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PREFACE

This book is intended to make certain aspects of the colonial history of Spanish America more accessible at university level; some of these aspects are new; others have already been studied, but all of them, I must confess, have a particular appeal to me. An attempt has been made, on the basis of research carried out so far and with specific reference to its findings, to present a picture of some of the more important topics of that history, the understanding of which I consider to be indispensable to a full knowledge of that period. No attempt has been made, however, to cover the entire field, because, obviously, certain topics lie outside the range of my capabilities or interests. The better general histories that have appeared in the last few decades contain chapters devoted to specific topics, some of which are omitted from this study; this study, on the other hand, contains considerations of other topics not previously discussed. Rather than write a general history or summary of the period, I have preferred to concentrate my attention on certain problems or series of problems which throw light on the course of history as a whole, and on which I believe that I can make original observations, based on my own previous research, or express reflections and opinions suggested by the researches of others. This book, therefore, occupies an intermediate place between the general history and the monograph, although it occasionally takes on the characteristics of both types of study. The studies are interpretative, in the sense that they represent an attempt not only to provide information but also to demonstrate the historical trends and tendencies underlying the events, institutions and ideas described, in the hope of achieving a fuller understanding of those trends. The treatment of specific topics within each chapter has been arranged with this end in view.

In accordance with the character of the book, notes have been dispensed with almost entirely, except for a small number which are to be found at the end of the book; most of the references have simply been incorporated into the text. In the Bibliography, an attempt has been made to include all the books and articles which I have found to be of value in writing the present work until ca. 1970/72. This list does not include the printed sources –



Preface

chronicles, letters, legal compilations, doctrinal texts, accounts of travellers and so on – which comprise the basic and best-known documentary sources. These have been omitted, both to save space and also because such sources are easy to find through references in the secondary works quoted in this study.

I must express my thanks to Dr Richard M. Morse of Yale University, who first suggested that I should write such a book; to Malcolm Deas of the Centre of Latin American Studies of the University of Oxford, for his friendly advice and assistance; and, as always, to my wife, for her unfailing help in the task of revising the text.

M.G.



GLOSSARY

Adalid. Military leader, commander of a cabalgada or warrior-band.

Adelantado. In the Indies, title of the actual or future discoverer and conqueror of a particular territory.

Ají. Chili pepper (Capsicum longum).

Alcabala. Sales tax, levied on all commodities except foodstuffs.

Alcalde mayor. In the Antilles and Central America, the judge, appointed by the Crown, of a Spanish city or an Indian pueblo. He exercised both executive and judicial functions.

Alcaldes ordinarios. The head elected magistrates of the City Council.

Alcaldes provinciales de la Santa Hermandad. Officials responsible for the apprehension and prosecution of criminals within the jurisdictional area around a city.

Alférez mayor. Honorary municipal official, who carried the Royal Standard in religious processions and military parades. His office was the Alferazgo mayor.

Algara. Cavalry foray into enemy territory, with the object of capturing booty.

Alguaciles. Subordinate judicial officials, constables. However, the Alguacil Mayor de Corte, the Alguacil Mayor de Audiencia and the Alguacil Mayor de Cabildo were officials of high prestige. The office was the Alguacilazgo.

Almojarifazgo. Customs duty.

Audiencia. The supreme judicial and administrative authority in each territory. Ayuntamiento. Municipal corporation. The term is virtually synonymous with Cabildo (q.v.).

Baquiano. Experienced guide, scout.

Benemérito de Indias. Direct descendant of the conquistadors and earliest settlers. Cabalgada. See Algara.

Cabildo (secular). City Council; municipal corporation of a Spanish city or an Indian pueblo.

Cabildo eclesiástico. Cathedral chapter.

Caja Real. Royal Treasury.

Caja de comunidad. Community chest, into which were paid the revenues of an Indian pueblo.

Camote. Sweet potato (Ipomoea batatas).

Capitulaciones. Agreements signed between the Crown and a discoverer or future conquistador, stipulating the conditions of the proposed undertaking and the profits due to the various participants.

Cédula, Real Cédula. Royal decree, of a general or specific nature.

Censos. A mortgage contract, frequently perpetual and frequently with the church.

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Glossary

Chácaras. Suburban smallholdings.

Compaña. Community formed by a conquest band.

Comunidades. In the Indies in the sixteenth century, the word was used in the sense of insurrections headed by municipal corporations (by analogy with the revolt of the Comuneros in Castile in 1520). It was still used in this sense in Paraguay in 1725-35 and in Socorro (New Granada) in 1781.

Concertaje. The mita (q.v.) in the rural areas of New Granada and Quito, which gave rise to a class of Indians (indios conciertos) established on the Spanish-owned haciendas.

Contadores. Comptrollers, auditors.

Corregidores. Chief judicial and administrative officials of a Spanish city or Indian pueblo, appointed by the Crown or its local representative.

Costa y minción, a su. At his own expense and risk.

Cuatequil. Aztec system of forced labour, the forerunner of repartimiento (q.v.).

Dehesa. Communal grazing lands around Spanish cities.

Doctrinero. Parish priest of an Indian community.

Ejido. Communal grazing land for domestic animals, belonging to a Spanish city or Indian pueblo.

Encomienda. In the Indies, the grant of the personal service or tribute of a group of Indians to a Spaniard (the encomendero).

Entretenimiento. Pension, annuity.

Escribano. Notary.

Estancia. Land granted for cattle-raising.

Fieles ejecutores. Municipal inspectors of weights and measures.

Fiscal. Judicial official of the Audiencia, attorney, public prosecutor; in Indian pueblos, a native catechist and churchwarden, working under the supervision of the parish priest.

Gañán. Free rural journeyman-labourer.

Hacienda Real. Royal Exchequer.

Hidalgo, hidalguía. Gentleman (by birth or designation); the quality of one so described.

Ingenio. Sugar-mill.

Letrado. Lawyer, jurist.

Macehuales. (Mexico) ordinary Indians, subject to tribute.

Mandón. Native acting as foreman or overseer of a group of Indians.

Mercedes. Grants (of encomiendas, lands, mines, etc.).

Mita. In South America (originally only in Peru), a group of natives assigned to forced labour on a shift basis in the Spanish-owned mines, farms, textile workshops and building projects.

Mitayo. Native assigned to the mita (q.v.).

Moradores. Inhabitants of a city, other than the vecinos (q.v.).

Naborias. Household Indians, of a quasi-servile status.

Obraje. Textile workshop.

Oficiales reales. The Treasurer, the Comptroller, the Factor and (occasionally) the Veedor(q.v.) of each Caja Real (q.v.).



Glossary

Oidor. Judge of the Audiencia.

Pardos. Mulatto soldiers serving in the militia.

Partida. The appropriate section of the Siete Partidas, the legal code compiled by King Alfonso X, the Wise, of Castile and León (1252–84).

Patronato. Right of patronage (Crown control of appointments to benefices) in the Church in Spain and in America.

Pecho. In Spain, personal tribute. The persons subject to this were called *pecheros*; by extension, this term was used of all members of the plebeian class, as opposed to the *hidalgos* (q.v.) and nobility, who were exempt from tribute.

Peón. In Spain, a vecino (q.v.), other than the nobility and gentry. In America, a worker

Peonaje. The system of employment (frequently debt-servitude) of the $pe\acute{on}$ (q.v.). *Procurador.* A legal representative; more specifically, in the colonial cabildo the representative of the citizens within the cabildo.

Propios. Lands or income belonging to the municipality.

Quinto real. The fifth part, appropriated by the Crown, of booty taken in war and of mined gold and silver.

Quipu. Mnemonic device, consisting of a cluster of coloured and knotted threads, used for keeping statistical records in the Inca Empire and in early Colonial Peru. Rancheadores. Robbers, raiders.

Realengo. Royal domains and properties.

Receptor de penas de cámara. The official responsible for the fines and other pecuniary sanctions imposed by the Audiencia.

Recopilación de Indias. Legal compilation of 1680, which codified the general legislation for the Indies.

Regidor. City councillor.

Repartimiento. Synonym of encomienda (q.v.); system of labour regulation, similar to the Peruvian mita (q.v.); a proportion of distributable commodities, trade in which was monopolised by the Corregidor de indios in his town.

Rescate. Barter; random.

Residencia (Juicio de). Legal inquiry held at the end of the term of office of senior officials, who might be accused of crimes of commission or omission committed during their tenure.

Tapias. See naborias.

Terrazguero. Tenant in a contract of terrazgo (the renting of a small plot of arable land). Trapiche. Sugar-mill; grinder (for ore).

Vecino. 'First-class citizen' of a Spanish city, both in the Peninsula and in the New World, possessing full municipal and civic rights and privileges.

Veedor. Inspector.

Visita. Special administrative inquiry into alleged malfeasance on the part of any public body or official.

Yanacona. (Peru, Chile and the River Plate region). Indian taken from his district of origin and working for Spanish masters as a household servant, or on the chácaras (q.v.) and haciendas.