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978-1-107-03340-5 - Ordinal Definability and Recursion Theory: The Cabal Seminar, Volume III

Edited by Alexander S. Kechris, Benedikt Löwe and John R. Steel

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Ordinal Definability and Recursion Theory: The Cabal Seminar, Volume III

The proceedings of the Los Angeles Caltech-UCLA “Cabal Seminar” were originally published in the 1970s and 1980s. *Ordinal Definability and Recursion Theory* is the third in a series of four books collecting the seminal papers from the original volumes together with extensive unpublished material, new papers on related topics and discussion of research developments since the publication of the original volumes.

Focusing on the subjects of “HOD and its Local Versions” (Part V) and “Recursion Theory” (Part VI), each of the two sections is preceded by an introductory survey putting the papers into present context. These four volumes will be a necessary part of the book collection of every set theorist.

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PREFACE

This book continues the series of volumes containing reprints of the papers in the original Cabal Seminar volumes of the Springer *Lecture Notes in Mathematics* series [CABAL i, CABAL ii, CABAL iii, CABAL iv], unpublished material, and new papers. The first volume, [CABAL I], contained papers on games, scales and Suslin cardinals. The second volume, [CABAL II], contained papers on Wadge degrees and pointclasses and projective ordinals. In this volume, we continue with Parts V and VI of the project: *Ordinal definability in models of determinacy* and *Recursion theory*. As in our first two volumes, each of the parts contains an introductory survey (written by John Steel for Part V and by Leo Harrington and Ted Slaman for Part VI) putting the papers into a present-day context.

In addition to the reprinted papers, this volume contains a paper by Kechris and Martin (*On the theory of Π^1_3 sets of reals, II*) that dates back to the period of the original Cabal publications but was not included in the old volumes. Neeman contributed a new paper, *An inner models proof of the Kechris–Martin theorem*, related to this paper. Steel and Woodin contributed two new papers (*A theorem of Woodin on mouse sets*, authored by Steel, and **HOD** *as a core model*, jointly) with recent results that fit well with the topics of Part V. There is also a new paper by Marks, Slaman and Steel (*Martin’s conjecture, arithmetic equivalence, and countable Borel equivalence relations*) that contains earlier, unpublished, as well as new results related to the theme of Part VI. Table 1 gives an overview of the papers in this volume with their original references.

As emphasized in our first two volumes, our project is not to be understood as a historical edition of old papers. In the retyping process, we uniformized and modernized notation and numbering of sections and theorems. As a consequence, references to papers in the old Cabal volumes will not always agree with references to their reprinted versions. In this volume, references to papers that already appeared in reprinted form will use the new numbering. In order to help the reader to easily cross-reference old and new numberings, we provide a list of changes after the preface.

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PART V			
Steel	<i>Ordinal definability in models of determinacy</i> <i>Introduction to Part V</i>	NEW	
Becker	<i>Partially playful universes</i>		[Cabal i, p.55–90]
Moschovakis	<i>Ordinal games and playful models</i>		[Cabal ii, p.169–201]
Becker, Moschovakis	<i>Measurable cardinals in playful models</i>		[Cabal ii, p.203–214]
Kechris, Martin, Solovay	<i>Introduction to Q-theory</i>		[Cabal iii, p.199–282]
Kechris, Martin	<i>On the theory of Π^1_3 sets of reals, II</i>	NEW	
Neeman	<i>An inner models proof of the Kechris–Martin theorem</i>	NEW	
Steel	<i>A theorem of Woodin on mouse sets</i>	NEW	
Steel, Woodin	HOD <i>as a core model</i>	NEW	
PART VI			
Harrington, Slaman	<i>Recursion theoretic papers</i> <i>Introduction to Part VI</i>	NEW	
Kolaitis	<i>On recursion in \mathbf{E} and semi-Spector classes</i>		[Cabal i, p.209–243]
Kechris	<i>On Spector classes</i>		[Cabal i, p.245–277]
Odifreddi	<i>Trees and degrees</i>		[Cabal ii, p.235–271]
Slaman, Steel	<i>Definable functions on degrees</i>		[Cabal iv, p.37–55]
Martin	Π^1_2 <i>monotone inductive definitions</i>		[Cabal ii, p.215–233]
Marks, Slaman, Steel	<i>Martin’s conjecture, arithmetic equivalence, and countable Borel equivalence relations</i>	NEW	

TABLE 1

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ORIGINAL NUMBERING

Numbering in the reprints may differ from the original numbering. Where numbering differs, the original designation is listed on the left, with the corresponding number in the reprint listed on the right. In rare cases where an item numbered in the reprint had neither a number nor a name in the original, we have indicated that with a ‘—’.

Partially playful universes, Becker, [CABAL i, pp. 55–90]

Definition	Definition 1.1	Definition	Definition 6.1
Lemma 1	Lemma 1.2	Lemma 14	Lemma 6.2
Theorem 2	Theorem 2.1	Theorem 15	Theorem 6.3
Theorem 3	Theorem 2.2	Definition	Definition 6.4
Definition	Definition 2.3	Corollary 16	Corollary 6.5
Lemma 4	Lemma 2.4	Theorem 17	Theorem 6.6
Definition	Definition 2.5	Conjecture 1	Conjecture 6.7
Definition	Definition 2.6	Conjecture 2	Conjecture 6.8
Theorem 5	Theorem 2.7	Lemma 18	Lemma 7.1
Diagram 1	Figure 1	Lemma 19	Lemma 7.2
Diagram 2	Figure 2	Theorem 20	Theorem 7.3
Diagram 3	Figure 3	Theorem 21	Theorem 8.1
Diagram 4	Figure 4	Definition	Definition 9.1
Theorem 6	Theorem 2.8	Corollary 22	Corollary 9.2
Theorem 7	Theorem 2.9	Corollary 23	Corollary 9.3
Definition	Definition 3.1	Corollary 24	Corollary 9.4
Theorem 8	Theorem 3.2	Definition	Definition 9.5
Theorem 8	Theorem 3.3	Lemma 25	Lemma 9.6
Corollary 10	Corollary 3.4	Corollary 26	Corollary 9.7
Corollary 11	Corollary 4.1	Diagram 5	Figure 5
Corollary 12	Corollary 4.2	Theorem 27	Theorem 10.1
Theorem 13	Theorem 4.3	Theorem 28	Theorem 10.2
Definition	Definition 5.1	Definition	Definition 10.3

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Theorem 29	Theorem 10.4	Claim	Claim 11.12
Definition	Definition 11.1	Corollary 39	Corollary 11.13
Lemma 30	Lemma 11.2	Theorem 40	Theorem 11.14
Theorem 31	Theorem 11.3	Definition	Definition 11.15
Corollary 32	Corollary 11.4	Definition	Definition 11.16
Corollary 33	Corollary 11.5	Theorem 41	Theorem 11.17
Theorem 34	Theorem 11.6	Definition	Definition 11.18
Lemma 35	Lemma 11.7	Lemma 42	Lemma 11.19
Definition	Definition 11.8	Theorem 43	Theorem 11.20
Lemma 36	Lemma 11.9	Theorem 44	Theorem 11.21
Theorem 37	Theorem 11.10	Claim	Claim 11.22
Lemma 38	Lemma 11.11		

Ordinal games and playful models, Moschovakis, [CABAL ii, pp. 169–201]

The Harrington–Kechris Theorem	Theorem 1.1	The Harrington–Kechris Theorem (strong version)	Theorem 4.1
Theorem 1.1	Theorem 1.2		
Theorem 1.2	Theorem 1.3	Theorem 4.1	Theorem 4.2
Lemma	Lemma 1.4	Lemma	Lemma 4.3
Lemma	Lemma 2.3	Lemma 4.2	Lemma 4.4
		Theorem 4.3	Theorem 4.5

Measurable cardinals in playful models, Becker & Moschovakis, [CABAL ii, pp. 203–214]

Lemma 4.3	Lemma 4.1	Lemma 4.5	Lemma 4.3
Lemma 4.4	Lemma 4.2	Theorem 4.6	Theorem 4.4

Introduction to Q-theory, Kechris, Martin, & Solovay, [CABAL iii, pp. 199–282]

Remark	Remark 1.1	Sublemma 1	Sublemma 14.12
Remark	Remark 2.6	Sublemma 2	Sublemma 14.13
Remark	Remark 7.3	Sublemma 3	Sublemma 14.14
Lemma	Lemma 12.3	Theorem 14.11	Theorem 14.15
Remark	Remark 13.8	Fact	Fact 15.6
Claim	Claim 14.8	Claim	Claim 15.17

On recursion in E and semi-Spector classes, Kolaitis, [CABAL i, pp. 209–243]

Theorem 1.7	Theorem 1.1	Fact 2	Fact 1.3
Fact 1	Fact 1.2	Theorem 1.9	Theorem 1.4

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Theorem 1.11	Theorem 1.5	Theorem 4.2	Theorem 4.1
—	Table 1	Theorem 4.3	Theorem 4.2
Definition 2.3	Definition 2.1	Theorem 4.6	Theorem 4.3
Theorem 2.4	Theorem 2.2	Lemma 4.8	Lemma 4.4
Theorem 2.5	Theorem 2.3	Theorem 4.10	Theorem 4.5
Lemma 2.7	Lemma 2.4	Corollary 4.11	Corollary 4.6
Lemma 2.8	Lemma 2.5	Theorem 4.12	Theorem 4.7
Theorem 2.9	Theorem 2.6	Theorem 4.14	Theorem 4.8
Theorem 2.10	Theorem 2.7	Lemma 4.15	Lemma 4.9
Theorem 3.3	Theorem 3.1	Theorem 4.16	Theorem 4.10
Lemma 3.5	Lemma 3.2	Corollary 4.17	Corollary 4.11
Theorem 3.6	Theorem 3.3	Theorem 4.18	Theorem 4.12
Theorem 3.8	Theorem 3.4	Theorem 5.2	Theorem 5.1
Theorem 3.10	Theorem 3.5		

On Spector classes, Kechris, [CABAL i, pp. 245–277]

§1.2	§1.1	Theorem 2.2.1	Theorem 2.5
Theorem 1.2.1	Theorem 1.2	Theorem 2.2.2	Theorem 2.6
Theorem 1.2.2	Theorem 1.3	Definition 2.2.3	Definition 2.7
§1.3	§1.2	Fact	Fact 2.8
Theorem 1.3.1	Theorem 1.4	Definition 2.2.4	Definition 2.9
Theorem 1.3.2	Theorem 1.5	Fact	Fact 2.10
Definition	Definition 1.6	Theorem 2.2.5	Theorem 2.11
First Recursion	Theorem 1.7	Theorem 2.2.6	Theorem 2.12
Theorem		Corollary	Corollary 2.13
§1.5	§1.4	Theorem (Harrington–	Theorem 2.14
Theorem 1.5.1	Theorem 1.8	Moschovakis)	
Corollary	Corollary 1.9	Fact	Fact 2.15
Picture	Figure 1	Fact	Fact 2.16
Corollary	Corollary 1.10	Definition	Definition 2.17
§1.6	§1.5	Theorem 2.5.1	Theorem 2.18
Theorem 1.6.1	Theorem 1.11	Theorem 2.5.2	Theorem 2.19
Length Comparison	Lemma 1.12	Theorem 2.5.3	Theorem 2.20
Lemma		Definition	Definition 3.1
Corollary	Corollary 1.13	Fact	Fact 3.2
Theorem 1.6.2	Theorem 1.14	Theorem	Theorem 3.3
Spector Criterion	Theorem 1.15	Lemma	Lemma 3.4
Theorem 1.6.4	Theorem 1.16	Corollary	Corollary 3.5
Theorem 2.1.1	Theorem 2.1	Definition	Definition 3.6
Theorem 2.1.2	Theorem 2.2	Definition	Definition 3.7
Theorem 2.1.3	Theorem 2.3	Theorem	Theorem 3.8
Theorem (Harrington)	Theorem 2.4		

Trees and degrees, Odifreddi, [CABAL ii, pp. 235–271]

Definition	Definition 1.2	Lemma 4.1	Lemma 4.2
Proposition 1.2	Proposition 1.3	Lemma 4.2	Lemma 4.3
Definition	Definition 1.4	Lemma 4.3	Lemma 4.4
Proposition 1.3	Proposition 1.5	Lemma 4.4	Lemma 4.5
Proposition 1.4	Proposition 1.6	Lemma 4.5	Lemma 4.6
Definition	Definition 2.1	Theorem 4.6	Theorem 4.7
Lemma 2.1	Lemma 2.2	Theorem 4.7	Theorem 4.8
Lemma 2.2	Lemma 2.3	Theorem 4.8	Theorem 4.9
Theorem 2.3	Theorem 2.4	Theorem 4.9	Theorem 4.10
Definition	Definition 2.5	Theorem 4.10	Theorem 4.11
Lemma 2.4	Lemma 2.6	Theorem 4.11	Theorem 4.12
Lemma 2.5	Lemma 2.7	Theorem 4.12	Theorem 4.13
Theorem 2.6	Theorem 2.8	Definition	Definition 5.1
Theorem 2.7	Theorem 2.9	Lemma 5.1	Lemma 5.2
Open Problem	Open Problem 2.10	Lemma 5.2	Lemma 5.3
Theorem 2.8	Theorem 2.11	Lemma 5.3	Lemma 5.4
Open Problem	Open Problem 2.12	Theorem 5.4	Theorem 5.5
Lemma 2.9	Lemma 2.13	Theorem 5.5	Theorem 5.6
Theorem 2.10	Theorem 2.14	Definition	Definition 5.7
Definition	Definition 2.15	Theorem 5.6	Theorem 5.8
Lemma 2.11	Lemma 2.16	Definition	Definition 5.9
Theorem 2.12	Theorem 2.17	Theorem 5.7	Theorem 5.10
Theorem 2.13	Theorem 2.18	Definition	Definition 7.1
Definition	Definition 3.1	Theorem 7.1	Theorem 7.2
Lemma 3.1	Lemma 3.2	Theorem 7.2	Theorem 7.3
Lemma 3.2	Lemma 3.3	Theorem 7.3	Theorem 7.4
Definition	Definition 3.4	Theorem 7.4	Theorem 7.5
Lemma 3.3	Lemma 3.5	Theorem 7.5	Theorem 7.6
Theorem 3.4	Theorem 3.6	Open Problem	Open Problem 7.7
Theorem 3.5	Theorem 3.7	Theorem 7.6	Theorem 7.8
Theorem 3.6	Theorem 3.8	Theorem 7.7	Theorem 7.9
Theorem 3.7	Theorem 3.9	Theorem 7.8	Theorem 7.10
Definition	Definition 4.1	Theorem 7.9	Theorem 7.11

Definable functions on degrees, Slaman & Steel, [CABAL iv, pp. 37–55]

—	§1	Theorem	Theorem 2.4
Theorem	Theorem 1.1	Theorem 3	Theorem 2.5
Theorem 1	Theorem 2.1	Corollary	Corollary 2.6
Q1	Question 1	Proposition	Proposition 2.7
Theorem 2	Theorem 2.2	Q3	Question 3
Delay Lemma	Lemma 2.3	Q4	Question 4
Q2	Question 2	Proposition	Proposition 2.8

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Q5	Question 5	Q6	Question 6
Lemma 1	Lemma 3.1	Q7	Question 7
Lemma 2	Lemma 3.2	Q8	Question 8
Theorem 4	Theorem 3.3		

Π_2^1 monotone inductive definitions, Martin, [CABAL ii, pp. 215–233]

Definition	Definition 1.1	Lemma A.13	Lemma 2.21
Definition	Definition 1.2	Definition	Definition 3.1
Proposition	Proposition 1.3	Theorem B	Theorem 3.2
Theorem A	Theorem 2.1	Definition	Definition 3.3
Definition	Definition 2.2	Lemma B.1	Lemma 3.4
Definition	Definition 2.3	Definition	Definition 3.5
Lemma A.1	Lemma 2.4	Definition	Definition 3.6
Lemma A.2	Lemma 2.5	Lemma B.2	Lemma 3.7
Definition	Definition 2.6	Corollary	Corollary 3.8
Definition	Definition 2.7	Definition	Definition 3.9
Lemma A.3	Lemma 2.8	Lemma B.3	Lemma 3.10
Definition	Definition 2.9	Lemma B.4	Lemma 3.11
Lemma A.4	Lemma 2.10	Corollary	Corollary 3.12
Definition	Definition 2.11	Definition	Definition 4.1
Lemma A.5	Lemma 2.12	Theorem C	Theorem 4.2
Lemma A.6	Lemma 2.13	Lemma C.1	Lemma 4.3
Definition	Definition 2.14	Lemma C.2	Lemma 4.4
Lemma A.7	Lemma 2.15	Theorem D	Theorem 5.1
Lemma A.8	Lemma 2.16	Lemma D.1	Lemma 5.2
Lemma A.9	Lemma 2.17	Theorem E	Theorem 6.1
Lemma A.10	Lemma 2.18	Lemma E.1	Lemma 6.2
Lemma A.11	Lemma 2.19	Lemma E.2	Lemma 6.3
Lemma A.12	Lemma 2.20	Corollary	Corollary 6.4