

INDEX

- Abacha, Mohammed, 302–3
 Abacha, Sani, 296–303, 312, 315, 318
 accepting bribes
 moral content of, 56–60
 public attitudes regarding, 62–4
 UK Bribery Act 2010, bribing and
 being bribed under, 13–26
 ‘act of office’ in Italian corruption law,
 108–9
 adequate procedures defence, 30, 32,
 34, 152, 156–7, 213, 242, 264
 administrative controls on corruption
 in Italy, 125–6
 Afghanistan
 extortion, victims of, 36–7
 pervasive role of bribery in, 2
 African Commission on Human and
 People’s Rights, 327
 agency cost, concept of, 67
 Alamieyeseigha, Diepreye, 306,
 312–14
 Alderman, Richard, 223, 256
 Algeria
 consequences of diffuse and chronic
 practice of corruption in, 105
 MLA (mutual legal assistance),
 difficulties obtaining, 310
 Allan, Peter, 287
 Alldridge, Peter, 26, 59, 219
 Allen, C. K., 4
 Andres, Greg, 60
 Angola, civil society actions in France
 involving, 323
 antecedent and subsequent corruption
 in Italy, 103, 108, 115
 Anti-Bribery Management System
 (ABMS), BSI, 157
 anti-money laundering (AML) regimes.
 See money laundering
 Aondoakaa, Michael, 305, 307
 Arab Spring, 105, 135, 294–5, 310, 315
 arms trade
 Committee on Arms Export
 Controls, UK, 212
 defence contracting and risk of
 corruption, close association
 between, 212–13
 Export Control Act 2002, regulatory
 approaches under, 209–12
 Export Control Organisation, UK,
 212–13
 extent of bribery and corruption in,
 15, 209
 regulatory approaches to, 196,
 209–14
 UK Bribery Act 2010 and, 196
 Al-Yamamah arms deal enquiry, 6,
 20, 148, 227, 242
 Ashworth, Andrew, 53
 Asian culture, *guanxi* in, 89
 Asociación Pro Derechos Humanos de
 España (APDHE), 327
 asset recovery
 Abacha case, Nigeria, 299–303
 Assets Recovery Agency, UK, 243–5
 Assets Recovery Incentive Scheme,
 UK, 245
 UNCAC implementation and, 295–6
 victim-nations of grand corruption
 failing to act on behalf of citizens,
 296, 316–22
 associated persons of commercial
 organisations, under UK Bribery
 Act 2010, 28–30, 34, 151

- attorney–client privilege, violation of, 272–3
- Auld, Sir Robin, 231
- Babangida, Ibrahim, 297
- Bach, Lord, 22, 25
- Bahrain, consequences of diffuse and chronic practice of corruption in, 105
- Baldwin, J., 231
- Bangladesh, development and business integrity in, 131
- Basel Institute on Governance, 322
- belief, knowledge, or intent under UK Bribery Act 2010, 21–6
- Blair–Kerr, Sir Alastair, and Blair–Kerr Commission, 75
- Bongiorno, Giulia, 118
- Bongo, Edith, 324
- Bongo, Omar, Jr., 326
- Borrelli, Francesco Saverio, 113
- Bourdon, William, 325–6
- Brazil, disclosure of beneficial ownership in, 311
- Bribery Act 2010 (UK). *See* UK Bribery Act 2010
- bribery law, 1–9. *See also specific countries and other jurisdictions*
- business integrity and, 128–59.
See also business integrity
- civil society, role of, 294–5, 310, 313, 323–7
- conflicts of interest and, 66–94.
See also corrupting conflicts of interest
- defining concepts, 2
- defining corruption and bribery, 252–3
- development aid and, 131–47.
See also development aid and corruption
- economic, political and historical events affecting, 6–7
- enforcement of, 7–9, 251–66.
See also enforcement; enforcement of UK bribery law
- foreign public officials, bribery of, 3–6
- moral significance of bribes and, 3
- policy underlying, 2
- prosecutions under, 219–50.
See also prosecution of bribery in Hong Kong; prosecution of bribery in the UK
- public sector versus private sector, 2, 39–65. *See also* public sector versus private sector bribery
- regulatory approaches to, 196–215.
See also regulatory approaches to deterring bribery
- UNCAC, 293–327. *See also* United Nations Convention Against Corruption
- British Standards Institute (BSI), 157
- budget, EU anti-corruption measures as defence of, 161–4
- Bulgaria, accession to EU by, 176–9
- burden of proof
- in Hong Kong anti-corruption regime, 76–8
- presumption of corruption, 76–8
- under UK Bribery Act 2010, 13, 77
- in UK Prevention of Corruption Act 1916, 76
- Burkina Faso, civil society actions in France involving, 323
- business integrity, 128–59
- BSI (British Standards Institute) ABMS (Anti-Bribery Management System), 157
- CSR (corporate social responsibility), 146–7, 157
- development aid corruption and, 130–1, 140. *See also* development aid corruption
- economic effects of bribery and, 128–30
- UK Bribery Act 2010 promoting, 131, 147–58
- Caine, William, 91
- Carr, Indira, 128
- Carter, Jimmy, 141
- case management by judges, pre-trial, 221, 223, 229–30

- Catholic Committee against Hunger and for Development (CCFD), 323
- CEE countries acceding to EU, 176
- Cernobbio plan, Italy, 114–16, 123
- Chalmers, James, 54
- Chan Kau Tai, 275, 289
- Chan, Stephen, 278
- Cheung Ching-ho, 274
- China
 culture and bribery in, 91–2
 Hong Kong anti-corruption regime and, 71, 88–94
 UNCAC implementation and, 294–5
- Chiu, Mandy, 276–7
- City of London Police (CoLP), 254–5, 260–1
- civil recovery, 239–41, 243–8, 261, 265
- civil society, role of, 294–5, 310, 313, 323–7
- coaching witnesses, 273–5
- Cole, Margaret, 259
- commercial organisations, failure to prevent bribery by
 adequate procedures defence, 30, 32, 34, 152, 156–7, 213, 242, 264
 business integrity and, 150
 regulatory approach to, 201, 204, 206, 208, 213
 section 7 provisions, UK Bribery Act 2010, 27–34
 self-reporting, 240–2
- commercial versus official bribery.
 See public sector versus private sector bribery
- Commissioner on Interception of Communications and Surveillance, Hong Kong, 271–2
- commissions under UK Bribery Act 2010, 20–1
- Committee on Arms Export Controls, UK, 212
- Commonwealth Games in India, 129, 132
- communications
 destruction of intercepted communication records in Hong Kong, 275–6
 investigative interception of communications in Italy, 116–18
 legal professional privilege in Hong Kong, violations of, 272–3
 mail and wire fraud statutes in the US, 44
 operational strategy of wiretaps and covert surveillance in Hong Kong, 270–2, 275–6
 privacy invasions in Hong Kong, 270–2
- concealment, corruption characterised by, 98, 116
- conditionalities, 139
- conflicts of interest, corrupting.
 See corrupting conflicts of interest
- Congo, civil society actions in France involving, 323, 325
- Congo, Democratic Republic of (DRC/Zaire), failure to prosecute grand corruption in, 321–2
- Conrad, Joseph, 321
- consenting and conniving at bribery, 253
- Copenhagen criteria for accession to EU, 176, 179
- corporate hospitality, UK Bribery Act 2010 on, 17–18
- corporate liability
 ‘directing mind’ principle and, 148, 150, 152, 253–4
 identification doctrine, 31, 152
 in Italy, 121
 prosecutorial issues, 221–2, 263
 under UK Bribery Act 2010, 151
- corporate manslaughter, 22, 28, 202, 207
- corporate social responsibility (CSR), 146–7, 157
- Corpus Juris* project, 161
- corrupting conflicts of interest, 66–94.
 See also Hong Kong anti-corruption regime
 concept of, 66–8
 cultural values and cost of, 70
 educational efforts to shape value system against corruption, 70, 72, 80–5

- institutional structures for
 - combating, 72–80
- means of combating, 66, 68–70
- in non-principal-agent relationships, 67
- police role in combating, 73
- corruption
 - in arms trade, 15
 - bribery law regarding. *See* bribery law
 - conflicts of interest viewed as root of, 66–8. *See also* corrupting conflicts of interest
 - defining, 252–3
 - in Italy. *See* Italy
 - UK Bribery Act 2010 abandoning language of, 14
- Costa, Enrico, 118
- Council of Europe Group of States against Corruption (GRECO), 185, 195
- Cremer, G., 130, 136
- criminal law
 - organised crime in the US, bribery laws aimed at, 44
 - private bribery as a crime, value of treatment of, 40, 49, 55–64
- criminal law in EU
 - judicial cooperation in criminal matters, facilitating, 171–5
 - mutual recognition in criminal matters (European Arrest Warrant Framework), 174–5
 - private sector corruption, criminalisation of, 165–7
 - public sector corruption, criminalisation of, 163–4, 171–3
- criminal law in Italy
 - reorganisation and rationalisation of incriminating cases in penal code, 123–5
 - satellite crimes connected to corruption in, 118–20
- criminal law in the UK
 - regulatory strategies, use of, 201–5
 - specialised criminal offences and/or civil penalties, use of, 198, 205–7
 - under-enforcement problem, regulatory solution to, 197–200
 - ‘white-collar’ or financial versus ‘regular’ crime, differential treatment of, 221–2
- criminal prosecutions. *See* prosecution of bribery in Hong Kong; prosecution of bribery in the UK
- Crown Prosecution Service (CPS), UK, 222, 261–2
- culpability analysis under UK Bribery Act 2010, 21–6
- culture and bribery
 - Asian culture, *guanxi* in, 89
 - in China, 91–2
 - corrupting conflicts of interest, costs of, 70
 - educational efforts to shape value system against corruption, 70, 72, 80–4
 - Hong Kong, historical development of, 90–1
 - international organisations committed to changing local bribery cultures, 37
 - UK Bribery Act 2010 allowance for local custom or practice, 20, 34
- cyclists, criminal offence-led strategy for, 200, 202
- D’Ambrosio, Gerardo, 113
- Daniel, Tim, 293
- Dariye, Joseph, 313–14
- Darley, John, 52
- Davis, John Francis, 90
- de Speville, Bertrand, 288
- debarment of contractors, 139
- defence contracting and risk of corruption, close association between, 212–13. *See also* arms trade defences under UK Bribery Act 2010, 20, 30, 32, 34–8, 152, 156–7, 213, 242
- deferred prosecution agreements (DPAs), 248–9, 263
- Democratic Republic of Congo (DRC)/Zaire, failure to prosecute grand corruption in, 321–2

- Department for International Development (DFID), UK, 130–1, 137, 254, 306
- development aid corruption
 business integrity and, 130–1, 140
 conditionalities, use of, 139
 conventions regulating, 140–3
 CSR (corporate social responsibility) and, 146–7
 debarment procedures, 139
 economic effects of, 128–30
 functionalist model, 133–6
 international community response to, 140–7
 opportunities for, 130–1, 136–40
 PAC (principal–agent–client) model, 135, 139
 self-regulation of, 143–6
 WB efforts to combat, 133, 136, 138–9
 WB funds lost to, 131–3, 137–8
 ‘directing mind’ principle, 148, 150, 152, 253–4
- doing business versus raising funds for business, under UK law, 28
- Donald, David C., 66
- double jeopardy/*ne bis in idem*, 240, 265
- Duff, Antony, 4–5
- Dulin, Antoine, 323
- Duvalier law, 312, 320–1
- economic effects of bribery, 128–30
- education
 of Hong Kong ICAC officers in human rights culture, 286–8
 value system against corruption and bribery, shaping, 70, 72, 80–5
- EG Justice, 327
- Egan, Kevin, 276–7
- Egypt
 consequences of diffuse and chronic practice of corruption in, 105
 MLA (mutual legal assistance), difficulties obtaining, 310–11
 money laundering, 315
 UNCAC implementation and, 295
- employment of people with no right to work in the UK, regulation of, 198
- enforcement, 7–9. *See also entries at* criminal law; prosecution
 double jeopardy/*ne bis in idem*, 240, 265
 Hong Kong anti-corruption regime, net costs of corrupt behaviour imposed by, 72, 84–8.
See also prosecution of bribery in Hong Kong
 Italy, modernisation of sanctions in, 121–3
 OECD and, 8, 251, 264
 UNCAC and, 252
- enforcement of UK bribery law, 251–66. *See also* criminal law in the UK; prosecution of bribery in the UK; regulatory approaches to deterring bribery
 civil recovery, 239–41, 243–8, 261, 265
 CoLP (City of London Police), 254–5, 260–1
 CPS (Crown Prosecution Service), 261–2
 defining corruption and bribery for purposes of, 252–3
 ‘directing mind’ principle and, 253–4
 foreign public officials, bribery of, 254
 FSA (Financial Services Authority), 254, 257–9
 funding and resources, 266
 international dimensions, 222, 234–8, 240, 248, 263–5
 Metropolitan Police, 254, 262
 Ministry of Defence, 254, 260–1
 number of prosecutions under 2010 Act, 7, 15–16, 265
 plea agreements, 230–4, 238–40, 263–4
 sentencing guidelines, lack of, 263
 SFO (Serious Fraud Office), 252, 254–7, 262
 SOCA (Serious Organised Crime Agency)/National Crime Agency, 254, 260–2, 266

- Equatorial Guinea, civil society actions involving, 323, 326–7
- Ethiopia, development and business integrity in, 131
- European Arrest Warrant Framework, 174–5
- European Neighbourhood Policy, 181
- European Union (EU), 160–95
 aims of anti-corruption measures, 160–1, 194
 budget, anti-corruption measures as defence of, 161–4
 competence of, 160, 183–4
 Copenhagen criteria for accession to, 176, 179
 enlargement of, 175–80
 European Neighbourhood Policy and anti-corruption measures, 181
 free-standing nature of corruption offences, 171–3
 implementation of anti-corruption measures in, 188–90
 institutional limits on, 184–8
 internal market, anti-corruption measures as means of protecting, 164–71
 international law on corruption and, 190–3, 195
 Italian ratification of bribery and corruption conventions, 99
 judicial cooperation in criminal matters, facilitating, 171–5
 jurisdiction asserted over sources of money administered by, 7
 legislative limits on, 184–8
 limits of anti-corruption law of, 182–95
 money laundering, corruption treated as predicate offence of, 167–9
 mutual recognition in criminal matters (European Arrest Warrant Framework), 174–5
 OLAF (*Office lutte anti-fraude* or EU Anti-Fraud Office), 162–3, 187–8
 presumptions of corruption and, 76–7
 private sector corruption, criminalisation of, 165–7
 public procurement rules, anti-corruption standards in, 169–71
 public sector corruption, criminalisation of, 163–4, 171–3
 rule of law, anti-corruption measures safeguarding, 175–82
 soft law mechanisms, use of, 185–7
 UNCAC and, 185, 192–3
- evidential interest stage in prosecutorial process, 225
- expense claims by Members of Parliament, 207
- Export Control Organisation, UK, 212–13
- export trade, regulatory approaches to deterring bribery in. *See* regulatory approaches to deterring bribery
- Exports Credits Guarantee Department, 254
- extortion victims under UK Bribery Act 2010, 14, 36–8
- extra-territorial jurisdiction
 commercial organisations under UK law, failure to prevent bribery by, 33–4
 foreign public officials, bribery of, under UK law, 3–6, 15, 26–7, 32–3, 226, 254
 global settlements, 234–8
 prosecutorial issues, 222
 of UK Bribery Act 2010, 32–4
 US enforcement activity against overseas bribes, 32, 222, 234–5
- facilitation payments, 2, 24, 38, 144, 228
- Feinstein, Andrew, 15
- Financial Services Authority (FSA), UK, 8, 205, 207, 254, 257–9, 301, 312–15
- financial versus ‘regular’ crime, differential treatment of, 221–2
- fiscal satellite crimes connected to corruption, in Italy, 118–20
- Foreign and Commonwealth Office (FCO), UK, 254

- foreign direct investment (FDI), effects of bribery on, 128–30
- foreign public officials, bribery of, 3–6, 15, 26–7, 32–3, 226, 254
- foreign trade, regulatory approaches to deterring bribery in. *See* regulatory approaches to deterring bribery
- France
 civil society actions against leaders of victim states in, 323–6
 money laundering, 315
 Transparency International, French chapter, 325–6
- fraud
 defining, 252
 distinguished from corruption, 252
 mail and wire fraud statutes in US, 44
 OLAF (*Office lutte anti-fraude* or EU Anti-Fraud Office), 162–3, 187–8
 regulatory approaches to, 207
- functionalist model of development aid corruption, 133–6
- Gabon, civil society actions in France involving, 323–6
- Ghana, UNGC (United Nations Global Compact) in, 146
- giving bribes
 moral content of, 62–4
 public attitudes regarding, 62–4
 UK Bribery Act 2010, bribing and being bribed under, 13–26
- global settlements, 234–8
- Global Witness, 313
- Godber, Peter, 74–5
- Golding, William, 196
- Goldsmith, Lord, 227
- Gong, Angela, 277
- Goodstadt, L. F., 91
- grand corruption and UNCAC.
See United Nations Convention Against Corruption
- Green, Sir David, 266
- Green, Stuart P., 39, 48
- Group of States against Corruption (GRECO), Council of Europe, 185, 195
- guanxi*, 89
- Guernsey and Tommy Suharto case, 316–19
- Guerrini, Roberto, 97
- Guidi, Dario, 97
- guilty plea, reduced sentence for, 231–3, 239, 263
- Haiti and Duvalier law, 320–1
- Hastings, Sir Max, 15
- Hazare, Anne, 132
- Heart of Darkness* (Conrad), 321
- Holocaust funds in Swiss banks, 299
- Hong Kong anti-corruption regime, 70–2. *See also* prosecution of bribery in Hong Kong
- burden of proof and presumption of corruption under, 76–8
- China and, 71, 88–94
- Commissioner on Interception of Communications and Surveillance, 271–2
- educational efforts to shape value system against corruption, 70, 72, 80–5
- Godber scandal and, 74–5
- historical development of colony and, 90–1
- ICAC (Independent Commission Against Corruption), 70, 72, 75–80, 267. *See also* prosecution of bribery in Hong Kong
- ICAC *Investigators* television series, 80–6
- illicit enrichment provision, 318
- Law Reform Commission of Hong Kong, 270
- means of combating corrupting conflicts of interest in, 66
- net costs of corrupt behaviour imposed by, 72, 84–8
- transfer of regime to other jurisdictions, 72, 88–94
- Horder, Jeremy, 196
- Hotchkiss, G., 142
- human rights environment
 education of Hong Kong ICAC officers in, 286–8

- socio-political changes and
 development of, in Hong Kong,
 268, 280–6. *See also* prosecution of
 bribery in Hong Kong
 UK bribery law and prosecutions,
 292
- Ibori, James, 262, 305, 313
ICAC Investigators television series,
 Hong Kong, 80–6
- identification doctrine, 31, 152, 222
 ‘impartiality’ principle in Italy, 101–3
impropria and *propria* corruption in
 Italy, 103, 105–8, 115
In the Footsteps of Mr Kurz (Wrong),
 321
- Independent Commission Against
 Corruption (ICAC), Hong Kong,
 70, 72, 75–80, 267.
See also prosecution of bribery in
 Hong Kong
- Independent Parliamentary Standards
 Authority, UK, 207
- India
 Commonwealth Games in, 129, 132
 development aid corruption in,
 131–2, 136
 FDI reductions and corruption in,
 129
 Licence Raj, 134
 state trading organisations, 134
 WB funds lost to corruption in,
 132
- Indonesia
 anti-corruption commissions in, 304
 Tommy Suharto case, 316–19
 WB funds lost to corruption in, 137
- intelligence services, special needs of,
 35–6
- intent, knowledge, or belief under UK
 Bribery Act 2010, 21–6
- interception of communications.
See communications
- internal market, EU anti-corruption
 measures as means of protecting,
 164–71
- International Chamber of Commerce
 (ICC), 143–5
- international community
 administrative fines standard for
 corporate crimes in, 263
 development aid corruption,
 response to, 140–7
- international corporate compliance
 with UK Bribery Act 2010, 264–5
- international dimensions of bribery
 prosecution and enforcement, 222,
 234–8, 240, 248, 263–5
- international law on corruption, EU
 reliance on, 190–3, 195
- international organisations
 commitment to changing local
 bribery cultures by, 37
 conventions, Italian ratification of,
 99, 121
- investigative interception of
 communications.
See communications
- investigatorial and prosecutorial roles,
 overlapping of, 220–2
- investigatorial commissions, UNCAC,
 304–5
- investigatorial strategy in Hong Kong,
 283–4
- Iran and UNCAC implementation,
 294–5
- Iser, Wolfgang, 80
- Italy, 97–127
 ‘act of office’, concept of, 108–9
 administrative controls, 125–6
 antecedent and subsequent
 corruption in, 103, 108, 115
 bill of law (2010) aimed at reforming
 corruption in, 99
 Cernobbio plan, 114–16, 123
 communications, interception of,
 116–18
 concealment, corruption
 characterised by, 98, 116
 concepts of corruption in, 102–4
 corporate liability in, 121
 extent of corruption in, 97–9
 ‘impartiality’ principle, 101–3
 instruments for combating
 corruption in, 116–20
 legally protected interest in, 100–4

- Italy (cont.)
Mani pulite judicial enquiry, 113, 119
 money laundering, 315
 penal code legislation on corruption
 in, 105–12
 private corruption in, 111
 ‘proper conduct’ principle, 101–3
propria and *impropria* corruption in,
 103, 105–8, 115
 ratification of European and
 international conventions, 99, 121
 recognition of corruption as problem
 in, 98, 104–5
 ‘recompense’, concept of, 109–10
 reforms of 1990s, 100–1, 106, 111
 reorganisation and rationalisation of
 incriminating cases in penal code,
 123–5
 Rocco code (1930), 106–7
 SAeT (Servizio Anticorruzione e
 Trasparenza), 98
 sanctions system, modernisation of,
 121–3
 satellite crimes connected to
 corruption in, 118–20
 systemic nature of corruption in, 97
Tangentopoli phenomenon, 113–15
 ‘utility or profit’, concept of, 110–11
- Jersey (Channel Island), 300
 Jonathan, Goodluck, 307
 judiciary
 Abacha case, Nigeria, 303
 EU anti-corruption law facilitating
 judicial cooperation in criminal
 matters, 171–5
 respect for courts in Hong Kong, 282
 role of DoJ (Department of Justice)
 in Hong Kong bribery
 prosecutions, 288–90
 role of judges in prosecutorial
 process, 220, 223, 229–34, 239
 support of bribery prosecutions by
 courts in Hong Kong, 284–5
 Judt, T., 93
- Kabila, Joseph, 321
 Kaufman, Daniel, 128–9
- Kenya
 anti-corruption commissions in, 304
 MLA requests, domestic resistance
 to, 319–20
 WB funds lost to corruption in, 133
 Kibaki, Mwai, 319
 Klick, Jonathan, 58
 Klitgaard, R., 135
 knowledge, belief, or intent under UK
 Bribery Act 2010, 21–6
 Kugler, Matthew, 39, 49
 Kuti, Fela, 314
- Lam, Andrew, 276–7, 289
 Lambsdorff, Johann, 98
 Lau Wing Tim, 275
 Law Commission for England and
 Wales
 on corporate hospitality, 17
 cyclists, criminal offence-led strategy
 for, 200, 202
 definition of bribery and corruption,
 difficulty of, 252
 on ‘directing mind’ principle, 150
 on extortion victims, 37
 Hong Kong, reports not referring to,
 267
 intelligence or military services,
 special needs of, 35
 on *mens rea*, 24, 26
 on misconduct in a public office, 2
 on presumption of corruption in
 Prevention of Corruption Act
 1916, 76
 on public sector versus private sector
 bribery, 47–8, 59–60
 on regulatory dimension of offence
 of failure to prevent bribery by
 commercial organisations, 201
 on taking advantage, 19
 Law Reform Commission of Hong
 Kong, 270
 Leff, N. H., 133
 legal professional privilege, violation of,
 272–3
- Lesotho
 Highland Water Project, 137, 139
 WB funds lost to corruption in, 133

- Leung Kwok-hung, 284
 Leverick, Fiona, 54
 Levin, Carl, 327
 Leys, C., 133
 Li, Daniel, 280, 283
 Libya
 consequences of diffuse and chronic
 practice of corruption in, 105
 MLA (mutual legal assistance),
 difficulties obtaining, 310
 money laundering, 315
 Licence Raj, India, 134
 Liechtenstein and Abacha case, 301, 303
 local custom or practice. *See* culture
 and bribery
 Lumumba, P. L. O., 320
 Luo, Y., 92
 Luxembourg and Abacha case, 301

 mail and wire fraud statutes in the US,
 44
 Maitland, F. W., 73
Mani pulite judicial enquiry, Italy, 113,
 119
 Marcos, Ferdinand, 298
 material non-disclosure in bribery
 prosecutions, 275–6
 Maton, Tim, 293
 May, Theresa, 260
 McConville, M., 231
 McGregor, R., 92
mens rea, under UK Bribery Act 2010,
 21–6
 Merckaert, Jean, 323
 Metropolitan Police, UK
 enforcement role of, 254, 262
 Ibori investigation, 306–10
 money laundering, 313
 PCU (Proceeds of Corruption Unit),
 306–10, 313
 military services, special needs of, 35–6
 Mills, David, 58
 Ministry of Defence, UK, enforcement
 role of, 254, 260–1
 Mintsu, Gregory Ngbwa, 325–6
 Mitsilegas, Valsamis, 160
 MLA. *See* mutual legal assistance
 Mobutu, Sese Seko, 321–2

 Mody, A., 128
 Moi, Arap, 319
 money laundering
 EU treatment of corruption as
 predicate offence of, 167–9
 UNCAC requirements regarding,
 312–15
 Montague, F. C., 73
 Monteith, Charlie, 251
 Montgomery, Clare, 318
 ‘mouvanciers’, 321
 Munn, C., 90
 mutual legal assistance (MLA),
 305–12
 Abacha case in Nigeria, 293, 303
 difficulties obtaining, 310–12
 Ibori case in UK and Nigeria, 306–10
 Kenyan domestic resistance to,
 319–20
 political will and, 316
 UNCAC provisions regarding, 305

 National Crime Agency/SOCA (Serious
 Organised Crime Agency), UK,
 254, 260–2, 266
 National White Collar Crime Center
 study of public attitudes to
 bribery, 62
 Natrass, Aaron, 277
ne bis in idem/double jeopardy, 240,
 265
 ‘negotiated diversion’ techniques, 233
 Netherlands, accession of Bulgaria and
 Romania to EU blocked by, 179
 Nigeria
 Abacha case, 296–303, 312
 anti-corruption commissions in,
 304–5
 Ibori, James, investigation of, 262,
 305
 MLA (mutual legal assistance) as
 applied in, 293, 303, 306–10
 money laundering, 312–15
 UNGC (United Nations Global
 Compact) in, 146
 non-disclosure in bribery prosecutions,
 275–6
 Nye, J. S., 134

- Obasanjo, Olusegun, 299, 303–4
 Obiang, Teodoro and Teodoro Nguema, 326–7
 OECD. *See* Organisation for Economic Co-operation
Office lutte anti-fraude or EU Anti-Fraud Office (OLAF), 162–3, 187–8
 Office of Fair Trading (OFT), UK, 232, 234
 official versus commercial bribery. *See* public sector versus private sector bribery
 Ojo, Bayo, 307
 Okonjo-Iweala, Ngozi, 301
 Open Society Justice Initiative, 327
 Organisation for Economic Co-operation (OECD)
 Anti-Bribery Working Group, 310, 321
 on CPI, 98
 economic, political and historical events affecting adoption of Paris Convention, 6–7, 140–2
 enforcement efforts, 8, 251, 264
 Guidelines for Multinational Enterprises, 145
 Italian ratification, 99
 local bribery cultures, commitment to changing, 37
 national economic or security interests, prosecutorial consideration of, 227–8
 UK Bribery Act 2010 and, 26, 31, 148, 226
 US federal law and, 42
 organised crime in the US, bribery laws aimed at, 44
 People's Republic of China. *See* China
 performance bonds, 58–9
 Philippines
 Abacha case, Nigeria, 301
 asset recovery exercises against Marcos regime, 298
 Pieth, Mark, 321
 plea agreements, 230–4, 238–40, 263–4
 'plea before venue system', 232
 police role in combating corruption, 73, 220–1, 254–5, 260–2, 324.
See also City of London Police; Metropolitan Police
 political will, problem of, 316
 politically exposed persons (PEPs), 154, 296, 306, 309, 312, 314–15
 Posner, Richard, 84
 pre-Norman England
 policing in, 73
wergeld in, 84
 pre-trial case management by judges, 221, 223, 229–30
 predicate offence of money laundering, EU treatment of corruption as, 167–9
 Preko, Elias, 308
 presumption of corruption, 76–8
 principal-agent-client (PAC) model of development aid and corruption, 135, 139
 Prisk, Mark, 214
 privacy, invasion of, 270–2
 private sector bribery. *See also* business integrity; public sector versus private sector bribery
 EU criminalisation of, 165–7
 in Italy, 111
 privileged communications, violation of, 272–3
 Proceeds of Corruption Unit (PCU), Metropolitan Police, UK, 306–10, 313
 procurement rules in EU, anti-corruption standards in, 169–71
 'profit or utility' in Italian corruption law, 110–11
 'proper conduct' principle in Italy, 101–3
propria and *impropria* corruption in Italy, 103, 105–8, 115
 prosecution commissions, UNCAC, 304–5
 prosecution of bribery in Hong Kong, 267–92
 annual public surveys, 290–1
 changing practices and attitudes of officers, 286–8

- DoJ (Department of Justice), role of, 288–90
- failed prosecutions, 276–8
- judicial branch, public respect for, 282
- judicial support for, 284–5
- legal professional privilege, violation of, 272–3
- material non-disclosure, 275–6
- operational strategy of, 283–4
- operational weaknesses, perception of, 278–80
- preparation and coaching of witnesses, 273–5, 290
- privacy, invasion of, 270–2
- public crisis of confidence regarding, 268–9
- regaining the public trust, 286–91
- socio-political changes and development of human rights culture, 268, 280–6
- unreliable or unsavory witnesses, use of, 289
- use of illegitimate or improper means, 270–6
- prosecution of bribery in the UK, 219–50
- agreements avoiding full criminal trials in bribery cases, particular problem of, 219–20
- basis for plea, 239
- CCP (Code for Crown Prosecutors) two-stage test, 225–9
- civil recovery, 239–41, 243–8, 261, 265
- consent for, 223
- corporations as offenders, 221–2, 263
- CPS (Crown Prosecution Service), UK, 222, 261–2
- discretion of judges in sentencing, 230, 239, 263
- double jeopardy/ne bis in idem, 240, 265
- DPA (deferred prosecution agreements), 248–9, 263
- evidential stage, 225
- factors tending in favor of and against, consideration of, 228–9
- global settlements, 234–8
- guilty plea, reduced sentence for, 231–3, 239, 263
- human rights environment and, 292
- international dimensions of, 222, 234–8, 240, 248, 263
- national economic or security interests, consideration of, 227–8
- number of prosecutions under 2010 Act, 7, 15–16, 265
- overlapping of investigatorial and prosecutorial roles, 220–2
- plea agreements, 230–4, 238–40, 263–4
- pre-trial case management by judges, 221, 223, 229–30
- public interest stage, 225–9
- role of judge, 220, 223, 229–34, 239
- role of prosecutor, 220–9
- self-reporting, 240–2
- SFO (Serious Fraud Office) as lead prosecutorial agency, 222
- solutions to problems of, 222
- ‘white-collar’ versus ‘regular’ crime, differential treatment of, 221–2
- public attitudes regarding bribery
- empirical study of, 49–51
- on giving versus accepting bribes, 62–4
- greater seriousness attributed to official versus commercial bribery, 50–1
- National White Collar Crime Center study of, 62
- significance of, 52–4
- public interest stage in prosecutorial process, 225–9
- public procurement rules in EU, anti-corruption standards in, 169–71
- public sector bribery
- EU criminalisation of, 163–4, 171–3
- foreign public officials, 3–6, 15, 26–7, 32–3, 226, 254

- public sector versus private sector
 bribery, 2, 39–65. *See also* public attitudes regarding bribery
 accepting bribes, 56–60
 distinguishing crimes, value of, 40, 49, 55–64
 federal US bribery laws, 40–5
 giving bribes, 62–4
 over-particularism, dangers of, 54–5
 prior law in the US and UK, sharp distinction under, 39, 47
 state US bribery laws, 45–6
 in theory versus practice, 60–2
 treatment of private bribery as a crime, value of, 40, 49, 55–64
 UK Bribery Act 2010, no distinction between public and private sectors in, 2, 13, 39, 49, 55
 US law, blurring of distinction under, 39–46, 60
- Quah, J. S. T., 73, 78
- raising funds for business distinguished from doing business, under UK law, 28
- ‘recompense’ in Italian corruption law, 109–10
- regulatory approaches to deterring bribery, 196–215
 advantages of, 199
 analogies with other crimes, 198, 202, 205–9
 in arms trade, 196, 209–14
 existence of regulatory strategies in current criminal law, 201–5
 under Export Control Act 2002 (UK), 209–12
 failure to prevent bribery by commercial organisations, section 7 offence of, 201, 204, 206, 208, 213
 features or elements of, 200
 under old law versus new law, 198
 outside the UK and US, 263
 policy commitment to anti-corruption strategy in the UK and, 197, 203, 205, 214
- problem of under-enforcement of criminal law, 197–200
 specialised criminal offences and/or civil penalties, use of, 198, 205–7
- Riband, Nuhu, 304
- Ringera, Aaron, 320
- Robinson, Paul, 52
- Romania, accession to EU by, 176–9
- Rose-Ackerman, S., 68, 84, 135
- Roskill Report, 254
- rule of law, EU anti-corruption measures safeguarding, 175–82
- Russia
 Soviet Union state trading organisations, 134
 UNCAC implementation and, 294–5
- Al-Sadig study of corruption and FDI flows, 129
- Santer Commission, 162
- Saro-Wiwa, Ken, 298
- satellite crimes connected to corruption, in Italy, 118–20
- Saudi Arabia
 agency requirements in, 20
 foreign public officials, bribery of, under UK law, 27
 Al-Yamamah arms deal enquiry, 6, 20, 148, 227, 242
- self-reporting, 240–2
- Sensenbrenner, James, 60
- sentencing. *See* prosecutions
- separate personality of companies from their shareholders in the UK, 30
- Serious Fraud Office (SFO), UK
 budget cuts, 32, 266
 civil recovery, 243, 246, 265
 DPAs (deferred prosecution agreements), 248
 enforcement role, 254–7, 262, 266
 foreign public officials, bribery of, 254
 future of, 266
 global settlements, 235–8
 human rights environment and, 292
 investigatorial and prosecutorial roles, overlapping of, 221–2
 as lead prosecutorial agency, 222

- Al-Yamamah arms deal enquiry
 dropped by, 148
- Serious Organised Crime Agency
 (SOCA)/National Crime Agency,
 UK, 254, 260–2, 266
- Servizio Anticorruzione e Trasparenza
 (SAeT), Italy, 98
- Shapps, Grant, 243
- shareholder separation from corporate
 personality in the UK, 30
- Shaw, Bill, 36–7
- Sherpa (French NGO), 323
- Shleifer, A., 128
- Singapore
 CPIB (Corrupt Practices
 Investigation Bureau), 74
 FDI and lack of corruption in, 129
 size of country and ranking on
 Transparency International
 Corruption Perception Index, 93
 social norms and the law, consistency
 between, 52–4
 soft-dollar arrangements, 58
 soft law mechanisms, EU use of,
 185–7
- South Africa, UNGC (United Nations
 Global Compact) in, 146
- Soviet Union state trading
 organisations, 134
- state trading organisations, 134
- Stolen Assets Recovery (StAR)
 Initiative, World Bank, 293, 295
 subsequent and antecedent corruption
 in Italy, 103, 108, 115
- Suharto, Tommy, 316–19
- Sullivan, Bob, 13, 193
- Sutherland, E., 221
- Switzerland
 Abacha case, Nigeria, 299–301, 315,
 318
 Duvalier law, 312, 320–1
 Holocaust funds and, 299
 MLA (mutual legal assistance) for
 Tunisia, 311
 Mobutu funds in, 321
 money laundering, 296, 315
- Syria, consequences of diffuse and chronic
 practice of corruption in, 105
- Tangentopoli* phenomenon, Italy,
 113–15
- Tanzania
 global settlements, 235–8
 state trading organisations, 134
- Tanzi, V., 128
- tax evasion, as satellite crime connected
 to corruption, 120
- Tong, Timothy, 282
- trade overseas. *See* regulatory
 approaches to deterring bribery
- Transparency International
 Corruption Perception Index (CPI),
 93, 98, 129, 131
 on doing business versus raising
 funds for business, 28
 export licensing criteria
 recommendations, 213
 French chapter, 325–6
 local bribery cultures, commitment
 to changing, 37
 size and ranking of countries
 on CPI, 93
 UK chapter, 310
- Tsang, Donald, 281
- Tsui, Alex, 279
- Tunisia
 MLA (mutual legal assistance) for,
 310–11
 money laundering, 315
- UK Bribery Act 2010, 13–38.
See also regulatory approaches to
 deterring bribery; prosecution of
 bribery in the UK; enforcement of
 UK bribery law; commercial
 organisations, failure to prevent
 bribery by
- arms trade and, 196
- associated persons of commercial
 organisations, 28–30, 34, 151
- bribing and being bribed, as two core
 offences, 13–26, 62
- burden of proof, 13, 77
- business integrity promoted by, 131,
 147–58
- codification of law of bribery by, 13
- commissions, 20–1

- UK Bribery Act 2010 (cont.)
 conduct element, 16–21
 consenting and conniving at bribery, 253
 corporate hospitality, acceptable and unacceptable, 17–18
 corporate liability under, 151
 defences, 20, 30, 32, 34–8, 152, 156–7, 213, 242
 descriptive definition of bribery under, 14, 16–17
 extortion, victims of, 14, 36–8
 extra-territorial application of, 32–4
 foreign public officials, bribery of, 3–6, 15, 26–7, 32–3, 226, 254
 grand corruption, ability to deal with, 293
Guidance for commercial organisations, 131, 151–7
 human rights environment and, 292
 intelligence or military services, special needs of, 35–6
 international corporate compliance with, 264–5
 legislative history of, 13, 147–9
 local custom or practice, allowance for, 20, 34
mens rea, 21–6
 number of prosecutions under, 7, 15–16, 265
 OECD bribery convention and, 26, 31, 148, 226
 OECD enforcement efforts and, 8, 251
 policy aims of, 203
 public and private sectors, no distinction between, 2, 13, 39, 49, 55
 raising funds for business distinguished from doing business, 28
 relevant function or activity, improper performance or non-performance of, 7
 separate personality of companies from their shareholders and, 30
 significance of, 1
 summary of provisions, 149–51
 whistleblower protection and, 155–6
- UNCAC. *See* United Nations Convention Against Corruption
- UNESCO, 327
- UNGC (United Nations Global Compact), 145
- United Kingdom. *See also* criminal law in UK; Law Commission for England and Wales; pre-Norman England; Proceeds of Corruption Unit (PCU), Metropolitan Police, UK; Serious Fraud Office; UK Bribery Act 2010; *specific Acts, departments, offices and ministries*
- Abacha case, Nigeria, 297, 300–1, 303, 312
- anti-money laundering regimes in, 296
- Assets Recovery Incentive Scheme, 245
- development aid corruption and, 141
- ‘directing mind’ principle in, 148, 150, 152
- doing business versus raising funds for business, 28
- employment of people with no right to work in, regulation of, 198
- Ibora case in Nigeria, 306–10
- money laundering, 312–15
- policy commitment to anti-corruption strategy, 197, 203, 205, 214
- presumption of corruption in Prevention of Corruption Act 1916, 76
- public versus private sector bribery sharply distinguished under prior law in, 39, 47
- Transparency International, UK chapter, 310
- whistleblowing laws, 155–6
- Al-Yamamah arms deal enquiry, 6, 20, 148
- United Nations commitment to changing local bribery cultures, 37

- United Nations Convention Against Corruption (UNCAC), 293–327.
See also mutual legal assistance;
specific countries
- Abacha case in Nigeria, 296–303,
 312, 315, 318
- asset recovery, 295–6
- civil society and, 294–5, 310, 313,
 323–7
- commissions, 304–5
- development aid corruption and,
 146–7
- enforcement efforts, 252
- EU anti-corruption measures and,
 185, 192–3
- illicit enrichment provision, 318
- implementation of, 294–6
- money laundering requirements,
 312–15
- PEPs (politically exposed persons),
 296, 306, 309, 312, 314–15
- political will, problem of, 316
- victims of grand corruption failing to
 act on behalf of citizens, 296,
 316–22
- weakness of, 322–3
- United Nations Global Compact
 (UNGC), 145
- United States
- civil society actions in, 326–7
- development aid corruption,
 pressure for adoption of
 conventions combating, 140–1
- DPAs (deferred prosecution
 agreements), 248–9, 263
- extra-territorial prosecution of
 bribery cases by, 32, 222, 234–5
- federal bribery laws, 40–5
- giving versus accepting bribes in, 62
- global settlements, 234–5
- money laundering, 315
- public sector versus private sector
 bribery, blurring of distinction
 between, 39–46, 60
- regulatory approach to bribery
 deterrence in, 208
- state bribery laws, 45–6
- whistleblowing laws in, 73
- ‘utility or profit’ in Italian corruption
 law, 110–11
- van der Kamp, Jake, 280
- victim-nations of grand corruption
 failing to act on behalf of citizens,
 296, 316–22
- Vishny, R. W., 128
- Wako, Amos, 319
- Wardle, Robert, 227
- Waziri, Farida, 305
- WB. *See* World Bank
- Weberian rational-legal model of
 administration, 135
- Wei, S., 128
- Weisberg, Robert, 58
- wergeld*, 84
- Wheeler, D., 128
- whistleblowing
- ICC guidelines on, 145
- UK laws on, 155–6
- US laws on, 73
- ‘white-collar’ versus ‘regular’ crime,
 differential treatment of, 221–2
- wire and mail fraud statutes in US,
 44
- witnesses
- preparation and coaching of, 273–5,
 290
- unreliable or unsavory, 289
- Wolfensohn, James D., 133, 138
- Wong, Derek, 276–7
- Wong Piu Sham, 277
- Woo Kwok-hing, 271–2, 286
- Woolf, Lord, Woolf Report, and Woolf
 Committee, 20, 237, 242
- World Bank (WB)
- on development and business
 integrity, 130
- on economic effects of bribery and
 corruption, 128–9
- efforts to combat corruption, 133,
 136, 138–9
- estimates regarding grand
 corruption, 293, 296
- funds lost to corruption, 131–3, 321,
 137–8

366

INDEX

- World Bank (WB) (cont.)
 institutions of, 132
 StAR (Stolen Assets Recovery)
 Initiative, 293, 295
Wrong, Michaela, 321
Wu, Anna, 283
- Al-Yamamah arms deal enquiry, 6, 20,
 148, 227, 242
Yar'Adua, Shehu, 303
- Yar'Adua, Umaru, 303–5, 307–8, 313
Young, Simon N. M., 267
Yu Chee Yin, 279
Yuen, Raymond, 278
- Zaire/Democratic Republic of Congo
 (DRC), failure to prosecute grand
 corruption in, 321–2
Zambia, anti-corruption commissions
 in, 304