

CONTEMPORARY CHINA INSTITUTE PUBLICATIONS

Editorial Board

Maurice Freedman Christopher Howe Stuart R. Schram Denis Twitchett Mark Elvin

The Contemporary China Institute
School of Oriental and African Studies, University of London,
sponsors research on Twentieth-Century China and
seeks to promote such studies in the U.K.

AUTHORITY, PARTICIPATION AND CULTURAL CHANGE IN CHINA ESSAYS BY A EUROPEAN STUDY GROUP



Other publications in the series are:

Party Leadership and Revolutionary Power in China (1970) edited by John Wilson Lewis

Employment and Economic Growth in Urban China, 1949-1957 (1971) by Christopher Howe

Democracy and Organisation in the Chinese Industrial Enterprise, 1948-53 by William Brugger

A Bibliography of Chinese Newspapers and Periodicals in European Libraries



AUTHORITY PARTICIPATION AND CULTURAL CHANGE IN CHINA

ESSAYS BY A EUROPEAN STUDY GROUP

Edited and with an introduction by STUART R. SCHRAM

With contributions by

MARIANNE BASTID JOHN GARDNER

JACK GRAY CHRISTOPHER HOWE

WILT IDEMA JON SIGURDSON

ANDREW J. WATSON

CAMBRIDGE UNIVERSITY PRESS

CAMBRIDGE
LONDON NEW YORK NEW ROCHELLE
MELBOURNE SYDNEY



CAMBRIDGE UNIVERSITY PRESS Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo, Delhi, Dubai, Tokyo, Mexico City

Cambridge University Press
The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United States of America by Cambridge University Press, New York

www.cambridge.org
Information on this title: www.cambridge.org/9780521098205

© Cambridge University Press 1973

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 1973 Reprinted 1975, 1977, 1980 Re-issued 2010

A catalogue record for this publication is available from the British Library

Library of Congress Catalogue Card Number: 73-80482

ISBN 978-0-521-20296-1 Hardback ISBN 978-0-521-09820-5 Paperback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party Internet Web sites referred to in this publication, and does not guarantee that any content on such Web sites is, or will remain, accurate or appropriate.



CONTENTS

Preface p.vi

Introduction: the Cultural Revolution in historical perspective p.1 Stuart R. Schram

- The two roads: Alternative strategies of social change and economic growth in China p.109

 Jack Gray
- 2 Levels of economic decision-making p.159 Marianne Bastid
- 3 Rural industry and the internal transfer of technology p.199 Jon Sigurdson
- 4 Labour organization and incentives in industry, before and after the Cultural Revolution p.233
 Christopher Howe
- 5 China's educational revolution p.257 John Gardner and Wilt Idema
- 6 A revolution to touch men's souls: the family, interpersonal relations and daily life p.291

 Andrew J. Watson

List of contributors p.331

Index p.333



PREFACE

The activities which culminated in the production of this volume took place during the last two years of my tenure as Head of the Contemporary China Institute; editorial work has gone forward subsequently with the encouragement and support of the present Head of the Institute, Christopher Howe. At a preliminary meeting in London in April 1971, attended by approximately twenty scholars from France, Germany, Holland and the United Kingdom, plans were drawn up for the organization of a European Study Group on China after the Cultural Revolution. Preliminary drafts of papers on various aspects of China today were discussed at meetings of this 'core group' of authors and discussants held in Hamburg in February 1972, and in Leiden in May. Revised versions were then presented at a week-long conference at Urchfont Manor, near Devizes, in Wiltshire, England, in September 1972, with the participation of an additional ten specialists from countries in Europe and North America. At this final gathering, a total of nine countries were represented.

Not all of the members of the Study Group wrote papers, and not all of the papers which were produced could be revised for inclusion in this book. All those who attended the sessions did, nevertheless, contribute substantially to the success of the enterprise, not only by enriching the discussions at the time, but by putting forward ideas which have helped the authors represented here to revise and improve their articles. The debts thus incurred are so numerous and varied that it would be invidious to single out any of them here. One name must, however, be mentioned: that of Erik von Groeling. Dr von Groeling's paper, 'The Impact of Power Politics on Party Building: the CCP 1969–72', gave rise to lively and fruitful discussions at Urchfont. In the light of these, he had drawn up, late in 1972, plans for extensive revision and updating, which he unfortunately did not live to carry out. He died in hospital on 10 January 1973, at the age



PREFACE

of 32. All of us who attended the meetings in which he participated will remember him for his keen and lively intelligence, and for his friendly and modest demeanour.

As this project draws to a conclusion, our thanks must go first of all to the Stiftung Volkswagenwerk, which provided the totality of the funds for travel, subsistence and entertainment incurred in the course of the four meetings of the Study Group. This sum was part of a larger grant to the School of Oriental and African Studies, in behalf of the Contemporary China Institute, for the development of contacts and cooperation on a European basis among students of contemporary China. There is no doubt that it has effectively served this end.

I also wish to express my own personal appreciation to Averill Norton, Viola Shaw, and Janet Wilson, secretaries to the Institute, for working long and hard to produce transcripts of the Urchfont discussions, and to type and re-type manuscripts. I am grateful to David Wilson for the assistance of his deft and vigorous editorial hand in preparing the final manuscript of the volume, and to Christopher Howe and Denis Twitchett, who read the draft at an earlier stage. My own contribution was unfortunately not completed in time to be discussed at Urchfont. Thus, while I must thank all the participants for the stimulus I derived from the proceedings, none of them are in any way responsible for failing to point out the shortcomings of the introductory essay.



NOTE TO THE 1975 REPRINT

These essays are being reissued substantially in the form in which they were first sent to the printer in the spring of 1973. Some typographical errors have been corrected, and a few small substantive changes have been made in order to place events in a truer perspective, but no information has been incorporated regarding developments of the past two years. Thus the book retains its character as an attempt to describe and analyse certain aspects of Chinese society at the moment when they first began to take shape after the Cultural Revolution. In the opinion of the authors, much of this description remains valid and relevant, but the reader must be warned that the significance of one key symbol has changed: early in 1973, Lin Piao was re-classified as a rightist instead of a leftist. His name, which occurs frequently in these pages, should therefore be understood to evoke ultra-leftism, as it did in 1972, and not Confucian reaction.

S.R.S.

viii