

## 1

## Background

To make this monograph as self-contained as possible, this preliminary chapter contains basic characterizations of quasi-Frobenius and pseudo-Frobenius rings, together with the necessary background material. We assume familiarity with the basic facts of noncommutative ring theory, and we refer the reader to the texts by Anderson and Fuller [1] or Lam [131] for the relevant information. However, we make frequent use of facts about semiperfect, perfect, and semiregular rings and about Morita equivalence, often without comment. All these results are derived in the Appendices, again to make the book self-contained.

Throughout this book all rings considered are associative with unity and all  $R$ -modules are unital. We write  $J = J(R)$  for the Jacobson radical of  $R$  and  $M_n(R)$  for the ring of  $n \times n$  matrices over  $R$ . Right and left modules are denoted  $M_R$  and  ${}_R M$  respectively, and we write module homomorphisms opposite the scalars. If  $M$  is an  $R$ -module, we write  $Z(M)$ ,  $\text{soc}(M)$  and  $M^* = \text{hom}_R(M, R)$  respectively, for the singular submodule, the socle, and the dual of  $M$ . The uniform (Goldie) dimension of a module  $M$  will be referred to simply as the dimension of  $M$  and will be denoted  $\dim(M)$ . For a ring  $R$ , we write

$$\text{soc}(R_R) = S_r, \quad \text{soc}({}_R R) = S_l, \quad Z(R_R) = Z_r, \quad \text{and} \quad Z({}_R R) = Z_l.$$

The notations  $N \subseteq^{\text{max}} M$ ,  $N \subseteq^{\text{ess}} M$ , and  $N \subseteq^{\text{sm}} M$  mean that  $N$  is a maximal, (essential, and small) submodule of  $M$ , respectively, and we write  $N \subseteq^{\oplus} M$  if  $N$  is a direct summand of  $M$ . Right annihilators will be denoted as

$$\mathfrak{r}(Y) = \mathfrak{r}_X(Y) = \{x \in X \mid yx = 0 \text{ for all } y \in Y\},$$

with a similar definition of left annihilators,  $\mathfrak{l}_X(Y) = \mathfrak{l}(Y)$ . Multiplication maps  $x \mapsto ax$  and  $x \mapsto xa$  will be denoted  $a \cdot$  and  $\cdot a$  respectively. If  $\pi$  is a property of modules, we say that  $M$  is a  $\pi$  module if it has the property  $\pi$  and that the ring  $R$  is a right  $\pi$  ring if  $R_R$  is a  $\pi$  module (with a similar convention on the left).

1.1. Injective Modules

Injective modules are closely related to essential extensions. If  $K \subseteq M$  are modules, recall that  $K$  is called an *essential submodule* of  $M$  (and  $K \subseteq M$  is called an *essential extension*) if  $K \cap X \neq 0$  for every submodule  $X \neq 0$  of  $M$ . This state of affairs is denoted  $K \subseteq^{ess} M$ . We begin with a lemma, which will be referred to throughout the book, that collects many basic properties of essential extensions.

**Lemma 1.1.** *Let  $M$  denote a module.*

- (1) *If  $K \subseteq N \subseteq M$  then  $K \subseteq^{ess} M$  if and only if  $K \subseteq^{ess} N$  and  $N \subseteq^{ess} M$ .*
- (2) *If  $K \subseteq^{ess} N \subseteq M$  and  $K' \subseteq^{ess} N' \subseteq M$  then  $K \cap K' \subseteq^{ess} N \cap N'$ .*
- (3) *If  $\alpha : M \rightarrow N$  is  $R$ -linear and  $K \subseteq^{ess} N$ , then  $\alpha^{-1}(K) \subseteq^{ess} M$ , where  $\alpha^{-1}(K) = \{m \in M \mid \alpha(m) \in K\}$ .*
- (4) *Let  $M = \bigoplus_{i \in I} M_i$  be a direct sum where  $M_i \subseteq M$  for each  $i$ , and let  $K_i \subseteq M_i$  for each  $i$ . Then  $\bigoplus_{i \in I} K_i \subseteq^{ess} M$  if and only if  $K_i \subseteq^{ess} M_i$  for each  $i$ .*

**Proof.** (1) and (2). These are routine verifications.

(3). Let  $0 \neq X \subseteq M$ ; we must show that  $X \cap \alpha^{-1}(K) \neq 0$ . This is clear if  $\alpha(X) = 0$  since then  $X \subseteq \alpha^{-1}(K)$ . Otherwise,  $\alpha(X) \cap K \neq 0$  by hypothesis, say  $0 \neq \alpha(x) \in K$ ,  $x \in X$ . Then  $0 \neq x \in X \cap \alpha^{-1}(K)$ .

(4). Write  $K = \bigoplus_{i \in I} K_i$ , and assume that  $K_i \subseteq^{ess} M_i$  for each  $i$ . Then  $K \subseteq^{ess} M$  if and only if  $mR \cap K \neq 0$  for each  $0 \neq m \in M$ . Since  $m$  lies in a finite direct sum of the  $M_i$ , it suffices to prove (4) when  $I$  is finite, and hence (by induction) when  $|I| = 2$ . Let  $\pi_1 : M_1 \oplus M_2 \rightarrow M_1$  be the projection with  $\ker(\pi_1) = M_2$ . Then  $K_1 \oplus M_2 = \pi_1^{-1}(K_1) \subseteq^{ess} M_1 \oplus M_2$  by (3). Similarly,  $M_1 \oplus K_2 \subseteq^{ess} M_1 \oplus M_2$ , and (4) follows from (2) because  $K_1 \oplus K_2 = (K_1 \oplus M_2) \cap (M_1 \oplus K_2)$ . □

This book is concerned with injective modules and their generalizations, and the main properties of these modules are derived in this section. A module  $E_R$  is called *injective* if whenever  $0 \rightarrow N \xrightarrow{\alpha} M$  is  $R$ -monic, every  $R$ -linear map  $\beta : N \rightarrow E$  factors in the form  $\beta = \gamma \circ \alpha$  for some  $R$ -linear map

$$\begin{array}{ccc}
 0 \rightarrow N & \xrightarrow{\alpha} & M \\
 & \searrow \beta & \swarrow \gamma \\
 & & E
 \end{array}$$

$\gamma : M \rightarrow E$ . These modules admit a characterization that we will use repeatedly in the following.

**Lemma 1.2.** *A module  $E$  is injective if and only if, whenever  $K \subseteq M$ , every  $R$ -linear map  $\beta : K \rightarrow E$  extends to an  $R$ -linear map  $\gamma : M \rightarrow E$ .*

**Proof.** The condition clearly holds if  $E$  is injective. Conversely, if  $N \xrightarrow{\alpha} M$  is  $R$ -monic, the map  $\alpha' : \alpha(N) \rightarrow N$  is well defined by  $\alpha'(\alpha(n)) = n$  for  $n \in N$ . Then, given  $\beta : N \rightarrow E$ , the map  $\beta \circ \alpha' : \alpha(N) \rightarrow E$  extends to  $\gamma : M \rightarrow E$  by hypothesis, and one checks that  $\gamma \circ \alpha = \beta$ .  $\square$

**Corollary 1.3.** *If  $E = \Pi_i E_i$  is a direct product of modules, then  $E$  is injective if and only if each  $E_i$  is injective.*

**Proof.** Let  $E_i \xrightarrow{\sigma_i} E \xrightarrow{\pi_j} E_j$  be the canonical maps. If  $E$  is injective, and if  $K \subseteq M$  and  $\beta : K \rightarrow E_i$  are given, there exists  $\gamma : M \rightarrow E$  such that  $\gamma = \sigma_i \circ \beta$  on  $K$ . Then  $\pi_i \circ \gamma : M \rightarrow E_i$  extends  $\beta$ , proving that  $E_i$  is injective by Lemma 1.2. Conversely, if each  $E_i$  is injective, let  $\alpha : K \rightarrow E$ , where  $K \subseteq M$ . For each  $i$ , there exists  $\gamma_i : M \rightarrow E_i$  extending  $\pi_i \circ \alpha$ . If  $\gamma : M \rightarrow E$  is defined by  $\gamma(m) = \langle \gamma_i(m) \rangle$  for each  $m \in M$ , then  $\gamma$  extends  $\alpha$  because  $x = \langle \pi_i(x) \rangle$  for each  $x \in M$ . It follows that  $E$  is injective by Lemma 1.2.  $\square$

Surprisingly, to prove that a module  $E$  is injective, it is enough to verify the condition in Lemma 1.2 when  $M = R$ .

**Lemma 1.4 (Baer Criterion).** *A right  $R$ -module  $E$  is injective if and only if, whenever  $T \subseteq R$  is a right ideal, every map  $\gamma : T \rightarrow E$  extends to  $R \rightarrow E$ , that is,  $\gamma = c \cdot$  is multiplication by an element  $c \in E$ .*

**Proof.** The condition is clearly necessary. To prove sufficiency, let  $K \subseteq M$  be modules and let  $\beta : K \rightarrow E$ . In this case, let  $\mathcal{F}$  denote the set of pairs  $(K', \beta')$  such that  $K \subseteq K' \subseteq M$  and  $\beta' : K' \rightarrow E$  extends  $\beta$ . By Zorn's lemma, let  $(K'', \beta'')$  be a maximal member of  $\mathcal{F}$ . We must show that  $K'' = M$ . If not, let  $m \in M - K''$ , let  $T = \{r \in R \mid mr \in K''\}$  – a right ideal, and define  $\lambda : T \rightarrow E$  by  $\lambda(r) = \beta''(mr)$ . By hypothesis there exists  $\hat{\lambda} : R \rightarrow E$  extending  $\lambda$ , and we use it to define  $\hat{\beta} : K'' + mR \rightarrow E$  by  $\hat{\beta}(y + mr) = \beta''(y) + \hat{\lambda}(r)$ , where  $y \in K''$  and  $r \in R$ . This is well defined because  $y + mr = 0$  implies that  $mr \in K''$  and so  $\hat{\lambda}(r) = \lambda(r) = \beta''(mr) = \beta''(-y) = -\beta''(y)$ . Since  $\hat{\beta}$  is  $R$ -linear and extends  $\beta''$  this contradicts the maximality of  $(K'', \beta'')$  in  $\mathcal{F}$ .  $\square$

It is a routine matter to show that an (additive) abelian group  $X$  is injective as a  $\mathbb{Z}$ -module if and only if it is *divisible*, that is,  $nX = X$  for any  $0 \neq n \in \mathbb{Z}$ . Examples include  $\mathbb{Q}$  and the Prüfer group  $\mathbb{Z}_{p^\infty}$  for any prime  $p$ . Divisible groups

can be used to construct injective modules over any ring. The second part of the next lemma was discovered by Baer in 1940.

**Lemma 1.5.** *Let  $R$  be a ring. Then the following hold:*

- (1) *If  $Q$  is a divisible group then  $E_R = \text{hom}_{\mathbb{Z}}(R, Q)$  is an injective right  $R$ -module.*
- (2) **(Baer)** *Every module  $M_R$  embeds in an injective right module.*

**Proof.** (1). If  $\lambda \in E$  and  $a \in R$ ,  $E$  becomes a right  $R$ -module via  $(\lambda \cdot a)(r) = \lambda(ar)$  for all  $r \in R$ . Now let  $\gamma : T \rightarrow E_R$  be  $R$ -linear, where  $T$  is a right ideal of  $R$ . By Lemma 1.4 we must extend  $\gamma$  to  $R_R \rightarrow E_R$ . Define  $\theta : T \rightarrow Q$  by  $\theta(t) = [\gamma(t)](1)$ . Then  $\theta$  is a  $\mathbb{Z}$ -morphism; so, since  ${}_{\mathbb{Z}}Q$  is injective, let  $\hat{\theta} : R \rightarrow Q$  be a  $\mathbb{Z}$ -morphism extending  $\theta$ . Since  $\hat{\theta} \in E$ , define  $\hat{\gamma} : R \rightarrow E$  by  $\hat{\gamma}(a) = \hat{\theta} \cdot a$  for all  $a \in R$ . One verifies that  $\hat{\gamma}$  is  $R$ -linear, and we claim that it extends  $\gamma$ ; that is,  $\hat{\gamma}(t) = \gamma(t)$  for all  $t \in T$ . If  $r \in R$ , we have

$$[\hat{\gamma}(t)](r) = [\hat{\theta} \cdot t](r) = \hat{\theta}(tr) = \theta(tr) = [\gamma(tr)](1) = [\gamma(t) \cdot r](1) = [\gamma(t)](r)$$

because  $\gamma$  is  $R$ -linear and  $\gamma(t) \in E$ . Hence  $\hat{\gamma}(t) = \gamma(t)$ , as required.

(2). Given  $M_R$ , let  $\varphi : \mathbb{Z}^{(I)} \rightarrow M$  be  $\mathbb{Z}$ -epic for some set  $I$ , so that  ${}_{\mathbb{Z}}M \cong \mathbb{Z}^{(I)}/K \subseteq \mathbb{Q}^I/K$ , where  $K = \ker(\varphi)$ . Write  $Q = \mathbb{Q}^I/K$  and note that  $Q$  is divisible. Since  $M_R \cong \text{hom}(R_R, M_R)$  via  $m \mapsto m \cdot$ , we get

$$M_R \cong \text{hom}_R(R_R, M_R) \subseteq \text{hom}_{\mathbb{Z}}(R, M) \hookrightarrow \text{hom}_{\mathbb{Z}}(R, Q).$$

Since  $E_R = \text{hom}_{\mathbb{Z}}(R, Q)$  is injective by (1), this proves (2). □

**Corollary 1.6.** *A module  $E$  is injective if and only if every monomorphism  $\sigma : E \rightarrow M$  splits, that is,  $\sigma(E) \subseteq^{\oplus} M$ .*

**Proof.** If  $\sigma : E \rightarrow M$  is monic there exists  $\gamma : M \rightarrow E$  such that  $\gamma \circ \sigma = 1_E$ . Then  $M = \sigma(E) \oplus \ker(\gamma)$ . The converse is clear from Lemma 1.5 because direct summands of injective modules are injective. □

Before proceeding, we need another basic property of essential extensions. If  $K$  is a submodule of a module  $M$ , it is a routine application of Zorn's lemma to see that there exist submodules  $C$  of  $M$  maximal with respect to  $K \cap C = 0$ . Such a submodule  $C$  is called a *complement*<sup>1</sup> of  $K$  in  $M$ . Thus  $K \subseteq^{ess} M$  if and only if  $0$  is a complement of  $K$ .

<sup>1</sup> It is sometimes called an *intersection complement*, or *relative complement*.

**Lemma 1.7 (Essential Lemma).** *Let  $K \subseteq M$  be modules. If  $C$  is any complement of  $K$  in  $M$  then the following hold:*

- (1)  $K \oplus C \subseteq^{ess} M$ .
- (2)  $(K \oplus C)/C \subseteq^{ess} M/C$ .

**Proof.** (1). Let  $X$  be a nonzero submodule of  $M$ ; we must show that  $X \cap (K \oplus C) \neq 0$ . This is clear if  $X \subseteq C$ . Otherwise the maximality of  $C$  shows that  $K \cap (X + C) \neq 0$ , say  $0 \neq k = x + c$  with the obvious notation. Hence  $x \in X \cap (K \oplus C)$ , and  $x \neq 0$  because  $K \cap C = 0$ .

(2). Let  $Y/C \cap (K \oplus C)/C = 0$ . If  $Y \neq C$  then  $Y \cap K \neq 0$  by the choice of  $C$ , say  $0 \neq a \in Y \cap K$ . Then  $a + C \in Y/C \cap (K \oplus C)/C = 0$  so  $a \in C$ . But then  $0 \neq a \in C \cap K = 0$ , which is a contradiction.  $\square$

Given any module  $M$ , an  $R$ -monomorphism  $M \xrightarrow{\sigma} E$  is called an *injective hull* (*injective envelope*) of  $M$  if  $E$  is injective and  $\sigma(M) \subseteq^{ess} E$ . The following result is a famous theorem that traces back to Baer, to Eckmann and Schopf, and to Shoda.

**Theorem 1.8 (Baer/Eckmann–Schopf/Shoda).** *Let  $M_R$  be a module.*

- (1)  $M$  has an injective hull.
- (2) If  $M \xrightarrow{\sigma_1} E_1$  and  $M \xrightarrow{\sigma_2} E_2$  are two injective hulls there exists an isomorphism  $\tau : E_1 \rightarrow E_2$  such that  $\sigma_2 = \tau \circ \sigma_1$ .

**Proof.** (1). By Lemma 1.5 let  $M \subseteq Q_R$  where  $Q_R$  is injective, and, by Zorn’s lemma, let  $E$  be maximal such that  $M \subseteq^{ess} E \subseteq Q$ . Then let  $C \subseteq Q$  be maximal such that  $E \cap C = 0$ ; it suffices to show that  $E \oplus C = Q$  (so  $E$  is injective). By Lemma 1.7 we have  $E \cong (E \oplus C)/C \subseteq^{ess} Q/C$ . Define  $\sigma : (E \oplus C)/C \rightarrow Q$  by  $\sigma(x + C) = x$  if  $x \in E$ . Since  $Q$  is injective,  $\sigma$  extends to  $\hat{\sigma} : Q/C \rightarrow Q$ . Then  $\hat{\sigma}$  is monic because  $\ker(\hat{\sigma}) \cap (E \oplus C)/C = 0$ , and so  $im(\sigma) = \hat{\sigma}((E \oplus C)/C) \subseteq^{ess} \hat{\sigma}(Q/C)$ . Since  $M \subseteq^{ess} E = im(\sigma)$  it follows that  $E \subseteq^{ess} \hat{\sigma}(Q/C)$ , and so  $E = \hat{\sigma}(Q/C)$  by the maximality of  $E$ . But then  $\hat{\sigma}(Q/C) = E = \hat{\sigma}((E \oplus C)/C)$  and we conclude that  $Q = E \oplus C$  because  $\hat{\sigma}$  is monic. This is what we wanted.

(2). The given map  $\tau$  exists because  $E_2$  injective. Moreover,  $\tau$  is monic because  $\ker(\tau) \cap \sigma_1(M) = 0$  (since  $\sigma_2$  is monic) and  $\sigma_1(M) \subseteq^{ess} E_1$ . Hence  $\tau(E_1) \subseteq^{\oplus} E_2$  by Corollary 1.6. But  $\tau(E_1) \subseteq^{ess} E_2$  because  $\sigma_2(M) = \tau\sigma_1(M) \subseteq \tau(E_1)$  and  $\sigma_2(M) \subseteq^{ess} E_2$  by hypothesis. It follows that  $\tau$  is onto and so is an isomorphism.  $\square$

Hence we are entitled to speak of *the* injective hull of a module  $M$  and to denote it by  $E(M)$ . We will usually assume that  $M \subseteq E(M)$ ; so, for example, we have  $E(\mathbb{Z}) = \mathbb{Q}$  and  $E(\mathbb{Z}_{p^n}) = \mathbb{Z}_{p^\infty}$  for any prime  $p$  and  $n \geq 2$ . The assumption that  $M \subseteq E(M)$  is justified by the following result.

**Lemma 1.9.** *Let  $\sigma : M \rightarrow E(M)$  be an injective hull of the module  $M$ . If  $M \subseteq G$ , where  $G$  is any injective module, there exists a copy  $E \cong E(M)$  inside  $G$  such that  $M \subseteq^{ess} E \subseteq^\oplus G$ .*

**Proof.** As  $G$  is injective, there exists  $\tau : E(M) \rightarrow G$  such that  $m = \tau\sigma(m)$  for every  $m \in M$ . Since  $\ker(\tau) \cap \sigma(M) = 0$  it follows that  $\tau$  is monic, and we are done by Corollary 1.6 with  $E = \tau[E(M)]$ .  $\square$

Lemma 1.9 will be used frequently in the following, usually without comment. In particular, let  $M = \bigoplus_{i=1}^n M_i$  be a direct sum of modules, and let  $M \subseteq E(M)$ . By Lemma 1.9 we can choose a copy of  $E(M_i)$  such that  $M_i \subseteq^{ess} E(M_i) \subseteq E(M)$  for each  $i$ . One verifies that  $E(M_1) \cap E(M_2) = 0$ , so (by Lemma 1.1)  $M_1 \oplus M_2 \subseteq^{ess} E(M_1) \oplus E(M_2)$ . Continuing inductively, we conclude that  $\sum_{i=1}^n E(M_i)$  is direct and that  $M = \bigoplus_{i=1}^n M_i \subseteq^{ess} \bigoplus_{i=1}^n E(M_i)$ . Since  $\bigoplus_{i=1}^n E(M_i)$  is injective (Corollary 1.3) we have proved the following:

**Proposition 1.10.** *If  $M = \bigoplus_{i=1}^n M_i$  is a finite direct sum of modules then  $E(\bigoplus_{i=1}^n M_i) = \bigoplus_{i=1}^n E(M_i)$ .*

### 1.2. Relative Injectivity

Let  $M$  and  $G$  denote right  $R$ -modules. We say that  $G$  is  $M$ -injective if, for any submodule  $X \subseteq M$ , every  $R$ -linear map  $\beta : X \rightarrow G$  can be extended to an  $R$ -linear map  $\hat{\beta} : M \rightarrow G$ , equivalently (see the proof of Lemma 1.2) if, for every

$$\begin{array}{ccc} X & \hookrightarrow & M \\ & \hat{\beta} & \\ \beta \downarrow & \swarrow & \\ & & G \end{array}$$

monomorphism  $\sigma : X \rightarrow M$  there exists  $\lambda : M \rightarrow G$  such that  $\beta = \lambda \circ \sigma$ . Thus  $G$  is injective if and only if it is  $M$ -injective for every module  $M$ , equivalently (by the Baer criterion) if  $G$  is  $R$ -injective. The proof of Corollary 1.3 gives

**Lemma 1.11.** *Let  $G = \prod_{i \in I} G_i$  and  $M$  be modules. Then  $G$  is  $M$ -injective if and only if  $G_i$  is  $M$ -injective for each  $i \in I$ .*

**Lemma 1.12.** *If  $G$  is  $M$ -injective and  $N \subseteq M$  then  $G$  is both  $N$ -injective and  $(M/N)$ -injective.*

**Proof.** Given  $X \xrightarrow{\beta} G$ , where  $X \subseteq N$ , extend  $\beta$  to  $\hat{\beta} : M \rightarrow G$  by hypothesis. Then the restriction  $\hat{\beta}|_N : N \rightarrow G$  extends  $\beta$ , so  $G$  is  $N$ -injective. Now let  $\alpha : X/N \rightarrow G$ ,  $N \subseteq X \subseteq M$ , and let  $\pi : X \rightarrow X/N$  be the coset map. Then  $\alpha \circ \pi : X \rightarrow G$  extends to  $\lambda : M \rightarrow G$  by hypothesis. Hence  $\hat{\alpha} : M/N \rightarrow G$  is well defined by  $\hat{\alpha}(m + N) = \lambda(m)$ , and  $\hat{\alpha}$  extends  $\alpha$ . This shows that  $G$  is  $(M/N)$ -injective.  $\square$

Note that if  $G$  is both  $N$ - and  $(M/N)$ -injective it does not follow that  $G$  is  $M$ -injective. Indeed, there is a monomorphism  $\mathbb{Z}_p \xrightarrow{\sigma} \mathbb{Z}_{p^2}$  of abelian groups, given by  $\sigma(n + p\mathbb{Z}) = pn + p^2\mathbb{Z}$  for all  $n \in \mathbb{Z}$ . Let  $G = \mathbb{Z}_p$  and  $N = im(\sigma)$ . Then  $G$  is both  $N$ - and  $(\mathbb{Z}_{p^2}/N)$ -injective (because  $N$  and  $\mathbb{Z}_{p^2}/N$  are simple), but  $G$  is not  $\mathbb{Z}_{p^2}$ -injective because any map  $\lambda : \mathbb{Z}_{p^2} \rightarrow \mathbb{Z}_p$  satisfies  $\lambda(N) = 0$ . However, we do have

**Lemma 1.13 (Azumaya’s Lemma).** *If  $G$  and  $M = M_1 \oplus \dots \oplus M_n$  are modules, then  $G$  is  $M$ -injective if and only if  $G$  is  $M_i$ -injective for each  $i = 1, 2, \dots, n$ .*

**Proof.** If  $G$  is  $M$ -injective, then  $G$  is  $M_i$ -injective for each  $i$  by Lemma 1.12. Conversely, if  $G$  is  $M_i$ -injective for each  $i$ , let  $\beta : X \rightarrow G$  be  $R$ -linear, where  $X \subseteq M$ . As in the proof of Lemma 1.4, let  $(C, \beta^*)$  be maximal such that  $X \subseteq C \subseteq M$  and  $\beta^* : C \rightarrow G$  extends  $\beta$ . We show  $C = M$  by proving that  $M_i \subseteq C$  for each  $i$ . By hypothesis there exists  $\alpha_i : M_i \rightarrow G$  such that  $\alpha_i = \beta^*$  on  $M_i \cap C$ . Construct  $\beta_i : M_i + C \rightarrow G$  by  $\beta_i(m_i + c) = \alpha_i(m_i) + \beta^*(c)$  for all  $m_i \in M_i$  and  $c \in C$ . Then  $\beta_i$  is well defined because  $\alpha_i = \beta^*$  on  $M_i \cap C$ , and  $\beta_i$  extends  $\beta$  because  $X \subseteq C$  and  $\beta^*$  extends  $\beta$ . Hence  $M_i + C = C$  by the maximality of  $(C, \beta^*)$ , so  $M_i \subseteq C$ , as required.  $\square$

It is not surprising that there is a characterization of when  $G$  is  $M$ -injective in terms of the injective hulls  $E(G)$  and  $E(M)$ .

**Lemma 1.14.** *A module  $G$  is  $M$ -injective if and only if  $\lambda(M) \subseteq G$  for all  $R$ -linear maps  $\lambda : E(M) \rightarrow E(G)$ .*

**Proof.** If the condition holds, let  $\beta : X \rightarrow G$  be  $R$ -linear, where  $X \subseteq M$ . Since  $E(G)$  is injective there exists  $\hat{\beta} : E(M) \rightarrow E(G)$  extending  $\beta$ . But  $\hat{\beta}(M) \subseteq G$  by hypothesis, so the restriction  $\hat{\beta}|_M : M \rightarrow G$  extends  $\beta$ .

Conversely, assume that  $G$  is  $M$ -injective, and let  $\lambda : E(M) \rightarrow E(G)$  be  $R$ -linear. We must show that  $\lambda(M) \subseteq G$ . If  $X = \{x \in M \mid \lambda(x) \in G\}$  then the restriction  $\lambda|_X : X \rightarrow G$  extends to  $\mu : M \rightarrow G$ . Hence it suffices to show that  $(\lambda - \mu)(M) = 0$ . Since  $G \subseteq^{ess} E(G)$ , it is enough to show that  $G \cap (\lambda - \mu)(M) = 0$ . But if  $g = (\lambda - \mu)(m)$ , where  $g \in G$  and  $m \in M$ , then  $\lambda(m) = \mu(m) + g \in G$ , so  $m \in X$ . This means that  $\lambda(m) = \mu(m)$  by the definition of  $\mu$ . Hence  $g = \lambda(m) - \mu(m) = 0$ , as required.  $\square$

A module  $M$  is called *quasi-injective* if it is  $M$ -injective, that is, if every map  $\beta : X \rightarrow M$ , where  $X$  is a submodule of  $M$ , extends to an endomorphism of  $M$ . Clearly every injective or semisimple module is quasi-injective, but the converse is false (for example,  $\mathbb{Z}_4$  is quasi-injective as a  $\mathbb{Z}$ -module, as we shall see).

Lemma 1.14 leads to an important characterization of quasi-injective modules. We say that a submodule  $K \subseteq M$  is *fully invariant* in  $M$  if  $\lambda(K) \subseteq K$  for every  $\lambda \in \text{end}(M)$ . Then taking  $G = M$  in Lemma 1.14 gives immediately

**Lemma 1.15 (Johnson–Wong Lemma).** *A module is quasi-injective if and only if  $M$  is fully invariant in its injective hull  $E(M)$ .*

Thus, for example,  $\mathbb{Z}_{p^n}$  is quasi-injective as a  $\mathbb{Z}$ -module for any prime  $p$  because it is fully invariant in its injective hull  $\mathbb{Z}_{p^\infty}$ .

**Corollary 1.16.** *Let  $M$  be a quasi-injective module. If  $E(M) = \bigoplus_{i \in I} K_i$ , then  $M = \bigoplus_{i \in I} (M \cap K_i)$ .*

**Proof.** Let  $m = \sum_{i=1}^n k_i \in M$ , where each  $k_i \in K_i$ . If  $\pi_i : E(M) \rightarrow E(M)$  is the projection onto  $K_i$ , then  $k_i = \pi_i(m) \in \pi_i(M) \subseteq M$  by Lemma 1.15, so  $k_i \in M \cap K_i$ . Hence  $M \subseteq \bigoplus_{i \in I} (M \cap K_i)$ ; the other inclusion is clear.  $\square$

If  $p$  is a prime, the  $\mathbb{Z}$ -module  $\mathbb{Q} \oplus \mathbb{Z}_p$  is not quasi-injective even though  $\mathbb{Q}$  is injective and  $\mathbb{Z}_p$  is simple. (The coset map  $\mathbb{Z} \rightarrow \mathbb{Z}_p$  does not extend to  $\mathbb{Q} \oplus \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  because there is no nonzero map  $\mathbb{Q} \rightarrow \mathbb{Z}_p$ .) Hence the direct sum of two quasi-injective modules need not be quasi-injective. However, we do have the following lemma:

**Lemma 1.17.** *If  $M$  is quasi-injective so also is every direct summand  $N$ .*

**Proof.** If  $M = N \oplus N'$  and  $\beta : X \rightarrow N$  is  $R$ -linear, where  $X \subseteq N$ , then  $\beta$  extends to  $\hat{\beta} : M \rightarrow M$  by hypothesis. If  $\pi : M \rightarrow N$  is the projection with kernel  $N'$ , then  $\lambda = (\pi \circ \hat{\beta})|_N$  is in  $\text{end}(N)$  and extends  $\beta$ .  $\square$



The next result uses Lemma 1.15 to identify when a finite direct sum of quasi-injective modules is again of the same type.

**Proposition 1.18.** *Let  $M_1, \dots, M_n$  be modules and write  $E_i = E(M_i) \supseteq M_i$  for each  $i$ . The following are equivalent:*

- (1)  $M_1 \oplus \dots \oplus M_n$  is quasi-injective.
- (2)  $\lambda(M_i) \subseteq M_j$  for all  $R$ -linear maps  $\lambda : E_i \rightarrow E_j$ .

**Proof.** Let  $M_j \xrightarrow{\sigma_j} \oplus_k M_k \xrightarrow{\pi_j} M_j$  denote the canonical maps, and write  $E = E(\oplus_k M_k) = \oplus_k E_k$ .

(1) $\Rightarrow$ (2). Given (1) and  $\lambda : E_i \rightarrow E_j$ , let  $m_i \in M_i$ . We have  $\pi_j \circ \sigma_j = 1_{E_j}$  for each  $j$ , so  $\lambda(m_i) = (\pi_j \sigma_j \lambda \pi_i \sigma_i)(m_i) = \pi_j(\sigma_j \lambda \pi_i)(\sigma_i m_i) \in M_j$  because  $(\sigma_j \lambda \pi_i)(\oplus_k M_k) \subseteq \oplus_k M_k$  by (1) and Lemma 1.15.

(2) $\Rightarrow$ (1). Given  $\lambda : \oplus_k E_k \rightarrow \oplus_k E_k$ , we must show (by Lemma 1.15) that  $\lambda(\oplus_k M_k) \subseteq \oplus_k M_k$ . Let  $\bar{m} = m_1 + \dots + m_n \in \oplus_k M_k$ . Since  $\sum_k \sigma_k \pi_k = 1_E$ , we compute

$$\pi_j \lambda(\bar{m}) = \pi_j \lambda(\sum_k \sigma_k \pi_k \bar{m}) = \sum_k (\pi_j \lambda \sigma_k)(\pi_k \bar{m}) = \sum_k (\pi_j \lambda \sigma_k)(m_k) \in \oplus_k M_k$$

because  $(\pi_j \lambda \sigma_k)(M_k) \subseteq M_j$  for all  $j$  and  $k$  by (2). □

Thus, for example,  $\mathbb{Z}_n$  is quasi-injective as a  $\mathbb{Z}$ -module for each  $n \in \mathbb{Z}$ . In fact,  $\mathbb{Z}_n = \mathbb{Z}_{p_1^{n_1}} \oplus \dots \oplus \mathbb{Z}_{p_k^{n_k}}$  for distinct primes  $p_i$ , each  $\mathbb{Z}_{p_i^{n_i}}$  is quasi-injective, and  $\text{hom}_{\mathbb{Z}}(\mathbb{Z}_{p^\infty}, \mathbb{Z}_{q^\infty}) = 0$  if  $p$  and  $q$  are distinct primes.

**Corollary 1.19.** *A module  $M$  is quasi-injective if and only if  $M^n$  is quasi-injective.*

### 1.3. Continuous Modules

In his work on continuous rings, Utumi identified three conditions on a ring that are satisfied if the ring is self-injective. The analogs of these conditions for a module  $M$  are as follows:

- (1)  $M$  satisfies the *C1-condition* if every submodule of  $M$  is essential in a direct summand of  $M$ .<sup>2</sup> (Note that we regard the zero submodule as essential in itself.)
- (2)  $M$  satisfies the *C2-condition* if every submodule that is isomorphic to a direct summand of  $M$  is itself a direct summand.

<sup>2</sup> This condition is also referred to as the *CS-condition* because it is equivalent to the requirement that every complement submodule is a direct summand (complement submodules are also called *closed* submodules). We return to this topic in the following section.

(3)  $M$  satisfies the C3-condition if, whenever  $N$  and  $K$  are submodules of  $M$  with  $N \subseteq^\oplus M$ ,  $K \subseteq^\oplus M$ , and  $N \cap K = 0$ , then  $N \oplus K \subseteq^\oplus M$ .

A ring  $R$  is called a right C1 ring (respectively C2 ring, C3 ring) if the module  $R_R$  has the corresponding property.

If  $M$  is an indecomposable module then  $M$  is a C3 module;  $M$  is a C1 module if and only if it is uniform (that is  $X \cap Y \neq 0$  for all submodules  $X \neq 0$  and  $Y \neq 0$ ) and  $M$  is a C2 module if and only if monomorphisms in  $\text{end}(M)$  are isomorphisms. The  $\mathbb{Z}$ -modules  $\mathbb{Z}_2$  and  $\mathbb{Z}_8$  each satisfy the C1-, C2- and C3-conditions, but their direct sum  $N = \mathbb{Z}_2 \oplus \mathbb{Z}_8$  is not a C1 module because, writing  $S = \mathbb{Z}_2 \oplus 0$  and  $K = \mathbb{Z}(1 + 2\mathbb{Z}, 2 + 8\mathbb{Z})$ , we see that  $K$  is contained in only two direct summands  $N$  and  $S \oplus K$  and is essential in neither. Moreover,  $N$  is not a C2 module because the non-summand  $0 \oplus \mathbb{Z}(4 + 8\mathbb{Z})$  is isomorphic to the summand  $\mathbb{Z}_2 \oplus 0$ . Hence a direct sum of C1 modules, or C2 modules, may not inherit the same property.

As an abelian group,  $\mathbb{Z}$  satisfies both the C1- and C3-conditions, but it is not a C2 module. However, if  $F$  is a field let  $R = \begin{bmatrix} F & V \\ 0 & F \end{bmatrix}$ , where  $V = F \oplus F$ . If  $e = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  then  $eR = \begin{bmatrix} F & V \\ 0 & 0 \end{bmatrix}$  is indecomposable (in fact  $eRe \cong F$ ) and is a C2 module because monomorphisms are epic, but it is not a C1 module because it is not uniform.

**Example 1.20.** Let  $R = \begin{bmatrix} F & F \\ 0 & F \end{bmatrix}$ , where  $F$  is a field. Then  $R$  is a right and left C1 ring, but neither a left nor right C2 ring.

**Proof.** We have  $J = \begin{bmatrix} 0 & F \\ 0 & 0 \end{bmatrix} \cong e_{12}R$  (where  $e_{ij}$  is the matrix unit), so  $R$  is not right C2 because  $J_R$  is not a direct summand of  $R_R$ . Similarly,  $R$  is not left C2. To see that  $R$  is right C1, let  $T \neq 0$  be a right ideal. If  $T \not\subseteq S_r = \begin{bmatrix} 0 & F \\ 0 & F \end{bmatrix}$  then  $T = e_{11}R$  or  $T = R$ , so  $T$  is a summand. If  $T = S_r$  then  $T \subseteq^{ess} R_R$  because  $R$  is right artinian. So we may assume that  $\dim_F(T) = 1$ , say  $T = xR$ ,  $x \in S_r$ . If  $x^2 = x \neq 0$  we are done. Otherwise  $x \in J$ , so  $T = J$  and one verifies that  $T \subseteq^{ess} e_{11}R = \begin{bmatrix} F & F \\ 0 & 0 \end{bmatrix}$ . Hence  $R$  is right C1; similarly  $R$  is right C2.  $\square$

**Lemma 1.21.** *The C2-condition implies the C3-condition.*

**Proof.** Let  $N \subseteq^\oplus M$  and  $K \subseteq^\oplus M$  satisfy  $N \cap K = 0$ ; we must show that  $N \oplus K \subseteq^\oplus M$ . Write  $M = N \oplus N'$ , and let  $\pi : M \rightarrow N'$  be the projection with  $\ker(\pi) = N$ . If  $k \in K$  and  $k = n + n'$ ,  $n \in N$ ,  $n' \in N'$ , then  $\pi(k) = n'$  and it follows that  $N \oplus K = N \oplus \pi(K)$ . Hence we show that  $N \oplus \pi(K) \subseteq^\oplus M$ . Since  $\pi|_K : K \rightarrow M$  is monic we have  $\pi(K) \subseteq^\oplus M$  by the C2-condition. Since  $\pi(K) \subseteq N'$ , it follows that  $N' = \pi(K) \oplus W$  for some submodule  $W$  and hence that  $M = N \oplus \pi(K) \oplus W$ . Thus  $M$  satisfies the C3-condition.  $\square$