, 106, 164
 physical safeguards, 65
 required implementation specifications, 63–5
 technical safeguards, 65–6

- HITECH Act. *See* Health Information Technology for Economic and Clinical Health (HITECH) Act
- Hyman, Paul, 33
- ICSA Labs, 49
- InfoGard Laboratories, Inc., 49
- informed consent, 130–1, 141, 142–7, 194, 201
 disadvantages of, 143–5
 exemptions. *See* Common Rule; HIPAA Privacy Rule
 harms associated with lack of consent, 138–42
 importance of common good, 146–7
 origins of, 142–3
 requirements for biomedical research, 130, 142–3
 selection bias caused by, 144
- Interagency Registry for Mechanically Assisted Circulatory Support, 127
- interoperability, 18, 36, 51, 54–5, 80, 81, 96, 164, 202, 203
 benefits of, 18, 54, 96
 lack of, 36, 80, 81, 155, 160
 semantic interoperability, 160–2
- Institute of Medicine (IOM), 9–10, 144
 Beyond the HIPAA Privacy Rule:
 Enhancing Privacy, Improving Health through Research, 144
- International Association of Privacy Professionals, 70
- International Organization for Standardization, 71
- iTriage, 178
- Journal of Psychiatric Research, 152
- Koppel, Ross, 29
- Korean Dental Association, 59
- Korean Medical Association, 59
- learned intermediary doctrine, 91–2
- liability
 breach of warranty, 89, 90
 clinician negligence, 82–6
 contract theories, 89–90
 design defect claims, 90–1
 health care entities' liability, 87–8
 hold harmless clauses, 92
 information defect claims, 91
 manufacturing defect claims, 90
- medical malpractice, 80, 81–6, 88, 95, 96
- negligence associated with online messaging, 84–5
- negligence associated with personal health records, 85–6
- preemption, 92–3
- prima facie* case of corporate negligence, 87
- respondeat superior, 87–8
- vendor liability, 88–92
- litigation. *See* discovery and litigation
- Malin, Bradley, 136–7
- Massachusetts e-Health Collaborative, 36
- Massachusetts General Hospital, 11
- Massachusetts Group Insurance Commission (the GIC), 129
- McDermott Will & Emery, 72
- Medicare Access and CHIP Reauthorization Act of 2015 (MACRA), 46
- Meaningful Use regulations, 23, 42–6, 49–50, 106, 121, 162, 164, 203
 clinical quality measures (CQM), 44–5
 core objectives, 42–5
 critique of, 49–50, 54–5
 menu objectives, 42–5
 National Quality Strategy (NQS), 45
 stage 1 requirements, 42, 47
 stage 2 requirements, 42–4, 49, 50
 stage 3 requirements, 42, 45, 164
See also Health Information Technology for Economic and Clinical Health (HITECH) Act; Medicare and Medicaid incentive programs
- measles, mumps, rubella (MMR) vaccination, 117, 190
- medical big data
 benefits of, 116–24
 causal inferences techniques, 166–7, 201
 confounding bias, 114, 157–9
 data analysis challenges, 157–60
 data discrepancies, 155
 data extraction, 155–6
 data fragmentation, 154–5
 data input errors, 153–4
 data quality and analysis, suggested improvements, 160–7. *See also* interoperability: semantic interoperability
- data quality assessment, 163
- incomplete data, 154–5

- medical big data (cont.)
 legal oversight. *See* Common Rule; HIPAA Privacy Rule; HIPAA Security Rule; Meaningful Use regulations
 measurement bias, 159–60
 selection bias, 144, 157
 software glitches, 156–7
 sources of, 111–13, 124–8
See also EHR-based research; open data
- Medicare and Medicaid EHR incentive programs, 2, 38, 39–42
 critical access hospitals (CAHs), 39, 40–1, 44, 45
 eligible hospitals, 39, 40–1, 42, 44, 45
 eligible professionals, 39–40, 42–6
 incentive payments, 38, 39–42, 46, 121
 penalties, 41, 42
See also certified EHR systems; Health Information Technology for Economic and Clinical Health (HITECH) Act; Meaningful Use regulations
- MEDITECH, 14
 MedMining, 126
 Merck, 121
 Metadata, 96, 97–8, 107–8, 202
 definition of, 96
See also audit trails; discovery and litigation
- Minnesota Center for Health Statistics, 171
- Narayanan, Arvind, 182
- National Commission for the Protection of Human Subjects of Biomedical and Behavioral Research, 143
 Belmont Report, 143
 Tuskegee syphilis trial, 143
- National Health Information Technology Coordinator, 1
- National Human Genome Research Institute, 126
- National Information Assurance Partnership, 71
- National Institute of Genetics, Japan, 169
- National Institutes of Health (NIH), 170, 172, 176
 GenBank, 172
- National Institute of Standards and Technology, 14, 47, 71
- National Rifle Association, 177
- New Jersey Department of Corrections, 107
- New Jersey State Assessment Health Data, 171
- New York-Presbyterian Hospital, 154
- New York University School of Medicine, 179
- North Carolina State Center for Health Statistics, 171
- Northrop Grumman, 70
- Nuremberg Code, 142
- Obama, Barack, 2, 107, 124, 125, 169, 197
 Big Data Research and Development Initiative (Big Data), 124
 economic stimulus plan, 2. *See also* Health Information Technology for Economic and Clinical Health (HITECH) Act Making Open and Machine Readable the New Default for Government Information, executive order, 169, 197
 Obama administration, 107, 124
 Obamacare. *See* Patient Protection and Affordable Care Act of 2010
- observational studies, 21, 22, 113–16, 117, 119, 120, 121, 141, 142, 157–60
 benefits of, 114–16, 117
 compared to clinical studies, 113–16
See also clinical studies; comparative effectiveness research; EHR-based research
- Office of the National Coordinator for Health Information Technology (ONC), 14, 41, 46, 47–9, 50–5, 92
 accredited testing laboratories (ATLs), 47, 48, 51
 Connecting Health and Care for the Nation: A 10-Year Vision to Achieve an interoperable Health IT Infrastructure, 55
 National Voluntary Laboratory Accreditation Program (NVLAP), 47
See also certified EHR systems; Health Information Technology for Economic and Clinical Health (HITECH) Act; Meaningful Use regulations
- ONC Approved Accreditor (ONC-AA), 47
- ONC Authorized Certification Bodies (ONC-ACB), 47, 48, 49, 51, 52, 53, 54, 55
- open data, 136, 168–91
 benefits of, 175–80
 definition of, 169
 privacy protections for, 192–4
 risks of, 180–91
 sources of, 169–75
- Oregon's Advantage Dental, 58
- ownership of health information. *See* health data rights

- Pain Clinic of Northwest Florida, 89
 Parens, Erik, 147
 Patel, Jayshil, 33
 PatientsLikeMe, 174, 181, 184
 Patient Protection and Affordable Care Act of 2010 (Obamacare), 21, 118, 191
 Personal Genome Project, Harvard, 168, 174–5, 184, 194
 personal health record (PHR), 11, 18, 19, 85–6, 103–4, 153, 201
 benefits of, 18, 19, 85–6
 data accuracy, 86, 153
 definition of, 11
 impact on the physician-patient relationship, 17–18, 85–6
 patients' access to, 85–6, 103–4
 physicians' data release policies, 86, 103–4
 See also EHR systems
 PhysAssist, 102. *See also* scribes
 Ponemon Institute, 57, 70
 The Fifth Annual Benchmark Study on Privacy & Security of Healthcare Data, 57
 Precision Medicine Initiative, 125
 Premara Blue Cross, 58
 privacy. *See* breaches; deidentified information; EHR-based research: privacy risks; e-mail: privacy and security concerns; HIPAA Privacy Rule; HIPAA Security Rule; open data: privacy protections for; tort law: breach of confidentiality, invasion of privacy
 Privacy Act of 1974, 76, 181–2
 Project Tycho™, 176
 Prostate Cancer Data Base, Sweden, 127
 publicly-available big data. *See* open data
 public health initiatives, 23, 121–2, 179

 Quality assessment and improvement, 11, 13, 22, 43, 119–20, 126, 127, 163, 180, 199

 Registry of the Canadian Stroke Network, 145
 reidentification, 130, 134, 136–8, 148, 150, 182–3, 192, 201, 202
 Robert Wood Johnson Foundation, 9, 11
 Rothstein, Mark, 139–40
 Rousseau, Jean-Jacques, 146
 social contract theory, 146

 ScribeAmerica, 102
 scribes, 102, 201

 secondary use of EHR data, 20–2, 26, 112, 116–24, 156, 160, 162, 163, 164, 200, 201.
 See also EHR-based research; public health initiatives; quality assessment and improvement
 secure messaging, 18–19, 84–5, 102, 104, 201
 benefits of, 18–19
 liability risks, 84–5
 policies regarding, 102–3, 201.
 See also EHR systems: attributes of; electronic communication; e-mail
 secure statistical analysis of distributed databases. *See* EHR-based research
 Shmatikov, Vitaly, 182
 Sittig, Dean, 28
 Smith, Sean, 29
 social media, 4, 34, 59, 138, 184, 189
 Facebook, 112, 136, 138
 software, 27–8, 70, 81, 88, 89, 149
 bugs, failures, and glitches, 27, 153, 156–7
 malicious software, 64
 programming errors, 27–8
 ransomware attacks, 34
 voice recognition software, 100, 162, 200
 See also EHR systems: shortcomings of
 Summa Health System, 126
 Sweeney, Latanya, 129, 136, 137
 Symantec, 70

 tort law
 breach of confidentiality, 77, 94–5
 defects in design, manufacturing, and information, 90–2
 invasion of privacy, 94
 negligence by clinicians, 82–6
 negligence by healthcare entities, 87–8
 public disclosure of private facts, 76–7
 unauthorized disclosure of medical data, 93
 Transparent Ratings on Usability and Security to Transform Information Technology Act, 54

 United Kingdom, 59, 85, 117, 198
 National Health Service, 59, 198
 National Programme for IT, 198
 “paperless NHS” project, 198
 United Network for Organ Sharing, 127
 U.S. Department of Health and Human Services (HHS), 3, 35, 46, 62, 66, 67, 68, 71, 76, 98, 132, 170, 178, 202

- U. S. Department of Health (cont.)
 Office of Civil Rights (OCR), 66–7,
 68–9, 72, 75, 79, 106, 107,
 164–5
 Security Rule Guidance Material, 71
- U. S. Department of Veterans Affairs (VA), 125
 Million Veteran Program, 125
- U.S. Equal Employment Opportunity
 Commission (EEOC), 79, 124, 195
- Video Privacy Protection Act of 1988, 76
- Vioxx, 121
- Wachter, Robert, 33, 49, 50, 199
 The Digital Doctor: Hope, Hype,
 and Harm at the Dawn of
 Medicine’s Computer Age, 33, 49, 199, 200
- Wakefield, Andrew J., 117
- Warren, Samuel, 56
- Weld, William, 129, 136
- Whitehouse, Sheldon, 54
- Wikipedia, 196–7
- World Health Organization, 169
- Zacharias, Edward, 72