The Science of Consciousness

Consciousness concerns awareness and how we experience the world. How does awareness, a feature of the mental world, arise from the physical brain? Is a dog conscious, or a jellyfish, and what explains what is conscious and what is not? How is consciousness related to psychological processes such as perception and cognition? *The Science of Consciousness* covers the psychology, philosophy, and neuroscience of consciousness. Written for introductory courses in psychology and philosophy, this text examines consciousness with a special emphasis on current neuroscience research, as well as comparisons of normal and damaged brains. The full range of normal and altered states of consciousness, including sleep and dreams, hypnotic and meditative states, anaesthesia, drug-induced states, and parapsychological phenomena and their importance for the science of consciousness, is covered, as well as the 'higher' states and how we can attain them. Throughout, the text attempts to relate consciousness to the brain.

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The Science of Consciousness

Waking, Sleeping and Dreaming

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To Allan Hobson, who started it, and to Ruth, who finished it.

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18.7 Equations on a blackboard.



The late twentieth century saw the emergence of cognitive science as an interdisciplinary approach to studying the mind, a strategy that took what was needed from experimental psychology, computer science, philosophy, linguistics, anthropology, and comparative psychology, and, increasingly, neuroscience. As I have taught the study of consciousness over the past 30 years – first as part of an introductory cognition class, and then as an advanced option – I have seen the ways in which the evidence gleaned from neuroscience in particular has become essential to our understanding of consciousness. Indeed, all of psychology has become substantially intertwined with neuroscience. During this period, consciousness has moved from being a fringe topic to one taking centre stage, unifying different areas of psychology in the process.

Yet many students are surprised by the idea that there might be nothing more to us than our brain and bodies; and if psychology students are troubled by this notion, those without a psychology background are often shocked. This insight – that our brain is all there is, and therefore that neuroscience must play a major role in our discussion of consciousness – is the main reason for the creation of this text. As an instructor, I began to feel the need of a text that could succinctly address consciousness in light of the available scientific information by putting neuroscience front and centre in the discussion, while maintaining philosophical integrity. I want a text that can show that there is still a glorious mystery ever present in our lives, and that science can cast light on that mystery. *The Science of Consciousness* is that text.

Approach

Primarily an undergraduate text, *The Science of Consciousness* is appropriate for psychology and philosophy courses in consciousness and cognitive psychology. I hope, however, that it will reach a broader audience, and consequently have written with the educated lay person in mind. As a comprehensive introduction to the science of consciousness, the text is distinguished by the following characteristics.

A Multidisciplinary Approach. Because of the profound effect on the study of consciousness by discoveries in diverse academic fields, only an interdisciplinary approach can expose students to the broadest and most up-to-date understanding of consciousness. Consequently, the text examines every major subject in terms of the light that can be shed on it by a wide range of disciplines – from social sciences to biological sciences to computer science to philosophy.

Theoretical Framework. This book is a text, not a monograph, or a piece of original research, or a mission statement. I have endeavoured to be as neutral as possible while covering a range of material from many sources, some of which contradict each other.

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PREFACE

Psychology is distinguished by a lack of consensus in the way that would not be evident in, say, an undergraduate physics text, where much more is known and certain about the discipline being studied. It is one of the challenges of a psychology or related course that the student has to learn to live with and evaluate the uncertainty.

Although bias has no place in a text, it might occasionally shine through. My views are closer to those of Chalmers than of Dennett. I think enormous progress has been made in understanding consciousness over the last few decades, mainly as a result of sophisticated psychology experiments and advances in neuroscience, while philosophy has sharpened the issues to be debated. There is a core of problems (the 'hard problem') where advances have been less rapid, and there may be some problems that are never solved. But progress isn't achieved by giving up, and nothing would be more depressing to a student than a defeatist text. This book is called *The Science of Consciousness* because I think science is humanity's greatest invention.

Little Prior Knowledge Assumed. An interdisciplinary approach brings the challenge that few readers have a background in all of the component disciplines. Not all readers of the text will have the same level of knowledge of these diverse fields, particularly when a major contributor to understanding consciousness is neurobiology, often a difficult subject for the nonspecialist under any circumstances. Students may be apprehensive, finding it difficult to remember all the names and acronyms used in the topic. Understanding the subject can seem more like a test of memory rather than a matter of understanding principles.

Consequently, I have tried to assume as little prior knowledge as possible. Explanations take into account the reality that readers are not students of neuroscience. In addition, rather than weigh down the text with background material that not all readers will need, I have put some information in boxes so that it does not interrupt the flow of the text. Also, I have focused on trying to make the text move seamlessly from subject to subject so that concepts build organically regardless of the discipline from which they emerge. The early part of the text contains a lot of philosophy and a few experiments; later, as we examine consciousness-related topics such as attention and sleep, the text focuses less on philosophy and a great deal more on experiments.

Strong Foundation in Neuroscience. Recent rapid advances in the study of the brain have transformed our ideas about consciousness. No serious consideration of consciousness can proceed without substantial input from neuroscience. Consequently, the discussion of discoveries in neuroscience is an essential aspect of the text. As neuroscience informs every aspect of the subject, the material is integrated throughout rather than shunted into one separate chapter. The glossary though provides a resource for students with less background in neuroscience.

Integration Throughout of 'Atypical' States of Consciousness. While other texts cover sleep and dream science very briefly, three full chapters are devoted to the recent discoveries

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in this field – discoveries that are especially important to psychology students interested in the latest psychotherapeutic interventions. Other chapters are devoted to 'atypical' states of consciousness; it is easy to gain the impression from the literature that consciousness is one simple state.

Inclusion of Cross-cultural Aspects of Consciousness. Most psychology experiments might be carried out on western young undergraduate students, but we must remember that they might not always be representative of the world's population of what is expected to soon be 8 billion. Different cultures have different views of consciousness; for example, contrast western and eastern approaches to consciousness and spirituality. Different religions place different emphases on altered states of consciousness and means of obtaining them (including prayer and drugs). Even differences between languages affect the way we think of the world.

Engaging Pedagogical Features. The text includes many pedagogical features. Students are encouraged to attempt activities, experiments, and thought experiments, labelled 'Try this', throughout the text; these exercises prompt them to examine different conceptual problems. No other subject lends itself so well to self-exploration as does consciousness. 'Try this' suggestions are clearly marked in the text.

To maintain the flow of the narrative, as well as to break up the text, boxes contain nonessential material that some students might find useful or interesting. In particular, I have provided background information about interdisciplinary approaches that many undergraduates might not know. I have illustrated the book as richly as possible, taking care to use illustrations that really add information or aid understanding, rather than being merely decorative or token. Each figure is captioned so as to aid skimming and remembering the associated material.

Each chapter contains recommendations for exploring further reading and other material. To make the supplemental reading as accessible as possible, I have focused on approachable secondary sources that will prepare the student for the primary sources of peer-reviewed journal articles and books.

Every chapter begins with a roadmap of what that chapter is about. At the end of each chapter is a clear bullet point summary telling the reader what they need to be able to take away from each chapter. The summaries can also act as another form of self-test for readers to be able to evaluate their understanding and knowledge of each topic. There are explicit self-test questions at the end of each chapter.

I think reading the text from Chapter 1 through to Chapter 18 is the best order, but have tried to make each chapter as independent as possible. There is a glossary to assist readers. Items in the glossary are in bold red on first mention (outside the introduction). There is a separate glossary of neuroanatomical location terms, with entries in the text in bold black italic, a glossary of common neurotransmitters with entries in non-bold red, and a glossary of neurotransmitter structures with entries in bold black. Other important technical terms whose scope is usually confined to one chapter are in italics. There is also a list of abbreviations and acronyms.

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Online Resources

The field of consciousness research is fast changing and the reader will want a way of keeping up to date with what has happened since the book's publication. There is, of course, also far more material than can be presented in one book. In an attempt to deal with these issues, there is a website for this book at: www.trevorharley.com/consciousness.html.

Under *Consciousness* you will find corrections, additions, comments, news of recent work, informative reviews of other books on consciousness, and frequently asked questions.

One of the most difficult decisions for the writer is how many citations to include. If absolutely everything is referenced, the book will be enormous and the flow disrupted at least once a sentence. On the other hand, every statement must be obviously true or easily verifiable by the reader. If you think a particular assertion needs additional clarification or verification please email me. More generally, an enormous number of resources are available online. The number of blogs on consciousness alone is daunting, but the difficulty with blogs is that unlike journal articles they are not peer-reviewed. I have posted links to some of them on my website.

Some instructors will think this topic should be in and that topic should be out. If you think a particular topic should be covered, please contact me. If you think I should cite particular research, again please just email me. I hope that as time passes new research will overthrow old ideas and give us fresh understanding.

Organisation and Coverage

The early chapters focus on what consciousness is. After giving the matter some thought, I decided to put a chapter on machine and animal consciousness relatively early on. I think students new to the subject matter will find this discussion enhances their understanding of the nature of consciousness. In general, I have made each chapter as independent as possible, so the order of material can be determined by the instructor. I suggest though that the first three chapters be covered first.

The chapters are grouped into three parts.

Part I is on the nature of consciousness. We try to come to grips with what consciousness is.

Chapter 1 surveys the field of consciousness, explaining why it is such a difficult subject to study. The chapter summarises the whole book. The conclusion is that the 'problem' of consciousness is really several related problems.

Chapter 2 discusses the relationship between the mind and brain – a relationship that some have viewed as between hardware and software. This chapter is mainly a philosophical one. It is essential reading if you want to appreciate what the problems are, and how they might be solved. The focus is mainly on what has been called the 'hard problem' of consciousness: why does it feel like something to be me? This chapter summarises the main philosophical approaches to consciousness in the philosophy of mind.

Chapter 3 addresses the problem of free will. It asks who is making decisions about when to do something. It also examines the legal implications of this discussion; if we don't have free will, can we really be held responsible for our actions? Can we ever really be guilty

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of a crime? The chapter covers philosophical, psychological, and neuroscientific approaches to deciding to act.

Chapter 4 is the first of two about other types of consciousness. Could a computer or robot ever be conscious? How would we tell? Are there any ethical issues or fears associated with machine consciousness?

Chapter 5 talks about awareness in animals, asking this time how we might tell that an animal is self-aware. What are the ethical issues concerning possible consciousness in some animals? A related issue to animal consciousness concerns the evolution of consciousness. Why are some animals conscious (presumably) but not others, and why did consciousness evolve in humans? How is the development of consciousness related to the development of human consciousness and of social groups and organisation?

Part II is about the workings of consciousness. How does consciousness arise from the brain, and how is it related to cognitive and perceptual processing?

Chapter 6 examines introspection and what we can learn about consciousness. What do we think about? What are the contents of consciousness? We think we know all about our own consciousness because it is so immediate, but psychologists understand that appearances are deceptive. Our behaviour is prone to all sorts of bias and self-deception. Some illnesses and damages to the brain have interesting and revealing effects on our abilities to access information about ourselves. This chapter also examines Freud's conception of an actively repressed unconscious and his theory about the structure of the mind. The chapter concludes with an examination of subliminal processing and priming.

Chapter 7 is about the self and our views of our own identity. Do we have a stable identity that persists over time? Is there a core 'me'? The chapter focuses on the contrast between ego and bundle theories of the sense. The text examines various illusions that show how the self can be misled about what it is, which leads into a discussion of how brain damage can disrupt our identities. In particular, the chapter explores the extent to which we derive our sense of self from our memories and what happens when our autobiographical memories are extremely disrupted by brain damage. Again, we focus on the neuroscientific basis of our sense of self.

Chapter 8 focuses more on the cognitive psychology of consciousness. We are 'thinking' all the time we are awake, but what is thought? Many of us experience an inner voice commenting on our experience. Where does this inner voice come from, and how is it related to other language systems? We examine whether the form of our language affects the way in which we think and perceive the world. 'Attention' is an important topic in cognitive psychology and is clearly related to consciousness, but as the chapter shows, attention and consciousness are not the same thing. The text discusses the neuroscience of attention and particularly the idea that there is a 'default mode' network busy when we are doing nothing else – a system that generates daydreaming. The chapter presents several models of consciousness that emphasise cognition. Finally, we look at accounts of consciousness involving quantum mechanics.

Chapter 9 takes a look at the closely related topics of the relationships between visual perception, visual awareness, the brain, and consciousness. A great deal of research has been done on visual awareness. What have we learned from all this research?

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Chapter 10 examines in detail the key question of how consciousness is related to the brain. At first sight we might need look no further than how anaesthetics function, but as we shall see, although the workings of anaesthetics are instructive, they provide no definitive answers. We can learn a great deal by examining how damage to the brain affects consciousness. We can also learn something with new techniques of imaging the brain, although some caution is needed in drawing conclusions from these results. Several models of consciousness and the brain are discussed in this chapter. It looks at death, the end of consciousness. Or is it? Is there any continuation of consciousness after death? And how should we define death in the first place? Is death a sudden or lingering process, and what affects the duration of any transition from consciousness to death? Is it possible to avoid death?

Part III is about states of consciousness other than normal waking consciousness – what are called 'altered states of consciousness', including sleep, dreams, hypnosis, and drug-induced states.

Chapter 11 introduces the notion of an altered state of consciousness, a state of consciousness that is in some way different from our normal waking consciousness. We consider what happens to make the state of consciousness seem altered: how do changes in the brain lead to changes in phenomenology? This chapter looks at a range of altered states with different origins, such as sensory deprivation and out-of-body experiences.

Chapter 12 looks at that most familiar altered state, sleep, in which consciousness is largely absent. The mechanisms that control the sleep–wake cycle are likely to reveal much about what maintains wakefulness and consciousness. We look at brain structures, the connectivity of the brain, and neurotransmitters. The chapter also examines the effects of sleep deprivation and sleep disorders. Finally, we ask why we sleep, and to what extent is sleep involved in learning?

Chapter 13 examines the related topic of dreams. Dreaming is the altered state of consciousness with which everyone is most familiar. What do people dream about, and why do we dream? The subject of dreams is naturally a time to revisit Freud, but there are many other accounts of dreaming, and they are not necessarily contradictory. We ask how the brain generates dream content, and we examine dream pathology – the nightmare. We also consider lucid dreaming, when people are aware that they are dreaming and can sometimes influence dream content.

Chapter 14 discusses hypnosis. There has been much debate among psychologists and psychotherapists about whether hypnosis is indeed an altered state of consciousness, and this chapter examines the debate and the evidence. How do we induce hypnosis, and why are some people more easily hypnotised than others? We look at how post-hypnotic suggestions work, and what the clinical applications of hypnosis are. And we also address the issue of whether people can be hypnotised to do things they don't want to do.

Chapter 15 reviews the effects of psychoactive drugs – drugs that influence our mental state. There are a huge number of such drugs with many different effects – some synthesised, some naturally occurring, some used as medical treatment, some for recreation, some legal, some illegal. This chapter focuses on those drugs that tell us the most about consciousness, particularly LSD. The key question is, how do changes to the brain (particularly the chemistry of the brain) lead to changes in perception and consciousness? We look at

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what the study of psychoactive drugs tells us about 'normal' consciousness. Also included is a brief discussion of the social context of these drugs, looking at how recreational drugs might have helped shape cultural changes.

Chapter 16 examines meditation. What is meditation, and does it work – and, if it does, how? An overwhelming amount of evidence suggests that regular meditation is beneficial in a large number of ways, and this chapter considers these. The chapter also examines the related idea of mystical and religious experiences. The chapter concludes by asking whether there is a state of consciousness that is in some way 'better' than our normal resting state, or at least a 'heightened' state of consciousness.

Chapter 17 reviews the existence of paranormal phenomena, and the methodological difficulties involved in testing for them. What is the evidence for extrasensory perception and related phenomena? And what if anything does the subject tell us about consciousness?

Finally, Chapter 18 revisits the questions posed at the end of the first chapter and summarises what we have learned.

ACKNOWLEDGEMENTS

I have many people to thank for this book. Several anonymous reviewers have improved the book immeasurably. The discussion of artificial intelligence has benefitted from years of argument with Richard Loosemore.

This book would not have happened in this form without the enthusiasm and encouragement of Allan Hobson. I am grateful for his generosity, hospitality, experience, knowledge and enthusiasm. I am conscious of the fact that he won't always approve of the approach I have taken, but nevertheless, Allan, this book is for you. Numerous other people helped. Matthew Bennett of Cambridge University Press (CUP) got the project rolling, and I am grateful to CUP for all their help and particularly their patience. I would like to thank Lisa Pinto for all her guidance and patience, and Linda Stern for all her detailed comments and hard work; I hope you think it has paid off. Jane Adams has been a superb editor. Particular thanks are due to Rachel Norridge for the extraordinary amount of time and help she has given me. I have had many conversations over many years with Richard Wilton about many of the topics in this book. We have recently written a book together (Science and Psychology), which has a chapter about consciousness and another about free will, and I am sure my current approach to the legal issues surrounding free will has been influenced by cowriting the latter chapter in particular. Frank John Snelling read chapters as an 'intelligent lay person', and his comments on what he found difficult or needing definition were illuminating.

Finally, here is a word of consolation. The study of consciousness is difficult; if you find it hard going at times, it isn't just you. It's right up there in conceptual difficulty with quantum mechanics and relativity. And more difficult than rocket science and brain surgery.

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ABBREVIATIONS

ACC	Anterior cingulate cortex
AI	Artificial intelligence
AIM	Activation input modulation
APA	American Psychological Association
ASC	Altered state of consciousness
ASPD	Antisocial personality disorder
AVH	Auditory verbal hallucination
CBD	cannabidiol
CN	Caudate nucleus
CSF	Cerebrospinal fluid
CLIS	complete locked-in syndrome
DBS	Deep-brain stimulation
DCH	dynamic core hypothesis
DID	Dissociative identity disorder
DLPFC	Dorsolateral prefrontal cortex
DMN	Default mode network
DMT	N,N-dimethyltryptamine
DN	Default network
DSM	The American Psychiatric Association's Diagnostic and Statistical Manual of
	Mental Disorders
EEG	Electroencephalogram
ESM	Experience sampling method
ESP	Extrasensory perception
fMRI	Functional magnetic resonance imaging
fNIRS	functional near infrared spectroscopy
GWT	Global workspace theory
GESP	a general extrasensory perception
HPPD	hallucinogen persisting perception disorder
IIT	Integrated information theory
LIFG	left inferior frontal gyrus
LRE	life review experience
LIS	Locked-in syndrome
MAOI	Monoamine oxidase inhibitor
MEG	Magnetoencephalography
mPFC	Medial prefrontal cortex
MCS	Minimally conscious state
MRI	Magnetic resonance imaging
ms	Millisecond, 1/1000 th of a second
MSR	Mirror test of self-recognition

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LIST OF ABBREVIATIONS

MVPA	multi-voxel pattern analysis
NCC	Neuronal correlates of consciousness
NDE	Near-death experience
NREM	Non-rapid eye movement sleep
OBE	Out-of-body experience
OCD	Obsessive-compulsive disorder
OFC	Orbito-frontal cortex
PCC	Posterior cingulate cortex
PCP	phencyclidine
PET	Positron emission tomography
РК	psychokinesis
PGO	Ponto-geniculo-occipital
POSTS	Positive occipital transients of sleep
PPC	posterior parietal cortex
PTSD	Post-traumatic stress disorder
PVS	Persistent vegetative state
REM	Rapid eye movement (sleep)
REST	Restricted environmental stimulation therapy
RP	Readiness potential
SCN	Suprachiasmatic nucleus
SSRI	Selective serotonin re-uptake inhibitor
SWS	slow-wave sleep
TUTs	task unrelated thoughts
TST	threat simulation theory of dreaming
THC	Tetrahydrocannabinol
TLE	Temporal lobe epilepsy
TMS	Transcranial magnetic stimulation