CHAPTER 1

Pliny’s thanksgiving: an introduction to the Panegyricus

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PRECURSORS AND PREDECESSORS

On 1 September 100 CE, Pliny the Younger rose in the senate to deliver the oration we know as the *Panegyricus*. This was a *gratiarum actio*, a ‘vote of thanks’, offered up to the emperor Trajan (98–117). It was given on the occasion of Pliny’s attainment of the consulship, the prime goal of regular senatorial ambition and the highest rung, albeit of suffect status, on the normal *cursus honorum*.1 Pliny claims as the pretext for his speech a *senatus consultum* which had recommended that a vote of thanks be rendered to the emperor by the consuls (*Pan*. 4.1, cf. 90.3; *Ep*. 3.18.1, 6.27.1). In the speech and in his letters, Pliny immediately subjoins to this recommendation a normative aim: to demonstrate through praise the behaviour and characteristics expected of a good *princeps* (*Pan*. 4.1; *Ep*. 3.18.2). In offering praise to his emperor on this occasion, Pliny was participating in a vibrant rhetorical tradition. Its tropes and themes reflect a vital and continuous contemporary culture,2 while its roots extended a very long way back into republican culture and politics on the one hand, and on the other into Greek traditions of praise which had been crystallized to a certain extent by Isocrates in the mid-fourth century BCE, but had predated him considerably.3

Special emphasis falls upon the *laudatio funebris*, or funeral oration, in Polybius’ account of the aristocratic funeral (6.53–4). He recounts this institution to illustrate the republic’s capacity to induce its youth to perform acts of bravery and to endure danger for the sake of reputation.4 The oration was given from the rostra in the Forum on the occasion of both public and private funerals. The laudand could be of either sex, although women are

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1 And more: ‘the pinnacle of the Roman social and political order’, as Pliny constructs it in the speech; see Noreña, p. 38 in this volume.
2 See Gibson, pp. 104–24 in this volume.
4 On which: Vollmer (1925); Crawford (1941); Kierdorf (1980).
more commonly encountered as subjects of a *laudatio* in the last century BCE.² A second oration might also be delivered before the senate in the case of an exceptionally important individual.⁶ The practice of delivering a funeral oration was apparently very early, and (naturally tendentious) claims were made for the venerable antiquity of the practice. Plutarch asserts, for instance, that P. Valerius Poplicola delivered the *laudatio* for L. Junius Brutus the liberator (Plut. *Pub.* 9.7.102). Likewise, Dionysius of Halicarnassus claimed that the Roman *laudatio* predated the Athenian funeral oration, the *epitaphios logos* (5.17.3). The *epitaphios logos* was in any case a distinct phenomenon on a number of counts. It had as the subject of its praise a collective of fallen warriors and its exclusive context was the public funeral. There was moreover a civic dimension to the *epitaphios logos* which was muted by comparison within the *laudatio funebris*. In the Athenian funeral oration, the virtues of the dead came before the achievements of the city. The speech also offered consolation to living relatives and an exhortation to the audience to imitate the virtues of the dead.⁷

In the *laudatio funebris*, the orator would be the son of the deceased, or another suitable relative. A serving magistrate within the family would be an especially appropriate choice (Polyb. 6.53.2). The speech would comprise two parts: praise of the individual’s achievements, followed by praise of his or her ancestors. Sources underscore the simple and unadorned nature of the speech. This was an ideal which was in tension both with the practical political utility of the speech and with panegyric’s broader tendency to embellish and adorn (i.e. to be *laeta et magnifica et sublimis*; Quint. *Inst.* 11.3).⁸ The object of a *laudatio funebris* was to locate and measure the contribution of the deceased to the reputation of his ancestors. In the imperial period, the emperor was eulogized by his successor, in accordance with a decree of the senate (Quint. *Inst.* 3.7.2). After the delivery of the *laudatio funebris*, it was preserved by the family of the deceased, and could be published more widely. Cicero writes of the enjoyment derived from reading *laudationes* (*Orat.* 11.37; *Brut.* 16.61–2).

There were other Roman precursors. The year 63 BCE saw Cicero’s inaugural consular speech before the public assembly, the second *De Lege Agraria*. In it, he states that the first *contio* of a new consul was by tradition

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² See Crawford (1941) 21–2.
⁶ Cf. Augustus, praised by Tiberius in the Temple of Caesar and by Drusus from the rostra (Cass. Dio 56.34; Suet. *Aug.* 100.3).
⁷ On this see Loraux (1986) 1–3, 42–3.
⁸ On the style of the encomium, see Innes, pp. 69–70 and Hutchinson, pp. 125–41 in this volume.
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devoted to (a) rendering thanks to the people in return for their beneficium, and (b) praising the consul’s own family (Agr. 2.1). A similar function to that of the laudatio funebris thus emerges in Cicero’s formulation, in that the type and measure of the contribution made by the speaker to his family’s dignity were at issue.9 One significant departure from the funeral oration is that the praise in this context was explicitly self-reflexive. This custom was adapted in the imperial period. Now the new consuls rendered thanks, ex senatus consulto (Pan. 4.1, cf. 90.3), both to the gods and to the emperor, in essence, for the latter’s gift of their office.10 This new manifestation of the consular thanksgiving was in place by the end of Augustus’ principate,11 and it endured throughout the early imperial period. This was, for example, the type of speech (it seems) that Verginius Rufus was rehearsing for his third consulship of 97 when he slipped and broke his thigh (Plin. Ep. 2.1.5). Each year of the imperial period, then, every ordinary and suffect consul – or perhaps a representative from each pair – delivered a speech in the senate whose basic form, theme and intent would have been identical to those of the Panegyricus. But we are not permitted to imagine that the published version of Pliny’s speech is representative of this proliferation of thanksgiving speeches. Pliny’s speech is, self-consciously, a radical extension of the generic norms obtaining in the first century ce.

Formally prescribed discourses of praise were not, of course, unique to the Romans. Isocrates makes a claim to being the original author of a prose encomium in his Evagoras (c.370 BCE). The most important axes on which his claim rests are that his praise is expressed in prose rather than poetry, and that its subject is a human being rather than a mythological figure (Evag. 8).12 He also qualifies his claim on primacy by a clause in which he claims to have anticipated ‘those who devote themselves to philosophy’. Others then may have anticipated these men in authoring prose encomia. In any case, Isocrates’ claim is almost demonstrably false. Aristotle writes of an encomium of Hippolochus of Thessaly (Rhet. 1368a17) and Isocrates’ own Busiris displays through its tropes and methods that encomia were clearly subject to prescription by professional rhetoricians.13 In fact, the restrictive concessions that Isocrates has to establish in order to make a claim on

9 Cf. Agr. 2.3: Qua in oratione non nulli aliquando digni maiorum loco reperiuntur, plerique autem hoc perficiunt ut tantum maioribus eorum debitum esse videantur, unde etiam quod poteris solvere restandaret. See further Manuwald, pp. 96–7 in this volume.
10 Cf. Talbert (1984) 227–9; Millar (1993) 14: ‘the Emperor is the auctor of the honor, and the consulship itself is a gift (res data) which partakes of the maiestas of the giver’ (on the language of Ov. Pont. 4.9.65–70).
11 Cf. Ov. Pont. 4.4.43–42 on the consul of 13, and Pont. 4.9.41–52, 65–70 on the consul of 17.
primacy in the Evagoras are indicative of the rich poetic and cultural traditions of epideictic praise feeding into prose encomia in his day. A close rhetorical and thematic nexus obtains between archaic (and especially Pindaric) praise poetry and the Athenian epitaphios logos. Isocrates’ true claim to generic primacy might more helpfully be seen as his fusion of the two strands. 

The Panegyricus was thus the inheritor of a number of important cultural, political, rhetorical and literary contexts which had been developing in specific modes and circumstances in both Greece and Rome for over five hundred years prior to its delivery. The various functions and nuances attending these precursors do make their presence felt within the rhetorical fabric of Pliny’s speech in the contexts of its delivery, and in its modes of production. But we are liable to mislead if we promote the importance of these similar but distinct genres at the expense of the specific cultural, social and political circumstances informing the moment of the speech itself. Each speech in the epideictic mode both constructs its own response to the immediate circumstances informing its delivery and signals its own relationship with its perceived or declared precursors. It is the function of this volume to examine Pliny’s Panegyricus against precisely these tendencies.

SIGNIFICANCE

The Panegyricus is an exceptionally important speech. This is a fact more often conceded than celebrated in modern scholarship. It is ‘our best example of imperial eloquentia’. It is the only complete speech to survive to us from the last of Cicero’s Philippics in 43 BCE to the celebration of the emperor Maximian’s birthday in 289 (Pan. Lat. x(2)), a speech which itself draws upon the language and imagery of Pliny’s praise. We can also assign importance to the Panegyricus irrespective of the accident of its survival. It is innovative. Pliny’s is apparently the first of the consular

16 For a concrete illustration of this tendency see Rees, pp. 175–88 in this volume.
18 Gowing (2005) 120.
19 Although the overall impact of the Panegyricus upon the XII Panegyrici Latini must not be overstated: see Rees, p. 187 in this volume.
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gratiarum actiones to be revised, expanded and published. The reason for this revision and unusually wider dissemination is alluded to in a number of places within the speech and the letters which mention it. In a letter to Vibius Severus (Ep. 3.18) Pliny claimed that he believed it his duty as one of the boni ciues to publish the speech in order to encourage Trajan along what he saw as the right path, and to offer instruction to future emperors through the content of this document (3.18.2). We might also add as an influence the Trajanic innovation of publishing senatorial acclamations in the acta diurna (Pan. 75, 95.1); publishing the Panegyricus was a decision very much in step with the spirit of its age. The immediate reception of the speech and its publication is difficult to gauge accurately, since all of the evidence for it comes from Pliny himself. One might tentatively consider as indices of the speech’s perceived contemporary relevance the small clique of Pliny’s friends who were not satisfied with two days of recitation of the Panegyricus and asked for a third (3.18.4). The success of the speech is unlikely to be unrelated to the fact that Vettenius Severus wrote to Pliny for advice on how to compose a related species of gratiarium actio, that delivered by the consul designate (Ep. 6.27). Finally, one aspect of Pliny’s achievement can be measured by the fact that the literary genre of the prose panegyric was established by the 140s.

PLINY’S PROGRAMME

The notion found in rhetorical treatises and endorsed by Pliny, that praise ought to persuade the recipient to a desirable course of action (Arist. Rhet. 1.9.36; cf. Plin. Ep. 3.18, Pan. 4.1), prompts a summary consideration of Pliny’s programme of advice for his emperor. In Pliny’s formulation, the speech was delivered ‘so that good rulers should recognize what they have done and bad ones learn what they ought to do’ (ut... boni principes quae facerent recognoscerent, mali quae facere deberent). Indeed, a consistent programme of advice is recoverable from the specific loci of praise within the speech. Viewed through this lens, the Panegyricus emerges as a manifesto in the true sense of the word. It offers admonitory guidance to Trajan not only on issues which were central to the concerns of the senatorial aristocracy, but on many other aspects of the principate besides. It is important, both because it offers a prominent senator’s totalizing view of what an ideal

20 Durry (1938) 3–8; see too Noreña, pp. 40–1 in this volume.
21 For the immediate generic impact of the speech, see Rees, p. 176 in this volume.
emperor should be, and because it embodies the values which a newly ennobled member of the senate wished to be seen to endorse.

The following suite of advice has been assembled from those moments in the Panegyricus when Pliny either commends Trajan’s actions – whether real, alleged to have happened, predicted, or claimed for Trajan by Pliny – or is explicitly prescriptive regarding the ideal behaviour of the princeps. In order to arrive at this programme, Pliny’s varying statements of approval have been recast into simple and impersonal admonitions. The following duties of the good emperor emerge.

The emperor ought to sustain the notion of his own social parity with his peers (2.3, 2.4, 22.1=2, 23.1, 24.2, 42.3, 48, 49.5, 60.4, 64.4, esp. 71, 78.4). His supremacy ought not to diminish or impair the dignitas of his subjects (19.1=2, 22.2, 24.5, 77.4). The emperor ought to be accessible (23.3, 24.3–4, 47.4–5). He ought to be prompt and present in his help (80.3). The emperor ought to prefer simplicity of appearance or taste, and cultivate the appearance of his former status as a private citizen; he ought to disdain artifice (3.5, 3.6, 20.1, 23.6, 24.2, 24.3, 43.2, 49.7–8, 81) and the extravagant blandishments of previous emperors (7.3, 82.6, 82.9).

The emperor ought to refuse, or remain reluctant to accept, further powers and titles (2.3, 3.5, 7.1, 9.4, 10.4, 11.4, 21.1, 55.9, 65.1) – for himself or for his family (84.6) – or an excessive number of consulships (56.3, 57.1–5, 58, 79); he ought to discourage extravagant praise (54.3–4, 55), or praise offered in or on inappropriate media, occasions, genres and contexts (54.2). He must not descend into tyranny (45.3, 55.7) or corruption (53.1–5) or inspire fear (46.1, 46.7). The emperor’s words and promises ought to be trusted (66.3); he ought to be constant (66.6, esp. 74). He ought to bind himself to the laws (65).

The emperor ought to participate fully in civic and political functions, ceremonies and rituals (60.2, 63.1–3, 64, 77, esp. 77.8, 92.3). He must take the consulship seriously (59, 93.1) and observe constitutional regulations about the consulship (60.1, 63–77, 76). He ought to allow the senate a sensible and dignified function (54). He ought to listen to the senate’s opinion; his choices and emotions ought to be mirrored in theirs (62.2–5, 73); he ought not to promote his own favourites against the senate’s choice (62.6). He ought to encourage the senate to be free and to participate in the running of the state (66.1–2, 67, 69, 76, 87.1, esp. 93.1–2); he ought to treat the senate with respect (69.3, reverentia); he ought to allow ex-consuls to assist him freely and fully with their aid and counsel (93.3).

The emperor must attend to and accommodate senatorial requests or prayers (2.8, 4.3, 6.4, 33.2, 60.4, implied at 78.1, 86–7), and prayers in
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general (79.6): this is an earthly reflection of the gods’ accommodation of human prayers (3.5). Conversely, he must not accommodate the ‘insinuating counsel’ of self-interested parties, such as delatores (41.3): the emperor ought not to permit delation (34, 36, 37–9, 42, 62.9).

The emperor ought to embody selfless and unceasing service to the state (5.6, 7.1, 7.3, 21.1–4, 67.4, 68, 79.5). He ought to behave and administer the empire with maximum transparency and visibility (20.5, 21.4, 49.5, 56, 62.9, 83.1).

The emperor ought neither to buy peace, nor to claim undeserved triumphs, but should increase the empire in the best tradition of the middle republic (12.1–4, 16–17, 56.4–8). The emperor ought to be personally active with the army (13.1–5); he ought to increase their discipline (18.1, 19.3–4, 23.3), but not value them over the civilian population (25.2).

The emperor ought to recognize and commend the good deeds of his subordinates (15.5, 18.1 military; 44.5–8, 60.5–7 civilian), and not reward uitia (45.3); he ought to advance the good (61–2, esp. 62.10, esp. 70, esp. 88.3, esp. 91.2 (Pliny and Tertullus)) and protect against the impact of the bad (46.8). The emperor ought to show respect to the genealogical claim to pre-eminence of the nobility, and he ought to advance them accordingly (69.5–6), but promote new men according to merit (70.1–2).

The emperor ought to be scrupulous in the delivery of his largesse (25–6, congiarium). He ought to care for the poor as much as the proceres (26.6). The emperor’s generosity ought not to be dependent upon the deprivation of others, or serve as a distraction from or recompense for any vice (27.3–4, 28); he ought not to expect remuneration via wills (43.5). The emperor ought to embody financial propriety and self-control (29.4, 36.3, 50, 55.5; implicitly criticized at 41).

The emperor ought to ensure libertas (27.1, 58.3, 78.3) and securitas (27.1, 29 for the corn supply, 30.5–32 for Egypt, 35.4 from delation, 36.4 for the working of the court, 43 for wills, 44.5, 48.2 at court). He ought to allow freedom of expression at the games (33.3).

The emperor ought not to be overly prescriptive in his guidance of morality (45.4–6). He ought to support the liberal arts (47). He ought to cultivate the continuing love of his subjects (49.3). He ought to discharge the functions of friendship as well as those of imperial rule (85).

The emperor ought to have simple piety towards the gods (52). His justice ought not to be compromised by a desire for self-enrichment (80.1–2). He ought to keep close control over his family (83.2–84.8) and freedmen (88.1).
A related matter is the abstraction of such behaviour into virtues. It has long been recognized that a fundamental characteristic of these imperial virtues is their celebration of differing nuances of the emperor’s ability to moderate his own absolute power and to observe self-imposed limitations. Trajan’s virtues in the Panegyricus constitute the largest cluster of these abstractions attaching to a single human being in the early imperial period. Many of them overlap in basic meaning or at least share nuances. They delineate, as it were, Pliny’s view of the appropriate arenas in which an emperor should aspire to pre-eminence.

Consider the most commonly invoked virtues in the speech. Those appearing over ten times in the Panegyricus are modestia (16), moderatio (16), fides (16), uirtus (16), ueruentia (15), cura (14), labor (14), liberalitas (13), securitas (12), pudor (11), ietas (11), benignitas (10) and maiestas (10). It is completely consistent with Trajan’s public imagery that humanitas and diuinitas (7 times each) receive the same emphasis within the speech. We can clearly see Pliny’s programme reflected in nuce in this emphasis. Modestia and moderatio form the bedrock of Pliny’s prescription: synonymous terms treating Trajan’s basic self-restraint (TLL s.v. moderatio 1206.5–9). Pudor is the inner quality which (positively put) compels such moderatio, or (negatively) prevents Trajan from transgressing it. The property of ueruentia extends this basic notion of Trajan’s self-regulation into an observable demonstration of it in his behaviour. This is the deference with which he chooses to treat august bodies such as the senate (Pan. 69.4); it also pertains to the deference owed to his standing as emperor, his dignitas, his maiestas (Pan. 95; TLL s.v. maiestas 156.1–52). Securitas (public security) is, in essence, the benefit accruing to the community as a result of both the emperor’s self-moderation and his deferential treatment of his peers. Fides speaks to another aspect of this interpersonal dynamic. This is Trajan’s maintenance of good faith in his relationships (TLL s.v. fides 675.10–676.45). But fides also has a civic dimension, by which magistrates...
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and judges equitably discharge their responsibilities (TLL s.v. fides 679.4–70). Liberalitas and its near synonym, benignitas, encompass the personal generosity of the emperor (TLL s.v. benignitas 1899.21–1901.32), while cura and labor speak to his industry. Pietas pertains to various aspects of his mediating role between the Roman state and the gods, his respectful devotion and attention to the duties owed to the gods and state, as well as his relationship with his family. All of these virtue terms are manifestations of his basic, all-encompassing excellence, his virtus. The density as well as the variety of virtue terms in the Panegyricus is noteworthy and instructive: these 13 most frequent virtues appear a total of 174 times throughout the 95 chapters of the speech.

A comparison with other prominent documents which are patently concerned with promoting or evaluating imperial ideals – the Res Gestae (c.13), the Senatus Consultum de Pionate Patre (abbr. SCPP, 20 BCE), Seneca’s De Clementia (55–6 CE), and Suetonius’ De Vita Caesarum (early second century CE) – will assist both in offering context to the imperial ideals featured in the speech and in measuring the degree to which Pliny’s choice of virtues is either typical or idiosyncratic. Of the four virtues claimed for Augustus on the clupeus virtutis of 27 or 26 BCE (ILS 81; RGDA 34.2) – virtus, clementia, iustitia and pietas – both virtus (sixteen times) and pietas (eleven times) are frequent in the Panegyricus, but neither could have been omitted in praise of any emperor (and pontifex maximus). Consider their frequency in the SCPP (pietas nine times; virtus twice), in De Clementia (pietas twice; virtus fifteen times) and Suetonius (pietas eleven times; virtus twelve times). This would especially be the case for virtus – in its military dimension (OLD 1b) – in one who self-consciously cultivated the image of himself as a uir militaris. It may surprise that clementia and iustitia occur with relative infrequency in the Panegyricus (three times each), but the discretionary and judicial nuances of moderatio, benignitas (TLL s.v. benignitas 1899.21–1901.32) or liberalitas, upon which Pliny does place a great deal of emphasis, may have obviated the need for stressing clementia.

Virtues which appear in Pliny as well as in the biographies of his friend and contemporary Suetonius, but do not appear in these earlier documents, are reuerentia (15), labor (14), pudor (11), grauitas (5), facilitas (4), opes (4), sapientia (3), simplicitas (3), fortitudo (3), abstinentia (1), castitas (1), comitas (1) and munificentia (1). Virtues which Pliny mentions in the speech but which do not rate a mention in Suetonius are benignitas (10), frugalitas (5),

vigilantia (4), mansuetudo (4), temperantia (2), magnanimitas (2), bonitas (2), providentia (1), suavitas (1), candor (1), iucunditas (1) and diuinitas (1). The only virtues mentioned by Pliny which are absent from all of these documents are familiaritas (2) and continentia (1). The wide semantic nuances of each of these terms would ensure that the basic meaning of each item is represented in one related virtue term or another in many of Pliny’s predecessors. His innovation in terms of political thought is not at issue. But the fragmenting of these into an unprecedented array of properties and the heaping of them onto the emperor in (as far as we can see) unparalleled quantity is both a significant reflection of Pliny’s rhetorical agenda and strategy in the Panegyricus, and a powerful index of the public centralization of all virtuous behaviour into the person of the emperor. The totalizing expression of these various virtues and the moral and ethical axes along which they are measured find form at 4.5:

Enituit aliquis in bello, sed obsoleuit in pace; alium toga sed non et arma hones-
tarunt; reuerentiam ille terrore, alius amorem humilitate captauit; ille quae
dit domi gloriam in publico, hic in publico partam domi perdidit; postremo adhuc
nemo exstitit, cuius virtutes nullo utiorum confinio laederunt. At principi nos-
tro quanta concordia quantusque concentus omnium laudum omnisque gloriae contigit! Vt nihil seueritati eius hilaritate, nihil grauitati simplicitate, nihil maestati
humanitate detrahirur! (Plin. Pan. 4.5)

One man may have been eminent in war but fallen into torpor in peace; another
man may have been adorned with honour by the toga but not by weapons of war;
one gains respect through fear, another gains love through pandering to the base;
one man destroys in public the reputation he acquired at home, while another
loses his public reputation through his private life. In sum, there has been no
one whose virtues were not dimmed by the close proximity of his vices. But what
great harmony, what a symphony of all praise and of every glory has fallen to our
princeps! Nothing is detracted from his sternness by his good humour, nothing
from his gravity by his lack of pretension, nothing from his majesty by his essential
humanity!

The metaphor of the emperor’s virtues existing in concordia within his
person mirrors his exemplary function to his family (see esp. 8.3–4), the
senate and the state as a whole.