

## Contents

<i>List of Figures</i>	<i>page xi</i>
<i>List of Tables</i>	<i>xiii</i>
<i>Acknowledgments</i>	<i>xv</i>
<i>Preface</i>	<i>xvii</i>

### PART I: BACKGROUND AND SETTING

1	Why Missing Data Matter	3
2	Missing Data Mechanisms	9
	2.1 Introduction	9
	2.2 Missing Data Taxonomy	10
3	Estimands	15
	3.1 Introduction	15
	3.2 Hypotheses	16
	3.3 Considerations	19

### PART II: PREVENTING MISSING DATA

4	Trial Design Considerations	23
	4.1 Introduction	23
	4.2 Design Options to Reduce Missing Data	23
	4.3 Considerations	31

<b>viii</b>	<b>Contents</b>	
5	Trial Conduct Considerations	33
	5.1 Introduction	33
	5.2 Trial Conduct Options to Reduce Missing Data	33
	5.3 Considerations	35
<b>PART III: ANALYTIC CONSIDERATIONS</b>		
6	Methods of Estimation	39
	6.1 Introduction	39
	6.2 Least Squares	40
	6.3 Maximum Likelihood	44
	6.4 Generalized Estimating Equations	46
	6.5 Considerations	47
7	Models and Modeling Considerations	49
	7.1 Introduction	49
	7.2 Correlation between Repeated Measurements	49
	7.3 Time Trends	52
	7.4 Model Formulation	53
	7.5 Modeling Philosophies	56
8	Methods of Dealing with Missing Data	59
	8.1 Introduction	59
	8.2 Complete Case Analysis	59
	8.3 Simple Forms of Imputation	60
	8.4 Multiple Imputation	63
	8.5 Inverse Probability Weighting	65
	8.6 Modeling Approaches	67
	8.7 Considerations	69
<b>PART IV: ANALYSES AND THE ANALYTIC ROAD MAP</b>		
9	Analyses of Incomplete Data	73
	9.1 Introduction	73
	9.2 Simple Methods for Incomplete Data	76
	9.3 Likelihood-Based Analyses of Incomplete Data	78

<b>ix</b>	<b>Contents</b>	
	9.4 Multiple Imputation-Based Methods	81
	9.5 Weighted Generalized Estimating Equations	84
	9.6 Doubly Robust Methods	87
	9.7 Considerations	88
10	MNAR Analyses	91
	10.1 Introduction	91
	10.2 Selection Models	93
	10.3 Shared Parameter Models	94
	10.4 Pattern-Mixture Models	94
	10.5 Controlled Imputation Methods	96
	10.6 Considerations	101
11	Choosing Primary Estimands and Analyses	103
	11.1 Introduction	103
	11.2 Estimands, Estimators, and Choice of Data	103
	11.3 Considerations	109
12	The Analytic Road Map	111
	12.1 Introduction	111
	12.2 The Analytic Road Map	112
	12.3 Testable Assumptions	114
	12.4 Assessing Sensitivity to Missing Data Assumptions	115
	12.5 Considerations	118
13	Analyzing Incomplete Categorical Data	121
	13.1 Introduction	121
	13.2 Marginal and Conditional Inference	121
	13.3 Generalized Estimating Equations	124
	13.4 Random-Effects Models	125
	13.5 Multiple Imputation	127
	13.6 Considerations	128
14	Example	129
	14.1 Introduction	129
	14.2 Data and Setting	129
	14.3 Objectives and Estimands	130

<b>x</b>	<b>Contents</b>	
	14.4 Analysis Plan	131
	14.5 Results	136
	14.6 Considerations	145
15	Putting Principles into Practice	147
	15.1 Introduction	147
	15.2 Prevention	148
	15.3 Analysis	150
	15.4 Software	151
	15.5 Concluding Thoughts	152
	<i>Bibliography</i>	153
	<i>Index</i>	161