## INTERNET PRIVACY RIGHTS

Internet Privacy Rights analyses the current threats to our online autonomy and privacy, and proposes a new model for the gathering, retention and use of personal data. Key to the model is the development of specific privacy rights: a right to roam the internet with privacy, a right to monitor the monitors, a right to delete personal data, and a right to create, assert and protect an online identity. These rights could help in the formulation of more effective and appropriate legislation, and shape more privacy-friendly business models. The conclusion examines how the internet might look with these rights in place and whether such an internet could be sustainable from both a governmental and a business perspective.

PAUL BERNAL is a lecturer in information technology, intellectual property and media law at the University of East Anglia Law School, where his research centres around privacy and human rights, particularly on the internet.

### CAMBRIDGE INTELLECTUAL PROPERTY AND INFORMATION LAW

As its economic potential has rapidly expanded, intellectual property has become a subject of front-rank legal importance. *Cambridge Intellectual Property and Information Law* is a series of monograph studies of major current issues in intellectual property. Each volume contains a mix of international, European, comparative and national law, making this a highly significant series for practitioners, judges and academic researchers in many countries.

### Series editors

Lionel Bently Herchel Smith Professor of Intellectual Property Law, University of Cambridge William R. Cornish Emeritus Herchel Smith Professor of Intellectual Property Law, University of Cambridge

### Advisory editors

François Dessemontet, Professor of Law, University of Lausanne Paul Goldstein, Professor of Law, Stanford University The Rt Hon. Sir Robin Jacob, Hugh Laddie Professor of Intellectual Property, University College, London

A list of books in the series can be found at the end of this volume.

# INTERNET PRIVACY RIGHTS

# Rights to Protect Autonomy

PAUL BERNAL





University Printing House, Cambridge CB2 8BS, United Kingdom

Published in the United States of America by Cambridge University Press, New York

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9781107042735

© Paul Bernal 2014

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2014

Printed in the United Kingdom by Clays, St Ives plc

A catalogue record for this publication is available from the British Library

Library of Congress Cataloguing in Publication data Bernal, Paul, 1964– Internet privacy rights : rights to protect autonomy / Paul Bernal. p. cm. – (Cambridge intellectual property and information law ; 24) Includes bibliographical references and index. ISBN 978-1-107-04273-5 (hardback) 1. Data protection–Law and legislation. 2. Computer security–Law and legislation. 3. Internet–Security measures. 4. Internet–Safety measures. 5. Privacy, Right of. I. Title. K3264.C65B49 2014 323.44'8–dc23 2013045717 ISBN 978-1-107-04273-5 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

### CONTENTS

	Preface and acknowledgements page ix
1	Internet privacy rights 1
	1 Introduction 1
	2 Privacy and autonomy on the internet 12
	3 Internet privacy rights 15
	4 The impact of internet privacy rights 19
	5 A privacy-friendly future? 22
2	Privacy, autonomy and the internet 24
	1 Autonomy 24
	2 Privacy 32
	3 Autonomy and consent 36
	4 Autonomy, privacy, challenges and criticisms 42
	5 Privacy is not the enemy 50
3	The Symbiotic Web 53
	1 The Symbiotic Web 53
	2 The make-up of the benign symbiosis 60
	3 The risks of a malign symbiosis 65
	4 Governments and the Symbiotic Web 73
	5 Managing the symbiosis 77
4	Law, privacy and the internet – the landscape 82
	1 The role of law in the internet 82

vi CONTENTS 2 Privacy-protective law 87 3 Privacy-invasive law 97 4 Privacy-related law: the overall landscape 111 Navigating the internet 117 5 1 Search engines and their role 117 2 Google and the Article 29 Working Party 122 3 Implications and ways forward 131 4 Conclusions and rights-based solutions 139 **Behavioural tracking** 144 6 1 Behavioural tracking and targeting 144 2 Does any of this matter? Isn't it just about advertising? 147 3 Phorm 151 4 The rise and fall of Phorm 160 5 Regulation of behavioural tracking 166 6 Ways forward and rights-based solutions 172 Data vulnerability and the right to delete 7 176 1 Vulnerability and autonomy 176 2 The reality of data vulnerability 181 3 Data vulnerability - solutions? 197 4 A change in assumptions and the right to delete 200 8 A rights-based approach 207 1 Putting the rights together 207 2 Autonomy by design 215 3 A rights-based approach? 223 4 Rights and symbiotic regulation 230

# CAMBRIDGE

### CONTENTS

9 Privacy and identity 234	
1 Online identity 234	
2 The privacy, identity, anonymity model 237	
3 The concept and creation of identity 240	
4 The assertion of identity 247	
5 The protection of online identity 250	
6 Identity in a privacy-friendly internet 259	
10 A privacy-friendly future? 263	
1 A need for internet privacy rights? 263	
2 An internet with rights 269	
3 The internet of the future and addressing critiques	278
4 A transparent society or a privacy-friendly future?	283
Bibliography 291 Index 300	

### PREFACE AND ACKNOWLEDGEMENTS

Privacy on the internet has never mattered more. The internet is now part of almost every aspect of our lives, from the personal and intimate to the professional, from our finances to our leisure, from our interactions with each other to our interactions with our governments. It is no longer something optional, something that we can avoid: if we want to live full lives, to participate in society, to take advantage of all our opportunities, we need the internet.

What happens to us on the internet impacts upon our autonomy – our freedom to act, our freedom to decide for ourselves how we live our lives – and not just our autonomy online but our autonomy in the 'real', offline world. Part of this impact arises from the way that our privacy is infringed upon, directly and indirectly, intentionally and unintentionally, by a wide variety of people, companies and government agencies. People are increasingly becoming aware of these problems: internet privacy issues, once of interest to only those loosely described as geeks and nerds, are now headline news all around the world. Internet privacy not only matters, but it is beginning to be seen to matter.

It has been argued that privacy is 'dead', that privacy is 'outdated' and even that privacy is in itself damaging and that we should embrace transparency and openness in its place. These arguments are not trivial or simplistic, and there is evidence to support all of them, but ultimately they are fundamentally flawed. If we want autonomy, if we want freedom, we need privacy to protect it. We need privacy *rights*.

This book presents a linked set of internet privacy rights – rights that, sometimes even without knowing it, people are already starting to claim. These are not 'legal' rights in a precise and enforceable sense, but something more akin to natural rights. They reflect the rights that people *believe* that they have, that people *need* to have in order to function freely on the internet. What these rights are, why they are appropriate and how they could help people and help shape the internet into a more privacy-friendly form in the future is the main purpose of *Internet Privacy Rights*.

х

#### PREFACE AND ACKNOWLEDGEMENTS

Some people express concern primarily against invasions of privacy by the likes of Google and Facebook, some by government agencies such as the US National Security Agency (NSA) and the UK's Government Communications Headquarters (GCHQ) – particularly in the light of the PRISM, Tempora and related revelations of 2013 – while others seem worried most by criminal scammers and identity thieves. Which of these groups is right? In different ways, each of them has very legitimate concerns, but they cannot be considered separately. The relationships between businesses and governments are complex and intertwined, and criminals and scammers can feed off both. Data gathered by businesses can be accessed and used by government agencies, or hacked or stolen by criminals. Business models based on privacy invasions can be legitimised by governments against the interests of individuals as a result of lobbying, or from the tacit understanding that both businesses and governments can benefit from having more and more information about us.

This is where the rights presented here come in: they can help people to fight their corner. Rights provide a language for people to use, a way to express themselves in the face of what often seems to be the overwhelming power of both the corporate and the security lobbies.

Internet Privacy Rights starts with a theoretical analysis of both privacy and autonomy, and how they apply in the current, substantially commercial form of the internet. It sets out a model - the Symbiotic Web - to explain the current, principally commercial way that the internet functions, with individuals dependent on free or discounted services and businesses relying on their ability to gather and use our personal data. This symbiosis is currently essentially beneficial to both individuals and businesses, but there are significant risks attached. Those risks are both analysed theoretically and illustrated through case studies of situations familiar to most people from their everyday experience on the internet. Some of the most common activities on the internet are examined: the use of search engines, the way that behavioural advertising works and so on. The rights suggested in Internet Privacy Rights emerge from these case studies: in part as a solution to the problems encountered and in part as a reflection of the way that people, in practice, have responded to those problems.

A number of concepts are introduced in this book: the aforementioned model, the *Symbiotic Web*; *Collaborative Consent*, a new way to look at how to deal with the thorny issue of consent in the online context; *Autonomy by Design*, taking privacy by design a stage further; *Disclosure Minimisation*, a privacy-friendly way of looking at the assertion and

### PREFACE AND ACKNOWLEDGEMENTS

verification of identity; and *Surveillance Minimisation*, addressing the rising issue of government surveillance of the internet. Each of these concepts addresses potentially critical issues that arise from an examination of how the internet currently functions: *Internet Privacy Rights* looks at the internet from both a conceptual and a pragmatic perspective.

This book is a development of the work that I undertook for my PhD thesis at the London School of Economics, and some of the ideas in this book have previously been published (Bernal, 2010a, 2010b, 2011a, 2011b). I should like to thank the LSE and the Arts and Humanities Research Council who provided the funding for the research, and in particular my two excellent PhD supervisors Professor Conor Gearty and Professor Andrew Murray. The central premise of this book joins their two fields – human rights and internet law – and without their expertise, encouragement and support this book would never have been written. I should also like to thank Professor Alastair Mullis whose support at the UEA was invaluable, and my other excellent colleagues at the UEA Law School. Most of all, I would like to thank my daughter Alice for keeping me grounded and reminding me what really matters, and my wife Corina for emotional support, vital assistance with editing and proofreading, and much, much more.

The internet world – particularly insofar as it concerns privacy – is in a state of flux. At the time of writing, that world was still reeling from Edward Snowden's whistle-blowing over PRISM, surveillance and censorship laws and rules were being implemented or contemplated all over the world, and Europe was in the throes of an almost tortuous reform process over data protection. Predicting the future is, as a consequence, fraught with danger. I am not what Morozov might describe as a cyberutopian, but neither am I a full-fledged 'cyberdystopian', seeing the internet either now or in the future primarily as a tool for authoritarianism and control. The research into internet privacy that is the background to this book reveals a great many problems, some of which seem almost to be insurmountable, but it also reveals some progress, both in practice, with 'victories' for those supporting privacy, and also a significant improvement in awareness of privacy issues. The internet is not, by any stretch of the imagination, 'privacy-friendly' at present - but that does not mean that it is impossible for it to become, at least in some ways, more privacy-friendly.

I believe an improvement might be possible. Whether it will happen is another question entirely. It is very hard to tell, and there are signs in both positive and negative directions. In some ways I suspect a more

xi

xii

#### PREFACE AND ACKNOWLEDGEMENTS

privacy-friendly internet is inevitable – the more we know, the more we seem to care, and ultimately companies and governments have to take account of that. At the moment, however, that seems to be a very long way away, but it is of great importance for anyone interested in autonomy and freedom, and not just on the internet.