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THE CAMBRIDGE HISTORY
OF CHINA

General Editors

DENIS TWITCHETT and JOHN K. FAIRBANK

Volume 9

Part One: The Ch'ing Empire to 1800

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THE CAMBRIDGE HISTORY OF CHINA

Volume 9
Part One: The Ch'ing Empire to 1800

edited by

WILLARD J. PETERSON

Princeton University



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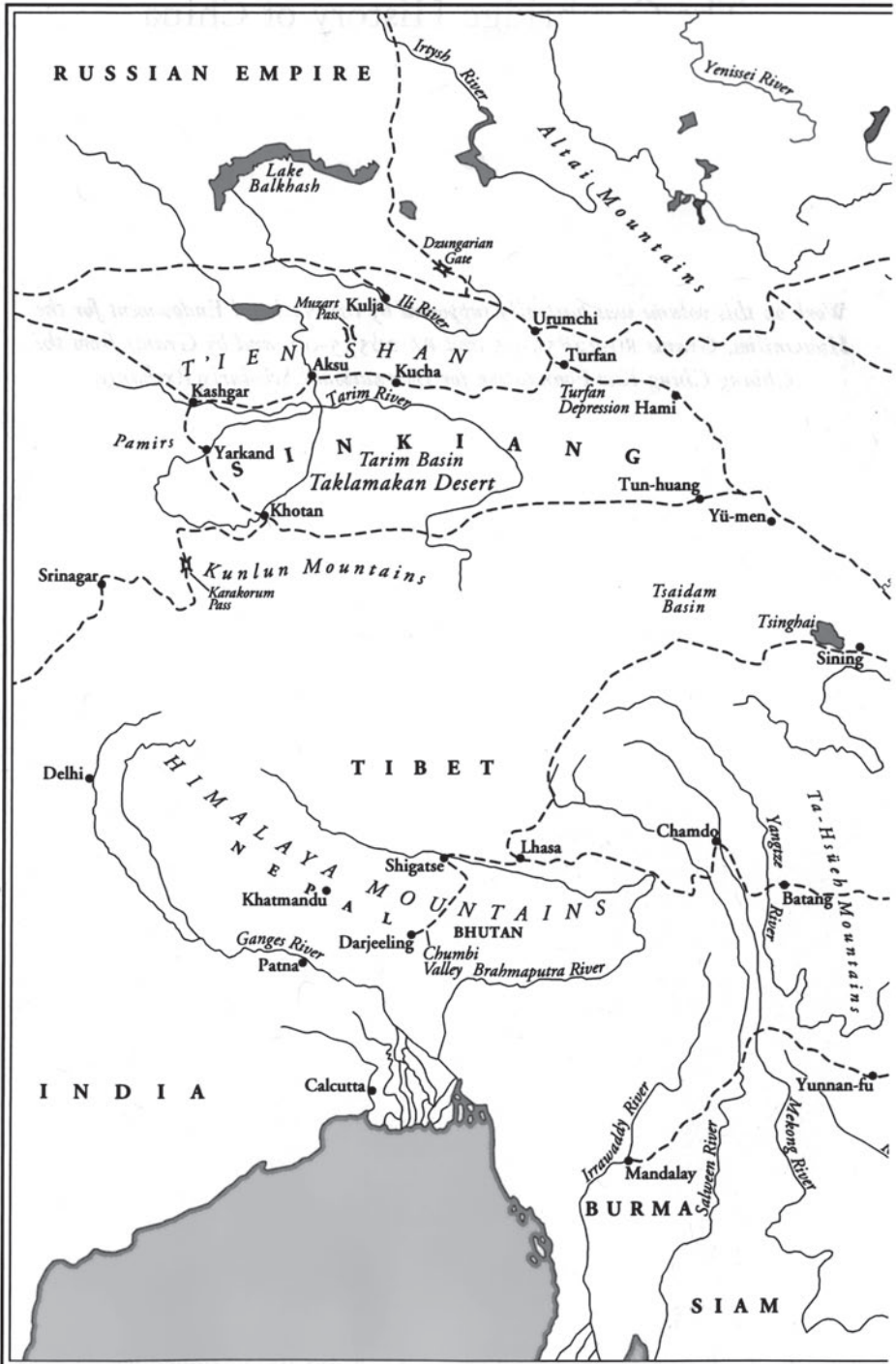
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Edited by Willard J. Peterson

Frontmatter

[More information](#)

Map 1. *The Ch'ing empire – physical features.* John K. Fairbank, ed. *Late Ch'ing, 1800–1911, Part 1, Vol. 10* of *The Cambridge History of China* (New York: Cambridge University Press, 1978), Map 1, p. xii.

Cambridge University Press
 978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing Empire to 1800
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 Frontmatter
[More information](#)



Map I. (Continued)

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Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

CONTENTS

<i>List of Tables and Graphs</i>	page	xiii
<i>List of Maps</i>		xv
<i>General Editors' Preface</i>		xvii
<i>Preface to Volume 9, Part One</i>		xxi
<i>List of Abbreviations</i>		xxiii
<i>Ch'ing Rulers to 1800</i>		xxv
Introduction New Order for the Old Order by WILLARD J. PETERSON, <i>Princeton University</i>		I
1 State Building before 1644 by GERTRAUDE ROTH LI, <i>University of Hawaii</i>		9
The Jurchens during the Ming		9
Nurhaci: Forging a Manchu Polity		27
Hung Taiji: Building an Empire		51
2 The Shun-chih Reign by JERRY DENNERLINE, <i>Amherst College</i>		73
The Succession Dispute and the Ch'ing Mandate		74
The Conquest of North China and the Lower Yangtze Valley, 1644–1645		83
Prince Regent Dorgon and Factional Politics		89
The Southern and Western Campaigns and Dorgon's Ascendency, 1646–1648		92
The Politics of Crisis, 1648–1649		97
The Death of Dorgon and Transition to Direct Imperial Rule		101
The Anticorruption Campaign and the Revival of Literati Politics		106

Cambridge University Press

978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

x

CONTENTS

	Personal Rule, Reform, and Confrontation	112
	The End of the Reign and the Return of the Old Guard	116
3	The K'ang-hsi Reign	120
	by JONATHAN SPENCE, <i>Yale University</i>	
	A Brief Chronology of the K'ang-hsi Reign	121
	The K'ang-hsi Emperor's Accession to Power	125
	The Reunification of the Realm	136
	The Consolidation of Ch'ing Borders	150
	Factional Politics	160
	The Politics of Administration	170
	Imperial Patronage of Learning and Culture	179
4	The Yung-cheng Reign	183
	by MADELEINE ZELIN, <i>Columbia University</i>	
	Usurper or Rightful Heir?	183
	Literary Inquisitions	189
	Rulership under the Yung-cheng Emperor	191
	Reform during the Yung-cheng Reign	203
	Extending the Reach of the State	221
	Assessing the Yung-cheng Reign	228
5	The Ch'ien-lung Reign	230
	by ALEXANDER WOODSIDE, <i>University of British Columbia</i>	
	Introduction: Placing the Reign in Chinese History	230
	The Politics and Economics of Ch'ien-lung's Wars	250
	The Ch'ien-lung Emperor and the Scholar Elite	282
	Political Theory Struggles and the Corruption and Poverty Problems	293
6	The Conquest Elite of the Ch'ing Empire	310
	by PAMELA KYLE CROSSLEY, <i>Dartmouth College</i>	
	Function and Identity in Formation of the Empire	313
	The First Wave of Conquest, 1630–1700	326
	The Second Wave of Conquest, 1700–1800	345
	Fading Functions and the Caste of Identity	358

Cambridge University Press

978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

CONTENTS

xi

7	The Social Roles of Literati in Early to Mid-Ch'ing by BENJAMIN A. ELMAN, <i>Princeton University</i>	360
	Literati Education, Elite Society, and Civil Examinations Empirewide	361
	Empowering Classical Literacy before 1800	369
	The Changing Intellectual and Social Context in Eighteenth-Century China	393
	The Transformation of Literati Roles by 1800	419
	Epilogue	426
8	Women, Families, and Gender Relations by SUSAN MANN, <i>University of California, Davis</i>	428
	The Unique Conditions of Ch'ing Rule	430
	Late Ming and Early Ch'ing Continuities	440
	Families and Gender Relations beyond the Family	448
	Conclusion	471
9	Social Stability and Social Change by WILLIAM T. ROWE, <i>Johns Hopkins University</i>	473
	Population and Prosperity	474
	Movement	480
	Stratification and Social Mobility	485
	Debasement and Servitude	493
	Ethnicity	502
	Agrarian Relations	512
	Work	522
	Kinship	529
	Towns and Cities	537
	Philanthropy	546
	Religious Organization	550
	Conflict	555
	Summary	561
10	Economic Developments, 1644–1800 by RAMON H. MYERS, <i>The Hoover Institution, Stanford University</i> , and YEH-CHIEN WANG, <i>Academia Sinica, ROC</i>	563
	Significant Economic Developments	564
	Early Ch'ing Economy Path Dependence	576
	The Imperial State and the Market Economy	591

Cambridge University Press

978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing
Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

xii

CONTENTS

Enhancing Society's Wealth	592
Centralizing Tax Revenue Collection	604
Upholding the Ideal Confucian Society	606
Private and Hybrid Economic Organizations	609
Economic Crops and Handicraft Industries	617
Hybrid Economic Organizations: Salt Production and Distribution	624
The Money Supply and Financial Organizations	626
Transaction Costs, Transformation Costs, and Externalities	630
Conclusion	641
<i>Bibliography</i>	647
<i>Glossary-Index</i>	715

Cambridge University Press

978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

TABLES AND GRAPHS

Ch'ing Rulers to 1800	<i>page xxv</i>
Table 2.1 Princes of the Blood (with dates of birth and death where known)	75
Table 2.2 Princes of the Blood in 1643, by age, with Banners they headed	79
Table 2.3 Ages of Princes of the Blood in 1649 with Banners they headed	100
Table 2.4 Ages of Princes of the Blood in 1653	112
Figure 6.1 Rank holders by category, as percentages of all rank holders, c. 1644 and c. 1670	332
Figure 6.2 Ratio of each category of rank holders in comparison with that category's percentage among all bannermen	332
Figure 6.3 Trends in relative percentages of each category in all banner registration, 1644, 1667, and 1723	340
Table 7.1 The format of provincial and metropolitan civil service examinations, 1646–1756	367
Table 7.2 Chart of civil examinations and degrees during the Ming and Ch'ing	379
Table 7.3 Reformed format of provincial and metropolitan civil service examinations, 1757–1787	409
Table 7.4 Reformed format of provincial and metropolitan civil service examinations, 1793–1898	413
Table 10.1 Distribution of population and population density by developmental areas, 1786	568
Table 10.2 Population density groups in European countries (1750) and China (1786)	570
Table 10.3 Trend of population, cultivated land, silver stocks, and rice prices in China, c. 1650–1930	571
Table 10.4 Natural calamities, tax exemption, and disaster relief in the Ch'ing period	603

Cambridge University Press

978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing
Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

Cambridge University Press

978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

MAPS

1. The Ch'ing empire – physical features	<i>page</i> iv
2. Liaotung and vicinity in 1600	32
3. The Ming campaign against Nurhaci, spring 1619	43
4. Ch'ing campaigns into Ming territories, 1645–1650	93
5. Suppression of the “Three Feudatories”	144
6. Eighteen provincial administrative areas	222
7. Eastern Central Asia in the eighteenth century	251
8. The Ch'ing empire in 1759	280
9. Main population movements during the eighteenth century	567
10. Geographical distribution of major food crops in the eighteenth century	581
11. Distribution of Ming and Ch'ing customs houses defining the Ch'ing empire's integrated market economy (by the eighteenth century)	584
12. Grain supply areas and interregional grain transfers in the eighteenth century	613

Cambridge University Press

978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing
Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

Cambridge University Press

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Edited by Willard J. Peterson

Frontmatter

[More information](#)

GENERAL EDITORS' PREFACE

When *The Cambridge History of China* was first planned, more than three decades ago, it was naturally intended that it should begin with the very earliest periods of Chinese history. However, the production of the series has taken place over a period of years when our knowledge both of Chinese pre-history and of much of the first millennium BC has been transformed by the spate of archeological discoveries that began in the 1920s and has been gathering increasing momentum since the early 1970s. This flood of new information has changed our view of early history repeatedly, and there is not yet any generally accepted synthesis of this new evidence and the traditional written record. In spite of repeated efforts to plan and produce a volume or volumes that would summarize the present state of our knowledge of early China, it has so far proved impossible to do so. It may well be another decade before it will prove practical to undertake a synthesis of all these new discoveries that is likely to have some enduring value. Reluctantly, therefore, we begin the coverage of *The Cambridge History of China* with the establishment of the first imperial regimes, those of Ch'in and Han. We are conscious that this leaves a millennium or more of the recorded past to be dealt with elsewhere and at another time. We are equally conscious of the fact that the events and developments of the first millennium BC laid the foundations for the Chinese society and its ideas and institutions that we are about to describe. The institutions, the literary and artistic culture, the social forms, and the systems of ideas and beliefs of Ch'in and Han were firmly rooted in the past, and cannot be understood without some knowledge of this earlier history. As the modern world grows more interconnected, historical understanding of it becomes ever more necessary and the historian's task ever more complex. Fact and theory affect each other even as sources proliferate and knowledge increases. Merely to summarize what is known becomes an awesome task, yet a factual basis of knowledge is increasingly essential for historical thinking.

Since the beginning of the century, the Cambridge histories have set a pattern in the English-reading world for multivolume series containing chapters written by specialists under the guidance of volume editors. *The*

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Edited by Willard J. Peterson

Frontmatter

[More information](#)

xviii

GENERAL EDITORS' PREFACE

Cambridge Modern History, planned by Lord Acton, appeared in sixteen volumes between 1902 and 1912. It was followed by *The Cambridge Ancient History*, *The Cambridge Medieval History*, *The Cambridge History of English Literature*, and Cambridge histories of India, of Poland, and of the British Empire. The original *Modern History* has now been replaced by *The New Cambridge Modern History* in twelve volumes, and *The Cambridge Economic History of Europe* is now being completed. Other Cambridge histories include histories of Islam, Arabic literature, Iran, Judaism, Africa, Japan, and Latin America.

In the case of China, Western historians face a special problem. The history of Chinese civilization is more extensive and complex than that of any single Western nation, and only slightly less ramified than the history of European civilization as a whole. The Chinese historical record is immensely detailed and extensive, and Chinese historical scholarship has been highly developed and sophisticated for many centuries. Yet until recent decades, the study of China in the West, despite the important pioneer work of European sinologists, had hardly progressed beyond the translation of some few classical historical texts, and the outline history of the major dynasties and their institutions.

Recently Western scholars have drawn more fully upon the rich traditions of historical scholarship in China and also in Japan, and greatly advanced both our detailed knowledge of past events and institutions, and also our critical understanding of traditional historiography. In addition, the present generation of Western historians of China can draw upon the new outlooks and techniques of modern Western historical scholarship, and upon recent developments in the social sciences, while continuing to build upon the solid foundations of rapidly progressing European, Japanese, and Chinese studies. Recent historical events, too, have given prominence to new problems, while throwing into question many older conceptions. Under these multiple impacts the Western revolution in Chinese studies is steadily gathering momentum.

When *The Cambridge History of China* was first planned in 1966, the aim was to provide a substantial account of the history of China as a benchmark for the Western history-reading public: an account of the current state of knowledge in six volumes. Since then the outpouring of current research, the application of new methods, and the extension of scholarship into new fields have further stimulated Chinese historical studies. This growth is indicated by the fact that the history has now become a planned fifteen volumes, but will still leave out such topics as the history of art and of literature, many aspects of economics and technology, and all the riches of local history.

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978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing
Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

GENERAL EDITORS' PREFACE

xix

The striking advances in our knowledge of China's past over the last decade will continue and accelerate. Western historians of this great and complex subject are justified in their efforts by the needs of their own peoples for greater and deeper understanding of China. Chinese history belongs to the world not only as a right and necessity, but also as a subject of compelling interest.

JOHN K. FAIRBANK

DENIS TWITCHETT

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Frontmatter

[More information](#)

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978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing Empire to 1800

Edited by Willard J. Peterson

Frontmatter

[More information](#)

PREFACE

Since the initial planning for *The Cambridge History of China* series more than thirty years ago, certain conventions have been observed and certain inconsistencies have infiltrated.

For romanizing proper names (names of persons, places, titles) and selected terms in Chinese, the Wade-Giles system is the standard. The standard is compromised for place names deemed "familiar" in the established (i.e., *pre-pinyin*) literature, such as Peking (for Pei-ching, and not Beijing) and Canton (for Kuang-chou). For place names deemed "not familiar," a Wade-Giles romanization is used. When place names appear in titles in the bibliography, they are consistently in the Wade-Giles romanization system. Generally, the convention for this volume has been to try to observe the practice followed in Volumes 7, 8, and 10, but some arbitrary decisions have been required. Generally, place names (e.g., provinces) in use during the Ch'ing period to 1800 are used in this volume, except in cases where a current geographical term is introduced to clarify the reference. For Manchu and Mongol names, the standard set in A. W. Hummel, ed., *Eminent Chinese of the Ch'ing Period*, has been noted and sometimes adjusted for linguistic reasons.

Translations of terms and official titles are uniform between chapters, with some violence done to the preferences of the authors of certain chapters. Translations cannot be consistent between Volumes 7–8 and Volume 10. The glaring difference is "Ministry" for *pu* in the Ming volumes and "Board" in Volume 10; the point is debatable, but the editorial decision was that *pu* in the Ch'ing period considered in this volume were more like ministries than like boards. There are other examples, and they reflect the unfortunate fact that, until recently, nineteenth-century history was treated as part of "modern China" and separate from what went before. The editorial bias for this volume is to seek continuities with the Ming volumes.

The names of the reigns (K'ang-hsi, Ch'ien-lung) of emperors are routinely treated as if they were the names of the emperors themselves. There are several good reasons for this practice, even though it is historiographically erroneous. We adopt it here as a convention that needs no apology.

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978-0-521-24334-6 - The Cambridge History of China: Volume 9: Part One: The Ch'ing
Empire to 1800
Edited by Willard J. Peterson
Frontmatter
[More information](#)

xxii

PREFACE TO VOLUME 9, PART ONE

ACKNOWLEDGMENTS

The editor of this volume, like the editors of the other volumes in *The Cambridge History of China* series, has accrued many debts of gratitude. The foremost debt is to the authors of the chapters gathered here. They generally were prompt in meeting deadlines, responsive to queries, and forbearing toward editorial tinkering. They were long-suffering. Half of them were recruited many years ago by Frederick Wakeman, Jr., who originally planned this volume, and I acknowledge my gratitude to him for them. All of us, chapter authors, the readers, and I are indebted to Denis C. Twitchett, my mentor and former colleague, whose vision and perseverance have been essential for the existence of *The Cambridge History of China*. We are also grateful to Ralph Meyer, whose skill and patience are taxed to find and implement means to process, reconcile, and integrate the disparate information in the chapters, annotations, and bibliographies of several lengthy volumes simultaneously. This editor could not have produced this volume without his valued assistance.

The East Asian Studies Program at Princeton University, directed during the relevant years by Martin C. Collcutt, has generously supported *The Cambridge History of China* project in numerous direct and indirect ways. The project has been privileged to receive financial support from the National Endowment for the Humanities and from the Chiang Ching-Kuo Foundation for International Scholarly Exchange in Taiwan. We are grateful to these institutions for their financial support and the scholarly recognition it implies.

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2002

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Edited by Willard J. Peterson

Frontmatter

[More information](#)

ABBREVIATIONS

BIHP	<i>Chung-yang yen-chiu yüan li-shih yü-yen yen-chiu so (Bulletin of the Institute of History and Philology, Academia Sinica)</i>
CPYC	<i>Yung-cheng chu p'i yü chih, O-erh-t'ai</i>
CS	<i>Ch'ing-shih, Chang Ch'i-yün</i>
CSL-CC	<i>Ta Ch'ing Jen-tsung Jui huang-ti shih-lu</i>
CSL-CL	<i>Ta Ch'ing Kao-tsung Ch'un huang-ti shih-lu</i>
CSL-KH	<i>Ta Ch'ing Sheng-tsu Jen huang-ti shih-lu</i>
CSL-SC	<i>Ta-Ch'ing Shih-tsu Chang huang-ti shih-lu</i>
CSL-YC	<i>Ta Ch'ing Shih-tsung Hsien huang-ti shih-lu</i>
CSWP	<i>Huang-ch'ao ching-shih wen-pien, Ho Ch'ang-ling</i>
DMB	<i>Dictionary of Ming Biography, Goodrich and Fang</i>
ECCP	<i>Eminent Chinese of the Ch'ing period, Hummel</i>
HJAS	<i>Harvard Journal of Asiatic Studies</i>
JAS	<i>Journal of Asian Studies</i>
PCTC	<i>Ch'in-ting Pa-ch'i t'ung-chih ch'u-chi, O-erh-t'ai</i>

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Frontmatter

[More information](#)

Cambridge University Press

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Edited by Willard J. Peterson

Frontmatter

[More information](#)

CH'ING RULERS TO 1800

Personal Name	Lived	Chinese Name of Reign Period	Reign Period (Calendar Years)	Chinese Posthumous Names
Nurhaci	1559-1626	—	—	T'ai-tsu, Kao
(Unknown; referred to as Hung Taiji, a.k.a. Abahai)	1592-1643	—	1627-1643	T'ai-tsung, Wen
		T'ien-tsung	1636-1643	
Fu-lin	1638-1661	Shun-chih	1644-1661	Shih-tsu, Chang
Hsuan-yeh	1654-1722	K'ang-hsi	1662-1722	Sheng-tsu, Jen
Yin-chen	1678-1735	Yung-cheng	1723-1735	Shih-tsung, Hsien
Hung-li	1711-1799	Ch'ien-lung	1736-1795	Kao-tsung, Ch'un
Yung-yen	1760-1820	Chia-ch'ing	1796-1820	Jen-tsung, Jui