

## INDEX

sexual orientation

Actual control over persons, 155-163, 209-210, 218-220 Aid or assistance in commission of internationally wrongful act, 77-80, 93-94 Åklagaren v. Hans Åkerberg Fransson, 119 Al-Jedda case, 65-66 Al-Saadoon and Mufdhi v. United Kingdom, 157-158, 163-164 Al-Skeini and Others v. United Kingdom, 158-159, 218-220 Arshdin İsrail v. Pakistan, 175 Articles on Responsibility of International Organizations for Internationally Wrongful Acts (ARIO), 93-94 Articles on Responsibility of States for Internationally Wrongful Acts (ASR), 93-94, 212-213 Asylum Procedures Directive, 132 - 134Asylum seekers. See also Refugees collective expulsions, 119–125, 142-145, 168, 170 disembarkation, 132-134, 196-198, 203-204, 227-228 EU Member State national, 123 inhumane treatment, 97-99, 119-125 jurisdiction, 175-176 place of safety, 194-196 protection against refoulement, 125-128, 134, 175-176 racial discrimination, 146 rejection at borders, 145-148 right to asylum, 117-118

discrimination, 116 subsidiary protection, 132-134 system deficiencies, reception of applicants, 127, 128 Attribution of conduct to Frontex, 61-62, 211-212 joint operations in territorial waters, 212 - 213to Member States, 70-73, 211-212 B and D judgment, 106-107 Bankovic and Others v. Belgium and Others, 155-163 Behrami case, 63-64 Belgium, Conka v., 169 Belgium and Greece, M.S.S. v., 125-128 Border checks, surveillance, 213-214 Borders (EU). See also High seas administrative cooperation, 23-26 common immigration policy development, 27-29 control, Treaty basis of, 21-23 integrated border management, 21 - 23internal security, standing committee on, 23-26 legal definitions of, 12-14 operational cooperation, 54, 55 shared competence, 20 state sovereignty, 137-140, 153-155 supranationalisation, 15-20 travel documents, required stamping of, 18-19 Bosphorus case, 92

Boukhalfa v. Germany, 187-188



> INDEX 246

Collective expulsions, 119-125, 142-145, 168, 170 Conduct, attribution of. See Attribution of conduct Conka v. Belgium, 169 Convention against Torture (CAT) EU obligations, 91–93 extraterritorial jurisdiction, 176-177, 210 individuals in detention, 178-179 inhumane treatment, 95-99, 102 - 103interception of third-country nationals in foreign territory, 218 non-refoulement principle generally, 89-90, 148-150, 177-180, 189-190 return to another State, 179-180 Council and Commission, Kadi and Al Barakaat International Foundation v., 104-106 Council of the European Union, European Parliament v., 196-198 Council Regulation (EC) 2007/2004, 54

Denmark, Henning Becker v., 169 Derivative responsibility, 75–77, 211, 216-217, 221, 226 Discrimination racial, 146 sexual orientation, 116 Disembarkation, 132-134, 196-198, 203-204, 227-228 Drozd and Janousek v. France and Spain, 71-72

De Lopez v. Uruguay, 173-174

Elgafaji case, 113–115 EU Charter Explanations, 123 EU Charter of Fundamental Rights, 89-90, 117-128 EU Qualification Directive, 12 European Agreement on Road Transport case (AETR case),

Dynamic Medien case, 115-116

European Border Guard Teams, 9, 42-46,69

European Convention on Human Rights and Fundamental Freedoms (ECHR) actual control over persons, 155–163 Article 3, 95-99 EU obligations, 91-93, 209-210 on inhumane treatment, 95-99 interception of third-country nationals in foreign territory, 218 non-refoulement principle generally, 89-90, 148-150, 163, 189-190 risk assessment obligations, 132-134, 165 - 171European Parliament v. Council of the European Union, 196-198 European Roma Rights Centre case, 145-148, 186, 215-216 External Sea Border Regulation, 130-131, 132-134 Extraterritorial jurisdiction. See under **Jurisdiction** 

France and Spain, Drozd and Janousek

 $\nu$ ., 71–72 Frontex Border Agency. See also Joint operations; Shared responsibilities autonomy, independence, 35-37 background, 1-2, 31-34 effective control of border guards by, 68 - 70governing law, 51 guest officers, 44-46, 70-73, 129, 210 - 211intergovernmental cooperation by, 16 - 17mandate, 2-5, 32-33, 46-47, 223, 224-225 procedural safeguards establishment, 229 rapid interventions, 11-12 secondment obligations, 42, 43-46 structure, 34-37 third country working arrangements, 34 - 35transparency, 230

Genocide Convention Case, 81-82 Germany, Boukhalfa v., 187-188



INDEX 247

Haitian Centers Council, Sale v., 146-148, 184-186 Hans Åkerberg Fransson, Åklagaren v., 119 Hassan v. United Kingdom, 159-160, 218 - 220Henning Becker v. Denmark, 169 High seas. See also Borders (EU) disembarkation, 132-134, 196-198, 203-204, 227-228 interception at sea, 207-208, 221 interception at sea, non-refoulement triggers, 208-210, 221 joint operations in territorial waters, attribution of conduct, 212-213 jurisdiction, 132-134, 165-171, 186, 189-190, 226-227 non-refoulement principle interactions, 194-196, 203-204 place of safety, 194-196 protection regimes interaction, 191-192 Sea External Borders Regulation, 151-153, 196-198, 201 search and rescue, 192-194, 196-198 search and rescue, Frontex involvement in, 198-203 surveillance of, 54, 55, 129, 130-131, 150, 205-206 Hirsi v. Italy, 132-134, 165-171, 186, 189-190

CAT on, 95–99, 102–103
ECHR on, 95–99
ICCPR on, 95–99, 102–103
non-refoulement generally, 97–99, 119–125
Refugee Convention 1951 on, 95–99
torture, protection from, 83–84, 96–97
Interception at sea, 207–208, 221
Interception at sea, non-refoulement triggers, 208–210, 221
International Convention for the Safety of Life at Sea (SOLAS Convention), 192–194

asylum seekers, 97-99, 119-125

Inhumane treatment

International Convention on Maritime Search and Rescue (SAR Convention), 192-194 International Covenant on Civil and Political Rights (ICCPR) EU obligations, 91-93 extraterritorial jurisdiction, 171 - 174on inhumane treatment, 95-99, 102 - 103interception of third-country nationals in foreign territory, 218 non-refoulement principle generally, 89-90, 148-150, 174-176, 189-190 Italy, Hirsi v., 132-134, 165-171, 186, 189-190 Italy and Albania, Xhavara and Others v., 168-169 Jaloud v. the Netherlands, 159-160, 218 - 220Joint Operation Hera 2006, 150-151, 160-161, 174, 207-208, 212-213 Joint Operation Hermes 2011, 150, 174 Joint Operation Hermes Extension 2011, 207-208 Joint operations aid or assistance given by Member States/Frontex, 85-87 characterisation, 5-7, 37-42 derivative responsibility, ASR/ARIO, 75 - 77interception at sea, 207-208 interception at sea, non-refoulement triggers, 208-210, 221 on land in EU territory, 213-214, 221 on land outside of EU territory, 214-216, 221 Member States' border guards deployment in third countries, 217 - 218non-refoulement in EU secondary law, 129-134 outside the territory of the State,

150 - 153

planning, 41–42

potential breaches in, 54-58



248

INDEX

Joint operations (cont.) responsibility, 11-12, 56, 85-87, 226-227 responsibility, EU legislation implementation in, 228 search and rescue, Frontex involvement in, 198-203 termination of, 39-41, 229 in territorial waters, attribution of conduct, 212-213 Joint Operation Triton 2014, 191–192, 199-201 **Jurisdiction** actual control over persons, 155-163, 209-210, 218-220 asylum seekers, 175-176 extraterritorial, 155-163 extraterritorial, EU law application, 186-189 extraterritorial, ICCPR on, 171-174 extraterritorial, Refugee Convention 1951 on, 180-186 high seas, 132-134, 165-171, 186, 189-190, 226-227 Hirsi v. Italy, 132-134, 165-171, 186, 189-190 individuals in detention, 178-179 interception at sea, non-refoulement triggers, 208-210, 221 joint operations in territorial waters, attribution of conduct, 212-213 joint operations on land outside of EU territory, 214-216, 221 on land in EU territory, 213-214, 221 Member States' border guards deployment in third countries, 217-218 outside the territory of the State, 150 - 153overview, 7-8, 9-10 refugee detention in, 218-220 rights protection, 137-140, 153-155 in third-country territories, 218-220, 226-227 Jus cogens, 102-103

Mare Nostrum operation, 199-201 Marine I case, 178-179, 210 M.E. case, 125-128 Medvedvev and Others v. France, 161-163, 165-167, 171, 209-210 Mohammad Munaf v. Romania, 175-176 M.S.S. v. Belgium and Greece, 125-128 Mustafic et al. v. the Netherlands, 66 The Netherlands, Jaloud v., 159-160, 218 - 220The Netherlands, Mustafic et al. v., 66 The Netherlands, Nuhanovic v., 66 Nold case, 115-116 Non-refoulement agreements, binding nature of, 104 Article 6 TEU, 110-117, 118-119 Article 78(1) TFEU, 104-108 collective expulsions, 119-125, 142-145, 168, 170 as customary international law, 99 - 103enforcement mechanisms, 97 in EU secondary law, 129-134 EU's obligations, background, 91-94, 205-206, 220 Frontex's activities as violation of, 2-5 as general principle of EU law, 110 - 117inhumane treatment, 97–99, 119 - 125international law supersedes Member State law, 107-108 internationally wrongful act, constitutive elements of, 60

joint operations, potential breaches

legal source interconnections, 89-91

obligations, substitution of, 104-105

norm-creating character, 99-102

in, 54-58

legislation regarding, 10

lex specialis, 107-108

Kadi and Al Barakaat International

Foundation v. Council and Commission, 104–106



INDEX 249

obligations generally, 103-104, 134-135 outside the territory of the State, 150 - 153push-back operations as violation refugee, seeking asylum (See Asylum seekers) sexual orientation discrimination, 116 social orientation grounds, 133 torture, protection from, 83-84, 96 - 97North Sea Continental Shelf Cases, 99 - 102N.S. case, 125-128 Nuhanovic v. the Netherlands, 66

Ocalan, Abdullah, 156–157 Ocalan v. Turkey, 156–157 Opel Austria, 108–110 Outside the territory of the State, 150–153

Pakistan, Arshdin Israil v., 175
Practical Handbook for Border Guards
(Schengen Handbook), 129–130
Protection. See Rights protection
Protocol 1967, 89–90

Racial discrimination, 146 Rapid Border Intervention Teams, 129 Refugee Convention 1951 Article 33 ratione loci application, 140-148, 181-182, 189-190 EU obligations, 91–93 extraterritorial jurisdiction, 180-186 on inhumane treatment, 95-99 interception of third-country nationals in foreign territory, 218 international law supersedes Member State law, 107-108 lex specialis, 107-108 non-refoulement principle generally, norm-creating character, 99-102 rights protection, 140-148, 181-182, 189-190

right to asylum, 117-118 Refugees. See also Asylum seekers State security, 95-96, 121, 184-186 detention of in jurisdiction, 218-220 recognition/definition of, 95-96, 106 - 107Regulation (EU) 656/2014, 55 Responsibilities, shared. See Shared responsibilities Responsibility, derivative, 75–77, 211, 216-217, 221, 226 Rights protection applications examination, 145 border crossings, 137-140 EU/Frontex obligations, 222-225 expel defined, 142-145 international human rights instruments, 148-150 jurisdiction, 137-140, 153-155 procedural safeguards establishment, 229 racial discrimination, 146 ratione loci application, 136-137 refoulement defined, 142-145 Refugee Convention 1951, Article 33 ratione loci application, 140–148, 181-182, 189-190 refugees, 144 return defined, 142-145 state sovereignty, 137-140, 153-155 treaty application subsequent practice, 145 Vienna Convention on the Law of Treaties (VCLT), 140-148, 180, 181–182, 184–186 Romania, Mohammad Munaf v., 175 - 176

Sale v. Haitian Centers Council, 146–148, 184–186 Saramati case, 63–64 SAR Convention (International Convention on Maritime Search and Rescue), 192–194 Schengen Borders Code, 4, 12–14, 129–134 Sea External Borders Decision, 55,

205-206



250

Sea External Borders Regulation, 151–153, 196–198, 201

Search and rescue, 192–194, 196–198 Search and rescue, Frontex

involvement in, 198–203

Seas. See High seas

Sexual orientation discrimination, 116

Shared responsibilities

aid or assistance in commission of internationally wrongful act,

77–80, 93–94

ASR/ARIO legal authority, 58–60

attribution, rules of, 64–65 attribution, test of, 64–65

attribution of conduct to Frontex,

61–62, 211–212 attribution of conduct to Member States, 70–73, 211–212

common security and defence policy (CSDP), 51–52

competence model, 52–54, 57–58, 91–93

consensus model, 54

coordinating officer, Frontex, 69

delegation, rules of, 64-65

delegation of power, UN Security Council, 64–65

derivative responsibility, 75–77, 211, 216–217, 221, 226

effective control existence, ARIO Commentaries, 66–68

effective control interpretation by courts, 63–66

effective control of border guards by Frontex, 68–70

Executive Director, Frontex, 70, 86 exercise of elements of governmental authority, 71–72

independent responsibility principle, 73–75

intent, open declaration of, 82–83 interception of third-country

nationals in foreign territory, 218

International Law Commission legal authority, 58–60

joint operations, aid or assistance given by Member States/Frontex, 85–87

INDEX

joint operations on land in EU territory, 213–214, 221

joint operations on land outside of EU territory, 214–216, 221

knowledge/intent/complicity elements, intentionally wrongful act, 80–85

legal personality of EU, 49–51 Member States' border guards

deployment in third countries, 217–218

organic model, 51–52, 56–57, 87, 205

overview, 17–18, 43–46, 87–88 placed at the disposal, 70–71

serious breaches of obligations, 83–84

technical means deployment, 216–217

torture, protection from, 83–84, 96–97

triggers, potential, 205–207, 221, 228–229

ultimate authority and control test, 65–66

use of force, 71

'Smart Borders' Package, 222-223

SOLAS Convention (International Convention for the Safety of Life at Sea), 192–194

State sovereignty, 137–140, 153–155

Stockholm Programme, 33, 42–43 Switzerland, X and Y v., 71–72

Technical means deployment, 216–217 Terminology, 12

'The Global Approach to Migration and Mobility,' 1–2

Torture, protection from, 83–84, 96–97

Treaty of Amsterdam, 1–2

Treaty of Lisbon, 18–19, 21–23

Treaty on European Union (TEU)

Article 6, non-refoulement, 110–117, 118–119

extraterritorial jurisdiction, EU law application, 186–189

international responsibility, 49 non-refoulement obligations, 91–93



INDEX 251

Treaty on the Function of the European Union (TFEU) administrative cooperation, 23 - 26Article 78(1), non-refoulement, 104-108 Article 72 (Area of Freedom, Security and Justice), 27 Article 73 (law-enforcement power, national security), 27-29 extraterritorial jurisdiction, EU law application, 186-189 internal security, standing committee on, 23-26 international responsibility, 49 Member State sovereignty safeguard clauses, 26-27 Turkey, Ocalan v., 156-157

United Kingdom, Al-Saadoon and Mufdhi v., 157–158, 163–164
United Kingdom, Al-Skeini and Others v., 158–159, 218–220
United Kingdom, Hassan v., 159–160, 218–220
United Nations Convention on the Law of the Sea (UNCLOS), 192–194
Uruguay, De Lopez v., 173–174
Use of force, 71

Vienna Convention on the Law of Treaties (VCLT), 140–148, 180, 181–182, 184–186

X and Y v. Switzerland, 71–72 Xhavara and Others v. Italy and Albania, 168–169