

CRIMINOLOGICAL APPROACHES TO INTERNATIONAL CRIMINAL LAW

This volume is one of the few books to explain in-depth the international crimes behind the scenes of substantive or procedural law. The contributors place a particular focus on what motivates participation in international crime, how perpetrators, witnesses and victims see their predicament and how international crimes should be investigated at local and international level, with an emphasis on context. The book engages these questions with a broad interdisciplinary approach that is accessible to both lawyers and non-lawyers alike. It discusses international crime through the lens of anthropology, neuroscience, psychology, state crime theory and information systems theory and draws upon relevant investigative experience from experts in international and domestic law prosecutions.

ILIAS BANTEKAS is Professor of Law, Brunel University, School of Law Emmanouela Mylonaki is Senior Lecturer in Law at South Bank University, Department of Law





CRIMINOLOGICAL APPROACHES TO INTERNATIONAL CRIMINAL LAW

Edited by
ILIAS BANTEKAS
and
EMMANOUELA MYLONAKI





CAMBRIDGEUNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning and research at the highest international levels of excellence.

 $www. cambridge. org \\ Information on this title: www. cambridge. org/9781107060036$

© Cambridge University Press 2014

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2014

Printed in the United Kingdom by Clays, St Ives plc

A catalogue record for this publication is available from the British Library
Library of Congress Cataloging-in-Publication Data
Criminological approaches to international criminal law / edited by Ilias Bantekas,
Emmanuela Mylonaki.

pages cm

ISBN 978-1-107-06003-6 (Hardback)

1. International criminal law. I. Bantekas, Ilias, editor. II. Mylonaki, Emmanuela, editor. $KZ7050.C73\ 2014$ $345-dc23\quad 2014021239$

ISBN 978-1-107-06003-6 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.



CONTENTS

List of figures vii List of tables viii List of contributors ix Preface xv

Introduction: an interdisciplinary criminology of international criminal law 1

ILIAS BANTEKAS

1 Criminological theory and international crimes: examining the potential 22

ANDY AITCHISON

2 The relevance and application of empirical research methods to the study of international crimes 50

EMMANOUELA MYLONAKI

3 Understanding and responding to state crime: a criminological perspective 68

KRISTIAN LASSLETT

4 Investigating complex crime 93

JONATHAN RUSCH

5 Evidence in cases of mass criminality 117

DERMOT GROOME

6 Eyewitness psychology in the context of international criminal law 159

ANDREW SMITH, RODERICK LINDSAY AND BRIAN CUTLER

v



vi CONTENTS

7 The role of information systems in the prevention and detection of transnational and international crime 192

DIONYSIOS DEMETIS

8 Using the macro-micro integrated theoretical model to understand the dynamics of collective violence 222

OLAOLUWA OLUSANYA

9 The anthropological dimension of international crimes and international criminal justice 240

ILIAS BANTEKAS

10 Mental health and international crimes 263

HENRIK ANCKARSÄTER, TOVA BENNET, THOMAS NILSSON AND SUSANNA RADOVIC

11 Understanding collective violence: the communicative and performative qualities of violence in acts of belonging 287

JEFFREY STEVENSON MURER

Defining victims: a proposed typology for victims of war crimes and their need for reparation 316

JO-ANNE M. WEMMERS AND AMISSI MANIRABONA

Index 338



FIGURES

5.1	Main Staff Organogram drawn by Milovanovic for Tolimir	144				
7.1	Suspicious Activity Reports (SARs) in the USA, 1996–2010					
7.2	Suspicious Activity Reports (SARs) in the UK, 1999-2011					
7.3	A framework for the technological construction of					
	criminality and the generation of suspicion 216					
11.1	Budapest violence scale by gender of respondent 309					
11.2	Bratislava/Brno violence scale 310					



TABLES

1.1	Thomson-Reute	rs classification	of journal	articles,	topic search	'Genocide',
	1944_2012	24				

- 1.2 Manual categorisation of 'Criminology and Penology' articles, 1944–2012 25
- 1.3 Plotting Van Baar and Huisman's criminological analysis of Topf and Sons 39
- 7.1 Evolution of communication systems in tackling crime 203
- 11.1 Budapest responses to ESYM questionnaire number 62 306
- 11.2 Responses to ESYM questionnaire number 62 for Jobbik and ethnic Jewish activists 307
- 11.3 Responses to ESYM questionnaire number 64 for Jobbik and ethnic Jewish activists \$308\$
- 12.1 Von Hentig's typology 319
- 12.2 Overview of types of victims by victims' needs in relation to reparation 336

viii



CONTRIBUTORS

ANDY AITCHISON is lecturer in criminology at the School of Law, Edinburgh University and founding co-director of the MSc Global Crime, Justice and Security. His main research interests lie in criminology and the politics of criminal justice. Andy's main works to date examine the development of criminal justice institutions in states in transition from authoritarian government and violent conflict. Findings are presented in various publications including *Making the Transition: International Intervention, State-building and Criminal Justice Reform in Bosnia and Herzegovina* (2011). Andy is currently researching police as perpetrators of international crimes in the former Yugoslavia and has presented preliminary papers on the topic at British and European criminological meetings. Formerly, Andy lectured in social policy at the University of Edinburgh School of Social and Political Science and worked as a researcher for Cardiff University and the UK Home Office.

HENRIK ANCKARSÄTER is professor of forensic psychiatry at the University of Gothenburg and senior consultant at Sahlgren's University Hospital in Gothenburg. He has been visiting professor at the universities of Lund and Paris XII, is a scientific expert at several governmental bodies, board director of the International Academy of Law and Mental Health, author of more than one hundred peer-reviewed papers in psychiatry and forensic psychiatry and several book chapters and other publications. His main research interests are child neuropsychiatry, adult personality disorders and forensic psychiatric epistemology. Dr Anckarsäter was one of the founders of the Centre of Ethics. Law and Mental Health at the University of Gothenburg and has initiated a range of cross-disciplinary studies, including the Gothenburg Forensic Neuropsychiatry Project, the Child and Adolescent Twin Study in Sweden (CATSS, currently the world's largest twin study on child and adolescent psychiatry) and a large-scale collaboration between philosophy of science and forensic psychiatry (FILUR).



x

Cambridge University Press 978-1-107-06003-6 - Criminological Approaches to International Criminal Law Edited by Ilias Bantekas and Emmanouela Mylonaki Frontmatter More information

LIST OF CONTRIBUTORS

ILIAS BANTEKAS is professor of international law at Brunel Law School and associate fellow at the Institute of Advanced Legal Studies of the University of London. He has advised international organisations, such as UNDP, UNODC and OSCE, law firms, barristers and governments on matters of international law, international criminal law, financial crime, international development banks, human rights and foreign debt. His principal works include *International Criminal Law* (4th edn, 2010), *International Law* (2013, with E. Papastavridis), *International Arbitration* (2015) and *International Human Rights Law and Practice* (Cambridge University Press, 2013, with L. Oette).

TOVA BENNET is a doctoral student in criminal law at Lund University, Sweden. Her thesis focuses on the prerequisites for attributing criminal responsibility.

BRIAN CUTLER serves as professor and associate Dean of the Faculty of Social Science and Humanities at the University of Ontario Institute of Technology. He is past president of the American Psychology-Law Society and past Editor-in-Chief of the *Journal of Law and Human Behavior*. Dr Cutler recently edited *Conviction of the Innocent: Lessons from Psychological Research* (2012) and *Reform of Eyewitness Identification Procedures* (2013), both published by the American Psychological Association Press. He serves as a consultant and expert witness in cases involving eyewitness identification and false confessions.

DR DIONYSIOS DEMETIS is a lecturer in management systems at Hull University Business School. He holds a PhD on anti-money laundering (AML) and information systems from the London School of Economics (LSE), where he taught information systems management, information security and research methods. He is a member of the Scientific Board of the Geolab Institute at the Ionian University in Corfu and was an Adjunct Professor for the Thomas Jefferson School of Law in California (San Diego) where he lectured on international compliance, AML, the Bank Secrecy Act (BSA) and research methods for LLM/JSD students. He is the author of two books and a number of journal publications, and his book on AML entitled *Technology and Anti-Money Laundering: A Systems Theory and Risk-based Approach* (2010) is the first book to provide a coherent theoretical structure for AML research and practice based on systems theory. While at the LSE, he contributed widely to a number of research deliverables for the European Commission, but most



LIST OF CONTRIBUTORS

хi

importantly to the domains of AML and countering the financing of terrorism for the Spotlight EU project, as well as the GATE EU Project. He has acted as a convener of the steering group for the Future of Identity for the Information Society (FIDIS) and has presented widely on AML and information systems across Europe. His core research interests include AML, systems theory and information systems.

DERMOT GROOME is currently a Senior Prosecuting Trial Attorney at the Office of the Prosecutor of the International Criminal Tribunal for the former Yugoslavia (ICTY) and a Distinguished Fellow of International Criminal Law at Pennsylvania State University School of Law. He is a former New York City prosecutor. He has led the investigation and prosecution of several ICTY cases. He is currently leading the prosecution of the case against Ratko Mladić. He served as a legal adviser with the International Human Rights Law Group and as a consultant for the OHCHR. He regularly lectures at the International Institute of Criminal Investigation and is the author of the *Handbook of Human Rights Investigation* (1st edn, 2001; 2nd edn, 2011).

KRISTIAN LASSLETT is a lecturer in criminology at the University of Ulster and a member of the International State Crime Initiative Executive Board. He is editor of the *State Crime Testimony Project* and joint editorin-chief of *State Crime*. Kristian has conducted extensive field research in Australia, Papua New Guinea and the United States, and has published papers on state crime and criminological theory in leading international journals. His first book *State Crime on the Margins of Empire* is forthcoming. Presently, Kristian is carrying out research on land grabbing, corruption and civil society in Papua New Guinea.

ROD LINDSAY graduated from the University of Alberta (1982) and has researched eyewitness issues at Queen's University, Canada, since that date. He has testified as an expert on eyewitness issues in both criminal and civil cases. He was a member of the Technical Working Group for Eyewitness Evidence (US National Institute of Justice) responsible for the American national guidelines and has extensive experience training legal professionals, including judges, police, prosecutors and lawyers. Dr Lindsay co-edited the *Handbook of Eyewitness Psychology* (2007).

AMISSI M. MANIRABONA is assistant professor at the Faculty of Law of the University of Montreal where he teaches courses in various fields,



xii

LIST OF CONTRIBUTORS

including national and international criminal law. He is also a researcher at the International Centre for Comparative Criminology (ICCC) at the University of Montreal. Prior to his current position he was a postdoctoral researcher at the ICCC as well as an Aisenstadt Fellow at McGill University, Faculty of Law.

JEFFREY STEVENSON MURER is a lecturer on collective violence and a research fellow at the Centre for the Study of Terrorism and Political Violence, University of St Andrews, Scotland. In his research he explores the process of large group identity formation and the roles that violence plays in performing and communicating that identity. Previously, he was the principal investigator of the European Study of Youth Mobilisation, a three-year, multi-sited study funded by the British Council, which explored the motivations for social action among young people in Central and Nordic Europe, and their attitudes toward, and participation in, political violence. In other research he has explored the roles of violence in the political transitions following the fall of Communism in Central Europe, in the wars of the break-up of Yugoslavia, and in the independence and religious struggles in the Caucasus region. He has held many research fellowship awards, including as a national fellow of the American Psychoanalytic Association between 2006 and 2007, and is currently a research lecturer at the Scottish Institute for Policing and a member of the Young Academy of the Royal Society of Edinburgh.

EMMANOUELA MYLONAKI is senior lecturer in criminology at London South Bank University and director of its Postgraduate Programme. She has published widely on the intersection between criminology and (international) criminal law and has advised several private and public organisations on anti-terrorist policies and strategies.

THOMAS NILSSON is a licensed psychologist and a senior lecturer in Forensic Psychology at the University of Gothenburg, Sweden. He has worked as a psychologist at the National Board of Forensic Medicine, conducting court-ordered forensic psychiatric assessments. His main research interests focus on risk assessments and factors associated with negative outcomes of mentally disordered criminal offenders.

OLAOLUWA OLUSANYA is currently a lecturer at the Department of Law and Criminology, Aberystwyth University, UK. His most recent work has



LIST OF CONTRIBUTORS

xiii

appeared in journals such as the British Journal of Criminology, New Criminal Law Review, Psychiatry, Psychology and Law and Critical Criminology. He is a member of several associations, including the British Society of Criminology, the British Sociological Association, the American Sociological Association, the American Society of Criminology and the Society for the Study of Symbolic Interaction (SSSI). His most recent book is entitled Emotions, Decision-Making and Mass Atrocities through the Lens of the Macro-Micro Integrated Theoretical Model (2014). He is currently working on a book project entitled Understanding War Crimes.

SUSANNA RADOVIC is senior lecturer in theoretical philosophy and director of the Centre for Ethics, Law and Mental Health, University of Gothenburg, Sweden. Her main research interests focus on the concept of accountability and its application in criminal law, as well as on delusions and false beliefs. She has published a textbook on accountability.

JONATHAN J. RUSCH is Deputy Chief of Strategy and Policy at the US Department of Justice, Fraud Section, where he previously held the post of counsel for fraud prevention and senior litigation counsel. Prior to this he was director and acting director of the US Department of Treasury, Office of Financial Enforcement. Between 1984 and 1986 he served on the US President's Commission on Organised Crime and practised with Cleary, Gottlieb, Steen and Hamilton. He is an adjunct professor of law at Georgetown University Law School.

ANDREW SMITH is a doctoral candidate at Queen's University, Canada. His doctoral research examines the use of multiple show-ups for single perpetrator crimes and is funded by the Social Science and Humanities Research Council of Canada. In related research, he is studying multiple perpetrator crimes and show-ups. He is primarily interested in examining eyewitness-related issues, as well as factors affecting juror decision-making. He has published in both psychology and law-related journals.

JO-ANNE WEMMERS is a full professor at the School of Criminology of the University of Montreal as well as head of the research group Victimology and Restorative Justice at the International Centre for Comparative Criminology. She has published widely in the areas of victimology, international criminal law and restorative justice. Her books include *Therapeutic Jurisprudence and Victim Participation in Justice*:



xiv

LIST OF CONTRIBUTORS

International Perspectives (2011), Introduction à la victimologie (2003), Caring for Victims of Crime (1999) and Victims in the Criminal Justice System (1996). Former secretary general of the World Society of Victimology, she is currently editor-in-chief of the French language journal Criminologie, as well as editor of the International Review of Victimology and the Journal international de victimologie.



PREFACE

You know that an edited book has the potential for contributing something to knowledge when all the contributors tell you that they are challenged by the questions posed, even if the subject matter of their chapter is close to their scholarly or professional expertise. None of the contributors alone, including the editors, possesses expertise on all the areas covered in this book. We have asked contributors to offer perspectives on psychiatry, psychology, forensic science, anthropology, law, organisational behaviour and several other areas, and it is natural that none of us could predict from the outset what each chapter would look like or what conclusions would be reached. We did not ask that contributors confirm or deny existing theories, practices, policies, etc., with the aim of reaching a particular line of thinking. What we were certain of was that international criminal justice should not be confined to what lawyers alone say but should become as interdisciplinary as possible, because law is clearly only one element in this process. We were not convinced, for example, that the extensive debates on mens rea currently occupying international criminal law scholarship (especially following the Lubanga case) could only be answered by criminal law theorists, but thought that at the very least psychiatry and neuroscience should inform the current debate. Equally, it was felt that international criminal justice scholarship had narrowed its scope so much (with its centre of gravity being on the so-called core crimes) that it had lost sight of conduct producing more victims as compared to average war crimes. State crime theory is just one example where lawyers have lost the bigger picture.

When we set out to organise the contents of this book we did not necessarily aim at constructing a general theory of international criminology. Our purpose was to ask selected experts how they thought established knowledge in their disciplines could be adapted to international (or transnational) crimes, if at all. This book is therefore a first attempt towards expanding the boundaries of international criminal law in order to realise its full potential. It is hoped that this will inspire others,



XVI PREFACE

including judges, academics, counsel and policy makers, to develop these ideas further through new research and practice. We hope that this is merely the first step towards something much bigger.

We would like to thank all contributors for their hard work, as well as the staff at Cambridge University Press for their professionalism and high standards throughout the production of this book.