### A Guide to Hands-on MEMS Design and Prototyping

Whether you are a student taking an introductory MEMS course or a practicing engineer who needs to get up-to-speed quickly on MEMS design, this practical guide provides the hands-on experience needed to design, fabricate, and test MEMS devices. You'll learn how to use foundry multiproject fabrication processes for low-cost MEMS projects, as well as computer-aided design tools (layout, modeling) that can be used for the design of MEMS devices. Numerous design examples are described and analyzed, from fields including micromechanics, electrostatics, optical MEMS, thermal MEMS, and fluidic MEMS. There is also a chapter on packaging and testing MEMS devices, as well as exercises and design challenges at the end of every chapter. Solutions to the design challenge problems are provided online.

JOEL A. KUBBY is a Professor of Electrical Engineering in the Baskin School of Engineering at the University of California, Santa Cruz. Prior to this, he was an Area Manager with the Xerox Wilson Center for Research and Technology, and a Member of the Technical Staff at the Webster Research Center in Rochester, New York. He has led a six-company industrial research consortium under the National Institute of Standards and Technology's Advanced Technology Program (ATP) to develop a new process for optical MEMS, and he has more than 80 patents.

# A Guide to Hands-on MEMS Design and Prototyping

JOEL A. KUBBY University of California, Santa Cruz







Shaftesbury Road, Cambridge CB2 8EA, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314-321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi - 110025, India

103 Penang Road, #05-06/07, Visioncrest Commercial, Singapore 238467

Cambridge University Press is part of Cambridge University Press & Assessment, a department of the University of Cambridge.

We share the University's mission to contribute to society through the pursuit of education, learning and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9780521889254

© Cambridge University Press & Assessment 2011

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press & Assessment.

First published 2011

A catalogue record for this publication is available from the British Library

Library of Congress Cataloging-in-Publication data Kubby, Joel A. A guide to hands-on MEMS design and prototyping / Joel Kubby.

p. cm.

Includes bibliographical references and index. ISBN 978-0-521-88925-4 (Hardback) – ISBN 978-1-107-64579-0 (Paperback) 1. Microelectromechanical systems. I. Title. TK7875.K83 2011 621.381–dc22 2011004247

> ISBN 978-0-521-88925-4 Hardback ISBN 978-1-107-64579-0 Paperback

Cambridge University Press & Assessment has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

## Contents

	Preface	<i>page</i> ix
1	Introduction	1
	1.1 Overview of MEMS fabrication	1
	1.2 Shared wafer processes	6
	1.2.1 Multiproject wafer processes	6
	1.3 Design rules	21
	1.4 Layout	23
	Problems	29
	References	32
2	Micromechanics	34
	2.1 Springs	34
	2.1.1 Springs connected in parallel	36
	2.1.2 Springs connected in series	36
	2.2 Buckling	37
	2.3 Poisson's ratio	38
	2.4 Shear stress and strain	40
	2.5 Beams in other situations	41
	2.6 Torsion	43
	2.7 Membranes	44
	2.8 Test structures	45
	2.9 Dampening	48
	2.10 Accelerometer	49
	2.10.1 Cantilever beam	49
	2.10.2 Crash sensor	50
	2.11 Pressure sensor	52

vi	Contents	
	Problems	53
	References	57
3	Electrostatic actuation	58
	3.1 Mechanical restoring force	61
	3.2 Comb-drive resonator	65
	3.3 Cantilever beam resonator	67
	3.4 Fixed-fixed beam resonator	68
	Problems	68
	References	73
4	Optical MEMS	74
	4.1 Reflecting cantilever beam optical modulator	74
	4.2 Single-axis torsional mirror	77
	4.3 Dual-axis torsional mirror: Lucent lambda router	
	optical switch	81
	4.4 Fabry-Perot interferometer in the PolyMUMPS process	86
	4.5 Obtaining flatness in optical MEMS devices	93
	Problems	95
	References	96
5	Thermal MEMS	98
	5.1 Thermal actuator	101
	5.2 Heatuator	103
	5.3 Thermal bimorph	109
	5.4 Bolometer	112
	5.5 Thermal inkjet	114
	5.6 Thermal damage limits in thermally	
	actuated MEMS	114
	Problems	115
	References	117
6	Fluidic MEMS	118
	6.1 Equations of motion	118
	6.2 Microfluidics	119
	6.2.1 Reynolds number	121
	6.2.2 Surface tension	123
	6.2.3 Contact angle	125
	6.2.4 Capillary rise	126
	6.3 Inkjet	127

	Contents	vii
	Problems	133
	References	134
7	Package and test	135
	7.1 Release	135
	7.2 Test equipment	137
	7.3 Mechanical testing	139
	7.4 Electrical testing	139
	7.5 Optical characterization	141
	References	143
8	From prototype to product: MEMS deformable	
	mirrors for adaptive optics	144
	References	156
	Index	158
	Colour plate section to be placed between pages 52 & 53	



### Preface

The idea for this book came from a textbook I used in graduate school at Cornell University titled *Introduction to VLSI Systems*, by Carver Mead and Lynn Conway. That textbook, in combination with the MOS Implementation System (MOSIS) Service for integrated circuit prototyping and small volume production, enabled a "hands-on" learning experience that was instrumental in training a new generation of practitioners in very large scale integrated (VLSI) circuit design, layout, and prototyping. This approach democratized VLSI chip design and fabrication by reducing the cost of VLSI circuit prototyping and shortened the turnaround time from years to months, enabling students to design, lay out, and submit chips for fabrication in engineering classes. By providing generic design rules the students did not have to worry about the details for the specific fabrication process. By aggregating small projects into multiproject chips (MPCs) and MPCs into multichip wafers (MCWs), the fabrication cost was decreased by orders of magnitude.

In order to train a new generation of practitioners in MEMS design and prototyping, it is important for students to get a similar hands-on experience. However, hands-on courses on the design, prototyping, and testing of microelectromechanical systems (MEMS) has largely been restricted to universities with cleanroom facilities for semiconductor fabrication. The number of universities with a cleanroom is limited and cleanrooms are expensive to maintain. In addition, they provide too much design freedom that can promulgate the mindset of needing a new MEMS fabrication process for each new MEMS device.

The goal of this text is to guide the student through a MEMS design experience using state-of-the-art computer-aided design tools for layout and modeling, and to submit the design for fabrication in a robust, standard multiproject wafer fabrication process. Many of these processes X

#### Preface

have mature design rules that enable the separation of design and fabrication challenges. If the rules are followed, the students will get what they have designed. While the use of a standard process leads to limitations in the devices that can be fabricated, since the layers and layer thicknesses are set by the standard process, this approach has been used successfully for the development of a number of commercially successful MEMS devices, some of which are described in the text. By using a robust standard multiproject wafer fabrication process, a working prototype device can be fabricated quickly at low cost. The book also analyzes some standard MEMS designs such as the mechanical test (M-Test) structures that were developed by Professor Stephen Senturia's group at MIT. The M-Test structures are straightforward to design and lay out and provide simple MEMS structures for post-fabrication evaluation and testing that do not require specialized metrology equipment. By simply measuring the pull-in voltages of these structures, which can be done with just a probe station and a high-voltage power supply, validation of the design can be obtained and fundamental information about the prototyping process can be extracted.

The book includes a number of examples from student projects in undergraduate (EE115) and graduate (EE215) MEMS design courses that I have taught in the Department of Electrical Engineering at the University of California Santa Cruz. In the quarter-long course the students learn about microelectromechanical systems in various application domains (mechanics, electrostatics, optical, thermal, and fluidic) and then propose a design of their own to meet a set of specifications that they are provided with. They then design their own part and use modeling and simulation to test their designs. Finally, they lay out their designs using state-of-the-art tools and submit them for fabrication on a class multiproject chip that is then aggregated into a multichip wafer for fabrication using a standard process. I encourage all students using standard multiproject wafer fabrication processes to submit reports on their projects for publication in future editions of this book.

The book ends with a case study of a commercial MEMS device, a deformable mirror for applications in adaptive optics, first prototyped using the PolyMUMPS process, that eventually went on to become a product offered by Boston Micromachines Corporation. This case study demonstrates that there is a "path-to-the-sea" from MEMS prototyping in a standard multiproject wafer fabrication process to commercialization of a robust MEMS product. Once the prototype was designed, fabricated, and tested using a multiproject wafer fabrication process, PolyMUMPS,

### Preface

xi

the standard process was modified as required to meet the particular product specifications. Future editions will include additional case studies of MEMS products that are developed from prototypes fabricated in standard multiproject wafer processes.

In memory of ERK, LJK and LSK, and in appreciation of RSNK-A, who took the brave steps to avoid the fate of the genetic hand she was dealt.

Joel Kubby University of California, Santa Cruz