

Index

- Aarhus Convention, 315
- Abbott, K. W., 141
 - regulatory intermediary model, 140
- Abel and Auderbach, 220
- abuse of power, xxvi, 11, 263, 344, 362, 364
- access (to law), 345
- accessibility, 237, 238, 239
- accountability, 15, 321, 359–368
 - Bjursström, 366–368
 - Bovens, 358, 364–366
 - Busuioc, 364–366
 - communicative dimension, 369
 - Dickinson, 362–363
 - elements, 15, 357
 - functions, 357, 360
 - future-oriented or preventive dimension, 363
 - importance and key elements, 359–363
 - kinds, 360
 - as mechanism (Bovens), 15, 359–362
 - perspectives, 359
 - principal-agent theory, 363–368
 - purposes, 362
 - reconciliation with ‘independence’, 336
 - as redress, 362–363
 - relationship with legitimacy, 354
 - Schillemans, 364–368
 - social mechanism for answerability, 359
 - source of moral virtue, 359
 - stewardship theory, 366–368
- accountability actors, 360–362
- accountability forums, 359, 361, 362, 366, 369, 377, 381, 384
 - different types, 360
 - relationship with actors, 361–362
- Adams, John, 45, 46
- administrative action: judicial review, 313–316
- administrative guidance, 261–262
 - United States, 261–262
- administrative law, 313, 314, 316
- administrative officials: legitimacy claims, 349–350
- Administrative Procedure Act (APA, USA, 1946), 148, 261, 371
- adverse effects, 43, 49
- adverse selection, 28, 29
- advertising, 32, 87, 88, 119, 176, 318
- affordances, 187–189, 200
 - conceptualisation, 187–188
- Africa, 98, 390
- African-Americans, 105, 158
- agency costs, 287, 364, 369
- agency theory (Eisenhardt), 35–36
- air pollution, 1, 33, 199
- aircraft pilots, 237
 - rule precision (Diver), 237
- Aleksovska, M., 383–384
- Alemanno, Alberto, 148–149, 164
 - consultations (critique), 164–165
- algorithmic accountability, 15, 384–387
 - Busuioc, 385–387
 - ‘explainable and justifiable’ algorithms, 386–387
- algorithmic control, 90, 91, 92, 253
 - resistance (algoactivism), 91
- algorithmic decision systems (ADS), 373–375
- algorithmic decision-making: definition, 190
- algorithmic gaming, 251–253
 - strategies (types), 252
 - tactics and countertactics, 252–253
- algorithmic power (legal critiques), 221–223
 - due process, 222
 - informational privacy and fundamental rights, 221–222
 - substantive fairness, equality, distributive justice, 222–223

- algorithmic regulation
 - ‘architectural’ or ‘design-based’ techniques, 191
 - definition, 190
 - taxonomy, 191
 - Yeung, 189–191
- algorithmic reputation and rating systems, 204–207
- algorithmic technologies: definition, 90
- algorithms, 359, 368
 - definition, 189
 - pre-emptive systems, 192
 - various understandings, 189
- Allhoff, F., 47, 72
 - precautionary principle, 70–72
- allocative efficiency, 20, 21, 24, 122, 123
- alternative dispute resolution (ADR), 220
- Amazon, 86, 92, 95, 348
- Amoore, Louise, 221
- amoral calculators, 278, 280
- Andone, C., 262
- animal welfare, 183–185
- answerability, 15, 364, 370
- Anthropocene: definition, 144
- Apollo mission, 82
- Apple, 95, 348
- application uncertainties, 112, 114
- Ard, B. J., 112, 113–115
- artefacts: politics, 104–106
- artificial intelligence (AI), xxvii, 2, 8, 80, 389
 - concentration of power (internet giants), 348–349
 - geopolitics, 96–98
 - rule of law, 346
- Artificial Intelligence Act (EU legislative proposal, as at 2021 [enacted 2024]), 229, 230
 - risk-based approach, 285
- Atomic Energy Commission (USA), 337
- audiences (of public organisations), 351
- audiences: regulatory legitimization, 353–355
- audit society (Power), 62
- auditors
 - insufficient access to systems, 299
 - regulatory intermediaries, 296–299
 - shortcomings, 297–299
- Australia, 152, 183, 278, 290
 - voluntary disclosure and transparency initiatives, 183–185
- Australian Competition and Consumer Commission (ACCC), 184
- Australian Egg Corporation Limited, 183
- authority, 6, 321, 322, 323
 - acceptability (judgements or beliefs), 323
- automated enforcement, 270–272
- Automobile Association (AA), 182
- autopoiesis: definition, 138
- Aven, T., 46
- Avidan, M.: meta-regulation, 198–200
- Ayres, Ian, 211, 282, 292
- Bacon, Francis, 227
- Bahrami, Ali, 133
- Baldwin, Robert, 170
 - administrative officials (legitimacy claims), 349–350
 - better regulation, 152–153
 - discourse of legitimacy, 350
- Bambauer, J., 253
- banks, 241, 372
- Baptists and bootleggers, 142, 144, 145, 213
- Bárd, Petra, 347
- Beck, Ulrich, 4, 42, 50, 51, 337
 - Risk Society* (1986, 1992), 58
 - risk society thesis, 58–59
- Beetham (1991), 332
- behavioural design: cognitive biases, 38–39
- behavioural economics, 36–39
 - bounded rationality, 37
 - bounded self-interest, 38
 - bounded willpower, 38
 - cognitive biases, 36–37
- Belgium, 310
- Benhabib, S., 128–130
- Bennett Moses, L., 115–116
- bespoke guidance, 229
- better regulation, 153, 226
 - consultations (rationale), 163
 - EU, 160–162, 163
- Better Regulation Task Force (UK), 152
- BEUC, 310
- Bevan, G., 251
- Bhopal, 41
- Bialous, Stella A., 259, 260
- Biden, Joseph R., Jr., 158, 328
- big data, 85, 88, 90, 94, 190, 192, 289, 348
 - blacklisting (Hu), 206
 - consequences, 207
- big tech, 86, 388, 389, 390
- Binderkrantz, A.S., 163
- Bingham, Lord, 345
 - rule of law (eight principles), 346

- Binns, Reuben, 374
 Bjurström, Karl Hagen, 366–368
 Black Lives Matter, xxiii, 388
 Black, Julia, 60, 123, 124–125, 128, 191, 195, 353–355
 constructing legitimacy (role of regulators), 353–354
 legal rules (three lessons), 236
 liquid authority, 264–267
 meta-regulation (success factors), 196–198
 nature of rules, 233–236
 negotiation, dialogue, discussion (role), 263–264
 polycentric regulatory regimes (reasons for constructing legitimacy), 353
 regulatory conversations (three settings), 264
 risk-based regulation (meanings), 282
 blacklisting, 206–207
 Hu, 206
 blame games, 383, 384
 Boeing, 133
 Bohnet, I., 38, 39
 Borraz, O., 284–285
 Bosk (1979), 215
 Boston Symphony Orchestra, 39
 bounded rationality, 36, 37, 38
 bounded self-interest, 37, 38
 bounded willpower, 36, 37, 38
 Bovens, M., 358, 363, 382
 accountability as mechanism, 359–362
 accountability from principal-agent perspective, 359
 accountability ‘relational concept’, 359
 Bowen, Frances, 330
 Boyle, Robert, 227
 Bradford, Anu: *Brussels Effect* (2020), 342, 343
 Braithwaite, John, 211, 278, 282, 292
 Brandeis, Louis, 180, 227, 368
 Brighenti (2010), 369
 British Columbia Oil and Gas Commission, 199
 British Standards Institution (1900), 256
 Brookhaven National Laboratory, 338
 Brookings Institution, 133
 Brownsword, R., 81
 Brunton, Finn, 252
 Brussels Effect, 342–344
 BSE crisis, 63, 64, 65
 bureaucracies, 20, 56, 64, 174
 bureaucratic rationality, 328
 bureaucratic reputation, 351–352
 bureaucrats: enforcement styles, 278
 ‘Burrell’, 91
 Busch, L., 254, 255–257, 259
 Bush, George H. W., 69
 business risks, 73, 283
 Busuioc, Madalina
 algorithmic accountability, 385–387
 bureaucratic reputation, 351–352
 principal and agent (contractual relationship), 364–366
 Bygrave, L. A., 74–76
 CAC. *See* command and control regulation
 campsites, 63
 Canada, 127, 129, 179, 197
 meta-regulation, 198–200
 Cane, Peter, 302, 305, 307
 discretion (public officials), 273–274
 judicial review, 315–316
 Capano, G., 210
 capitalism, 142
 dependence on ‘cheap nature’, 95
 Capitol Building (violent assault, 2021), 206
 Caro, Robert A., 105
 Carpenter, Daniel, 131–132, 351
 Carrington, D., 244
 Carter Administration (1977–1981), 149
 Cashore, B., 354
 cause of action, 302, 303, 317
 CEN, 254, 255
 CENELEC, 254, 255
 Challenger space shuttle, 383, 384
 Chander, Anupam, 116
 Chaplin, Charlie: *Modern Times*, 254
 charges, 172, 174
 charisma, 328, 333
 charismatic legitimacy (Zelensky), 328
 Charter of Fundamental Rights (EU), 153
 ChatGPT, 79
 chemicals, 162
 Cheney, Richard, 378
 Chevalier, E., 313–315
 Chicago School, 131–133
 child labour, 94, 201
 China, 95
 accountability challenges, 385
 AI duopoly with United States, 97
 data colonialism, 94
 milk scandal (2008), 385
 social credit system, 206
 Chouinard, James B., 187–189

- Christensen, Clayton M., 106
 disruptive innovation, 107–108
 circumvention, 260, 273
 civil actions for damages, 303–304
 civil law, 303, 304, 319, 345
 judicial councils, 312
 judicial review, 315
 liability paradigm (features), 305
 regulatory legitimacy, 344
 role, 305
 civil society, 125, 182, 198, 258, 259
 ‘Clarke’, 198
 class actions, 244, 309, 310
 climate change, 9, 32, 33, 142, 144, 156, 158, 159, 390
 politics of uncertainty, 69
 Clinton, William J., 150
 Executive Order 12, 150
 CloudWalk Technology, 98
 Coase, Ronald H.
 approach to market failures, 34
 approach to regulation, 34
 ‘Nature of Firm’ (1937), 33
 ‘Nature of Social Cost’ (1960), 33, 34
 Code of Practice for Welfare of Animals, 183
 Codex Alimentarius Commission, 65
 coercion, 218, 219, 220
 coercive power, 325
 constrained by legal systems, 11
 Rousseau, 327
 Coglianese, Cary, xxviii, 245–248, 370–371
 cognitive biases
 behavioural design, 38–39
 behavioural economics, 36–37
 cognitive legitimacy, 329, 354
 Cohen, Julie, xxviii, 87–89
 networks and standards, 257–259
 collective action, 9, 123, 135, 136, 262, 309
 Collingridge dilemma, 115–116
 Coman-Kund, F., 262
 command-and-control (CAC) regulation,
 169–170, 171, 173, 180, 181, 213, 214
 environmental pollution, 207–210
 commercial contracts: transnational supply
 chains (standards), 200–201
 common law systems, 302, 304
 communication, 178–183, 274
 Parker et al., 182–185
 public communication campaigns, 179–180
 voluntary disclosure and transparency
 initiatives, 182–183
 communities of threat, 58, 59
 competition, 171–175, 287, 290
 competition law, 25, 112, 227, 290, 294, 303
 competition-based instruments, 213, 216–219
 competitive self-regulation (Ogus), 200
 complex systems, 70, 113
 examples, 137
 complexity theory, 137
 compliance strategy: definition, 278
 computational fluid dynamics (CFD), 269, 270
 computational generation of knowledge, 190
 congruence, 237, 238, 239
Conseil Supérieur de la Magistrature (France,
 1946–), 312
 consensus, 175–178
 consent, 333, 344, 349
 Conservation Strategy Fund (CSF), 23
Consiglio Superiore della Magistratura (Italy,
 1958–), 312
 constitutional and administrative law, 284, 293
 constitutional culture, 223–224
 consultations, 13, 147, 163–166. *See also* public
 consultation
 Alemanno, 164–165
 critique, 164–166
 establishing legal framework for EU public
 participation, 165
 framing (de-biasing), 165
 implementing political equality by design,
 165
 multilingualism, 165
 political inequality (EU), 164
 rationale, 163
 redesign, 164
 social media, 165
 Consumer Financial Protection Bureau
 (CFPB), 297
 contract law, 34, 175, 201
 control system, xxiv, 6, 64, 192
 basic elements, 61
 components, 232
 Conway, Erik, 69
 Cook, E., 22–23
 Cooter, R., 19, 20–21
 Cornell University, 52
 corporate law, 113, 175, 296
 corruption, 216, 217, 362
 cost-benefit analysis (CBA), 13, 23, 63, 147,
 149–151, 154–159, 207
 Arden, 155–156
 climate change, race, inequality, 158–159

- critique, 156–158
- current approaches, 158–159
- discounting, 154–156
- economic rationale, 154
- ‘gives no weight to fairness’, 158
- Luttrell and Roman-Romero, 158–159
- Rose-Ackerman, 156–157
- Couldry, N., 94–96
- Court of Justice of EU (CJEU), 244
- COVID-19 pandemic, xxiii, xxvii–xxviii, 5, 9, 69, 168, 262, 382, 389
- digital sandbox (UK), 229
- experimental regulation, 224
- creative compliance, 250–251, 276
- Criado-Pérez, Carlota, 105–106
- Crime Prevention Through Environmental Design (CPTED), 186
- criminal law, 306
 - liability paradigm (features), 304
 - role, 305
- criminal sanctions, 122, 174, 290, 294
- Croley, S. P., 134
 - public choice theory, 135–136
- Crootof, Rebecca, 112, 113–115
- cultural symbolic approach (Douglas), 55–57
- cyberspace, 185, 186
- D’Alembert, J., 79
- damage condition (Manson), 71–72
- damages: civil actions, 303–304
- danger, 44–45, 56
 - dictionary definition, 44
 - relational meaning, 44
- data colonialism, 94–96
 - definition, 94
 - mechanics, 95
 - naturalisation of data capture, 95–96
- data derivatives (Amoore), 221
- data ownership, 91, 112
- data protection, 97, 220, 290, 342. *See also*
 - European data protection law
- data science, xxvii–xxviii, 389
- Davis, J. H., 367
- Davis, Jenny L., 187–189
- Davis, K. C., 273
- de las Casas, Bartolomé, 96
- de minimis non curat lex* rule, 303
- decision-making, 37, 90
- Deep Water Horizon (2010 disaster), 113, 317, 391
 - meta-regulation (safety case), 197
- democracy, 132
 - regulatory legitimacy, 339–344
 - versus technocracy, 341–342
- democratic accountability, 341, 357, 358
- democratic legitimacy, 164, 176, 322, 339, 340, 349
- democratic polity, xxvi, 129
- Demortain, David, 64, 65–68
- Denmark, 126, 127
- deregulation, 149, 151, 216
- design, dynamics, implementation, 12–14, 119–320, 388
 - hybrid instruments, instrument choice, experimental regulation, 194–231
 - private enforcement and redress, 301–320
 - regulation theories, 119–146
 - regulatory compliance, public enforcement, private certification, 275–300
 - regulatory modalities, instruments, techniques, 168–193
 - regulatory policy, 147–167
 - regulatory rules, 232–274
- design-based instruments, 213–216
 - biological organisms, 187
 - places and spaces, 186
 - products and processes, 186
 - Yeung and Dixon Woods, 214–216
- design-based techniques, 185–187
 - affordances, 187–189
 - taxonomy, 186–187
- Dicey, A. V., 273
- Dickinson, Laura, 362–363
- Diderot, D., 79
- diesel truck engine manufacturers, 245–248
- digital colonialism, 93–94, 390
- digital locks, 271–272
- Digital Markets Act (EU), 391
- Digital Regulators Cooperation Forum (UK), 297–299
 - component organisations, 297
- digital revolution, 7, 81, 82, 85, 89, 112
- digital rights management (DRM), 271–272
- Digital Sandbox (UK), 229
- Digital Services Act (EU), 391
- discounting, 154–156
 - Arden, 155–156
- discretion, 273–274
 - public enforcement, 277–278
- disruptive innovation (Christensen), 107–108
 - definition, 106
- distribution: trade off with efficiency, 22

- distributive justice, 126
 algorithmic power, 222–223
 Diver, C.: rule precision, 239
 Dixon-Woods, M., 214–216
 Douglas, Mary, 42, 55
 cultural symbolic approach, 55–57
 nature and technology (interaction), 57
 Risk and Culture (with Wildavsky), 55
 Downer, John: nuclear-accident risk, 336–339
 Draper, E., 58–59
 Drinking Water Directive (EU), 63
 due process, 220, 222
 duty to explain
 algorithmic decision systems, 373–375
 Henin and Le Métayer, 373–375
 Dworkin, Ronald, 129
 dynamic efficiency, 22, 24
- eBay, 86, 87
 economic approaches, 121–123
 economic efficiency, 20, 23, 24, 25, 26, 121, 146, 336
 economic instruments (EIs), 171–173, 207–210, 213
 charges and taxes, 172
 distributional considerations, 209–210
 empirical evidence, 210
 enforcement, 209
 environmental pollution, 207–210
 incentives, 208–209
 information, 208
 pollution accidents, 209
 subsidies, 173
 tradeable emission rights, 173
 economic regulation, 31–32
 control of entry and exit, 32
 control of price, 32
 control of quantity, 32
 definition, 31
 monopolies, 26
 economic welfare, 102, 121, 122
 economics, 12, 17–40, 123, 124, 157
 behavioural economics, 36–39
 market failures, 24–31
 rational choice theory, 19–24
 transaction cost economics, 33–36
 economists, 17, 20, 23, 53, 122, 149, 176, 181, 389
 ‘Edelman’, 275
 efficiency, 19, 20, 21, 335. *See also* economic efficiency
 positive-sum games, 335
 efficiency criterion, 238
 effluent charges, 172, 210
 eggs, 183–185
 EIs. *See* economic instruments
 Eisenhardt, K. M.
 agency theory, 35–36
 Eleventh of September terrorists attacks (2001), 57
 employers: algorithmic control of workers (6Rs), 91
Encyclopédie (volume one, 1751), 79
 enforced self-regulation, 280–281
 enforcement practices, 63, 170, 284
 enforcement rights, 309–310
 English law: human rights violations, 304
 entrepreneurial state (Mazzucato), 108–110
 environment, 70, 71, 119, 171, 201
 regulatory capture, 133
 environmental hazards, 58, 59
 environmental protection, 173, 207, 314
 relative costs (CAC regulation versus EIs), 207–210
 standing before French administrative courts, 313–315
 Environmental Protection Agency (EPA), 134, 145, 245–248, 261, 296
 transient test (adopted 1980), 246
 environmental regulation, 69, 74, 142, 143, 144, 246, 259
 environmentalists, 151, 213
 epistemic condition, 68, 306, 307
 equality before law, 129
 equality: algorithmic power, 222–223
 equilibrium, 19, 21
 behavioural economics, 36
 Erkkilä, Tero, 377
 Ethiopia, 98
 European Central Bank (ECB), 371, 372
 ‘not very transparent about reasoning overall’, 372
 European Commission, 111, 157, 204, 310
 better regulation, 225
 consultation documents ‘remain highly technical’, 165
 ‘de facto free in deciding’, 164
 DG Comp, 294
 legitimacy challenged, 390
 public awareness of consultations ‘remain[s] modest’, 165
 public consultation ‘does not provide representative view’, 164

- European Convention on Human Rights, 294, 303
- European Court of Auditors, 375
- European Court of Justice, 347
- European data protection law, 74. *See also*
 General Data Protection Regulation
 risk-based approaches to compliance, 75
- European Ombudsman, 375
- European Union, 4, 96, 97, 194, 203, 290
 accused of applying double standards, 347
 better regulation, 152, 153, 160–162, 163
 better regulation (food safety), 284–285
 bureaucratic reputation (Busuioc and Rimkutė), 351–352
 bureaucratic reputation (institutional resource), 352
 coercive *effects* of unilateral regulation, 343
 collective redress, 310
 ‘democratic deficit’, 339
 expertise as basis for regulatory legitimacy (Majone), 334–336
 extraterritorial effects, 343
 governance (‘black box’), 340
 hegemonic tendencies, 343
 input, output, throughput legitimacy (Schmidt), 339–341
 legitimacy gaps, 343, 344
 legitimacy to rule (acute questions), 334
 ‘normative empire’, 343
 protectionism, 343
 public licensing regimes, 286
 regulatory impact assessment, 159
 risk-based regulation, 284–285
 rule of law backsliding (Poland and Hungary), 347
 soft law, 262
- European Union: Border and Coast Guard Agency (Frontex, 2004-), 381–383
- evaluation, 14–15, 321–387, 388
 regulatory accountability, 357–387
 regulatory legitimacy, 321–356
- E-Verify, 206, 207
- Everson, M., 64
- ex-ante* versus *ex-post* strategies, 299, 301, 318, 319, 363, 364
- expected value, 48, 329
- experimental legal regimes
 disadvantages, 227
 methodological shortcomings (France), 227
 purpose, 227
- experimental method, 227
- experimental regulation, 224–230
 Ranchordás, 226–228
 regulatory sandboxes, 228–230
 sunset clauses, 224–226
- expertise, 249, 255, 258, 259, 266, 322, 349, 352
 basis for regulatory legitimacy, 334–339
 need (regulatory science), 268–270
- expertise asymmetry, 270
- expertise deficit, 270
- exploitation, 92, 93, 182
- ex-post* world: private enforcement, 319–320
- externalities, 26, 142, 143, 171, 172, 173, 180, 287
- Fabienne, Peter
 justifying power and creating political authority, 327
 political legitimacy, 325–327
 political legitimacy (function), 326–327
- Facebook, 94, 95, 191, 200, 206, 222, 348
- Fair Trade and Labor Organisation (FTLO), 354
- Fairman, R., 278–281
- fairness, 216, 217
 algorithmic power, 222–223
- Fairtrade Labelling Organisations International (FLO), 182
- fast fashion, 119
- Federal Advisory Committee Act, 371
- Federal Aviation Authority (FAA), 133, 270
- Federal Communications Commission (FCC), 170
- Federal Trade Commission (FTC), 3, 204, 294, 296
- FemoStop, 215
- Fidesz, 347
- financial sector: meta-regulation, 196–198
- Financial Services Authority (UK), 196–198, 242
- fintech regulation, 228, 229
- fire safety regulations, 268–270
- First Machine Age, 80, 82–85
- ‘Fischhoff’, 52
- fishbowl transparency, 370, 371
- ‘Fiss’, 220
- Fit for Future Platform, 153
- Flannery, D., 169–170
- Floyd, George, xxiii
- Flyverbom, Mikkel, 369–370
- Food and Drug Administration (FDA), 145, 261, 297, 318, 351
 big pharma, 297
- food industry: multiple accountabilities (China), 385
- food safety, 11, 140, 195, 278–281

- Ford, Cristie, 112
 principles-based regulation, 240–243
 principles-based versus outcome-based regulation, 248–249
 regulatory analytical problems, 112–113
 foreign corporate accountability (FCA), 202, 203, 362
 foresight, 50–51
 Forest Stewardship Council (FSC), 266, 267, 354
 formalism: failure of legal control, 250–251
 Foucault, M., 223
 foundations, xxiv, 12, 17–118, 388
 economics, 17–40
 risk (rise of regulatory governance), 41–78
 technological innovation, 79–118
 FracFocus, 198–200
 information quality (four criteria), 199
 framing, 36, 51, 60, 76, 111, 165
 France, 254, 257, 284, 310, 312, 313
 judicial review (environmental protection), 313–315
 judicial review (NGOs), 315
 France: Council of State, 227
 Freedom of Information Act (FOIA), 371
 freedom to operate (FTO), 257
 French Duty of Vigilance law, 202, 203
 Frey, Carl B., 83–84, 92
 technological trap, 99
 Friedman, Milton, 256, 257
 Fukushima, 11, 41, 338, 339
 fundamental rights: algorithmic power, 221–222

 Gaebler, T., 4
 GAFAMI companies, 98
 Galland, Jean-Pierre, 295–296
 game theory, 282
 gaming, 250, 251, 260, 273
 Gandy, Oscar, 223
 Garland, D., 44–46, 52
 Garoupa, Nuno: judicial independence, 311–312
 gender, 38, 39, 159
 General Court of European Union, 294
 General Data Protection Regulation (GDPR), 75, 98, 304, 387, 391. *See also* data protection
 general purpose technologies (GPTs), 110
 generalisation, 233, 234, 235, 239
 genetically modified organisms, 67, 73
 German Supply Chain Due Diligence law, 202, 203

 Germany, 127, 209, 226, 254, 255, 284
 Gibson, J. J., 187, 188
 Giddens, A., 59
 Gilad, Sharon, 195
 learning (by regulator and regulated), 195
 regulatory compliance (tiers of operation), 195
 ‘Gillespie’, 190
 Ginsburg, Tom: judicial independence, 311–312
 Gkliati, Mariana, xxviii
 many hands problem, 381–383
 global financial crisis (2008), xxiii, 8, 41, 73, 141, 158, 195, 224, 242, 371, 372, 389
 globalisation, xxiv, 8, 389
 glyphosate, 134
 Gobeau and Zhou (no date), 270
 Goffman, Erving, 51
 Goldschmid, Harvey, 318
 good governance, 161, 179, 270, 341, 362, 370, 377
 Google, 95, 97, 98, 348, 386
 governance regimes, 295, 322, 333, 367, 388
 Government in Sunshine Act, 371
 Grant, R. W., 363
 greenhouse gases (GHG), 69, 143, 151
 Grenfell Tower, 317, 391
 grid-group typology (Douglas), 56
 group actions, 309–310
 guilty until proven innocent, 206, 207
 Gunderson, Anna: prison privatisation, 379–380
 Gunningham, N., 211–212, 282
 Gustafsson, Maria Teresa, 202–203

 Hacking, I., 57–58
 Hadjiyianni, Ioanna: Brussels Effect, 342–344
 Haines, F., 142–144
 Halliburton, 378, 379
 Hampton Review (2005), 282
 Hansen, James, 69
 Hansson, Sven Ove, 47
 hard hats (unintended consequence), 157
 Hardin, G., 31
 harm principle, 344
 Hart, H. L. A.
 ‘core meaning’ versus ‘fringe of vagueness’, 234
 rules ‘cannot apply themselves’, 235
 Haucap, J., 22, 26, 27
 Haussmann, Baron, 105
 Hawkins, Keith, 278

- Hayek, F.A., 256, 257
 health and safety, 61, 209, 283
 health insurance, 28, 30
 healthcare regulation, 383
 healthcare: networked regulation, 139
 Henin, H., 373–375
 hens, 183–185
 Hertogh, Marc, 277
 compliance with traffic laws (empirical study), 330–333
 heuristics: definition, 53
 Hickey, Tom, 323
 Hildebrandt, Mireille, 374
 Hiroshima and Nagasaki, 93
 Hobbes, Thomas, 255, 325
 political authority (creation), 327
 social contract, 326
 ‘sovereignty by institution’ versus ‘sovereignty by acquisition’ (*Leviathan*), 327
 Holder, Eric, 289
homo economicus, 36, 37
 Hood, Christopher, 6, 61–64, 73, 251
 Howlett, M., 210
 Hu, Margaret: blacklisting, 206
 human rights, 219, 302, 345, 377
 definition (Nickel), 128
 legitimacy, 128–130
 universality, 128
 human rights and environmental due diligence (HREDD), 202, 203
 human rights violations, 363, 382
 English law, 303
 Hungary, 347
 Hurd, Ian, 323
 legitimacy, 323
 legitimacy (historical evolution), 323–324
 legitimation (strategies and processes), 350–351
 Hutter, B., 125–126, 275
 Huxley, A.: *Brave New World* (1932), 81
 hybrid regulatory instruments, 194–207, 230
 algorithmic reputation and rating systems, 204–207
 self-regulation, 200–203
 hybrid theories (of regulation), 120, 142–145
 Hyde, A., 331
 hydraulic fracturing, 198–200
 IEC, 254, 255
 imaginaries, 82
 impartiality, 170, 309, 311, 322
 incomplete information: versus ‘imperfect information’, 27
 incremental innovation, 106, 113
 independent judiciary, 170, 223
 India, 126, 129, 390
 industrial policy, 98, 109
 Industrial Revolution. *See* First Machine Age
 industry self-regulation (ISR): pragmatic legitimacy, 330
 informal interpretive authority, 264–267
 information asymmetry, 18, 24, 27–30, 122, 132, 149, 287, 364, 365, 366
 informational privacy: algorithmic power, 221–222
 input legitimacy, 341, 349
 institutional risks, 283
 institutional uncertainties, 112, 114, 115
 institutional variety, 223–224
 institutionalist theories, 120, 137–141
 complexity and systems theories, 137
 hybrids, 142–144
 legal theory of autopoiesis (LTA), 137–139
 networked theories, 139–141
 insurance, 28, 48
 bicycle theft, 29–30
 intellectual property (IP), 24, 97, 112, 271
 interest groups, 213, 336
intérêt à agir (interest to act), 314, 315
 intermediaries, 199
 intermediary organisations, 198
 International Accounting Standards Board (IASB), 266
 International Council on Clean Transportation (ICCT), 244
 International Financial Reporting Standards (IFRS) Foundation., 266
 international law, 13, 68, 257, 346
 International Monetary Fund, 4, 256
 International Olympic Committee (IOC), 179
 International Social and Economic Accreditation League (ISEAL), 354
 International Standards Organisation (ISO), 254, 255, 256, 259, 266, 267
 Black, 266
 tobacco, 259–260
 internet, 88, 89, 108, 116, 170, 258, 259, 348, 389
 internet of things, 348
 interpretive community, 236, 243, 248, 249
 interpretive uncertainty, 14, 271

- interpretive uncertainty (stabilisation), 260–267
 administrative guidance and soft law, 261–262
 informal interpretive authority (liquid nature), 264–267
 negotiation, dialogue, discussion (role), 262–264
- Introduction to Law and Regulation* (first edition, 2007), xxiii–xxiv, xxvii, 391
 aim, 2
- Introduction to Law and Regulation* (second edition, 2024), xxvii
 approach, 7–8
 commentary (purpose), 8
 conceptual framework, xxvi, 9, 388
 conclusion, 388–392
 differences from first edition, xxiv–xxv
 goal, 9
 ‘introductory account’, 8
 premise, xxv, 10
 purpose, 8–10
 structure and overview, 12–15
 ‘wholesale rewrite’ of original text, xxiv, xxvi, 9
 ‘widened range’, 10
- investigative powers, 14, 289–290
- Iran, 388
- Iraq, 378
- Israel, 129
- Issacharoff, S.: regulating after fact, 318–320
- Italian Data Protection Authority, 79
- Italy, 312
- Jasanoff, S., 50–51, 52, 65, 82
- Johannson (2014), 269
- Johnson, D. G., 92–93, 103
- Jones, D. J. C., 61–62
- Joosse, P., 328
- judges, 311, 312, 316, 319, 347
- judicial adjudication
 Loughlin, 311
 private enforcement (role), 309–312
- judicial councils, 311
 civil law systems (France and Italy), 312
- judicial independence
 Garoupa and Ginsburg, 311–312
 selection of judges, 311
 tension with accountability, 311
- judicial review, 313–316, 317
 Cane, 315–316
 standing before French administrative courts, 313–315
- Kagan, R., 278, 279, 280
- Kahneman, Daniel, 36, 38
- Kaldor-Hicks efficiency, 22, 23
- Kaldor-Hicks test, 154
- Kariv-Teitelbaum, Y., 380–381
- Kassim and Menon (2004), 162
- Kazai, V. Z., 347
- Kellogg, Katherine, 90–91
- Kempeneer, S., 371–372
- Kenya, 98, 390
- Keohane, R. O., 363
- Kerr, Ian, 271–272
- kidneys, 216, 217
- Kilpatrick, J.: many hands problem, 381–383
- Kim, S. H., 82
- Kirkham, R., 376
- Kitching, J., 281
- Knight, Frank
 ‘risk’ versus ‘uncertainty’, 64
 Risk, Uncertainty and Profit, 45
- knowledge, 66
 primary resource, 66
- knowledge condition (Manson), 71–72
- Koenig-Archibugi and Macdonald (2017), 199
- labelling initiatives, 183
- labour law, 112, 126
- Laffont and Tirole (1991), 380
- language, 233, 234, 235, 236
- large language models (LLMs), 79
- Lascoumes and Le Galès (2007), 284
- Latin (1985), 169
- law, 169, 170, 173, 174, 263
 fundamental challenge, 114
 instrument of regulatory policy, xxvi
 legitimacy (people’s beliefs), 330
 ‘pacing problem’ (versus technological change), 112
 role ‘foundational’, xxv–xxvi, 10
 roles, xxv
 ‘set of state-backed rules’, xxvi
 ‘source of constitutional safeguards’, xxvi
 state-centric conception, xxv
 ‘taming’ of technological change, 112–116
 tech-fostered uncertainties, 113–115
 temporal changes (whether, when, what), 115–116
- Le Métayer, D.: regulatory accountability (duty to explain), 373–375

- Lee Sedol, 97
 legal accountability, 360, 361, 363, 383
 legal duties, 263, 304
 legal perspective, xxv
 legal redress, 302
 legal responsibility, 317
 legal responsibility models, 14, 304–309
 Yeung, 304–305
 legal responsibility models (Anglo-American), 306–309
 culpability-based models, 306
 intention-based models, 306
 mandatory insurance, 308
 negligence-based models, 306–307
 risk-based models, 306–307
 strict responsibility, 307–308
 legal rights, 302, 303, 307, 317
 legal rules, 6, 138, 234
 legal systems: shortcomings, 10
 legal theory of autopoiesis (LTA), 137–139
 central hypothesis, 138
 legal uncertainties: types, 114
 legibility problem, 113
 legitimacy, 14, 265, 266, 267, 283, 309, 322–333
 approaches (objective), 325–327, 355
 approaches (subjective), 327–333, 356
 central concern, 323
 contextual approach, 332, 333
 definition, 323
 Hickey, 323
 historical evolution (Hurd), 323–324
 Hurd, 323
 objective model, 324
 tripartite classification (Suchman), 329–330
 Weber, 324
 legitimacy claims, 322
 administrative officials, 349–350
 legitimacy communities, 354, 355
 legitimation
 definition, 322
 Hurd, 350–351
 strategies and processes, 350–351
 Lehr, David, 370–371
 ‘Lessig’, 185, 186, 187
 Lessing, Lawrence: modalities of control, 168
 licence: definition, 285
 Lincoln, Abraham, 340
 linguistic rules, 232, 271
 linguistic structures, 233, 236
 linguistic text, 251, 268
 Lipsky, M., 278
 litigation, 294
 Livermore, Michael A., 149, 150–151
 Lloyd-Bostock, S., 125–126
 Lobel, Orly, 85–86
 Locke, John, 255, 325
 consent criterion, 326
 political legitimacy (function), 326–327
 social contract, 326
 Long Island bridges, 104–105, 186
 Loughlin, M.: judicial adjudication, 311
 low-income countries, 9, 22, 92, 119
 Luddites, 83, 84
 Luhmann, Niklas, 137, 138
 Luttrell, Melissa, 158–159

 machine learning, 90, 190, 271, 384, 389
 MacKenzie (1996), 268
 macroeconomics, 17
 Macrory, Richard, 291–292
 Majone, Giandomenico, 334, 339, 341
 expertise as basis for regulatory legitimacy (EU), 334–336
 management studies: understanding
 innovation, 106–108
 mandatory disclosure, 180–181, 199. *See also*
 voluntary disclosure
 costs, 181
 problems, 181
 purchasers’ perspective, 180
 rational-consumer assumption, 181
 transparency principle, 181
 unresponsiveness to context, 181
 Mandelkern Group, 152, 226
 Manson, Neil, 70–71, 72
 many hands problem, 377, 383
 Gkliati and Kilpatrick, 381–383
 March and Olsen (1984), 354
 market failures, 9, 24–31, 121, 122, 124, 180, 335, 336, 389
 causes, 24
 consumer credit market, 27
 definition, 24
 externalities, 26
 imperfect competition and monopolies, 24–25
 information asymmetry, 27–30
 public goods, 30–31
 market forces, 171, 173, 245
 marketing, 87, 88, 90
 Marshall Institute, 69

- Mashaw, J. L., 363
 accountability (six important things), 363
 Mazzucato, M., 108
 entrepreneurial state, 108–110
 McBarnet, D., 250–251
 McLaren, Richard, 179
 Me Too, 388
 Medical Device Directive (EU), 310
 Medzini, Rotem, xxviii, 200
 Mejias, U. A., 94–96
Merchants of Doubt (Oreskes and Conway, 2012), 69
 meta-regulation, 194–199
 bottom-up emergence, 198–200
 ‘critical point of weakness’, 198
 definition, 194
 financial sector, 196–198
 Gilad, 195
 safety case (Deep Water Horizon), 197
 success factors (Black), 196–198
 United Kingdom, 196–198
 Meuwese, A. C., 161–162
 Miaillhe, Nicolas, 96–98
 Micklitz, H., 254–255
 microeconomics, 12, 17, 18, 20, 21
 middle-income countries, 119, 122
 middle-income jobs, 83, 84
 military tasks: outsourcing, 378–379
 Minow, M.: military tasks, 378–379
 Mitcham (1994), 99
 monopolies, 24–25, 26
 definition, 24
 economic regulation, 26
 monopolistic power, 177, 200, 288
 monopsony: definition, 24
 Monsanto, 134
 Mont Pelerin Society, 256
 Moore, Jason, 95
 moral agents, 306
 moral deskilling, 101, 102
 moral hazard, 28, 29–30, 365
 moral legitimacy, 329, 330
 Morgan, Bronwen, xxvii
 Moses, Robert, 104
 Moss, D. A., 131–132
 multilingualism, 165
 multiple accountabilities, 383–384
 Aleksovska and Schillemans, 383–384
 food industry (China), 385
 Mumford, Lewis, 100
 ‘Murray’, 116
 Nash, J., 245–248
 national defence, 31
 National Health Service (NHS), 251
 nation-state, 13, 202, 254, 264
 natural hazards, 44, 53
 natural justice, 293, 316
 natural language processing (NLP), 386
 natural law, 326
 negligence: common law tort, 303
 Neiman, M.: influencing human beings to
 behave in particular ways, 218–219
 Nemitz, Paul, 178
 rule of law, 346
 neoclassical economics, 19, 20, 23, 36, 38, 40, 124
 neoliberalism, 23, 212, 216, 218, 245, 256, 257,
 336, 389
 definition, 3
 Netherlands, 210, 226, 284, 331, 384
 Netscape Corporation, 88
 networked digital technologies, 85, 86
 networked theories, 139–141
 networks, 178
 definition, 89
 Second Machine Age, 85–89
 networks and standards
 five features, 257
 problem of publicly-available law, 258–259
 New Deal, 3, 148–149
 new entrants, 107
 new governance, 194, 242, 255
New State Ice Co. v. Liebmann (USA, 1932),
 227
 Nickel, James W., 128
 Nigeria, 390
 NIMBY syndrome, 52, 314
 Nissenbaum, Helen, 252
 nitrogen oxides, 247
 no dogs allowed (rule), 233, 235
 No Fly List (USA), 207
 no one above law (principle), 345
 No Work List, 207
 non-governmental organisations (NGOs), 163,
 182, 211
 judicial review (France), 315
 non-majoritarian institutions, 334
 examples, 334
 non-state actors, xxiv, 6, 207
 non-state intermediaries, 13, 194
 non-state regulators, 353, 354
 normal accident theory (NAT), 339
 Norman, D. A., 188

- normative conflict, 213
 normative legitimacy, 340, 354
 normative uncertainties, 114
 Northern Rock, 243
 Norway, 197
 nuclear accidents, 286, 337
 nuclear power, 43, 52, 63, 277, 308
 Downer, 336–339
 ideal of objectivity, 338
 probabilism, 337–338
 sociological misgivings, 339
 Nuclear Regulatory Commission (USA), 337
 safety analyses, 338
 WASH-1400 study of reactor safety (1975), 338
 Nussbaum, Martha, 129

 O’Sullivan, K. P. V., 169–170
 Obama, Barack, 82, 150
 obfuscation: definition, 252
 objective risk, 52
 OECD, 152, 290
 Office of Information and Regulatory Affairs (OIRA), 150, 151
 Office of Management and Budget (OMB), 150, 155
 Office of Regulatory Review (Australia), 152
 OfGem (energy regulator, UK), 229
 Ogus, A. I., 34, 122–123, 171–173, 177, 213
 competitive self-regulation, 200
 constitutional culture and institutional variety, 223–224
 public licensing regimes, 286–287
 relative costs (CAC regulation versus EIs), 207–210
 self-regulation, 175–177
 ‘Oliver’, 315
 ombud, 317, 360, 375, 376
 Erkkilä, 377
 one-size-fits-all, 268
 opaque transparency, 200
 OpenAI, 386
 Oreskes, Naomi, 69
 organisational accountability, 362
 organisationally incompetent, 278, 279, 280
 Orwell, George, 74, 81
 Osborne, D., 4
 Ostrom, Elinor, 31
 Otter (2008), 369
 outcome-based regulation: versus principles-based regulation (Ford), 248–249
 outcome-based standards, 243–249, 268
 output legitimacy, 340, 341
 outsourcing, 378–380
 Overton window, 151
 ‘Owen’, 110–111

 Paccas, A. M., 34–35
parens patriae, 294
 Pareto efficiency, 20, 21, 22
 Parillo, N. R., 261–262
 Parker, C., 142–144, 183–185
 passive accountability, 361, 362
 peer-to-peer (P2P) economy, 204
 Peltzman, S., 144
 perfect competition, 24, 25
 performance targets, 174, 251
 performance-based design (PBD), 268
 demonstrating performance, 269–270
 expertise asymmetry versus expertise deficit, 270
 performance-based regulation: methods of assessment, 245
 performance-based standards, 243–249
 Coglianese and Nash, 245–248
 complexity, 246
 constrain innovation, 247
 effective testing required, 247
 eliminate neither conflict nor litigation, 247
 gaming system, 246
 lessons learned, 246–248
 policy success ‘elusive’, 247
 ‘teaching-to-test’ responses, 246
 undesired outcomes, 247
 permit: definition, 285
 personal data
 appropriation, 95
 definition, 95
 Pettit (2012), 325
 Pew Research, 205
 Pfizer, 297
 Phaethon, 82
 pharmaceutical corporations, 297
 pharmaceuticals, 61, 296, 318, 383
 Philip Morris (tobacco company), 260
 Philippines, 192
 platform company: definition, 86
 platform economy
 Cohen, 87–89
 Lobel, 85–86
 Second Machine Age, 85–89
 Poland, 347

- policy mistakes, 315, 316
- Polish Law and Justice Party (PiS), 347
- political accountability, 153, 334, 335, 341, 358, 360, 361, 362, 379
- political authority (creation), 327
- political citizens, 278, 281
- political legitimacy
 - approaches (objective), 325–327
 - function (Fabienne), 326–327
 - normative concept, 326
 - normative construct, 325
- political power, 82, 84, 92, 325, 326
- political science: hierarchy, markets and networks, 168
- political theorists, 323, 333, 340
 - approach to legitimacy, 324
 - objective approach, 324, 327
- political theory
 - normative, 324
 - state legitimacy, 323
- political will, 82, 241
- politics
 - artefacts, 104–106
 - technological innovation, 103–106
- pollution, 27, 142, 143, 144, 172, 173, 214
 - direct compensation of victims ‘rare’, 210
- pollution abatement costs (PACs), 208
- pollution damage costs (PDCs), 208
- popular will, 219
- portable emissions measurement systems (PEMSs), 246
- Porter (1990), 170
- Posner, R. A., 156
- Pound, R. W., 179
- Power, Michael, 62
- power: justification, 327
- pragmatic legitimacy, 329, 354
 - industry self-regulation, 330
- precautionary approach, 68
- precautionary principle, 116, 117
 - Alhoff, 70–72
 - three-part structure (Manson), 71
 - uncertainty, 68–73
- prescriptive regulation, 244, 245
- price regulation, 32
- principal-agent theory, 15, 35, 136, 351, 357, 359–361, 362, 363–368, 383, 387
 - accountability (central problem), 366
 - accountability processes (expectations), 365–366
 - agency drift, forum drift, 365–366
 - assumptions, 35
 - central problem, 364
 - inherent limitations, 365
 - Schillemans and Busuioc, 364–366
- principles-based regulation (PBR), 196, 240–243
 - versus outcome-based regulation (Ford), 248–249
 - workings, 241
- prison privatisation, 379–380
- privacy, 181, 220
- private actors, 6, 13, 75, 120, 133, 140, 144, 194, 201, 226, 296, 380
- private certification, 14, 299
 - Digital Regulators Cooperation Forum (UK), 297–299
 - Galland, 295–296
 - regulatory intermediaries, 295–299
 - van Loo, 296–297
- private enforcement, 14, 301–320
 - conclusion, 317–320
 - enforcement rights and group actions, 309–310
 - ex-post* world, 319–320
 - Issacharoff, 318–320
 - judicial adjudication (role), 309–312
 - judicial review of administrative action, 313–316
 - legal rights, duties, models of responsibility, 302–309
 - redress (non-judicial avenues), 317
- private interest theories (of regulation), 13, 120, 130–136
 - Chicago School, 131–133
 - hybrids, 142, 144–145
 - public choice, 133–136
- private law instruments, 122, 123
- private litigation, 14, 317
- private sector, 108, 109, 141, 174, 283, 378
- privatisation, 15, 378–380
- privity of contract, 201
- probabilistic risk assessment (PRA), 338
- procedural fairness, 219, 222, 240, 290, 352
 - regulatory sanctions, 293–294
- proceduralisation, 137, 139
- process-based standards, 243–249
- procrastination principle, 116
- Proctor and Gamble, 192
- productive efficiency, 20, 21, 23
- professional accountability, 360, 361
- professional occupations, 287–288

- profit motive, 109, 218
 Prohibition, 145
 property rights, xxvi, 10, 31, 34, 36, 302
 psychometric paradigm, 54
 public actors, 13, 144
 definition, 120
 public administration, 67, 226
 public administration scholars, 67
 public choice theory of regulation, 133–136
 citizenry bypassed, 135–136
 Croley, 135–136
 public communication campaigns, 179–180
 regulation by mandatory disclosure, 180–181
 voluntary disclosure regimes, 182
 Yeung, 180–182
 public consultation, 164, 341, 354. *See also*
 consultations
 public enforcement, 14, 276
 discretion, 277–278
 prescriptive guidance, 281–282
 understanding by people regulated, 278–281
 public enforcement action, 299, 301
 regulatory compliance, 277–282
 public goods, 30–31
 public interest, 141, 177
 public interest theories (of regulation), 13, 120
 critique, 130
 economic approaches, 121–123
 hybrids, 142–145
 non-economic conceptions, 123–130
 distributive justice, 123, 126
 human rights, 128–130
 moral and ethical values, 123, 128–130
 risk management, 123–125
 risk regulation, 125–126
 tyranny of merit (Sandel), 127
 public licensing regimes, 285–288, 295
 Ogus, 286–287
 prior approval, 286–287
 professional occupations, 287–288
 Svorny, 287–288
 public policy, 62
 carrots, sticks, sermons, 168
 public regulation, 175, 177
 public risk management, 60–68
 primary focus, 60
 public safety, 286, 288
 public sector, 28, 108, 174, 216, 298, 367, 384,
 386, 387
 public utilities, 25, 26, 32
 public-private divide, 137
 quality assurance (QA), 372
 quantification, 156, 166
 quasi-regulators, 198
 race, 158
 Radaelli, Claudio, xxviii, 159–162
 Rahman, K. Sabeel, 341–342
 Rana Plaza factory (Bangladesh), 330
 Ranchordás, Sofia, 204
 acknowledgements, xxvii–xxix
 experimental regulations, 226–228
 preface, xxiii–xxvi
 regulatory sandboxes, 229–230
 reputation and ranking systems, 204–205
 sunset clauses, 224–226
 rational choice theory, 19–24, 279
 ‘disputed by behavioural economics’, 36
 ‘more realistic psychological foundations’
 (behavioural economics), 36
 Rau, Zbigniew, 347
 Rawls, John, 129, 325
 ‘joining consent’ versus ‘originating
 consent’, 326
 ‘justice’ versus ‘legitimacy’, 325
 Raz, J., 265
 REACH, 162
 reactive algorithmic systems, 191
 Reagan Administration (1981–89), 3, 174, 257
 Executive Order 12,291 (1981), 150
 real world, 34, 35, 36, 122, 149, 251, 268, 350,
 387
 reasoned transparency, 370, 371, 373
recours en excès de pouvoir (judicial review),
 314
 redistribution, 335
 zero-sum game, 335
 redress, 14
 non-judicial avenues, 317
 regulation
 definition, xxiv, 4
 features, 6
 historical context, 2–5
 meaning, 5–6
 ‘not limited to study of public law’, 11
 privatisation, 380–381
 public risk management, 60–68
 tension between two central commitments, 5
 regulation by contract, 200–201
 regulation theories, 119–146, 170
 bootlegger and Baptist theory, 145
 conclusion, 146

- regulation theories, (cont.)
 definition, 119
 four categories, 12, 119
 hybrids, 142–145
 institutionalist and public interest hybrids, 142–144
 institutionalist theories, 137–141
 private interest theories, 130–136
 public and private hybrids, 144–145
 public interest theories, 121–130
- regulator-intermediary-target of regulation (R-I-T) model, 140, 141, 200
 extension (R-I-T-B), 199
 industrial products, 295–296
- regulatory accountability, 14, 357–387
 accountability (concept), 359–368
 Busuioac, 385–387
 Coglianese and Lehr, 370–371
 Erkkilä, 377
 Flyverbom, 369–370
 Henin and Le Métayer, 373–375
 independent oversight, 375–377
 Kempeneer, 371–372
 purposes, 358
 transparency, 369–372
 watchdogs, 375–377
- regulatory accountability (contemporary challenges), 377–387
 Aleksovska and Schillemans, 383–384
 algorithmic accountability, 384–387
 food industry (China), 385
 Frontex, 381–383
 Gkliati and Kilpatrick, 381–383
 Gunderson, 379–380
 Kariv-Teitelbaum, 380–381
 Minow, M., 378–379
 multiple accountabilities, 383–384
 privatisation and outsourcing, 378–380
 privatising regulation, 380–381
- regulatory analytical problems (three kinds), 113
- regulatory authority (basis), 351
- regulatory capture, 133, 156, 336
 Carpenter and Moss, 131–132
 definition, 131
 environmental protection, 133
 strong capture, 131–132
 weak capture, 132
- regulatory challenges, 391, 392
- regulatory comfort, 229
- regulatory compliance, 14, 299
 conceptions, 279–280
 costs, 281
 informal techniques, 275
 prescription versus enforced self-regulation, 280–281
 public enforcement action, 277–282
 tiers of operation (Gilad), 195
 understanding by people regulated, 278–281
- Regulatory Enforcement and Sanctions Act (2008), 291
- regulatory enforcement: role of litigation, 294
- regulatory expertise, 9, 230, 241, 268, 322, 336
- regulatory failure
 causes, 35
 definition, 131
- Regulatory Fitness and Performance (REFIT, 2012–), 153
- regulatory governance, 2, 75, 142
 definition, xxiv
 rise of risk, 41–78
- regulatory impact assessment (RIA), 13, 147, 152, 153, 154, 159–162, 207
 better regulation, 160–162
 critique, 162
 ‘fire alarm’, 159, 160, 161
 process, 160
 qualitative dimensions, 159, 160
 quantitative dimensions, 159
 Radaelli, 159–160
 Radelli and Meuwese, 161–162
 theoretical rationale, 160
- regulatory instrument choice, 13, 207–224, 230
 CAC regulation versus EIs, 207–210
 competition-based instruments, 216–219
 constitutional culture and institutional variety, 223–224
 design-based instruments, 213–216
 effectiveness (gaps in knowledge), 210
 fundamental rights, due process, rule of law, 219–223
 Neiman, 218–219
 normative conflict, organisational interest, local contexts, political power, 212–224
 prescriptive approaches and tool-efficacy, 211–212
 relative costs, 207–211
 Sandel, 216–218
 smart regulation, 211–212
- regulatory instruments, 168–193
 categories, 168
 software algorithms, 189–192
- regulatory instruments (categories), 13, 169

- command, 169–170
- communication, 178–183
- competition, 171–175
- consensus, 175–178
- design-based techniques, 185–187
- self-regulation, 175–178
- regulatory intermediaries, 8, 14, 140, 194, 199, 301
 - examples, 141
- regulatory investigations, 289–290
- regulatory legitimacy, 14, 321–356
 - concept of legitimacy, 322–333
 - construction (Black), 353–354
 - democracy, 339–344
 - expertise as basis for, 334–339
 - objective and subjective elements blended, 344
 - rule of law, 344–346
 - technocracy (versus democracy), 341–342
- regulatory legitimisation
 - audiences, 353–355
 - quest for, 350–351
- regulatory lens, 4, 388
- regulatory mechanisms: risk-based design, 286–289
- regulatory objects: risk, 60–61
- regulatory overreach, 240
- regulatory policy, 13, 147–167
 - Alemanno, 164–165
 - Arden, 155–156
 - conclusion, 166
 - consultations, 163–166
 - cost-benefit analysis, 154–159
 - historical background, 148–154
 - cabining political influence, 150–151
 - CBA, deregulation, quantification, 149–151
 - New Deal, 148–149
 - regulatory quality (1990s), 152–154
 - Luttrell and Roman-Romero, 158–159
 - Radaelli, 159–160
 - Radelli and Meuwese, 161–162
 - redistributive facets, 336
 - regulatory impact assessment, 159–162
 - Rose-Ackerman, 156–157
- regulatory quality, 152–154
- regulatory regimes, 68
 - polycentric (reasons for constructing legitimacy), 353
- regulatory rules, 14, 232–274
 - algorithmic gaming, circumvention, secrecy, 251–253
 - conclusion, 272–274
 - facts and circumstances (interpretation), 267–272
 - formalism, gaming, creative compliance, 250–253
 - performance-based versus process-based standards, 243–249
 - playing rules versus playing by rules, 250–251
 - principles-based regulation, 240–243
 - problems, 232–239
 - regulated technology (automated enforcement), 270–272
 - stabilising interpretive uncertainty, 260–267
 - standardisation and technical standards, 253–259
 - technocracy and its discontents, 258–259
 - tobacco (ISO standards), 259–260
 - Volkswagen scandal, 244
- regulatory sanctions, 14, 290–294
 - aims, 291
 - definition, 290
 - Macrory, 291–292
 - procedural fairness, 293–294
 - Yeung, 292–294
- regulatory sandboxes, 13, 228–230
 - compared with ‘experimental regulations’, 228
 - criticisms, 230
 - definition, 224, 228
 - participation, 229
 - Ranchordás, 229–230
 - use in financial sector, 228
- regulatory science, 64, 65–68
 - specialist expertise (need), 268–270
- Regulatory Scrutiny Board (EU), 153
- regulatory state, 4, 62, 63, 64
 - preoccupation with ‘risk’, 4
- regulatory studies, 2
 - ecological approach, 142–144
- regulatory technology: automated enforcement (rise), 270–272
- remedy (precautionary principle, Manson), 70–72
- Renewable Energy Directive (EU), 202
- Renn, O., 43, 44, 46–50, 60
- rent-seeking, 176, 177, 334
- reputation, 283
 - categories, 205
- reputation and ranking systems, 204–207
 - big data consequences, 207
 - blacklisting, 206–207
 - Ranchordás, 204–205

- Requerimiento*, 96
 RESA, 294
 responsible innovation (RI), 110–111
 responsible research and innovation (RRI), 110, 111
 definition (von Schomberg), 111
Responsive Regulation (Ayres and Braithwaite, 1992), 282
 Revesz, Richard L., 149, 150–151
 Rimkutė, D., 351–352
 Rio Olympic Games (2016), 179
 Ripstein (2004), 325
 risk, 9, 60, 123
 and culture, 55–57
 and danger, 44–45
 definition, 43, 46
 ‘estimates of likely impact of dangers’, 44
 ‘estimation of possible events’, 45
 and foresight, 50–51
 meaning, 42–46
 ‘no commonly-accepted definition’, 43
 placed in perspective, 54–55
 ‘potential adverse consequences to health’ (Hood et al.), 73
 quantitative versus sociological critiques, 12
 ‘range of meanings’ (Garland), 44
 as regulatory object, 60–61
 rise in regulatory governance, 41–78
 role in regulatory processes, 124–125
 scientific quantification, 46–52
 scientific study, 43
 technical approaches, 46–52
 terrorists (test case), 57–58
 and uncertainty, 45–46
 risk analysis (CBA), 48
 risk assessment, 12, 52, 60, 63, 65, 66, 125, 288, 337
 distinguished from ‘risk management’, 64, 65
 ‘expert’ versus ‘lay’ understandings, 53, 54, 55
 limitations, 51
 mathematical tools, 43
 Risk Assessment Review Group (RARG), 338
 risk domains, 63, 64
 risk management, 12, 61–62
 distinguished from ‘risk assessment’, 64, 65
 professionalisation, 43
 public interest theories (of regulation), 123–125
 risk object, 47, 73
 risk perception research, 53–54
 risk perception: psychometric paradigm, 54
 risk regulation
 Hood et al., 64, 73
 Hutter and Lloyd-Bostock, 125–126
 risk society (Beck), 4, 45, 51, 58–59, 125
 risk to fundamental rights, 73, 74–76
 risk-based approaches (compliance with European data protection law), 75
 risks, rights, harms, wrongs, 75–76
 risk-based regulation, 276, 282–289
 Borraz et al., 284–285
 enforcement resources (prioritisation), 282–285
 meanings, 282
 preventive approaches to enforcement, 288–289
 public licensing regimes, 285–288
 Rothstein et al., 283–284
 risk-benefit trade-offs, 54
 riskification, 12, 73–76
 risk to fundamental rights, 74–76
 Roman Goddess of Justice, 309, 311
 Roman-Romero, Jorge, 158–159
 Roosevelt, Franklin D., 148
 Rose-Ackerman, Susan, 156–157, 319
 Rothstein, H., 283–284
 Roundup (herbicide), 133, 134
 Rousseau, Jean-Jacques
 coercive power, 327
 On Social Contract, 327
 Rowell, Arden, 154
 rule of law, xxv–xxvi, 10, 129, 170, 219, 220–221, 253, 258, 259, 271, 277, 322, 370
 ability to seek determination by court, 309
 central tenet, 224
 eight principles (Bingham), 346
 EU backsliding (Poland and Hungary), 347
 Nemitz, 346
 prevention of abuses by powerful, 346
 regulatory legitimacy, 344–346
 Waldron, 344–345
 rule of law values, 220, 258, 264, 272
 rule precision (Diver), 239
 efficiency criterion, 238
 measurement problem, 237–238
 three dimensions of rules, 237
 ruled, 323, 325, 333
 rule-formation process, 233
 rule-making, 137, 161, 176, 202, 218, 223
 rulers, 323, 325, 333
 rules (nature), 232–239

- inclusiveness, 233, 234, 241
- indeterminacy, 233, 235
- interpretation, 233, 236
- rules of thumb, 37, 38, 39
- Russian olympic doping scandal, 179
- safety case (meta-regulation), 197
- sanctioning strategy: definition, 278
- Sandel, Michael, 216
 - introducing money into non-market settings (reasons to hesitate), 217–218
 - market choices (corruption and fairness objections), 216–217
 - Tyranny of Merit* (2020), 126, 127
- Scharpf, Fritz, 339, 340
- Schillemans, T., 364–368
 - multiple accountabilities, 383–384
 - stewardship theory, 366–368
- Schmidt, V. A., 339–341
- Schwalbe, U., 22, 26, 27
- science and technology, 59, 79, 82, 259
- science and technology studies (STS), 7, 52, 187, 339
- Scott, C., 137–139
- Second Machine Age, 8, 81, 98–99, 391
 - definition, 85
 - platform economy, 85–89
 - surveillance, control, exploitation (enhanced capacity), 89–91
- Second Machine Age (impact on others), 91–99
 - data colonialism, 94–96
 - digital colonialism, 93–94
 - geopolitics of technological innovation, 96–99
 - technological change, 92–93
 - unintended adverse effects, 92
- secrecy, 90, 253, 366, 383
- Securities and Exchange Commission (SEC), 243, 318–320
- self-regulating agencies (SRAs), 175–177
- self-regulation, 175–178, 182, 241, 330
 - criticisms, 176
 - hybrid forms, 200–203
 - justifications and explanations, 175–176
 - nature, 177
 - regulation by contract, 200–201
 - Russian olympic doping scandal, 179
 - supply chain regulation (politics), 201–203
- Sentencing Commission (USA), 289
- Shelley, Mary: *Frankenstein* (1818), 81
- shirking, 363–365, 367, 368
- Shleifer, A., 121–122
- Shrader-Frechette, Kirsten, 63
- Simon, Herbert: bounded rationality, 37
- Sinclair, D., 211–212, 282
- single-use device (SUD), 215, 216
- Slovic, P., 53–55
- small and medium-sized enterprises (SMEs), 278–281
- smart devices, xxiii, 85, 189
- smart regulation, 211–212, 282
 - design principles, 212
- smartphones, 85, 86, 106, 388
- Smith, Adam, 183, 255
- Sochi Winter Olympics (2014), 179
- social change, 59, 79, 99
- social control, 6, 59, 211, 369
- social media, 1, 93, 164, 165, 205, 328, 388
- social order, 323, 325, 326, 327, 328, 331
- social quantification sector, 95
 - huge complexity, 96
- social regulation, 31–32, 33
 - example, 32
- social relations, 8, 95, 265, 323, 361
- social subsystems, 137, 138
- social unrest, 50, 84
- social welfare, 17, 20, 22, 124
- society: technological change, 99–101
- sociological studies, 42, 55
- sociologists, 330, 333
 - approach more subjective, 324
 - approach to legitimacy, 324
 - subjective approach, 324, 328
- sociotechnological imaginaries, 82
 - definition (Jasanoff and Kim), 82
- soft law, 14, 261–262
 - European Union, 262
- software algorithms, 189–192
- Soros, George, 241
- South America, 377
- South Korea, 179
- special interest groups, 13, 134, 136, 225
- Spinardi, G., 244
 - expertise asymmetry versus expertise deficit, 270
 - PBD in operation, 269–270
 - regulatory science (need for expertise), 268–270
- sports associations, 177, 179
- standardisation and technical standards, 253–259
- standardisation organisations (national), 254

- standards, 68
 - transnational supply chains, 200–201
- standing before French administrative courts
 - (judicial review), 313–315
- Starr, Chauncy, 43, 54
- state
 - authority (political-moral), 323
 - political power (coercive), 325
- state actors, 13, 120, 140
- state of nature, 326, 327
- states-as-laboratories (Brandeis), 227
- stereotypes, 39, 177
- stewardship theory, 366–368
- Stigler, G. J., 132, 144, 205
- stigma, 213, 288, 291, 292, 305
- Stirling, Andy, 73, 116
 - regulation of emerging technologies, 117
- Story, Joseph, 310
- street-level bureaucracy (Lipsky), 277
- strict responsibility, 307–308
 - activity-based, 308
 - outcome-based, 308
 - right-based, 307
- Stuhmcke, A., 375–376
- subsidies, 173, 208
- Suchman, Mark C., 353
 - legitimacy (tripartite classification), 329–330
- Sujan, M., 197
- sunset clauses, 224–226
 - definition, 225
 - elements, 225
 - ex-post* evaluation, 225
 - recent uses, 226
- Sunstein, C. R., 36, 37–38
- supply and demand, 17, 19, 21, 24, 27, 131
 - regulatory ‘goods’, 135
- supply chain regulation, 202–203
- sustaining innovation, 106, 107, 108
- Svorny, S., 287–288
- systems theory, 137, 138, 339, 340
- Szijjártó, Péter, 347
- tax, 172, 174, 208, 209, 210, 213, 214, 225
- technocracy, 258–259, 273, 341
 - expertise (legitimation criterion), 352
 - versus democracy, 341–342
- technology
 - definition, 80
 - neutrality myth, 104–106
 - properties (regulators’ sources of knowledge), 268
 - social determination, 104
 - technical arrangements as forms of order, 105
- technological innovation, 12, 79–118
 - benefits and burdens ‘unevenly distributed’, 92, 93
 - entrepreneurial state, 108–110
 - First Machine Age, 82–85
 - history, 81–82
 - law (adaptability), 112, 114
 - management studies, 106–108
 - myth-busting (R&D ‘not enough’), 109–110
 - philosophical perspectives, 99–101
 - politics, 103–106
 - ‘responsible’, 110–111
 - Second Machine Age, 98–99
 - and society, 99–101
 - ‘tamed through law’, 112–116
 - uncertainty engendered, 99
- technological protection mechanisms (TPMs), 271
- technological trap (Frey), 83–84, 99
- techno-optimists, techno-pessimists, 100
- telescope, 84
- Terms of Service, 96
- Teubner, Gunther, 137
 - ‘control of self-regulation’, 139
 - lex mercatoria*, 139
 - regulatory trilemma, 138
- Thatcher, M. H., 4, 174, 257
- third-party certifiers (TPCs), 295, 296
- Thompson, Dennis, 381, 382
- throughput legitimacy, 340, 341, 349
- Timber Regulation (EU), 202
- time, 155, 156
- tobacco: ISO standards (case study), 259–260
- Tonry, M.: preventive approaches to
 - enforcement, 288–289
- tort, 114, 303
- tradeable emission rights, 173, 209
- trade-offs, 238, 240, 272
- traffic laws
 - compliance (empirical study), 330–333
 - contextual approach, 332, 333
 - population segments, 332–333
- tragedy of commons (Hardin), 31
- transaction cost economics, 33–36
 - definition, 33
- transnational private regulation (TPR), 201
- transnational regimes, 264–267
- transnational supply chains: standards, 200–201

- transparency, 15, 181, 183, 198, 240, 242, 244, 253, 368
 challenges (Frontex), 381–383
 Coglianese and Lehr, 370–371
 Flyverbom, 369–370
 Kempeneer, 371–372
 regulatory accountability, 369–372
 Transsion Holdings, 98
 Treaty on European Union (TEU), 153, 163, 347
 Treaty on Functioning of EU (TFEU), 68, 262
 tripartite standard regime (TSR), 295
 Trump, Donald, 151, 206, 389
 trust, 243, 357, 358, 366
Turner Review (2008), 242
 Tversky, Amos, 36, 38
 Twitter, 206
 Tyler, T. R., 323, 330, 332, 333
- Ulen, T., 19, 20–21
 unanimity rule, 335
 uncertainty, 45–46, 65
 politics of climate change, 69
 precautionary principle, 68–73
 tech-fostered, 113–115
 United Kingdom, 3, 69, 84, 108, 152, 170, 209, 243, 254, 284, 290
 citizen scoring systems, 206
 Financial Conduct Authority, 229
 meta-regulation, 196–198
 meta-regulation (safety case), 197
 officials responsible for enforcing
 environmental regulations, 278
 reasonable practicability test (1949), 197
 risk-based regulation, 282
 road traffic laws (enforcement failure), 277
 rule of law, 344
 secondary legislation (sunset clauses), 226
 United Nations Guiding Principles on Business and Human Rights (UNGPs, 2011), 202, 203
 United States, 170, 179, 204, 244, 254, 266, 290, 384
 administrative guidance, 261–262
 AI duopoly with China, 97
 ‘cannot resist EU’s global regulatory influence’, 344
 CBA core of RIA, 160
 data colonialism, 94
 digital blacklists, 207
 merit selection (of judges), 311
 meta-regulation, 198–200
 rhetoric of rising, 127
 RIA consultations, 163
 sunset clauses, 225
 United States: Department of Defense, 378
 United States: Department of Homeland Security, 207
 United States: Department of Justice (DOJ), 294, 297
 United States: Social Security Administration, 207
 United States: Supreme Court, 294
 University of Birmingham, xxvii
 unsafe hip implants: collective redress, 310
 unwanted events: expected outcome, 48
 upward mobility (rhetoric of rising), 127
 user embeddedness (Weil et al.), 199
 user-generated content, 205
 utility, 19, 20
 definition, 19
- Vallor, Shannon, 101–103
 van de Poel, Ibo, 99–101
 van den Bergh, Roger J., 34–35
 van Erp, J., 140
 van Loo, Rory, 296–297
 Varian, H. R., 17, 28, 29–30
 Verbruggen, P., 200
 regulation by contract, 200–201
 Vienna Philharmonic Orchestra, 39
 Viscusi, W. K., 25–26, 31–33
 Volkswagen scandal, 244, 247, 250, 391
 collective redress, 310
 voluntary disclosure, 182, 183, 198, 199. *See also* mandatory disclosure
 von Schomberg, Rene, 111
 Vos, E. I. L., 64
- Waldron, Jeremy: rule of law, 344–345
 Walzer, Michael, 129
 Wasserman (2006), 367
 waste disposal, 172, 390
 watchdogs, 376
 primary goal, 375
 regulatory accountability, 375–377
 Stuhmcke, 375–376
 Weber, Max, 328
 bases for legitimate rule, 324
 charisma, 328
 legitimacy of authority (bases of popular beliefs), 328

Weil et al. (2006), 199	Yeung, Karen, 91, 182, 189
welfare economics, 121, 123, 124, 238	acknowledgements, xxvii–xxix
<i>West v Randall</i> (1820), 310	algorithmic power (legal critiques), 221–223
Wetmore, J., 92–93, 103	algorithmic regulation, 189–191
Whelan, C., 250–251	algorithmic regulation (taxonomy), 191
WHO, 322	Anglo-American responsibility models, 306–308
Wiener, Jonathan, 148–149	bargaining and rule of law, 220–221
Wildavsky, Aaron, 55, 358	design-based instruments, 214–216
nature and technology (interaction), 57	family, xxviii
<i>Risk and Culture</i> (with Douglas), 55	fundamental rights, due process, rule of law, 219–223
Wille and Bovens (2020), 375	legal responsibility models, 304–305
Williamson, O., 33	preface, xxiii–xxvi
Winner, Langdon, 100, 104–105, 259	public communication campaigns, 180–182
Winterkorn, Martin, 244	regulation by design, 186–187
Wittgenstein, L., 235, 236	regulatory compliance and enforcement, 275–276
women, xxiii	regulatory sanctions, 292–294
data bias, 105–106	risk to fundamental rights, 74–76
victims of sexist car design, 105	
World Anti-Doping Authority (WADA), 179	Zalnieriute, Monika, 115–116
World Bank, 4, 152, 256	Zarsky, T., 253
World Intellectual Property Organisation, 256	Zelensky, Volodymyr
worldviews (ideal types), 56–57	charisma (basis for authority), 328
egalitarianism, 56	personal courage, 328
fatalism, 56	Zelinsky, D., 328
hierarchy, 56, 57	Zimbabwe, 98
individualism, 56	Zinn, J. O., 55–57
WTO, 322	Zittrain, Jonathan, 116
	ZTE, 98
Yach, Derek, 259, 260	Zuckerberg, Mark, 158
Yandle, B., 145	
Yang Ronghui, 385	
Yapp, C., 278–281	
Yelp, 204	