Introduction

Mass trauma events, such as wars, armed conflicts, acts of terror, political violence, torture, and natural disasters affect millions of people around the world. The 'New World Order' following the collapse of the Soviet Union has seen an escalation in political violence of all kinds around the globe and a 'war on terror' leading to the invasion of Iraq and Afghanistan by the United States and its allies. According to the Office of the United Nations High Commissioner for Refugees (UNHCR, 2009) figures, the number of forcibly displaced people in the world was 42 million at the end of 2008, including 15.2 million refugees, 827 000 asylumseekers, and 26 million internally displaced people, 20% of whom were in industrialized countries. According to World Health Organization estimates (Van Ommeren et al., 2005), 20% of people exposed to mass trauma events develop post-traumatic stress disorder (PTSD). This suggests that 8.4 million war survivors worldwide (about 1.7 million in industrialized countries and 6.7 million in developing countries) are likely to need mental healthcare. These figures do not include non-displaced civilians exposed to various war events, political violence, or torture. Although reliable estimates of the prevalence of torture are not available, it is known to be systematically practiced in at least 81 countries (Amnesty International, 2009). Torture is known to be associated with long-term mental health consequences (Başoğlu et al., 2001; Campbell, 2007; Johnson & Thompson, 2008; Steel et al., 2009).

Among natural disasters earthquakes are a major contributor to the public health problem posed by mass trauma events. Over the 30 years between 1974 and 2003 a total of 660 earthquakes occurred worldwide which resulted in the death of 559 608 people and affected more than 82 million people (Guha-Sapir et al., 2004). Earthquakes make a disproportionate impact in developing countries (Guha-Sapir et al., 2004). Indeed, of all people killed by earthquakes worldwide in the last decade, more than 72% were in Asia (Guha-Sapir et al., 2004). Evidence (e.g. Armenian et al., 2000; Başoğlu et al., 2004b; Durkin, 1993; Lai et al., 2004; Önder et al., 2006; Wang et al., 2000) suggests that exposure to earthquakes is associated with increased psychiatric morbidity.

Currently there is no mental healthcare model that is capable of addressing the needs of millions of mass trauma survivors around the world, particularly the dispossessed populations of developing countries that often bear the brunt of these trauma events. Effective dealing with this problem requires interventions that are (1) theoretically sound, (2) proven to be effective, (3) brief, (4) easy to train therapists in their delivery, (5) practicable in different cultures, and (6) suitable for dissemination through media other than professional therapists, such as lay people, self-help tools, and mass media. Current treatments commonly used with trauma survivors do not meet more than two or three of these requirements. The last requirement is particularly important, as even the most effective treatment is of limited use if it cannot be widely disseminated to millions of people who may be in need of help.

Evolution of control-focused behavioral treatment

This book essentially tells the story of a nearly 20-yearlong odyssey in search of a mental healthcare approach that satisfies the above requirements. Such a model requires a sound theoretical framework. In a previous book (Başoğlu, 1992) on *Torture and Its Consequences: Current Treatment Approaches*, we had examined the parallels between animal and human responses to unpredictable and uncontrollable stressors and presented a learning theory formulation of torture trauma (Başoğlu & Mineka, 1992) drawing on the work of Martin E. P. Seligman, Steve Maier, Bruce Overmier, Susan Mineka, and many other prominent learning theorists and anxiety researchers. As much of the

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evidence in support of this formulation had originated from experimental work with animals, its relevance to human experience was not entirely clear. Accordingly, we set out on a long journey to explore the parallels between animal and human experience under extreme duress and gather evidence pointing to the relevance of this formulation to humans. First, we conducted a series of three studies in Turkey between 1993 and 1999 to examine the role of unpredictable and uncontrollable stressors in psychological responses to torture. After the cessation of hostilities in former Yugoslavia countries we launched a 5-year multi-site research program in Bosnia-Herzegovina, Croatia, and Serbia to examine the same issue in 1358 survivors of war trauma, including combat, internal displacement, refugee experience, aerial bombardment, and torture. These studies provided ample evidence in support of a learning theory model of traumatic stress. Such evidence implied that traumatic stress can be reversed by interventions that enhance sense of control over (or resilience against) traumatic stressors. This hypothesis ultimately led to the development of Control-Focused Behavioral Treatment (CFBT).

The important role of sense of control in treatment of anxiety disorders is well known to anxiety researchers. Indeed, Barlow (2002) provided an excellent review of the work on this issue in his book on Anxiety and its Disorders. There have been few attempts, however, to develop a behavioral intervention specifically designed to enhance sense of control over or resilience against anxiety cues or traumatic stressors. Such an intervention needs to aim for anxiety tolerance and control rather than anxiety reduction. Indeed, in a recent review of the processes of change in exposure treatment, Craske et al. (2008) found no conclusive evidence to suggest an association between treatment outcome and the extent of fear reduction during and between sessions. Craske and Mystkowski (2006) suggested that "... it is time to shift away from an emphasis on fear reduction during exposure therapy as an index of learning at the process level toward a model of exposure therapy that emphasizes ... weakening of avoidance and strengthening tolerance of aversive internal states and fear" (pp. 233).

This is indeed what we have done in the 1990s in the light of findings from our studies pointing to the important role of sense of control in the development (Başoğlu et al., 1997) as well as treatment (Başoğlu et al., 1994a; Başoğlu et al., 1994b) of anxiety disorders. We shifted treatment focus from habituation to enhancement of sense of control over anxiety cues and anxiety tolerance. For reasons detailed in Chapter 6, we thought such a paradigm change might enhance the efficacy of behavioral treatment. This not only led to important procedural changes in the application of exposure treatment but also a broader treatment focus including but not limited to avoidance behaviors. Hence, CFBT came into existence.

In 1999 a 7.4 magnitude earthquake struck the Marmara region of Turkey, killing more than 17000 people and exposing millions of people to severe trauma. Until then much of our experience with behavioral treatment was limited to patients with anxiety disorders in clinical settings. Our knowledge on the development and course of traumatic stress reactions in naturalistic settings was rather limited. This disaster turned out to be a major milestone for our work in providing not only an opportunity to test CFBT more extensively but also valuable insights into natural processes of recovery from trauma. We learned a great deal by simply observing how people recover from traumatic stress without any help from a therapist. Having experienced the earthquake and the aftershocks ourselves, we also learned a great deal from our own experience.

In 1999 we established a research-driven treatment delivery project and conducted fieldwork with more than 10000 survivors in 6 years. When we set out to test CFBT in the early days of the disaster, inundated by demands for help from thousands of survivors, we quickly realized that CFBT delivered in 8 to 10 sessions was too long for post-disaster circumstances. Furthermore, due to demographic mobility in the disaster region and day-to-day survival problems, many survivors were not able to attend treatment for more than one session. Therefore, we had no choice but to deliver treatment in a single session and hope for the best. Given that not much can be squeezed into a 60minute session, treatment was limited to instructions for self-exposure to fear-evoking trauma cues presented with a treatment rationale designed to enhance sense of control over fear. Although we knew from previous experience that much of the improvement in anxiety disorders with exposure treatment occurs within the first few weeks after a few exposure sessions (Marks et al., 1988; Marks et al., 1993), we were not certain whether the survivors would comply with selfexposure instructions in a post-disaster setting without regular monitoring of progress. In the meantime we conducted research to examine treatment outcome.

To our own surprise, an open trial (Başoğlu et al., 2003b) showed that 80% of the cases improved after a single treatment session, which was confirmed by later randomized controlled studies (Başoğlu et al., 2005b; Başoğlu et al., 2007b). Thus, single-session CFBT came into being, proving once again that necessity is the mother of invention!

We then embarked on a search for an explanation for this somewhat serendipitous discovery. Examining how survivors coped with debilitating fear of earthquakes, we discovered that many survivors, without any instructions or guidance from a mental health professional, used self-exposure in their natural environment to overcome their fear of earthquakes. This discovery was an eye opener for us in several ways. Most importantly, it suggested that self-help is not only a viable approach in survivor care but also one that carries great potential. This may not be surprising from an evolutionary point of view, considering that trauma is as old as human history and our survival could not have been possible without the secret key to trauma recovery coded in our genes. Second, it pointed to live exposure as the most potent therapeutic ingredient in behavioral treatment, thereby justifying the sharp focus of CFBT on anxiety cues and avoidance behaviors, excluding cognitive restructuring and other anxiety management strategies that characterize traditional cognitive-behavioral treatments. Furthermore, it made us realize that CFBT simply provides a motivational impetus for a naturally existing tendency in people to use self-exposure as a means of overcoming trauma-induced helplessness. In a sense the intervention merely imitated a key natural recovery process in humans. With such insight, we set out to search for other evidence pointing to exposure as an evolutionarily determined process in recovery from trauma. Indeed, such evidence eventually helped us conceive a self-help model of mental healthcare for survivors. This model incorporates several variants of CFBT, which were developed and routinely used with good results in more than 6000 earthquake survivors. Based on this experience we also developed tools designed to facilitate cost-effective dissemination of treatment knowledge to care providers as well as to survivors themselves.

This book brings together the knowledge and experience gained through two decades of work with torture, war, and earthquake survivors. Despite its broader scope, it could be regarded as a sequel to the 1992 book on torture (Başoğlu, 1992) in the sense that the hypotheses generated by a learning theory formulation of torture in the latter guided the entire research presented in this book. Although the book may appear to concern different trauma events, its focus is on mechanisms of traumatic stress and recovery common to all forms of trauma, whether of human design or due to natural causes. As such, it is designed to facilitate understanding of traumas of an apparently different nature around a unifying theory and how they may respond to brief behavioral interventions that closely match their underlying mechanisms of traumatic stress. As research guided by learning theory focuses on universals in animal and human behavior under duress, its findings cut across not only species but also cultures. This is an important point to bear in mind in evaluating the cross-cultural applicability of the findings reviewed in this book.

In view of the fact that CFBT is an exposure-based treatment, evidence of its efficacy reviewed in this book needs to be considered in the broader context of the robust evidence base for other exposure-based treatments (reviewed in American Psychiatric Association, 2004; National Institute of Clinical Excellence, 2005). We were initially reluctant to give it a different name to avoid cluttering the literature with yet another label for exposure-based treatments. However, considering the rather radical departure from habituation paradigm to anxiety tolerance and control and various procedural differences that distinguish it from traditional exposure treatment, Control-Focused Behavioral Treatment appeared to be an appropriate name for this intervention. We do not contend that it is an entirely novel intervention and it might well be regarded as a streamlined, simplified, and enhanced version of traditional behavioral treatment.

Evidence base

In this book we review findings from more than 20 studies that contributed to the development of CFBT and a mental healthcare model based on this intervention. As we refer to these studies throughout the book, their methodology is briefly summarized in Table 1, Table 2, and Table 3 to facilitate evaluation of their findings. These tables also provide some idea about the evidence base for CFBT. As part of the work covered in the book has already been published, some findings may be familiar to the reader. Nevertheless, as this is the first time we present our work in its entirety together with a detailed account of its theoretical

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 Table 1
 Studies of survivors of torture, war, and earthquake with similar methodology

	Study	Trauma	Sample type	Sample size	Sampling method	Time since trauma (months)	
							As rat
1	Başoğlu, 2009; Başoğlu et al., 1997; Başoğlu et al., 1994c	Torture	Mixed group of political activists and non-activists	202	Consecutive referrals from human rights organizations and cases accessed using snowballing method in Istanbul, Turkey	44	SIS ⁻ SCII CAI
2	Başoğlu et al., 2007a	Torture	Veterans and civilian survivors of war	230 ¹	Target sampling from two associations for war veterans and prisoners of war in Belgrade (Serbia), collective camps in Rijeka (Croatia), and community in Banja Luka (Republic of Srpska) and Sarajevo (Bosnia-Herzegovina)	95	SIST SCII CAF RTS
3	Başoğlu et al., 2005a	War	Veterans, refugees, and internally displaced civilian survivors of war	1079	Cross-sectional survey through target sampling in Belgrade (Serbia), Rijeka (Croatia), Sarajevo (Bosnia- Herzegovina), and Banja Luka (Republic of Srpska)	77	siso Scii Cał
4	Şalcıoğlu, 2004	Earthquake	Survivors in the community	387	Target sampling in the community (n =188) and among self-referrals for treatment (n = 199)	22	SISE SCII CAF RTS

BDI = Beck Depression Inventory, DRS = Depression Rating Scale, CAPS = Clinician's Administered PTSD Scale, EBAT = Emotion and Beliefs after Trauma, EBAW = E after War, FAQ = Fear and Avoidance Questionnaire, RTSQ = Redress for Trauma Survivors Questionnaire, SCID = Structured Clinical Interview for DSM-III-R/DSM-IV Structured Interview for Survivors of Earthquake, SISOW = Structured Interview for Survivors of War, SIST = Structured Interview for Survivors of Torture, SITSES = Scr Traumatic Stress in Earthquake Survivors, SITSOW = Screening Instrument for Traumatic Stress in War Survivors.

¹ In the original study the sample size was reported as 279, including 49 survivors whose psychological assessment was conducted in relation to a war stressor oth The latter cases are excluded in the analyses reported in this book.

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Table 2 Field surveys with earthquake survivors (N = 4332)

Study	n	Sampling method	Time since earthquake (months)	Measures		
Başoğlu et al., 2002	1000	Consecutive screening in 5 survivor camps	10	SITSES		
Başoğlu et al., 2004b	950	Random community sampling	14	SITSES, FAQ		
Şalcıoğlu et al., 2003	586	Consecutive screening in 3 survivor camps	20	SITSES, FAQ		
Şalcıoğlu et al., 2007	769	Consecutive screening among resettled homeless survivors	40	SITSES, FAQ		
Livanou et al., 2002	1027	Consecutive self-referrals for treatment	14	SITSES		
SITSES = Screening Instrument for Traumatic Stress in Earthquake Survivors, FAQ = Fear and Avoidance Questionnaire.						

Table 3 Treatment studies

	Trauma	n	Treatment				
Randomized controlled trials							
Başoğlu et al., 2005b Başoğlu et al., 2007b	Earthquake Earthquake	59 31	Single session CFBT Earthquake Simulation Treatment + Single-session CFBT				
Open trials							
Başoğlu et al., 2003b Başoğlu et al., 2003a Şalcıoğlu & Başoğlu, 2008 Şalcıoğlu & Başoğlu, 2008 Başoğlu & Şalcıoğlu, this volume	Earthquake Earthquake Earthquake Earthquake Earthquake Earthquake	231 10 23 8 84	Full-course CFBT Earthquake Simulation Treatment Full-course CFBT with children Earthquake Simulation Treatment with children Self-help manual				
Case studies							
Başoğlu & Aker, 1996 Başoğlu et al., 2004a Başoğlu et al., 2009 Başoğlu & Şalcıoğlu, this volume Başoğlu & Şalcıoğlu, this volume	Torture Torture Earthquake War and torture Earthquake	1 1 8 2 2	Exposure Treatment Exposure Treatment Self-help manual Full-course CFBT Full-course CFBT of prolonged grief				
CFBT = Control-Focused Behavioral Treatment.							

framework, the book might provide an opportunity to re-evaluate previously published findings around a unifying theory. We also present some previously unpublished findings based on pooled samples from previous studies. Table 1 shows the studies that examined mechanisms of traumatic stress in torture, war, and earthquake survivors using similar methodology.

The first study is a series of three consecutive studies designed to examine mechanisms of traumatic

stress in torture survivors. A substantial part of the empirical evidence relating to mechanisms of traumatic stress in earthquake survivors originated from a study by Şalcıoğlu (2004). Table 2 shows the field surveys that examined PTSD prevalence and symptom profile and the risk factors for traumatic stress in earthquake survivors. Table 3 lists the treatment studies with torture and earthquake survivors. Other studies that examined psychometric properties of various CAMBRIDGE

Cambridge University Press 978-0-521-88000-8 — A Mental Healthcare Model for Mass Trauma Survivors Metin Basoglu , Ebru Salcioglu Excerpt More Information

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questionnaires for assessment of earthquake, war, and torture trauma are reviewed in Chapter 3 and Chapter 5.

Preview of contents

Part 1 – theory

Chapter 1 presents a learning theory model of traumatic stress and some evidence in support of the model. It reviews the role of unpredictable and uncontrollable stressors in earthquake-related traumatic stress, cognitive and behavioral responses to earthquakes, natural recovery processes in earthquake survivors, and possible evolutionary processes that determine psychological responses to earthquake trauma. In addition, some research data are presented in support of the helplessness and hopelessness effects of earthquakes.

Chapter 2 is an updated version of a previous chapter (Başoğlu & Mineka, 1992) on the role of uncontrollable and unpredictable stressors in tortureinduced traumatic stress, which appeared in our 1992 book on torture (Başoğlu, 1992). It presents a learning theory account of captivity, interrogation, and torture experiences and provides empirical evidence in support of this formulation. Also reviewed are various cognitive and behavioral coping responses during and after torture, the role of resilience and context of captivity in torture-induced distress, natural recovery processes in the post-captivity phase, and the role of cognitive factors in war and torture trauma.

Part 2 – assessment and treatment

Chapter 3 provides an assessment strategy for screening of mass trauma survivors and evaluation of intervention outcomes. The assessment instruments developed for this purpose are provided in Appendix A. We have also provided guidelines in determining treatment needs of survivors and priorities in treatment planning on the basis of data obtained using these instruments.

Chapter 4 includes a detailed description of CFBT as it would be delivered to war, torture, or earthquake survivors in a clinical or fieldwork setting. The treatment is described in a step by step how-to-do-it fashion with some case vignettes to facilitate understanding of various issues in behavioral assessment and treatment. The chapter includes a description of various applications of CFBT in earthquake survivors, such as treatment of children, delivery of single-session CFBT individually and in groups, and using an earthquake simulator.

Chapter 5 details behavioral assessment of grief using two questionnaires developed for this purpose and describes application of CFBT in cases with prolonged grief problems. Case vignettes are provided, along with a discussion of various issues in treatment. Also presented are some evidence of treatment effectiveness from our studies and a comparison of CFBT with other treatments of prolonged grief.

Chapter 6 reviews the evidence from treatment studies that tested CFBT. This chapter is informative in demonstrating the developmental stages for CFBT and the various theoretical and practical considerations that went into development of its various applications, such as single-session CFBT, Earthquake Simulation Treatment, and self-administered CFBT. It also includes a discussion of mechanisms of improvement in CFBT (e.g. habituation versus increased sense of control) and available evidence pointing to the role of sense of control in recovery from traumatic stress. Also reviewed are various theoretical and procedural features of CFBT that distinguish it from other exposure treatments.

Part 3 – implications for care of mass trauma survivors

Chapter 7 reviews the implications of our work for a cost-effective mental healthcare model for earthquake survivors. The chapter is organized into three sections. The first section details a three-stage outreach treatment delivery program designed to deliver care to as many survivors as possible with minimal therapist involvement by utilizing single-session applications of CFBT and self-help tools. The second section reviews prospects for alternative methods of treatment dissemination through lay therapists, a self-help manual, and mass media. The third section outlines a mental healthcare model for earthquake survivors that incorporates all possible treatment dissemination methods and reviews procedures that need to be undertaken in pre- and post-disaster phases for largescale implementation of the model in earthquakeprone countries.

Chapter 8 reviews the implications of our work for various issues concerning care of mass trauma survivors, including generally accepted guidelines regarding

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aims, levels, focus, and timing of interventions and the role of antidepressants in treatment of trauma survivors. This chapter casts a critical look at the current status of knowledge in trauma treatment, mental healthcare policies for mass trauma survivors, and the controversy that surrounds the concept of PTSD in the light of evidence from our work.

Chapter 9 reviews various controversial issues in rehabilitation of war and torture survivors, including the effectiveness of and justification for current lengthy and costly torture rehabilitation programs. As it is widely believed that torture is more severe than natural disaster trauma and therefore more difficult to treat, some comparative data from our studies testing this hypothesis are presented. Also included are two recent case studies of CFBT, which point to prospects for brief treatment of tortured asylum-seekers and refugees. Finally, the possible reasons for lack of progress in the field of torture rehabilitation are reviewed with some recommendations for effective rehabilitation of war and torture survivors.

Appendices: assessment instruments and treatment manuals

Appendix A provides various assessment instruments that might be of use to care providers in screening survivors for treatment needs and evaluation of intervention outcomes. These include the adult and child versions of the Screening Instrument for Traumatic Stress in Earthquake Survivors, Fear and Avoidance Questionnaire, Depression Rating Scale, Screening Instrument for Traumatic Stress in War Survivors, Grief Assessment Scale, Behavior Checklist for Grief, Work and Adjustment Scale, Global Improvement Scale, and Sense of Control Scale. Available psychometric data on as yet unpublished instruments are provided in Chapter 3 and Chapter 5. These instruments can be freely translated and used in their present form without permission from the publishers or the authors and with due reference to the authors in any publications based on them.

Appendix B includes a CFBT Delivery Manual (*Helping People Recover from Earthquake Trauma*), which is designed to assist health professionals (mental healthcare providers, general practitioners, nurses, social workers, etc.), as well as lay people with an adequate educational background in delivering CFBT to survivors. It is highly structured to provide step by step guidance in assessment and treatment. It also

includes sections on treatment of children, delivery of treatment in a single session, and assessment and treatment of prolonged grief.

Appendix C includes a self-help manual (*Recovering from Earthquake Trauma*) designed to help earthquake survivors in administering CFBT by themselves. It is also highly structured to guide users at every stage of assessment and treatment. It includes sections on assessment, explanation of treatment and its rationale, overcoming earthquake-related fear and distress, evaluation of treatment progress, treating prolonged grief, and dealing with problems in treatment. This manual can be used after an initial assessment by a therapist or as a stand-alone tool with minimal or no therapist contact.

These manuals are prepared in the understanding that post-disaster circumstances, particularly in developing country settings, require psychological care dissemination to survivors in every way possible. It is worth noting here that while we piloted the self-help manual and used it in routine treatment delivery we did not yet have a chance to test the usefulness of the CFBT Delivery Manual in guiding lay therapists in delivery of the intervention. This is because we prepared this manual towards the end of the project in Turkey after we accumulated sufficient experience and observations (reviewed in Chapter 7) that made us think that such a manual may be a useful tool in treatment dissemination. Nevertheless, we decided to make the manual available so that it can be tested and used by others. At the very least it may be useful in disseminating treatment knowledge to mental health professionals involved in care of earthquake survivors. Considering the highly structured nature of the manual, it might perhaps be helpful in delivering the intervention without extensive prior training in CFBT, though this remains to be tested.

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