

Cancer vaccines and **immunotherapy**

Edited by

Peter L. Stern

Head of the Cancer Research Campaign Immunology Group, Paterson Institute for Cancer Research, Manchester

Peter C. L. Beverley

Professor of Tumour Immunology, University College, London

Miles W. Carroll

Programme Leader, Tumour Immunotherapy, Oxford BioMedica (UK) Ltd



CAMBRIDGE
UNIVERSITY PRESS

PUBLISHED BY THE PRESS SYNDICATE OF THE UNIVERSITY OF CAMBRIDGE
The Pitt Building, Trumpington Street, Cambridge, United Kingdom

CAMBRIDGE UNIVERSITY PRESS

The Edinburgh Building, Cambridge CB2 2RU, UK <http://www.cup.cam.ac.uk>
40 West 20th Street, New York, NY 10011-4211, USA <http://www.cup.org>
10 Stamford Road, Oakleigh, Melbourne 3166, Australia
Ruiz de Alarcón 13, 28014 Madrid, Spain

© Cambridge University Press 2000

This book is in copyright. Subject to statutory exception
and to the provisions of relevant collective licensing agreements,
no reproduction of any part may take place without
the written permission of Cambridge University Press.

First published 2000

Printed in the United Kingdom at the University Press, Cambridge

Typeset 10.5/14pt Adobe Minion in QuarkXPress™ [sE]

A catalogue record for this book is available from the British Library

Library of Congress Cataloguing in Publication data

Cancer vaccines and immunotherapy / edited by Peter L. Stern, Peter C.L. Beverley, and
Miles W. Carroll.

p. cm.

Includes index.

ISBN 0 521 62263 8 (hardback)

1. Cancer – Immunotherapy. I. Stern, Peter L. II. Beverley, Peter C.L. III. Carroll,
Miles W. (Miles William), 1965–

DNLM: 1. Neoplasms – therapy. 2. Immunotherapy. 3. Vaccines. QZ 266 C2199 2000
RC271.I45 C365 2000

616.99'406–dc21 99-048963

ISBN 0 521 62263 8 hardback

Every effort has been made in preparing this book to provide accurate and up-to-date information which is in accord with accepted standards and practice at the time of publication. Nevertheless, the authors, editors and publisher can make no warranties that the information contained herein is totally free from error, not least because clinical standards are constantly changing through research and regulation. The authors, editors and publisher therefore disclaim all liability for direct or consequential damages resulting from the use of material contained in this book. Readers are strongly advised to pay careful attention to information provided by the manufacturer of any drugs or equipment that they plan to use.

Contents

	<i>List of contributors</i>	vii
1	Immunity and cancer Peter C.L. Beverley, Miles W. Carroll and Peter L. Stern	1
2	Immunotherapy of bladder cancer Anton B. Alexandroff and Keith James	19
3	Poxviruses as vectors for cancer immunotherapy Miles W. Carroll and Nicholas P. Restifo	47
4	Vaccinia-based human papillomavirus vaccines in cervical cancer Leszek K. Borysiewicz and Stephen Man	62
5	Vaccine delivery and immunosuppression in cervical cancer Michael P. Rudolf, Laurie A. Small, Markwin P. Velders, Diane M. DaSilva, Sanne Weijzen and W. Martin Kast	82
6	Vaccines for colon cancer Howard L. Kaufman and Jeffrey Schlom	107
7	MUC1 vaccines and breast cancer Joyce Taylor-Papadimitriou, Joy Burchell and David W. Miles	135
8	Anti-idiotypic vaccination Lindy G. Durrant, Ian Spendlove and R. Adrian Robins	162
9	Immunotherapy and vaccination against Epstein–Barr virus-associated cancer John R. Arrand	174
10	Serologically identified tumour antigens as cancer vaccines Ugur Sahin, Özlem Türeci and Michael Pfreundschuh	195

vi	Contents	
11	CTL-defined cancer vaccines in melanoma and other epithelial cancers Elke Jäger, Dirk Jäger and Alexander Knuth	207
12	DNA vaccines against B-cell tumours Freda K. Stevenson, Delin Zhu, Myfanwy Spellerberg, Catherine A. King, Surinder S. Sahota, Jason Rice, Andrew R. Thompsett and Terry J. Hamblin	218
13	Dendritic cell approaches to immunotherapy Malcolm Adams, Bharat Jasani, Camilo A.L.S. Colaco and Malcolm D. Mason	237
14	Overview Peter L. Stern, Peter C.L. Beverley and Miles W. Carroll	256
15	Recent developments Peter L. Stern	268
	<i>Index</i>	279

Immunity and cancer

Peter C.L. Beverley, Miles W. Carroll and Peter L. Stern

Introduction

As early as the turn of the century, Paul Ehrlich suggested that ‘aberrant germs’ (tumours) occurred at a high frequency in all humans but were kept in check by the immune system. Developments in understanding of the protective roles of antibodies and phagocytes in infectious disease in the early years of the century led to attempts to stimulate the immune system to reject tumours. The New York surgeon, Coley, used bacterial vaccines to cause a ‘commotion in the blood’ and occasional regressions following treatment or occurring spontaneously were taken as evidence of an effective immune response.

Early experimental work demonstrated that transplanted (allogeneic) tumours usually regressed. However, it was soon realized that this was a consequence of the genetic disparity of host and tumour and was revealing immune responses to foreign tissue transplants, not tumour antigens. However, what these early studies did show was that a strong immune response could prevent the growth of a tumour and cure the animal.

Immune surveillance

In the 1950s, Burnett¹ and Thomas² restated Ehrlich’s idea as the theory of ‘immune surveillance’. It was proposed that the immune system was able to recognize abnormal cells, which were destroyed before they could develop into a tumour. Since tumours do develop in many individuals it was also suggested that the immune system played a role in delaying growth or causing regression of established tumours.

The strongest evidence for an effect of the immune system on tumours derives from the association between immunosuppression and increased tumour incidence. In kidney transplant recipients, many of whom have been followed for over 20 years, there is quite clearly a greatly increased frequency of tumours. On closer examination this data is not quite so straightforward as it at first appears. On the

Hepatitis B	Carcinoma of the liver
Human papillomaviruses (HPV) 16, 18 and other oncogenic types	Carcinoma of the cervix
Papillomaviruses	Carcinoma of the skin
Epstein–Barr virus (EBV)	Burkitt’s lymphoma, nasopharyngeal carcinoma. Possibly Hodgkin’s disease
Human herpes virus 8	Kaposi’s sarcoma
Human T cell leukaemia virus-1 (HTLV-1)	Adult T cell leukaemia

Box 1.1. Viruses and human tumours

one hand, there is a large increase in the frequency of several tumours in which viruses are known to be involved (see Box 1.1); on the other, there is also a slight but definite increased risk for many other cancers in which viruses are not known to play a role³.

These data strongly suggest that the immune response may be most effective in preventing the spread of potentially oncogenic viruses. Recent evidence that the incidence of hepatic carcinoma decreases following the institution of mass hepatitis B vaccination campaigns strongly supports the view that the immune system can be highly effective in preventing cancer, in this case by preventing infection with oncogene hepatitis B virus⁴.

Experiments in immunosuppressed animals support the view that immune surveillance is largely directed towards viruses rather than tumours⁵. Many experiments have subsequently shown that cellular immune responses, mediated by thymus-derived (T) lymphocytes, are the key protective responses against viruses. These experimental data do not imply that there is *no* immune response to the majority of tumours but suggest that, for the majority of tumours, the immune response may be *relatively* ineffective (Figure 1.1).

The immune system and cancer

Although the evidence discussed above implies that the immune response against most nonviral tumours is ineffective, underlying the work discussed in the following chapters of this book is the assumption that antigen-specific immune responses against tumours *are* relevant. This assumption rests, first, on the idea that tumours are sufficiently distinct from other host cells that the immune response can distinguish between them; and, second, that an appropriate tumour-specific response

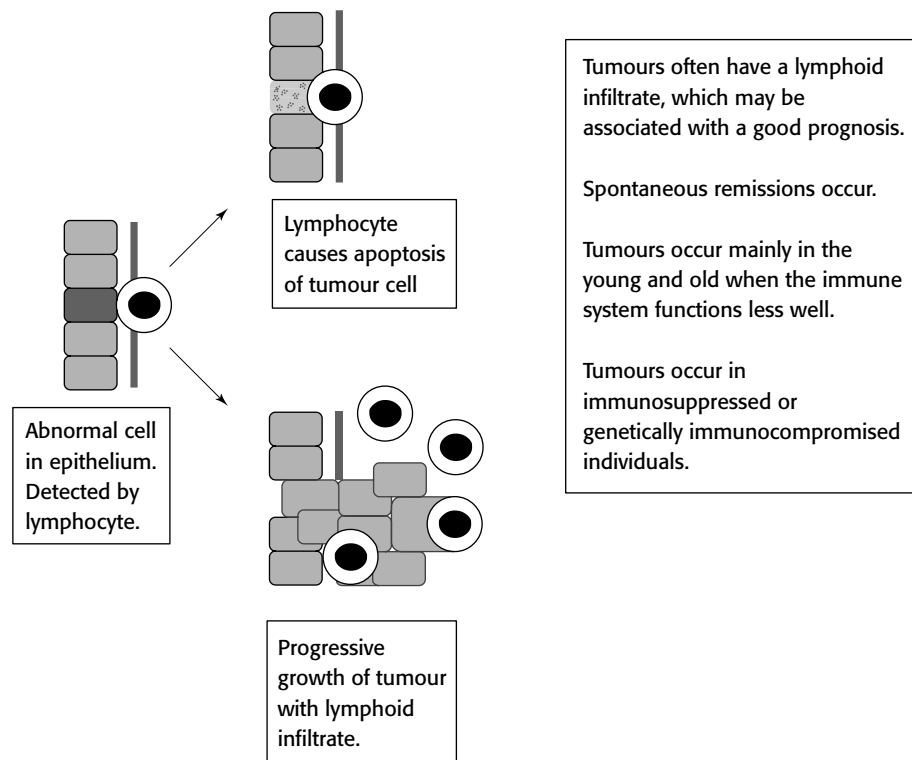


Figure 1.1 Immune surveillance and failure of surveillance

can cause tumour regression or elimination. A list of tumour antigen types and their potential immune recognition is given in Box 1.2.

Many tumour cells are distinguishable from corresponding normal cells using antibodies. First polyclonal antibodies then murine mAbs were used to identify tumour-associated antigens⁶. Not all aberrantly expressed molecules provoke an immune response by the host but passive immunotherapy may be directed at antigens which are well expressed on tumours, so long as side effects due to targeting of any normal cells expressing the antigen are acceptable. This principle underlies the use of most antibodies in immunotherapy and many trials have been carried out with mAbs which are known to target some normal cells as well as tumours⁷.

There is also abundant evidence that nonviral tumours express antigens to which the host immune system can respond. Recently, host antibodies have been used to clone a number of antigens⁸ (see Chapter 8) and pioneering work by Boon and his colleagues has firmly established that melanomas and other tumours express antigens recognized by T lymphocytes. They carried out in vitro mixed lymphocyte–tumour cultures to restimulate cytotoxic T lymphocyte precursors (CTLp).

Mechanism	Detection	Example
Point mutations, deletions and translocations generate new amino acid sequences	Host T cells	ras, p53, bcr-abl, etc.
Increased expression of highly tissue specific gene products	Host T cells	Mage-1, tyrosinase, prostate-specific antigen (PSA)
Expression of oncofetal antigens	Antibody	Carcinoembryonic antigen (CEA), α -fetoprotein
Aberrant glycosylation	Antibody Possibly host T cells	MUC-1, T and Tn antigens
Expression of normally inaccessible antigens	Antibody	CEA, α -fetoprotein
Viral antigens	Host T cells	HPV-16, EBV, HTLV-1
	Antibody	HHV-8, HepB
Expression of single cell specific antigens	Antibody	Idiotypes of B- and T-cell
	Host T cells	tumours

Box 1.2. Origin and detection of tumour antigens

The resulting CTLs were used to define and clone many antigens of melanoma cells recognized by host T cells⁹ (see Chapter 11).

That immune mechanisms can contain or eliminate tumours is also no longer in doubt. The data from animal experiments with allogeneic tumours showed that a tumour *could* be eliminated if completely foreign to the host. Later experiments showed that a small number of antigen-specific CTLs can cause complete regression of a tumour¹⁰. Similarly, in human posttransplant EBV lymphoma patients, infusion of immune T cells can cause complete tumour regression¹¹ and antibody-mediated therapy of a lymphoma caused regression of large tumour masses and a very long remission in the first patient treated¹². The undoubted effects of IL-2 in some melanoma and renal cell carcinoma patients¹³, and of BCG in bladder cancer (see Chapter 2), is also strongly suggestive of an effective cellular immune response even when induced nonspecifically.

Nevertheless, despite the undoubted existence of tumour-associated or tumour-specific antigens and the encouraging precedents for therapeutic effects described above, tumours do arise, grow and frequently kill patients. The remainder of this chapter attempts to illuminate this paradox by discussing the mechanisms of immune responses and how these might influence immunotherapeutic strategies.

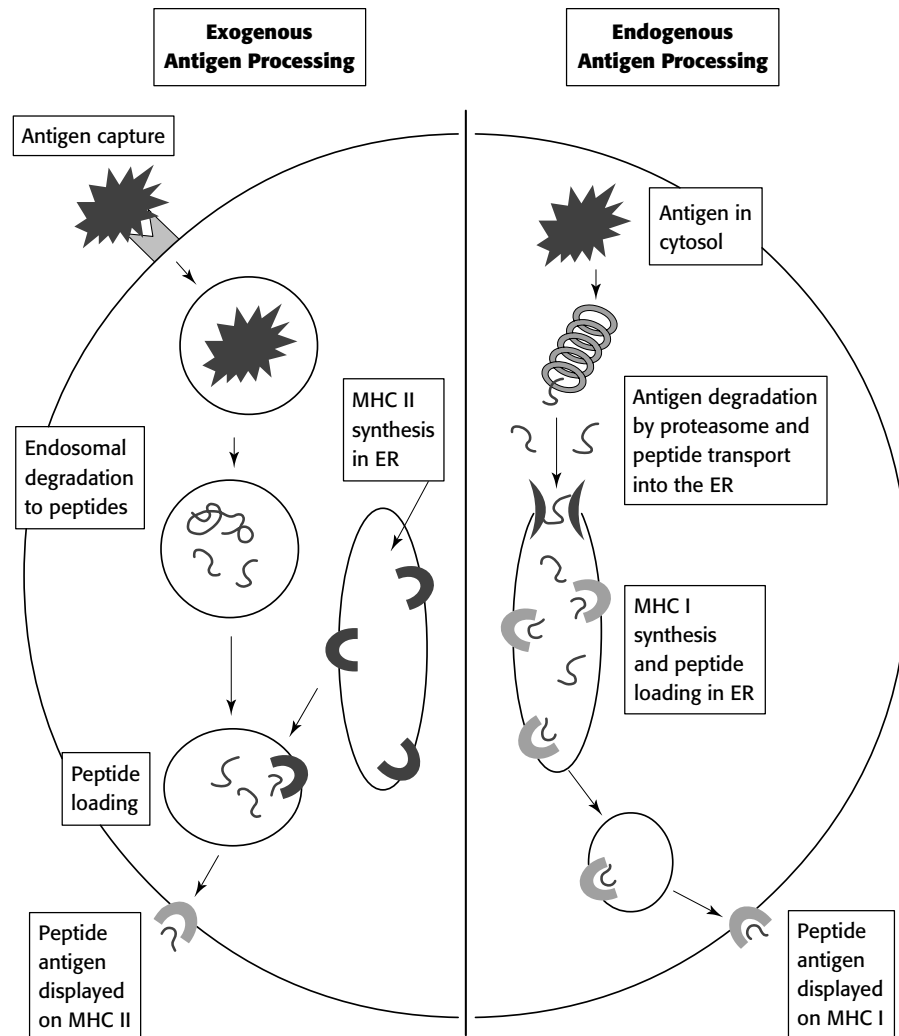


Figure 1.2 Antigen processing

Antigen recognition

Antigen processing

Antigen recognition by antibody occurs through the interaction of the binding site of an antibody molecule with a complementary three-dimensional structure (an epitope) on another molecule, the antigen. Although this may be complicated because the antigen is fixed in an array (for example in a cell surface) and because of the multivalent nature of antibodies, it is essentially a simple interaction. This is not the case for recognition of antigen by T cells. The key features of this process are illustrated in Figure 1.2.

Exogenous processing	Endogenous processing
The antigen must be taken up by specialized antigen-presenting cells.	Antigen must be synthesized in the cell.
Danger signals are needed to initiate processing in APC.	Processing can occur in any MHC-1 positive cell. Danger signals upregulate processing.
The peptides generated are dependent on: - the specificity of processing enzymes, - the glycosylation of the protein, - the flanking sequences of the epitope, - the cytokine microenvironment of the cell.	
Peptides generated in the cytosol must be recognized by peptide transporters to enter the ER.	
Peptides need to bind to the MHC I or II alleles of the processing cell in order to be displayed at the cell surface. Different alleles bind peptides with different motifs.	

Box 1.3. Factors influencing antigen presentation

Antigen processing for presentation on either major histocompatibility class I or II antigens (MHC I or II) is a complex process and the selection of peptides to be displayed is governed by factors which operate at each level of the processing mechanism (see Box 1.3). Processing of antigens is inefficient in the absence of 'danger signals'. These are nonantigen-specific signals, which indicate to the immune system that it has encountered a foreign material¹⁴; examples are bacterial lipopolysaccharide or specific sequence motifs of the DNA and RNA of micro-organisms. Danger signals are recognized by evolutionarily conserved receptors and are particularly effective in activating specialized antigen-presenting cells (APC) to process and present antigen.

The last step in the process, the binding of processed peptides to MHC molecules, is a critical step. The MHC is a highly polymorphic system and each allele binds a different set of peptides. For MHC class I the peptides are generally 8–10 amino acids long and binding is greatly influenced by one or two key 'anchor' residues, which fit into pockets in the MHC binding groove. The nature (charged, hydrophobic, etc.) and positions of the anchor residues in the peptide sequence make up a peptide binding motif which differs for each MHC allele. For MHC class II the peptides are generally 12–15 amino acids long but sequence motifs again influence peptide binding and the motifs may be allele specific. The consequence

of this specificity of binding is that not all new protein sequences may be recognized by T cells as foreign. For this to happen it is essential that some peptides generated from the new sequence by processing, bind with sufficient affinity to host MHC molecules to stabilize them and allow their transport to the cell surface^{15,16}.

As a rule, viral infection has been thought to be controlled by CTLs restricted through MHC I following endogenous processing of, for example, viral antigens. Recently it has become apparent that exogenous presentation is critical for induction of an immune response during viral infection of peripheral tissues¹⁷. This is perhaps not so surprising, since it would make little sense for the dendritic cells critical to the cross priming events necessary for subsequent CTL development, to be susceptible to various viral escape mechanisms apparent in other types of infected cells.

Self-tolerance

Since MHC molecules are unstable at the cell surface in the absence of bound peptides, the fact that most tissue cells express low levels of MHC class I molecules and a variety of APCs express MHC class I and II, implies that antigen processing proceeds in the absence of danger. Elution and sequencing of peptides from cells has shown that many of the peptides displayed are derived from normal self-proteins. Since, in general, the immune system does not respond to these self-molecules there must be mechanisms to prevent this.

Early experiments suggested that the thymus plays a key role in the development of T lymphocytes, including the selection of 'useful' T cells and the deletion of 'harmful' self-reactive cells¹⁸. Positive and negative selection are complex mechanisms but involve the interaction of the T cell receptor (TCR) of thymocytes with MHC-self-peptide complexes on APC. Depending on the affinity of this interaction and the presence or absence of other signals (co-stimuli), the developing thymocyte may survive and proliferate or die. In the bone marrow, similar selective mechanisms operate on developing B lymphocytes. Negative selection is not a fool-proof mechanism so that autoreactive T and B cells exist in the periphery. In general, only B cells with relatively low affinity for self-antigens are present in peripheral lymphoid tissue. Development of high affinity antiself-reactive antibody requires somatic mutation in activated B cells, a process needing T cell help. Autoreactivity of B cells is therefore controlled by T cells.

After developing T cells leave the thymus to seed the periphery, the repertoire of available T cells continues to be shaped by a variety of mechanisms. These are either dependent on death or functional inactivation of self-reactive T cells by a variety of mechanisms, but these usually come into play when lymphocytes encounter antigen in the absence of adequate co-stimulation¹⁹ (see Box 1.4).

Thymocytes with high affinity for self-MHC + self-peptide deleted by apoptosis.

Peripheral T cells encountering MHC + peptide in absence of co-stimuli may be deleted or anergized.

T cells may ignore antigens presented without co-stimuli.

Activation of T cells is dependent on concentration of MHC-peptide and amount of co-stimulation.

T-cell responses may be suppressed.

Box 1.4. Mechanisms influencing the peripheral T-cell repertoire

Co-stimulation and initiation of responses

T lymphocytes are the key regulators of the immune system. Activation of T cells to become effector cells, requires another signal (signal 2) in addition to that delivered through the TCR (signal 1). The nature of signal 2 has been the subject of intense investigation over the last few years and it has become clear that many different ligand–receptor pairs on the antigen-presenting cell and the T cell play a role (Figure 1.3). Some of these are listed in Box 1.5.

An important point in considering this cellular interaction is that it is a two-way process. As well as receiving signals from the APC, the T cell delivers signals to it and the consequence is activation and differentiation of both cell types. There is abundant evidence that the key antigen-presenting cell type in primary activation of T cells is the dendritic cell (DC)²⁰.

Recent evidence suggests that the sequence of events requires, first, that the DC is activated by ‘danger’ signals. Following this a process of maturation occurs, with up-regulation of key co-stimulatory molecules on the DC surface including CD80 and 86. In turn this initiates T cell activation and up-regulation of T cell surface molecules such as the IL-2 receptor, which is essential for growth of T cells. At the same time T cell CD154 (the ligand for CD40) is expressed and this delivers a very strong signal for further activation to dendritic cells. Very recently it has been demonstrated that ligation of DC–CD40 enables DC to acquire the ability to activate naive cytotoxic T cell precursors (CTLp) without the necessity for further signals delivered by T helper (Th) cells^{21–23}. CD40–CD154 interaction is therefore a key stage in the DC–T cell interaction.

Cytokines produced by both cell types have effects on growth and differentiation of the cells. IL-12 and IL-4/10 have been shown to be particularly important in directing the production of Th-1 and Th-2 effector cells²⁴. Chemokines control the migration of both DC and lymphocytes during the initiation of an immune response and its effector phase²⁵ (see Box 1.5).

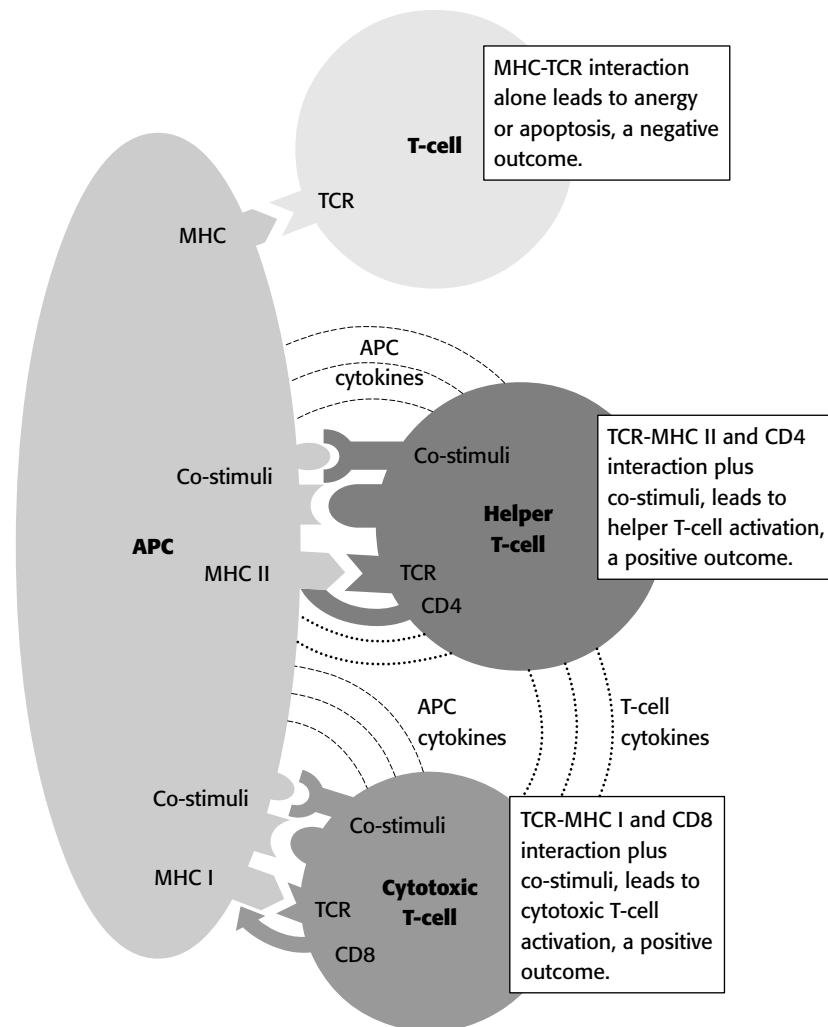


Figure 1.3 Co-stimulation

Priming of antitumour responses

In most immune responses to micro-organisms, priming is thought to occur in lymph nodes draining the site of infection. Initiation of the immune response requires a danger signal to alert the system. Without this DC will not be activated to process antigen, up-regulate co-stimulatory molecules and leave peripheral tissues to migrate to lymph nodes, where potentially responsive naive T cells encounter the antigen.

A small tumour may not initiate a response because it fails to deliver a danger signal. In contrast, once inflammation occurs in the tumour, perhaps through

APC	T cell	Outcome of interaction
MHC I	TCR	Delivery of Signal 1
MHC II	TCR	Delivery of Signal 1
CD4	MHC II	Facilitation of MHC II-TCR interaction
CD8	MHC I	Facilitation of MHC I-TCR interaction
CD56	CD2	Adhesion
CD11a/CD18	CD54	Adhesion and T-cell activation
CD80/86	CD28/CD152	Co-stimulation of T cells and activation of APC
CD40	CD154	Activation of DC and co-stimulation of T cells
Cytokines in DC–T-cell interaction		
GM-CSFR	GM-CSF	DC growth and chemoattraction
TNF α R	TNF α	DC growth and maturation
IL-4R	IL-4	Bias to production of Th-2 effector cell sIL-6R
IL-10R	IL-10	Bias to production of Th-2 effector cells
IL-12	IL-12R	Bias to production of Th-1 effectors and CTL
IFN α R	IFN γ	Bias to production of Th-1 effectors and CTL
Both DC and T cells express several chemokine receptors and chemokines		

Box 1.5. Cell-surface interactions between DC and T cells

breakdown of the epithelial barrier and entry of micro-organisms if the tumour is at a superficial epithelial site, or through tumour necrosis if it outgrows its blood supply, there will be an influx of inflammatory cells including DC. Necrotic or apoptotic tumour cells may provide a source of tumour antigen²⁶. DC are stimulated and leave the tumour to migrate to draining nodes. Experimental evidence suggests that this is the main route for priming against tumour cells rather than direct priming by the tumour cells themselves²⁷. Surprisingly this is the case for both the exogenous MHC class II and endogenous class I pathways, suggesting that in DC exogenous antigen can enter both processing routes¹⁷.

While tumour antigen will eventually reach the draining node is there likely to be a high frequency of potential responder T cells? In theory, since many tumour antigens are unaltered self-molecules, high affinity responsive cells should have been deleted in the thymus, but in practice deletion is incomplete and T cells reactive to self-antigens including tumour-associated molecules, have been repeatedly demonstrated. Whether they are present at lower frequency or have lower affinity than T cells capable of responding to exogenous antigens, is currently unclear. In any case by the time patients present for immunotherapy, it is likely that tumour-

reactive cells will have been primed and that effector cells may have re-circulated to enter the tumour site. Studies of tumour-infiltrating lymphocytes (TILs) provide support for this concept²⁸. Strategies for immunization against a growing tumour may therefore aim to prime naive lymphocytes or to boost pre-existing immunity.

Immunotherapeutic immunization strategies

The key factors in any attempt to generate or boost antitumour immunity is the delivery of relevant and immunogenic tumour antigens to professional antigen-presenting cells. This critical step is fundamental in the generation of any primary specific cellular or humoral immunity. This may result from a nonspecific activation induced locally by delivery of BCG (see Chapter 2) or the use of irradiated allogeneic tumour cells with cytokines and/or co-stimulatory molecules. Adjuvants (including cytokines) are usually utilized when immunizing with protein or peptides (Chapters 5, 6, 7 and 11) whereas pox viruses encoding tumour target antigens act as a potent inducer of the danger signals associated with APC activation etc. (see Chapters 3, 4 and 5). DNA vaccines must eventually lead to expression of tumour antigens and their processing by APCs (Chapter 12), whereas direct delivery of tumour antigens as proteins, by cell fusion or by cDNA to dendritic cells represents the most direct approach to attempt to generate antitumour immunity (see Chapter 13). These approaches are frequently biased by the prejudice that specific T cell immunity is likely to be of greater relevance in tumour therapy. However, the role of antibodies directly (e.g. Chapter 7) or indirectly (see Chapter 8) and generally in tumour immunity is probably being underestimated (see Chapter 10) and may be of critical importance in some virally associated tumours (Chapter 9).

One group of immunization strategies uses tumour cells as the immunogen on the assumption that many tumour antigens may not yet be defined. It is often assumed that the tumour cell presents its own antigens but since many tumours exhibit MHC class I down-regulation and lack MHC class II as well, they are unlikely to be optimal APC even if this does occur. However, many attempts have been made to remedy this by transduction of the tumour cells with genes for some of the missing molecules. The logic of this is obscure if immunization occurs, not through presentation of antigens by tumour cells themselves, but by processing of tumour-derived antigen in host antigen presenting cells. Additionally, although one or two co-stimulatory or MHC molecules can be inserted into a tumour cell, it is highly unlikely that this will make it present antigen as efficiently as a 'professional' antigen-presenting cell (usually a dendritic cell).

An alternative type of strategy attempts to ensure that tumour antigen reaches antigen-presenting cells. This can be achieved by transducing tumour cells in vivo with genes for cytokines or chemokines (e.g. GM-CSF), which might attract

Aim	Strategy
Prime naïve T cells	Present tumour antigen with adjuvant (danger signal) to initiate a response and clonal expansion
Boost memory T cells	Present tumour antigen with adjuvant to induce activation and further clonal expansion
Activate pre-existing specific effector cells	Local or systemic cytokines (IL-2)
Activate nonspecific effector mechanisms	Local or systemic cytokines
Relieve immunosuppression or modulate the immune response	Local or systemic cytokines, immunomodulatory agents

Box 1.6. Active immunotherapy

antigen-presenting cells to the lesion²⁹. Alternatively, in vitro grown tumour cells may be transduced, inactivated and used as an immunogen. A logical extension is to use DC directly loaded with tumour antigens in vitro as the immunogen²⁶. There are now many approaches focused on specific or nonspecific immunization using DC (see Chapter 13).

The antigen need not be in the form of tumour cells since tumour antigens are rapidly being defined. Subunit vaccines of various types have the advantage that they remove irrelevant molecules and potentially interfering or immunosuppressive ones. The down-side is that if few T cell epitopes are included in the vaccine there may be no epitopes, which bind with high affinity to the MHC alleles of some vaccinees, since each allele binds epitopes with a particular sequence motif³⁰. Various strategies are summarized in Box 1.7.

Strategies aimed at DC have the advantage that ultimately they target lymph nodes, mimicking the physiology of a normal immune response (Figure 1.4). Another possible advantage of methods employing in vitro transduced cells is that they may be injected at a site distant from the tumour, avoiding the problem that the tumour itself may produce immunosuppressive substances such as the cytokine TGF β ³¹ and that these may reach tumour-draining nodes. Unfortunately, tumour patients' T cells sometimes exhibit poor responsiveness in vitro, suggesting a systemic immunosuppressive effect of tumours³². This has been attributed to abnormalities in expression of the CD3 ζ chain, which is involved in signal transduction. What causes this is a matter of debate and how specifically related to cancer the defect is not established, but the functional abnormality can sometimes be reversed in vitro and possibly in vivo by IL-2³³. This is discussed in Chapter 5.

Problems

The tumour antigens need to be defined.
Single T-cell epitopes are often MHC allele specific.
Subunits lack danger signals.

Advantages/solutions

Removal of irrelevant but competing or suppressive antigens.
Adjuvants, helper antigens, cytokines or co-stimuli can be easily combined with subunits.
Multiple epitopes from different antigens can be combined in epitope strings to overcome the allele problem.
The vaccine can be designed to generate appropriate immune responses.
Vectors can be tailored to achieve optimal immunization.

Methods for administration

Peptides with or without adjuvant.
Recombinant proteins with or without adjuvant.
Glycoconjugates with helper epitope and adjuvant.
Recombinant viruses (e.g. vaccinia-MUC-1 or Vac-HPV-16 E6 and E7, with or without cytokines).
DNA, combining antigen with co-stimuli or cytokines.

Box 1.7. Subunit vaccine strategies for tumours

Effector function

The immune system has multiple effector mechanisms for combating invading micro-organisms (see Box 1.8) but it remains unclear which of these are most effective against tumours. Complicating the issue is the enormous variation in the behaviour of different tumour types, so the most important effector mechanism may well differ depending on the tumour type.

The available animal experimental data is not particularly helpful. Evidence for some mechanisms is mainly based on in vitro experiments and extrapolation from immunohistology. For example, macrophages are abundant in many tumours and can be shown in vitro to inhibit the growth of tumour cells, but it is less clear what role they play in vivo. The role of antibodies produced by the host itself is also controversial, although such antibodies have proved to be an important tool for definition of tumour-associated molecules (Chapter 10). On the other hand, monoclonal antibodies (mAbs) have been shown in humans to be able to localize tumours and have been demonstrated convincingly to delay the onset of tumour progression and increase survival in a randomized trial of a mAb as adjuvant

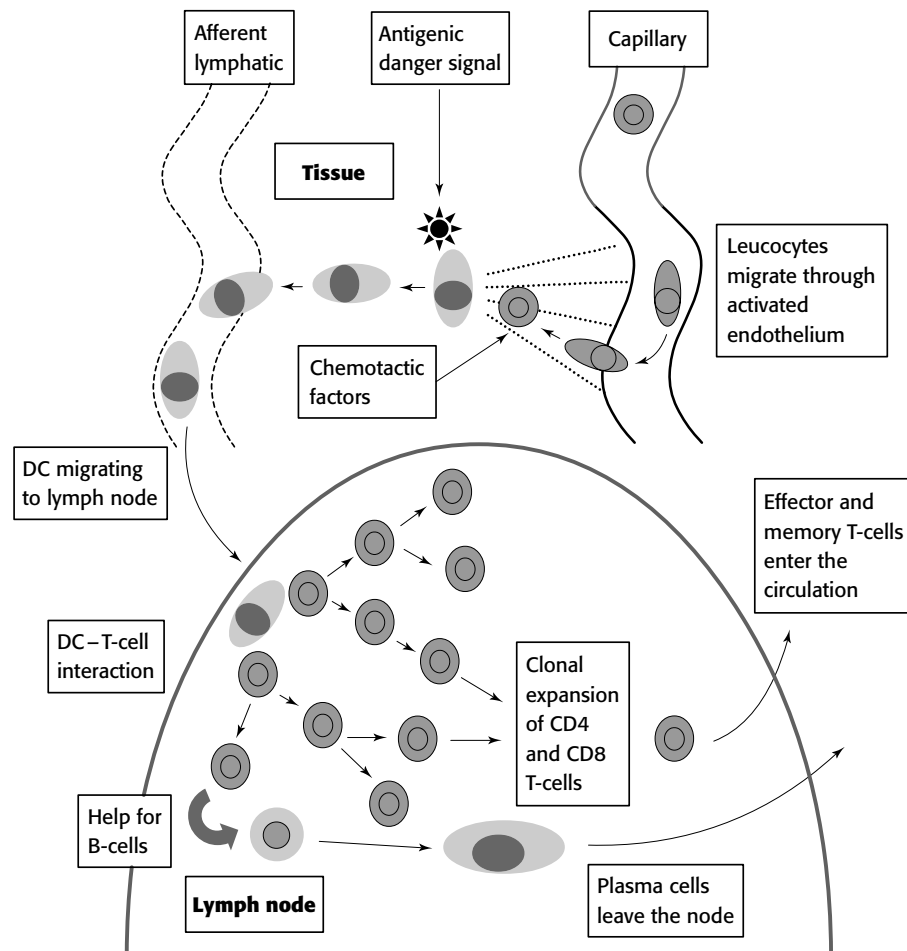


Figure 1.4 The anatomy of an immune response

therapy for colon cancer⁷. The mechanism of this effect has not been elucidated, nor are the mechanisms which have occasionally led to remissions in lymphoma patients treated with anti-idiotypes or to tumour dormancy in experiments in a murine model³⁴ (see Chapter 8). As yet there have been few attempts to generate high titre antibodies to tumour-associated antigens in humans except in trials targeted to idiotypes of B cell tumours³⁵ (see Chapter 12). Whether antibodies to other surface antigens, generated by active immunization of the host, might be effective particularly against small metastases, remains to be properly investigated.

The evidence that allograft rejection is mediated by T cells has led many investigators to focus on T lymphocytes as antitumour effectors. In mouse experimental models, there is evidence for the participation of T cells in protection against

Humoral

Antibody blocking (for example, of growth factor receptors).

Antibody-induced apoptosis.

Antibody- and complement-mediated lysis.

Cytokine-mediated cytostasis or cytotoxicity (e.g. cytostatic effects or interferons or cytotoxicity of $\text{TNF}\alpha$).

Humoral and cellular

IgE-mediated allergic reactions involving basophils and mast cells.

Antibody-mediated cellular cytotoxicity by natural killer cells and macrophages.

Cellular

Natural killer cell cytotoxicity.

Cytostasis and cytotoxicity mediated by activated macrophages.

T cell cytotoxicity

by $\alpha\beta$ T cells

by $\gamma\delta$ T cells

Box 1.8. Immune effector mechanisms

tumour challenge (an artificial situation in which the animal is first immunized against the tumour and then challenged with viable tumour cells) and in rejection of established tumours. Evidence described earlier indicates that small numbers of activated cytotoxic T lymphocytes (CTL) can certainly eliminate relatively large tumour masses under optimal circumstances, and many human tumour antigens have been defined using CTL, so that there continues to be a concentration of effort on immunization against MHC class I binding epitopes.

The overwhelming problem of this strategy is the loss of MHC class I molecules, which is such a prominent feature of human tumours. This may be allele specific or global and several molecular mechanisms have been defined, including mutations in the peptide transporters, in MHC molecules themselves and in $\beta 2$ -microglobulin³⁵. Loss of MHC molecules suggests that the T cell immune response applies selective pressure to tumour cell populations, but it also implies that by the time a tumour is detectable it may already have been selected for resistance to the T cell antitumour immune response. Although natural killer (NK) cells may recognize better the cells which express low levels of MHC³⁶, few NK cells can be demonstrated in most tumours and infusions of lymphokine activated killer (LAK) cells have not been notably successful. All this suggests that MHC loss is likely to be a major bar to immunotherapy aimed at stimulating CTL.

Conclusions

Immunotherapy has undergone many ups and downs during this century. What is unarguable is that the immune system can destroy large tissue masses if it can be brought to bear on them. Recent data suggest that human tumours do differ from their hosts sufficiently for them to be recognized as foreign, although the frequency and affinity of the responding cells is not clear. Tumours may be initially poor immunogens because they lack danger signals and produce immunosuppressive substances, which interfere with immune responses. Once an immune response is generated, there is evidence for escape through down-regulation of MHC molecules.

All this makes it clear that therapeutic active immunization may be difficult. Early institution of immunotherapy is likely to be more effective, when the tumour has had less chance to escape and the immune system has not been damaged by chemotherapy. It also makes sense to target as many antigens as possible, making escape more difficult. Rapid progress in definition of tumour antigens and improvements in methods for immunization, will mean that it will at least be possible to test whether optimal immunization to obtain a large and broadly targeted response, will be an effective therapeutic anticancer modality. This volume details the present state of the art, although as yet this goal has not been reached.

Historically, immunization has been most effective when administered prophylactically. Definition of more and more tumour antigens may open the way to prophylactic immunization for nonviral as well as viral tumours, at least in high-risk groups. The problem of immunoselection may in the future be overcome by using T cells engineered to recognize antigen through an introduced antibody receptor. Antibody itself can be effective. A conservative view is therefore that, in the next decade, some forms of immunotherapy will take their place as standard cancer treatment.

REFERENCES

1. Burnett, F.M. (1973). Implications of cancer immunity. *Australian and New Zealand Journal of Medicine*, 3, 71.
2. Thomas, L. (1982). On immunosurveillance in human cancer. *Yale Journal of Biological Medicine*, 55, 329.
3. Sheil, A.G. (1998). Cancer in immune-suppressed organ transplant recipients: aetiology and evolution. *Transplant Proceedings*, 30, 2055.
4. Lee, M.S., Kim, D.H., Kim, H. et al. (1998). Hepatitis B vaccination and reduced risk of primary liver cancer among male adults: a cohort study in Korea. *International Journal of Epidemiology*, 27, 316.

5. Nehlsen, S.L. (1971). Immunosuppression, virus and oncogenesis. *Transplant Proceedings*, **3**, 811.
6. Riethmuller, G., Schneider-Gadicke, E. and Johnson, J.P. (1993). Monoclonal antibodies in cancer therapy. *Current Opinions in Immunology*, **5**, 732.
7. Riethmuller, G., Holz, E., Sclimok, G. et al. (1998). Monoclonal antibody therapy for resected Dukes' C colorectal cancer: seven year outcome of a multicenter randomized trial. *Journal of Clinical Oncology*, 1788.
8. Scanlan, M.J., Chen, Y.T., Williamson, B. et al. (1998). Characterization of human colon cancer antigens recognised by autologous antibodies. *International Journal of Cancer*, **76**, 652.
9. Boon, T. (1995). Tumor antigens and perspectives for cancer immunotherapy. *The Immunologist*, **3**, 262.
10. Melief, C.J.M. (1992). Tumor eradication by adoptive transfer of cytotoxic T lymphocytes. *Advances in Cancer Research*, **58**, 143.
11. Heslop, H.E., Brenner, M.K. and Rooney, C.M. (1994). Donor T cells to treat EBV- associated lymphoma. *New England Journal of Medicine*, **331**, 679.
12. Miller, R.A., Maloney, D.G., Warnke, R. and Levy, R. (1982). Treatment of B-cell lymphoma with monoclonal anti-idiotypic antibody. *New England Journal of Medicine*, **306**, 517.
13. Rosenberg, S.A., Yang, J.C., Topalian, S.L. et al. (1994). Treatment of 283 consecutive patients with metastatic melanoma or renal cell cancer using high-dose bolus interleukin-2 (see comments). *Journal of the American Medical Association*, **271**, 907.
14. Matzinger, P. (1998). An innate sense of danger. *Seminars in Immunology*, **10**, 399.
15. Grey, H.M., Ruppert, J., Vitiello, A. et al. (1995). Class I MHC-peptide interactions: structural requirements and functional implications. *Cancer Surveys*, **22**, 34.
16. Pieters, J. (1997). MHC class II restricted antigen presentation. *Current Opinions in Immunology*, **9**, 89.
17. Sigal, L.J., Crotty, S., Andino, R. and Rock, K.L. (1999). Cytotoxic T-cell immunity to virus infected non-haematopoietic cells requires presentation of exogenous antigen. *Nature*, **398**, 77.
18. Kruisbeek, A.M. and Amsen, D. (1996). Mechanisms underlying T-cell tolerance. *Current Opinions in Immunology*, **8**, 233.
19. Lanoue, A., Bona, C., von Boehmer, H. and Sarukhan, S. (1997). Conditions that induce tolerance in mature CD4+ T cells. *Journal of Experimental Medicine*, **185**, 405.
20. Cella, M., Sallusto, F. and Lanzavecchia, A. (1997). Origin, maturation and antigen presenting function of dendritic cells. *Current Opinions in Immunology*, **9**, 10.
21. Bennett, S.R.M., Carbone, F.R., Karamalis, F., Flavell, R.A., Miller, J.F.A.P. and Heath, W.R. (1998). Help for cytotoxic-T-cell responses is mediated by CD40 signalling. *Nature*, **393**, 478.
22. Ridge, J.P., Di Rosa, F. and Matzinger, P. (1998). A conditioned dendritic cell can be a temporal bridge between a CD4+ T-helper and a T-killer cell. *Nature*, **393**, 474.
23. Schoenberger, S.P., Toes, R.E.M., van der Voort, E.I.H., Offringa, R. and Melief, C.J.M. (1998). T-cell help for cytotoxic T lymphocytes is mediated by CD40-CD40L interactions. *Nature*, **393**, 480.
24. Swain, S.L. (1994). Generation and *in vivo* persistence of polarized Th1 and Th2 memory cells. *Immunity*, **1**, 543.

25. Sallusto, F.A., Lanzavecchia, A. and Mackay, C.R. (1998). Chemokines and chemokine receptors in T-cell priming and Th1/Th2-mediated responses. *Immunology Today*, **19**, 568.
26. Grabbe, S., Beissert, S., Schwarz, T. and Granstein, R.D. (1995). Dendritic cells as initiators of tumor immune responses: a possible strategy for tumor immunotherapy? *Immunology Today*, **16**, 117.
27. Girolomoni, G. and Ricciardi-Castagnoli, P. (1997). Dendritic cells hold promise for immunotherapy. *Immunology Today*, **18**, 102.
28. Jantzer, P. and Schendel, D. (1998). Human renal cell carcinoma antigen-specific CTLs: antigen driven selection and long-term persistence *in vivo*. *Cancer Research*, **58**, 3078.
29. Pardoll, D.M. (1998). Cancer vaccines. *Nature Medicine*, **4**, 525.
30. Elliot, T., Smith, M., Driscoll, P. and McMichael, A. (1993). Peptide selection by class I molecules of the major histocompatibility complex. *Current Biology*, **3**, 854.
31. Wojtowicz-Proaga, S. (1997). Reversal of tumour-induced immunosuppression: a new approach to cancer therapy. *Journal of Immunotherapy*, **20**, 178.
32. Agrawal, S., Marquet, J., Delfau-Larue, M.H. et al. (1998). CD3 hyporesponsiveness and *in vitro* apoptosis are features of T cells from both malignant and non-malignant secondary lymphoid organs. *Journal of Clinical Investigation*, **102**, 1715.
33. Rabinowich, H., Banks, M., Reichert, T.E., Logan, T.F., Kirkwood, J.M. and Whiteside, T.L. (1996). Expression and function of signaling molecules in T lymphocytes obtained from patients with metastatic melanoma obtained before and after interleukin 2 therapy. *Clinical Cancer Research*, **2**, 1263.
34. Khashayarsha, K., Prifti, S., Beckhove, P. et al. (1994). Persistence of dormant tumor cells in the bone marrow of tumor cell-vaccinated mice correlates with long-term immunological protection. *Proceedings of the National Academy of Sciences USA* **91**, 7430.
35. King, C.A., Spellerberg, M.B., Zhu, D. et al. (1998). DNA vaccines with single-chain Fv fused to fragment C of tetanus toxin induce protective immunity against lymphoma and myeloma. *Nature Medicine*, **4**, 1281.
36. Keating, P.J., Cromme, F.V., Duggan-Keen, M. et al. (1995). Frequency of down-regulation of individual HLA-A and -B alleles in cervical carcinomas in relation to TAP-1 expression. *British Journal of Cancer*, **72**, 405.
37. Pende, D., Accame, L., Pareti, L. et al. (1998). The susceptibility to natural killer cell-mediated lysis of HLA class I-positive melanomas reflects the expression of insufficient amounts of different HLA class I alleles. *European Journal of Immunology*, **28**, 2384.