

INDIVIDUAL INTERESTS AND COLLECTIVE ACTION

STUDIES IN RATIONALITY AND SOCIAL CHANGE



STUDIES IN RATIONALITY AND SOCIAL CHANGE

Editors: Jon Elster and Gudmund Hernes

Editorial Board:
Frederik Barth
Amartya Sen
Arthur Stinchcombe
Amos Tversky
Bernard Williams

JON ELSTER Explaining technical change

JON ELSTER AND AANUND HYLLAND (EDS.) Foundations of social choice theory

JON ELSTER (ED.) The multiple self

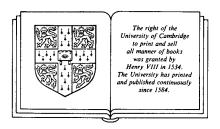
ARTHUR L. STINCHCOMBE Stratification and organization

JAMES S. COLEMAN Individual interests and collective action



James S. Coleman

INDIVIDUAL INTERESTS AND COLLECTIVE ACTION Selected Essays



Cambridge University Press

Cambridge - London - New York New Rochelle - Melbourne - Sydney

Published in collaboration with Maison des Sciences de l'Homme, Paris



CAMBRIDGE UNIVERSITY PRESS

Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo, Delhi

Cambridge University Press
The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United States of America by Cambridge University Press, New York

www.cambridge.org

Information on this title: www.cambridge.org/9780521108201

© Cambridge University Press and Universitetsforlaget (Norwegian University Press) 1986

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 1986
This digitally printed version 2009

A catalogue record for this publication is available from the British Library

Library of Congress Cataloguing in Publication data

Coleman, James Samuel, 1926—
Individual interests and collective action: selected essays.
(Studies in rationality and social change)
Bibliography
Includes index.
1. Social choice. 2. Power (Social sciences)

3. Political sociology. I. Title. II. Series. HB846.8.C65 1986 302'.13 85-28042

ISBN 978-0-521-30347-7 hardback ISBN 978-0-521-10820-1 paperback



To ROBERT K. MERTON whose excitement with social theory started me along this path.



Contents

Acknowledgments
Introduction 1

PART I: COLLECTIVE DECISIONS

- 1. Collective decisions 15
- 2. Beyond Pareto optimality 33
- 3. The possibility of a social welfare function 63
- 4. Social action systems 85
- 5. Why so much stability? Recontracting, trustworthiness and the stability of vote exchanges 137
- 6. Collective decisions in a social system 144

PART II: POWER

- 7. Political money 163
- 8. Control of collectivities and the power of a collectivity to act 192
- 9. Constitutional power in experimental health service and delivery systems (with S. C. Wu and S. L. Feld) 226
- 10. Legitimate and illegitimate use of power 247
- 11. Processes of concentration and dispersal of power in social systems 267
- 12. The corporate structure of the economy and its effects on income (with Anthony Babinec) 281

PART III: CONSTITUTIONS

- 13. Individual interests and collective action 305
- 14. Inequality, sociology, and moral philosophy 319
- 15. Individual rights and the state 348
- 16. Rawls, Nozick, and educational equality 365

Bibliography 375 Index 383

vii



Acknowledgements

The chapters of this book, with the exception of chapter 6, constitute minor modifications of papers originally published elsewhere. Because of the scattered locations of their original publication, and because taken together they provide a better exposition of my ideas in social theory than can be found elsewhere, Gudmund Hernes persuaded me to bring them together in a single volume. I am grateful to him and to Jon Elster, editors of this series, for providing me with the opportunity to bring greater coherence and order to those ideas on the relation of individual to collective action that have preoccupied me since the early 1960s.

Shi-Chang Wu and Scott Feld were co-authors of the paper that is now chapter 9, and Anthony Babinec was co-author of the paper that is now chapter 12.

I am grateful to the publishers as indicated below for permission to reprint from the following publications:

- 1. "Collective Decisions," *Sociological Inquiry* (Spring 1964), pp. 166-181.
- 2. "Beyond Pareto Optimality," in Sidney Morgenbesser, Patrick Suppes and Morton White (eds.), *Philosophy, Science and Method: Essays in Honour of Ernest Nagel* (New York, St. Martin's Press, 1969), pp. 415-39.
- 3. "The Possibility of a Social Welfare Function," The American Economic Review, 56, 5 (December 1966), pp. 1105-22.
- 4. "Social Action Systems," in K. Szaniawski (ed.), *Problems of Formalization in the Social Sciences* (Ossolineum, 1977), pp. 11-50.
- 5. "Recontracting, Trustworthiness, and the Stability of Vote Exchanges," *Public Choice*, 40, 1983, pp. 89-94.

viii



ACKNOWLEDGEMENTS ix

- 7. "Political Money," *The American Political Science Review*, vol. 64, no. 4 (December 1970), pp. 1074-87.
- 8. "Control of Collectivities and the Power of a Collectivity to Act," in B. Lieberman (ed.), *Social Choice* (London, Gordon, 1971), pp. 269-300.
- 9. "Constitutional Power in Experimental Health Service and Delivery Systems," *Public Choice*, 29 (Summer 1977), pp. 1-19. With S. C. Wu and S. L. Feld.
- 10. "Legitimate and Illegitimate Use of Power," in Lewis A. Coser (ed.), *The Idea of Social Structure* (New York, Harcourt, Brace, Jovanovich, 1975), pp. 221-36.
- 11. "Processes of Concentration and Dispersal of Power in Social Systems," *Social Science Information*, vol. 13, no. 2 (April 1974), pp. 7-18.
- 12. "The Corporate Structure of the Economy and its Effects on Income," Zeitschrift fur Soziologie, 7, 4 (October 1978), pp. 335-46. With Anthony Babinec.
- 13. "Individual Interests and Collective Action," in Gordon Tullock (ed.), *Papers on Non-Market Decision Making* (University of Virginia, Charlottesville, Thomas Jefferson Center for Political Economy, 1966), pp. 49-62.
- 14. "Inequality, Sociology and Moral Philosophy," *The American Journal of Sociology*, 80, 3 (November 1974), pp. 739-64.
- 15. "Individual Rights and the State," *The American Journal of Sociology*, 82, 2 (September 1976), pp. 428-42.
- 16. "Rawls, Nozick, and Educational Equality," *The Public Interest*, 43 (Spring 1976), pp. 121-28.