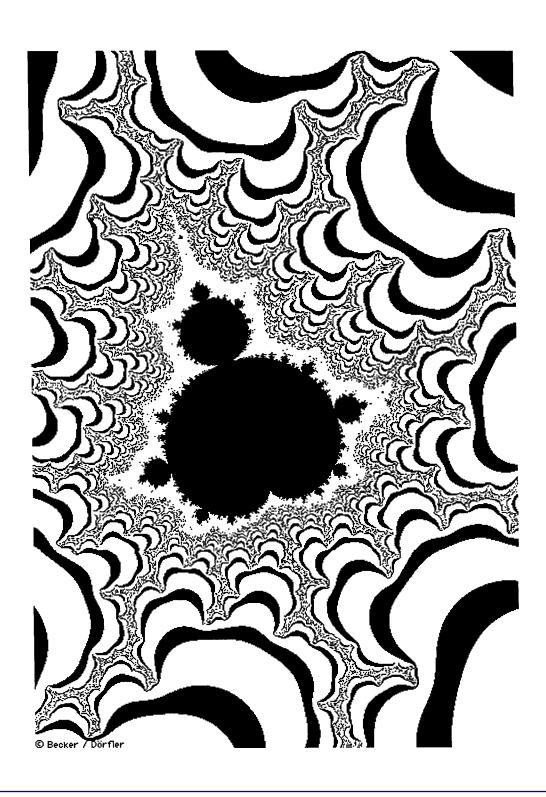


## Dynamical systems and fractals

Computer graphics experiments in Pascal





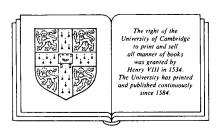


# Dynamical systems and fractals

Computer graphics experiments in Pascal

Karl-Heinz Becker Michael Dörfler

Translated by Ian Stewart



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Frontmatter More information

#### Contents

Forewor	d

New Directions in Computer Graphics: Experimental Mathematics			vii
Рге	face to the	e German Edition	xi
1	Research	ers Discover Chaos	1
	1.1	Chaos and Dynamical Systems - What Are They?	3
	1.2	Computer Graphics Experiments and Art.	6
2	Between	Order and Chaos: Feigenbaum Diagrams	17
	2.1	First Experiments	18
	2.1.1	It's Prettier with Graphics	27
	2.1.2	GraphicalIteration	34
	2.2	Fig-trees Forever	37
	2.2.1	Bifurcation Scenario - the Magic Number 'Delta	46
	2.2.2	Attractors and Frontiers	48
	2.2.3	FeigenbaumLandscapes	51
	2.3	Chaos - Two Sides to the Same Coin	53
3	Strange	Attractors	55
	3.1	The Strange Attractor	56
	3.2	The Hénon Attractor	62
	3.3	The Lorenz Attractor	64
4	Greetings from Sir Isaac		
	4.1	Newton's Method	72
	4.2	Complex Is Not Complicated	81
	4.3	Carl Friedrich Gauss meets Isaac Newton	86
5	Complex	x Frontiers	91
	5.1	Julia and His Boundaries	92
	5.2	Simple Formulas give Interesting Boundaries	108
6	Encounter with the Gingerbread Man		
	6.1	A Superstar with Frills	128
	6.2	Tomogram of the Gingerbread Man	145
	6.3	Fig-tree and Gingerbread Man	159
	6.4	Metamorphoses	167



**Dynamical Systems and Fractals** 

Frontmatter

More information

vi

179 New Sights - new Insights 7 Up Hill and Down Dale 186 7.1 Invert It - It's Worth It! 7.2 186 7.3 The World is Round 192 7.4 Inside Story 199 Fractal Computer Graphics 203 8 8.1 All Kinds of Fractal Curves 204 8.2 211 Landscapes: Trees, Grass, Clouds, Mountains, and Lakes 8.3 Graftals 216 224 8.4 Repetitive Designs 9 Step by Step into Chaos 231 Journey to the Land of Infinite Structures 247 10 Building Blocks for Graphics Experiments 257 11 The Fundamental Algorithms 258 11.1 11.2 Fractals Revisited 267 281 11.3 Ready, Steady, Go! The Loneliness of the Long-distance Reckoner 288 11.4 11.5 What You See Is What You Get 303 A Picture Takes a Trip 319 11.6 327 Pascal and the Fig-trees 12 12.1 Some Are More Equal Than Others - Graphics on 328 Other Systems 12.2 MS-DOS and PS/2 Systems 328 337 12.3 UNIX Systems 347 12.4 Macintosh Systems 12.5 Atari Systems 361 12.6 Apple II Systems 366 12.7 'Kermit Here' - Communications 374 379 **Appendices** 380 Data for Selected Computer Graphics 13.1 13.2 383 Figure Index 388 13.3 Program Index 391 13.4 Bibliography 393 13.5 Acknowledgements Index 395



#### Foreword

### New Directions in Computer Graphics: Experimental Mathematics

As a mathematician one is accustomed to many things. Hardly any other academics encounter as much prejudice as we do. To most people, mathematics is the most colourless of all school subjects – incomprehensible, boring, or just terribly dry. And presumably, we mathematicians must be the same, or at least somewhat strange. We deal with a subject that (as everyone knows) is actually complete. Can there still be anything left to find out? And if yes, then surely it must be totally uninteresting, or even superfluous.

Thus it is for us quite unaccustomed that our work should so suddenly be confronted with so much public interest. In a way, a star has risen on the horizon of scientific knowledge, that everyone sees in their path.

Experimental mathematics, a child of our 'Computer Age', allows us glimpses into the world of numbers that are breathtaking, not just to mathematicians. Abstract concepts, until recently known only to specialists – for example Feigenbaum diagrams or Julia sets – are becoming vivid objects, which even renew the motivation of students. Beauty and mathematics: they belong together visibly, and not just in the eyes of mathematicians.

Experimental mathematics: that sounds almost like a self-contradiction! Mathematics is supposed to be founded on purely abstract, logically provable relationships. Experiments seem to have no place here. But in reality, mathematicians, by nature, have always experimented: with pencil and paper, or whatever equivalent was available. Even the relationship  $a^2+b^2=c^2$ , well-known to all school pupils, for the sides of a right-angled triangle, didn't just fall into Pythagoras' lap out of the blue. The proof of this equation came after knowledge of many examples. The working out of examples is a typical part of mathematical work. Intuition develops from examples. Conjectures are formed, and perhaps afterwards a provable relationship is discerned. But it may also demonstrate that a conjecture was wrong: a single counter-example suffices.

Computers and computer graphics have lent a new quality to the working out of examples. The enormous calculating power of modern computers makes it possible to study problems that could never be assaulted with pencil and paper. This results in gigantic data sets, which describe the results of the particular calculation. Computer graphics enable us to handle these data sets: they become visible. And so, we are currently gaining insights into mathematical structures of such infinite complexity that we could not even have dreamed of it until recently.

Some years ago the Institute for Dynamical Systems of the University of Bremen was able to begin the installation of an extensive computer laboratory, enabling its



viii

Dynamical Systems and Fractals

members to carry out far more complicated mathematical experiments. Complex dynamical systems are studied here; in particular mathematical models of changing or self-modifying systems that arise from physics, chemistry, or biology (planetary orbits, chemical reactions, or population development). In 1983 one of the Institute's research groups concerned itself with so-called *Julia sets*. The bizarre beauty of these objects lent wings to fantasy, and suddenly was born the idea of displaying the resulting pictures as a public exhibition.

Such a step down from the 'ivory tower' of science, is of course not easy. Nevertheless, the stone began to roll. The action group 'Bremen and its University', as well as the generous support of Bremen Savings Bank, ultimately made it possible: in January 1984 the exhibition *Harmony in Chaos and Cosmos* opened in the large bank lobby. After the hectic preparation for the exhibition, and the last-minute completion of a programme catalogue, we now thought we could dot the i's and cross the last t's. But something different happened: ever louder became the cry to present the results of our experiments outside Bremen, too. And so, within a few months, the almost completely new exhibition *Morphology of Complex Boundaries* took shape. Its journey through many universities and German institutes began in the Max Planck Institute for Biophysical Chemistry (Göttingen) and the Max Planck Institute for Mathematics (in Bonn Savings Bank).

An avalanche had broken loose. The boundaries within which we were able to present our experiments and the theory of dynamical systems became ever wider. Even in (for us) completely unaccustomed media, such as the magazine Geo on ZDF television, word was spread. Finally, even the Goethe Institute opted for a world-wide exhibition of our computer graphics. So we began a third time (which is everyone's right, as they say in Bremen), equipped with fairly extensive experience. Graphics, which had become for us a bit too brightly coloured, were worked over once more. Naturally, the results of our latest experiments were added as well. The première was celebrated in May 1985 in the 'Böttcherstrasse Gallery'. The exhibition Schönheit im Chaos/Frontiers of Chaos has been travelling throughout the world ever since, and is constantly booked. Mostly, it is shown in natural science museums.

It's no wonder that every day we receive many enquiries about computer graphics, exhibition catalogues (which by the way were all sold out) and even programming instructions for the experiments. Naturally, one can't answer all enquiries personally. But what are books for? The Beauty of Fractals, that is to say the book about the exhibition, became a prizewinner and the greatest success of the scientific publishing company Springer-Verlag. Experts can enlighten themselves over the technical details in The Science of Fractal Images, and with The Game of Fractal Images lucky Macintosh II owners, even without any further knowledge, can boot up their computers and go on a journey of discovery at once. But what about all the many home computer fans, who themselves like to program, and thus would like simple, but exact. information? The book lying in front of you by Karl-Heinz Becker and Michael Dörfler fills a gap that has



Foreword

too long been open.

The two authors of this book became aware of our experiments in 1984, and through our exhibitions have taken wing with their own experiments. After didactic preparation they now provide, in this book, a quasi-experimental introduction to our field of research. A veritable kaleidoscope is laid out: dynamical systems are introduced, bifurcation diagrams are computed, chaos is produced, Julia sets unfold, and over it all looms the 'Gingerbread Man' (the nickname for the Mandelbrot set). For all of these, there are innumerable experiments, some of which enable us to create fantastic computer graphics for ourselves. Naturally, a lot of mathematical theory lies behind it all, and is needed to understand the problems in full detail. But in order to experiment oneself (even if in perhaps not quite as streetwise a fashion as a mathematician) the theory is luckily not essential. And so every home computer fan can easily enjoy the astonishing results of his or her experiments. But perhaps one or the other of these will let themselves get really curious. Now that person can be helped, for that is why it exists: the study of mathematics.

But next, our research group wishes you lots of fun studying this book, and great success in your own experiments. And please, be patient: a home computer is no 'express train' (or, more accurately, no supercomputer). Consequently some of the experiments may tax the 'little ones' quite nicely. Sometimes, we also have the same problems in our computer laboratory. But we console ourselves: as always, next year there will be a newer, faster, and simultaneously cheaper computer. Maybe even for Christmas... but please with colour graphics, because then the fun *really* starts.

Research Group in Complex Dynamics University of Bremen

Hartmut Jürgens



#### Preface to the German Edition

Today the 'theory of complex dynamical systems' is often referred to as a revolution, illuminating all of science. Computer-graphical methods and experiments today define the methodology of a new branch of mathematics: 'experimental mathematics'. Its content is above all the theory of complex dynamical systems. 'Experimental' here refers primarily to computers and computer graphics. In contrast to the experiments are 'mathematical cross-connections', analysed with the aid of computers, whose examples were discovered using computer-graphical methods. The mysterious structure of these computer graphics conceals secrets which still remain unknown, and lie at the frontiers of thought in several areas of science. If what we now know amounts to a revolution, then we must expect further revolutions to occur.

- The groundwork must therefore be prepared, and
- people must be found who can communicate the new knowledge.

We believe that the current favourable research situation has been created by the growing power and cheapness of computers. More and more they are being used as research tools. But science's achievement has always been to do what can be done. Here we should mention the name of Benoi§t B. Mandelbrot, a scientific outsider who worked for many years to develop the fundamental mathematical concept of a fractal and to bring it to life.

Other research teams have developed special graphical techniques. University of Bremen fruitful interaction of mathematicians and physicists has led to results which have been presented to a wide public. In this context the unprecedented popular writings of the group working under Professors Heinz-Otto Peitgen and Peter H. Richter must be mentioned. They brought computer graphics to an interested public in many fantastic exhibitions. The questions formulated were explained non-technically in the accompanying programmes and exhibition catalogues and were thus made accessible to laymen. They recognised a further challenge, to emerge from the Ivory Tower' of science, so that scientific reports and congresses were arranged not only in the university. More broadly, the research group presented its results in the magazine Geo, on ZDF television programmes, and in worldwide exhibitions arranged by the Goethe Institute. We know of no other instance where the bridge from the foremost frontier of research to a wide lay public has been built in such a short time. In our own way we hope to extend that effort in this book. We hope, while dealing with the discoveries of the research group, to open for many readers the path to their own experiments. Perhaps in this way we can lead them towards a deeper understanding of the problems connected with mathematical feedback.

Our book is intended for everyone who has a computer system at their disposal and who enjoys experimenting with computer graphics. The necessary mathematical formulas are so simple that they can easily be understood or used in simple ways. The reader will rapidly be brought into contact with a frontier of today's scientific research, in which



xii

Dynamical Systems and Fractals

hardly any insight would be possible without the use of computer systems and graphical data processing.

This book divides into two main parts. In the first part (Chapters 1-10), the reader is introduced to interesting problems and sometimes a solution in the form of a program fragment. A large number of exercises lead to individual experimental work and independent study. The first part closes with a survey of 'possible' applications of this new theory.

In the second part (from Chapter 11 onwards) the modular concept of our program fragments is introduced in connection with selected problem solutions. In particular, readers who have never before worked with Pascal will find in Chapter 11 - and indeed throughout the entire book - a great number of program fragments, with whose aid independent computer experimentation can be carried out. Chapter 12 provides reference programs and special tips for dealing with graphics in different operating systems and programming languages. The contents apply to MS-DOS systems with Turbo Pascal and UNIX 4.2 BSD systems, with hints on Berkeley Pascal and C. Further example programs, which show how the graphics routines fit together, are given for Macintosh systems (Turbo Pascal, Lightspeed Pascal, Lightspeed C), the Atari (ST Pascal Plus), the Apple IIe (UCSD Pascal), and the Apple IIGS (TML Pascal).

We are grateful to the Bremen research group and the Vieweg Company for extensive advice and assistance. And, not least, to our readers. Your letters and hints have convinced us to rewrite the first edition so much that the result is virtually a new book – which, we hope, is more beautiful, better, more detailed, and has many new ideas for computer graphics experiments.

Bremen Karl-Heinz Becker Michael Dörfler