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Edited by John W. Chaffee And Denis Twitchett

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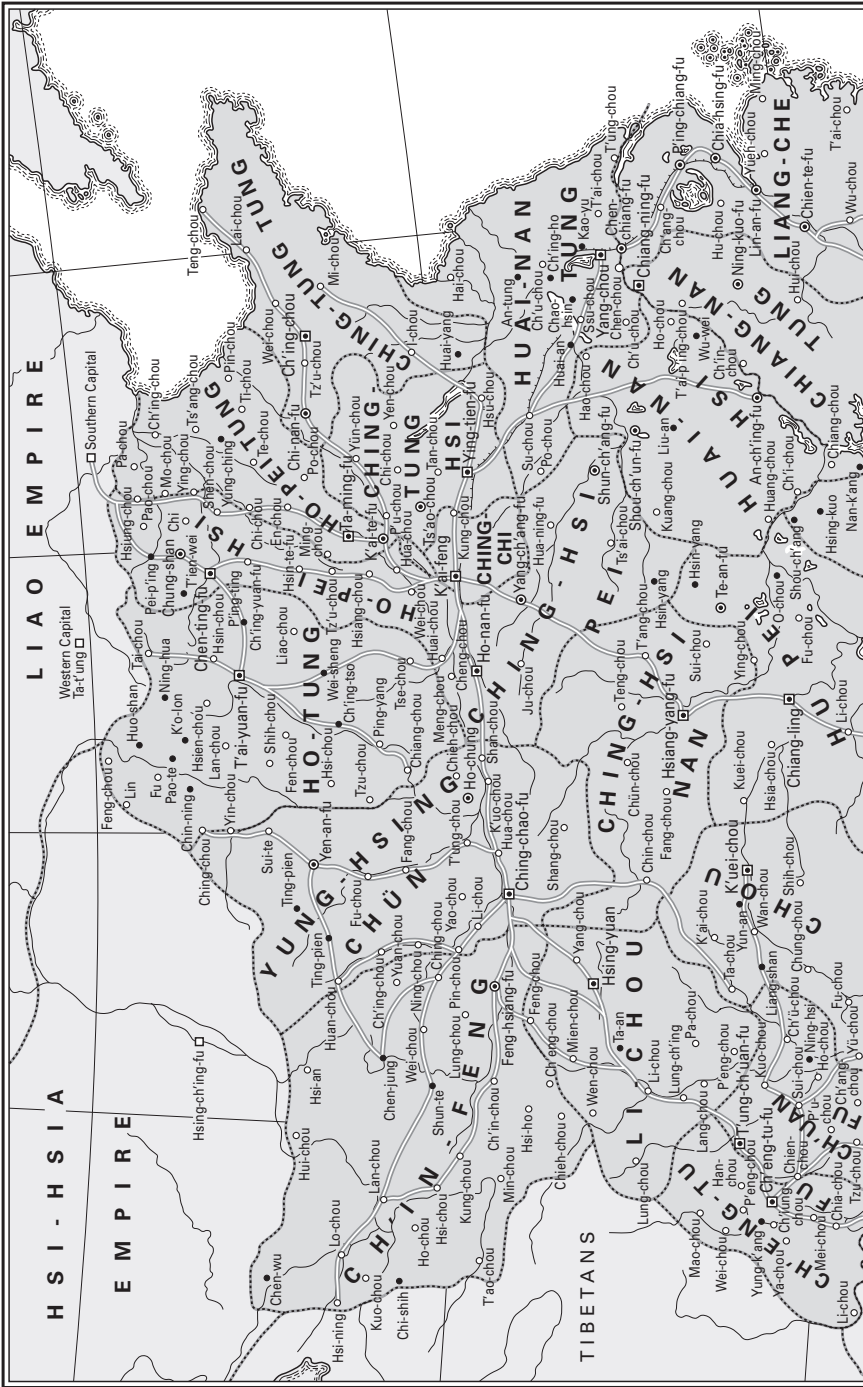
THE CAMBRIDGE HISTORY OF CHINA

General Editors

DENIS TWITCHETT and JOHN K. FAIRBANK

Volume 5

Part Two: Sung China, 960–1279





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ABBREVIATIONS

| | |
|--------|--|
| CMC | <i>Ming-kung shu-p'an Ch'ing-ming chi</i> |
| CSW | <i>Ch'üan Sung wen</i> |
| CWTS | <i>Chiu Wu-tai shih</i> |
| CYTC | <i>Chien-yen i-lai Ch'ao-yeh tsa-chi</i> |
| HCP | <i>Hsü tzu-chih t'ung-chien ch'ang-pien</i> |
| SHT | <i>Sung hsing-t'ung</i> |
| SHY | <i>Sung hui-yao chi-kao</i> |
| SKCS | <i>Ssu-k'u ch'üan-shu</i> |
| SKCSCP | <i>Ssu-k'u ch'üan-shu chen-pen</i> |
| SPPY | <i>Ssu-pu pei-yao</i> |
| SPTK | <i>Ssu-pu ts'ung-k'an</i> |
| SS | <i>Sung shih</i> |
| SYHA | <i>Sung Yüan hsüeh-an</i> |
| TFYK | <i>Ts'e-fu yüan-kuei</i> |
| TLSI | <i>T'ang-lü shu-i</i> |
| WHTK | <i>Wen hsien t'ung k'ao</i> |
| WTHY | <i>Wu-tai hui-yao</i> |
| Yao-lu | <i>Chien-yen i-lai hsi-nien yao-lu</i> |
| YH | <i>Yü-hai</i> |
| YTC | <i>Ch'ung-chiao Yüan-tien-chang liu-shih chüan</i> |

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PREFACE

This volume has a history of its own. In 1966, my late coeditor Denis Twitchett, then “a spry forty-one-year-old” – as he put it – undertook the Herculean task of overseeing, with John K. Fairbank, the creation of *The Cambridge history of China*. This project, which was to occupy him the rest of his life, has to date resulted in fifteen “volumes” with twenty-two individual books, truly one of the great scholarly accomplishments of recent years, rivaled in the field of sinology only by Joseph Needham’s *Science and civilisation in China*.

Even as Denis was working to put together the first volumes of the premodern period – Sui and T’ang (1979), Ch’in and Han (1986) and Ming (1988)¹ – a parallel development was under way that informed the beginnings of the Sung volumes, namely the emergence of Sung studies. According to Conrad Schirokauer, this had its origins at a gathering of half a dozen scholars, Twitchett among them, with a shared sense of the importance of the Sung in Chinese history and a conviction that its study was ripe for development.² From this informal gathering, subsequently referred to as “Sung I,” came the “Sung II Conference” at Feldafing on the Starnbergsee outside Munich in 1971, at which fourteen papers were presented, the first research conference devoted to the Sung outside East Asia. This was a period of ambitious scholarly endeavors in Sung studies, exemplified by the Sung Project, with a Biographical Section led by Herbert Franke and a Bibliographical Section under Yves Hervouet, as well as the beginning of the Sung volume of *The Cambridge history of China*.

I do not know the specifics of that beginning, but can date it to the first half of the 1970s, for in 1986 Denis convened a group of scholars at Princeton to move the Sung volumes forward (even then the plan was to have two parts: historical and topical), and among a number of completed papers on which he

¹ The second part of the Sui and T’ang volume is as yet unpublished.

² Conrad Schirokauer, “Remembering Sung I,” *Journal of Song–Yuan Studies* 40 (2010), pp. 1–6.

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PREFACE

intended to build was one on Northern Sung government by my late adviser, Edward Kracke, who had died in 1976. Amid general enthusiasm a number of additional papers were commissioned, mine among them, to fill out the two volumes. Unfortunately that enthusiasm did not translate into timely results. A number of manuscripts were submitted while others were delayed from year to year, and in the meantime Denis, by his own account, found himself consumed with the completion of Volume 6 on *Alien regimes and border states* (Liao, Chin and Yüan) and by the second Ming volume (Volume 8, Part 2). Then in the year 2000 he recruited Paul Jakov Smith and me to serve as coeditors of Parts 1 and 2 respectively.

When I began working with Denis on this volume, we had a collection of draft chapters, some quite new but others dating back to the 1970s and in sore need of revision. Of the authors who had submitted drafts prior to the 1986 meeting, Peter Golas and Brian McKnight were ready and able to revise theirs (and, as things turned out, to revise them more than once), and now will finally see them appear in print. For three manuscripts, however, authorial revisions were out of the question. Edward Kracke had died in 1976; James T. C. Liu, who had written on Southern Sung government, had died in 1993; and Ira Kasoff, who had written on Northern Sung Neo-Confucianism, had long left the profession. With some regret, Denis, Paul, and I decided that the revisions needed to update these chapters adequately would require radical changes and therefore should not be attempted. We therefore decided to commission new chapters in their place. Charles Hartman's chapter on "Sung government and politics" covers the ground that had been treated separately by Kracke and Liu, while Peter Bol expanded his treatment of Northern Sung intellectual culture to treat the Neo-Confucian masters of that period. We also sought chapters on topics that were not a part of the original plan for the volume. Unfortunately, those on Sung literature, foreign relations with the dynasty's continental neighbors, Taoism, and Buddhism did not in the end materialize. However, Angela Schottenhammer's chapter on "China's emergence as a maritime power" proved to be a welcome and important addition, while others, such as Robert Hymes's chapter on "Sung society and social change" and Shiba Yoshinobu and Joseph McDermott's joint chapter on "Economic change in China, 960–1279," assumed an unanticipated breadth and scope.

It has been far too many years since I joined this volume as a coeditor, for several factors slowed its progress. Some authors were slow in their submissions and, at times, in their revisions. The Editorial Office of the Cambridge History in Princeton, while extremely helpful on the whole, at times proved to be a bottleneck. This was particularly the case because its priority was understandably given to the Sung historical volume (Volume 5, Part 1), whose

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contributions were ready much earlier and which appeared in 2009, as well as the Ch'ing dynasty Volume 9 (Parts 1 and 2), something I eventually remedied by hiring editorial help at Binghamton for the final editorial work on the volume. The most important factor, however, was the death of Denis in 2006. Although he worked on the volume until almost the end and we had already made the most important decisions concerning the volume by the time of his passing, it was a great loss and made my job as the surviving coeditor a lonely one.

There are several people who should be acknowledged for their contributions to the volume: Denis first and foremost, whose editorial experience was peerless and whose friendship was freely given, and also Paul Smith, whose collaborations, wise counsel, and kind criticisms have proven invaluable. The editorial staff at the Cambridge History's Princeton office provided important assistance for many years, especially Ralph Meyer and Michael Reeve, while Willard Peterson's supervision of the office and ability to keep it funded were remarkable. I owe a special debt of gratitude to Chang Wook Lee, my graduate assistant at Binghamton, who did an enormous amount of the final, painstaking editorial work on the volume. The many editors at Cambridge University Press with whom I have worked were all extremely supportive. To the many contributors to this volume, thank you for your patience. Finally, I would acknowledge the significant support given over the years to the *Cambridge history of China* project by Princeton University's East Asia Program, the National Endowment for the Humanities, and the Chiang Ching-kuo Foundation for International Cultural Exchange.

John W. Chaffee