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Polynomial functions and combinatorics

1.1 Introductory remarks

This chapter opens with a brief summary of Schur's work on the complex polynomial representations of the general linear group $GL_n(\mathbf{C})$ of $n \times n$ nonsingular matrices with entries in \mathbf{C} ; we then proceed to analyse ways of extending his ideas to representations over an infinite field K of arbitrary characteristic. Thus, Schur considered a polynomial matrix representation of $M \in GL_n(\mathbf{C})$, i.e. a group homomorphism $T : GL_n(\mathbf{C}) \rightarrow GL_m(\mathbf{C})$ such that each entry of $T(M)$ could be expressed as a polynomial with complex coefficients in the entries of M ; however we shall study a $KGL_n(K)$ -module V whose coefficient space lies in the bialgebra $A_K(n)$ generated by the n^2 coordinate functions. In this way V affords a matrix representation whose entries are polynomials over K in the original entries. We shall then take the r th homogeneous component, $A_K(n, r)$, of $A_K(n)$ and consider the category of all representations having coefficient space lying in this component. Basic properties of the coalgebra $A_K(n, r)$ are catalogued in 1.3.

Throughout the text the methods employed use a blend of the standard combinatorial machinery. Nowadays I can safely assume that the combinatorics of partitions and their associated Young diagrams is well known, so no more than a cursory treatment is given in 1.4. This leads to one definition of a weight (as a certain n -tuple of integers) and we connect this idea with the algebraic group concept of weight (arising from the action of the torus) in 1.5. At the same time we provide a short discussion on formal and natural characters of representations of $GL_n(K)$ and compute these for a few accessible but important examples. Technical matters relating to the representation theory of algebraic groups are safely relegated to the Appendix. Notwithstanding some simple lem-

mas on weight spaces in 1.6, we already have sufficient material to show that the isomorphism classes of the irreducible objects in the category $A_K(n, r)$ **mod** of finite-dimensional left $A_K(n, r)$ -modules are in one-to-one correspondence with partitions of r into at most n parts. Concrete constructions of these modules are made in the next two chapters.

1.2 Schur's thesis

We choose $2n^2$ independent variables $a_{\alpha\beta}$, $b_{\alpha\beta}$, where α and β run independently through the set $\mathbf{n} = \{1, 2, \dots, n\}$; we may regard these as entries in the two $n \times n$ matrices $A = [a_{\alpha\beta}]$, $B = [b_{\alpha\beta}]$. Let $T = T(A) = [t_{\gamma\delta}]$ be some $m \times m$ matrix ($m \in \mathbf{N}$) with entries $t_{\gamma\delta}$ belonging to the \mathbf{C} -algebra $\mathbf{C}[a]$, generated by the $a_{\alpha\beta}$ ($\alpha, \beta \in \mathbf{n}$). We observe therefore that each $t_{\gamma\delta}$ is a polynomial with complex coefficients in the n^2 entries $a_{\alpha\beta}$ of the matrix A .

Suppose that $C = AB$, that is $C = [c_{\alpha\beta}]$ with

$$c_{\alpha\beta} = \sum_{\mu \in \mathbf{n}} a_{\alpha\mu} b_{\mu\beta}. \quad (1.1)$$

Thus the entries of C lie in the polynomial algebra $\mathbf{C}[a, b]$ generated by the $a_{\alpha\beta}$ and $b_{\alpha\beta}$. Now Schur was interested in the case where T is *invariant*, that is, T has the following property:

$$T(A)T(B) = T(C).$$

Simple examples are $T(A) = A$ with $m = n$, or if $m = 1$ we might take $T(A) = [\det A]$.

If $g, h \in \Gamma_{\mathbf{C}} = \mathrm{GL}_n(\mathbf{C})$, then by (1.1) we have $T(g)T(h) = T(gh)$, and so $T : \Gamma_{\mathbf{C}} \rightarrow \mathrm{GL}_m(\mathbf{C})$ is a matrix representation of $\Gamma_{\mathbf{C}}$ of dimension m , provided we assume additionally that $T(I_n) = I_m$. We shall call T a *complex polynomial representation* of $\Gamma_{\mathbf{C}}$ of degree m .

Remark. Actually, Schur started by considering *rational representations*, i.e. those $T(A)$ whose entries were rational functions of the entries of the matrix A . In this case we may write $T(A) = p(A)q(A)^{-1}T'(A)$, where $A \mapsto p(A)$, $A \mapsto q(A)$ are maps into $\mathbf{C}[a]$, with p and q polynomial in the entries of A , and $T'(A)$ is polynomial as above. Hence it is no loss to consider those representations T , as mentioned above, whose entries lie in the ring $A_{\mathbf{C}}(n)$ of all polynomial functions $\mathrm{GL}_n(\mathbf{C}) \rightarrow \mathbf{C}$.

Definition 1.2.1 The invariant matrices T and U of degree m are *equiv-*

alent if there exists $P \in \text{GL}_m(\mathbf{C})$ such that $P^{-1}TP = U$. We write $T \simeq U$ in this case. We say T is *reducible* (over \mathbf{C}) if

$$T \simeq \begin{bmatrix} T_1 & V \\ 0 & T_2 \end{bmatrix},$$

where T_i is an invariant matrix of degree m_i and V is some $m_1 \times m_2$ matrix with entries in $A_{\mathbf{C}}(n)$. If T cannot be written in this way it is termed *irreducible*. Finally, if T is equivalent to a matrix in block diagonal form in which each diagonal block matrix is irreducible, then T is said to be *completely reducible*. These definitions also apply to matrix representations of groups over arbitrary fields.

Suppose that $T(A)$ is invariant, and x is some variable independent of the $a_{\alpha\beta}$. Then $T(xA) = T_0(A) + xT_1(A) + \dots + x^rT_r(A)$ for some $r \in \mathbf{N}$. Each $T_i(A)$ is itself invariant. Further, T is equivalent to the block diagonal matrix $\text{diag}(T_0, \dots, T_r)$. To see this, let $E_i = T_i(I_n)$. Then $T(xI_n) = E_0 + xE_1 + \dots + x^rE_r$ for all $x \in \mathbf{C}$. The invariance condition $T(xI_n)T(A) = T(xA) = T(A)T(xI_n)$ forces

$$(E_0 + xE_1 + \dots + x^rE_r)(T_0(A) + T_1(A) + \dots + T_r(A)) = T_0(A) + xT_1(A) + \dots + x^rT_r(A)$$

for all $x \in \mathbf{C}$. Equating coefficients implies $E_iT_i(A) = T_i(A)E_i$. Now from linear algebra we may find $P \in \text{GL}_m(\mathbf{C})$ such that, for each i , $P^{-1}E_iP = E'_i$, where E'_i has m_i consecutive 1s down the diagonal and 0s everywhere else, such that the sum of the E'_i equals I_n . Here m_i is the order of T_i (so the sum of the m_i is the order of T). Writing $P^{-1}T_i(A)P = T'_i(A)$ we obtain the relations $E'_iT'_i(A) = T'_i(A)E'_i$ for all i . Hence $T'_i(A)$ has zero entries everywhere except in those rows and columns in which E'_i has 1s. We conclude that $P^{-1}T(A)P$ is the diagonal sum of the matrix blocks $T'_i(A)$ as required.

Now the entries in each T_p are homogeneous polynomials of the same degree p in the entries of A , that is, $T_p(xA) = x^p T_p(A)$. Thus we lose nothing in assuming henceforth that T is already homogeneous of some degree, say r . Equivalently, we may assume that each entry $t_{\gamma\delta}$ of T lies in $A_{\mathbf{C}}(n, r)$, the subspace of $A_{\mathbf{C}}(n)$ generated by functions that are homogeneous of total degree r in the n^2 variables $a_{\alpha\beta}$.

Notation

Before we can describe a basis for $A_{\mathbf{C}}(n, r)$, we list some notation, which, in fact, will hold throughout the text.

- If K is an arbitrary infinite field and S is a K -algebra, we write ${}_S\mathbf{mod}$ for the category of all finite-dimensional left S -modules; \mathbf{mod}_S will be the category of finite-dimensional right S -modules. If $V, W \in {}_K\mathbf{mod}$ we usually write $V \otimes W$ for $V \otimes_K W$.
- If H is a finite group, we denote its group algebra by KH .
- $I = I_n = I(n, r) = \{\text{maps from } \mathbf{r} \text{ to } \mathbf{n}\} = \{i = (i_1, \dots, i_r) : i_\rho \in \mathbf{n}, \forall \rho \in \mathbf{r}\}$ is the set of *multi-indices* (which some authors write in the power notation $\mathbf{n}^{\mathbf{r}}$).
- $G = \Sigma_r$, the symmetric group on \mathbf{r} ; more generally, if $X \subseteq \mathbf{r}$, we write Σ_X for the subgroup of G fixing every element outside X . G acts naturally on the right on I by place permutations:

$$i\pi = (i_{\pi(1)}, \dots, i_{\pi(r)}) \quad (i \in I, \pi \in G).$$

G also acts on $I \times I$ as follows:

$$(i, j)\pi = (i\pi, j\pi) \quad (i \in I, j \in I, \pi \in G).$$

- We write $i \sim j$ when $i, j \in I$ are in the same G -orbit. Similarly, we write $(i, j) \sim (p, q)$ when $(i, j), (p, q) \in I \times I$ are in the same G -orbit, i.e. if $p = i\pi$ and $q = j\pi$ for some $\pi \in G$.
- If $i \in I$ we write $G_i = \{\pi \in G : i\pi = i\}$ for the stabiliser of i .

Evidently, $A_{\mathbf{C}}(n, r)$ has a \mathbf{C} -basis consisting of all monomials of degree r :

$$a_{i,j} = a_{i_1 j_1} \cdots a_{i_r j_r} \quad (i_\rho, j_\rho \in \mathbf{n} \quad \forall \rho \in \mathbf{r}). \tag{1.2}$$

Notice our convention in writing $a_{i,j}$ (with a comma) when the subscripts are multi-indices, but a_{pq} (without a comma) if the subscripts are integers. A given monomial may have two different expressions of the form (1.2). Indeed for $i, j, i', j' \in I$,

$$a_{i,j} = a_{i',j'} \Leftrightarrow (i, j) \sim (i', j').$$

We now have a basis for $A_{\mathbf{C}}(n, r)$:

Theorem 1.2.2 *Choose arbitrarily a set Ω of representatives of the G -orbits of $I \times I$. Then*

$$\{a_{i,j} : (i, j) \in \Omega\}$$

is an irredundantly described basis of $A_C(n, r)$. Hence

$$\dim A_C(n, r) = \binom{n^2 + r - 1}{r}.$$

Proof The number of distinct monomials of degree r in the n^2 variables $a_{\alpha\beta}$ is easily seen to be given by the quoted binomial coefficient. \square

With the above notation established, we return to the general problem of finding conditions on the $m \times m$ matrix $T(A)$ that make it invariant. The entries of $T(A)$ lie in $A_C(n, r)$, so using the basis provided by 1.2.2 we may write

$$T(A) = \sum_{(i,j) \in \Omega} a_{i,j} M_{i,j}$$

where each $M_{i,j}$ is some $m \times m$ matrix with complex entries. Thus

$$T(A)T(B) = \sum_{(i,j) \in \Omega} \sum_{(k,l) \in \Omega} a_{i,j} b_{k,l} M_{i,j} M_{k,l}.$$

By the definition of invariance this must be equal to

$$T(C) = \sum_{(p,q) \in \Omega} c_{p,q} M_{p,q},$$

where, from (1.1), the coefficients satisfy

$$c_{p,q} = c_{p_1 q_1} \cdots c_{p_r q_r} = \prod_{\rho} \sum_{h_{\rho} \in \mathbf{n}} a_{p_{\rho} h_{\rho}} b_{h_{\rho} q_{\rho}} = \sum_{h \in I} a_{p,h} b_{h,q}.$$

Certainly $(p, q) \in \Omega$, but there is no reason why either of the pairs (p, h) and (h, q) have to lie in Ω . We may write the last formula as

$$c_{p,q} = \sum_{(i,j) \in \Omega} \sum_{(k,l) \in \Omega} \gamma(i, j, k, l, p, q) a_{i,j} b_{k,l},$$

where, for any $(p, q), (i, j), (k, l) \in \Omega$,

$$\gamma(i, j, k, l, p, q) = |\{h \in I : (p, h) \sim (i, j), (h, q) \sim (k, l)\}|.$$

By comparing coefficients, we conclude that $T(A)T(B) = T(C)$ if and only if

$$M_{i,j}M_{k,l} = \sum_{(p,q) \in \Omega} \gamma(i, j, k, l, p, q)M_{p,q}$$

for all $(i, j), (k, l) \in \Omega$. Experts will recognise this somewhat unwieldy expression as Schur’s famous ‘Product Rule’ .

It is hoped that the reader will now find it quite natural to define a linear \mathbf{C} -algebra $S_{\mathbf{C}}(n, r)$ (cf. Schur [1901, p. 29]) having as basis the symbols $\xi_{i,j}, (i, j) \in \Omega$, and multiplication defined by

$$\xi_{i,j}\xi_{k,l} = \sum_{(p,q) \in \Omega} \gamma(i, j, k, l, p, q)\xi_{p,q} \tag{1.3}$$

for all $(i, j), (k, l) \in \Omega$.

Definition 1.2.3 $S = S_{\mathbf{C}}(n, r)$ is the *complex Schur algebra* for r and n .

By 1.2.2,

$$\dim_{\mathbf{C}} S = \binom{n^2 + r - 1}{r}.$$

Visibly, there is a one-to-one correspondence $R \longleftrightarrow R_0$ between

$$\left\{ \begin{array}{l} \text{invariant matrices} \\ T(A) = \sum a_{i,j}M_{i,j} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{matrix representations of } S \\ \xi_{i,j} \mapsto M_{i,j} \end{array} \right\}$$

respecting the representation-theoretic properties of equivalence and irreducibility. Using this principle, Schur showed that if $n \geq r$, there are further correspondences $R_0 \leftrightarrow R_1$ between the representations R_0 of S and representations R_1 of Σ_r . The complex representations of Σ_r , determined by Frobenius [1896], [1900], together with the correspondences $R_0 \leftrightarrow R \leftrightarrow R_1$, were used by Schur to show that every invariant matrix was completely reducible. In modern terminology, the main problem he posed and solved was the following.

Given n , find a complete set of irreducible polynomial representations of degree r for $\text{GL}_n(\mathbf{C})$.

He showed that the set of such matrix representations was in one-to-one correspondence with the set $\Lambda^+(n, r)$ of partitions of r into at most n parts. Further, given some such matrix, say $T = T_\lambda(A)$ ($\lambda \in \Lambda^+(n, r)$), its trace, that is the character of the corresponding representation (which Schur termed the *characteristic*) was shown by an ‘irrelevance argument’

to be a certain symmetric function ζ_1, \dots, ζ_n of the eigenvalues of A . Using the above correspondences, he ordered the partitions and determined the characters by induction on the ordering. The character corresponding to $\lambda = (\lambda_1, \dots, \lambda_n)$ is known as the *Schur function of type λ* , as in Macdonald [1979, I§3]. It is defined by the following quotient of a Vandermonde determinant

$$s_\lambda(\zeta_1, \dots, \zeta_n) = \frac{|\zeta_i^{\lambda_i+n-j}|}{|\zeta_i^{n-j}|}.$$

Remarks.

(1) For more on the rich history of the development of the subject, the survey article of Dieudonné [1981] is recommended. For comments on the links with invariant theory, see the notes in Weyl [1973] and the exposition of Crawley-Boevey [1990].

(2) Schur's irrelevance argument effectively amounts to restricting the representation T of $\Gamma_{\mathbb{C}}$ to the diagonal subgroup of $\Gamma_{\mathbb{C}}$: see 1.5.5 below.

Example. To illustrate some of these ideas, let us consider the following simple situation. We take $n = r = 2$ and let $A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$. There are two types of irreducible invariant matrices.

(a) If $\lambda = (2, 0)$, we have a 3-dimensional irreducible representation

$$T_{(2,0)}(A) = \begin{bmatrix} a_{11}^2 & a_{11}a_{12} & a_{12}^2 \\ 2a_{11}a_{21} & a_{11}a_{22} + a_{12}a_{21} & 2a_{12}a_{22} \\ a_{21}^2 & a_{21}a_{22} & a_{22}^2 \end{bmatrix}.$$

Putting $A = \text{diag}(\zeta_1, \zeta_2)$ in $T_{(2,0)}(A)$, we obtain its character

$$s_{(2,0)}(\zeta_1, \zeta_2) = \zeta_1^2 + \zeta_1\zeta_2 + \zeta_2^2.$$

(b) The other case is to take $\lambda = (1, 1)$, which gives

$$T_{(1,1)}(A) = [a_{11}a_{22} - a_{12}a_{21}]$$

(that is, the determinant of A). This is a 1-dimensional representation with character

$$s_{(1,1)}(\zeta_1, \zeta_2) = \zeta_1\zeta_2.$$

Remarks.

(1) These representations are afforded by special cases of the Schur modules constructed in Chapter 3.

(2) Most of the definitions and concepts outlined above carry over in an

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Stuart Martin

Excerpt

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obvious way if \mathbf{C} is replaced by K , an infinite field of arbitrary characteristic. In fact, as we shall see in Chapter 2, the correspondence $R \leftrightarrow R_0$ between polynomial representations of $\mathrm{GL}_n(K)$ of degree r and representations of the Schur algebra $S_K(n, r)$ is still valid; however, the correspondence $R_0 \leftrightarrow R_1$ with Σ_r is not so precise, for example, complete reducibility fails. Nevertheless, one can progress quite far. The irreducible polynomial representations may be constructed and are still labelled by $\Lambda^+(n, r)$, but the characters are as yet unknown for general n, r . We return to this point several times in the sequel.

Integral forms. One of the cornerstones of R. Brauer's modular representation theory of finite groups is the concept of p -modular reduction. In the study of invariant matrices T_λ we say that T_λ is *integral* if all its entries are polynomials in the $a_{\alpha\beta}$ in which the coefficients of the polynomials are integers. Hence we can interpret them as representations of $\mathrm{GL}_n(K)$ over any field K , or indeed over any commutative domain R . As examples both $T_{(2,0)}$ and $T_{(1,1)}$ of the last example are integral. Actually, thinking of these as representations of the Schur algebra S via the correspondence $R \leftrightarrow R_0$, it is a splendid fact that one can always find matrix representations for S which are integral. These matters were first investigated by Carter and Lusztig [1974, 3.5] who gave explicit integral forms for the Weyl modules (the duals of the Schur modules referred to above). See also Clausen [1979] and Akin and Buchsbaum [1985] for other aspects of the problem. As usual there are many possible choices for a \mathbf{Z} -form:

Example. Returning to the above example, the representation

$$T'_{(2,0)}(A) = \begin{bmatrix} a_{11}^2 & 2a_{11}a_{12} & a_{12}^2 \\ a_{11}a_{21} & a_{11}a_{22} + a_{12}a_{21} & a_{12}a_{22} \\ a_{21}^2 & 2a_{21}a_{22} & a_{22}^2 \end{bmatrix}$$

is integral and is equivalent over \mathbf{C} to $T_{(2,0)}$, but as representations of $\mathrm{GL}_2(K)$ $T_{(2,0)}$ and $T'_{(2,0)}$ are not equivalent over fields of characteristic 2—they are not even irreducible!

This is not a serious drawback: we are usually interested only in the composition factors of these representations. These turn out to be the same, whichever \mathbf{Z} -form is chosen, by a theorem of Brauer and Nesbitt (see Curtis and Reiner [1981, (16.16)]).

1.3 The polynomial algebra

As a first step in generalising Schur's procedure, discussed in the last section, we replace \mathbf{C} by an arbitrary infinite field K . Consider the set K^Γ of all maps from $\Gamma = \Gamma_K = \text{GL}_n(K)$ to K . It is clear that K^Γ is a commutative K -algebra having addition and multiplication defined pointwise, and with identity $1 : x \mapsto 1_K$. In fact, we have

Lemma 1.3.1 K^Γ is a (Γ, Γ) -bimodule under the actions of left and right translation of functions; that is, for $f \in K^\Gamma$ and $g \in \Gamma$, define $g \circ f$ and $f \circ g$ in K^Γ by

$$\begin{aligned}(g \circ f)(x) &= f(xg) \\ (f \circ g)(x) &= f(gx)\end{aligned}$$

for all $x \in \Gamma$. These actions are linear, multiplicative and the left and right actions commute.

Definition 1.3.2 For each pair $\alpha, \beta \in \mathbf{n}$, define the *coefficient functions* or *coordinate functions* $c_{\alpha\beta} \in K^\Gamma$ by

$$c_{\alpha\beta}(g) = g_{\alpha\beta}$$

for all $g = [g_{\alpha\beta}] \in \Gamma_K$. The algebra of polynomial functions on Γ_K , denoted $A_K(n)$, is the subalgebra of K^Γ generated by the n^2 coefficient functions $c_{\alpha\beta}$ ($\alpha, \beta \in \mathbf{n}$).

The set $\{c_{\alpha\beta} : \alpha, \beta \in \mathbf{n}\}$ is algebraically independent over K since K is infinite, and so $A_K(n)$ can be regarded as the algebra of all polynomials in the n^2 indeterminates $c_{\alpha\beta}$. The elements of $A_K(n)$ are called *polynomial functions* on Γ_K . We often drop the subscript K when the field is understood.

Definition 1.3.3 For any $r \geq 0$, denote by $A_r = A_K(n, r)$ the K -subspace of $A_K(n)$ generated by those elements in $A_K(n)$ that, considered as polynomials in the $c_{\alpha\beta}$ are homogeneous of total degree r .

Clearly we have a grading

$$A_K(n) = \bigoplus_{r \geq 0} A_K(n, r)$$

by homogeneous degree, where we define $A_K(n, 0) = K1$. The argument justifying 1.2.2 can be generalised in an obvious way to give

Theorem 1.3.4 A_r has K -basis

$$\{c_{i,j} = c_{i_1 j_1} \cdots c_{i_r j_r} : (i, j) \in \Omega\},$$

where Ω is a transversal of the set of all G -orbits on $I \times I$; hence

$$\dim A_r = \binom{n^2 + r - 1}{r}.$$

The next observation relates A_r to K^Γ .

Lemma 1.3.5 A_r is a (Γ, Γ) -sub-bimodule of K^Γ for all $r > 0$. In fact, for any $i, j \in I$ and $g, h \in \Gamma_K$,

$$\begin{aligned} g \circ c_{i,j} &= \sum_{t \in I} g_{t,j} c_{i,t} \\ c_{i,j} \circ h &= \sum_{t \in I} h_{i,t} c_{t,j} \end{aligned}$$

where we write $g_{t,j} = g_{t_1 j_1} \cdots g_{t_r j_r}$ (with a similar definition for $h_{i,t}$). Further, A_0 is a (Γ, Γ) -bimodule since $g \circ 1 = 1 = 1 \circ h$.

Proof For any $x, g \in \Gamma$ and $\alpha, \beta \in \mathfrak{n}$ we have, using 1.3.1,

$$(g \circ c_{\alpha\beta})(x) = c_{\alpha\beta}(xg) = [xg]_{\alpha\beta} = \sum_{\gamma \in \mathfrak{n}} x_{\alpha\gamma} g_{\gamma\beta}$$

and so $g \circ c_{\alpha\beta} = \sum_{\gamma} c_{\alpha\gamma} g_{\gamma\beta}$. Thus

$$\begin{aligned} g \circ c_{i,j} &= g \circ (c_{i_1 j_1} \cdots c_{i_r j_r}) \\ &= \prod_{\rho \in \mathfrak{r}} (g \circ c_{i_\rho j_\rho}) \\ &= \prod_{\rho \in \mathfrak{r}} \sum_{t_\rho \in \mathfrak{n}} g_{t_\rho j_\rho} c_{i_\rho t_\rho} \\ &= \sum_{t \in I} g_{t,j} c_{i,t}. \end{aligned}$$

Similarly for the right action. □

We now extend the actions of Γ to actions of the group algebra $K\Gamma$. (Recall that if H is an infinite group, the group algebra KH is the collection of all $\sum \alpha_g g$, where $\alpha_g = 0$ for all but a finite number of the g .) Now, taking $\kappa = \sum_{\Gamma} \alpha_g g \in K\Gamma$ and $f \in K^\Gamma$, we put