## CAMBRIDGE STUDIES IN ADVANCED MATHEMATICS 209

Editorial Board J. BERTOIN, B. BOLLOBÁS, W. FULTON, B. KRA, I. MOERDIJK, C. PRAEGER, P. SARNAK, B. SIMON, B. TOTARO

## **COMPLEX ALGEBRAIC THREEFOLDS**

The first book on the explicit birational geometry of complex algebraic threefolds arising from the minimal model program, this text is sure to become an essential reference in the field of birational geometry. Threefolds remain the interface between low- and high-dimensional settings, and a good understanding of them is necessary in this actively evolving area.

Intended for advanced graduate students as well as researchers working in birational geometry, the book is as self-contained as possible. Detailed proofs are given throughout, and more than 100 examples help to deepen understanding of birational geometry.

The first part of the book deals with threefold singularities, divisorial contractions and flips. After a thorough explanation of the Sarkisov program, the second part is devoted to the analysis of outputs, specifically minimal models and Mori fibre spaces. The latter are divided into conical fibrations, del Pezzo fibrations and Fano threefolds according to the relative dimension.

**Masayuki Kawakita** is Associate Professor at the Research Institute for Mathematical Sciences, Kyoto University. He has established a classification of threefold divisorial contractions and is a leading expert in algebraic threefolds.

#### CAMBRIDGE STUDIES IN ADVANCED MATHEMATICS

Editorial Board

J. Bertoin, B. Bollobás, W. Fulton, B. Kra, I. Moerdijk, C. Praeger, P. Sarnak, B. Simon, B. Totaro

All the titles listed below can be obtained from good booksellers or from Cambridge University Press. For a complete series listing, visit www.cambridge.org/mathematics.

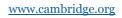
Already Published

- 171 J. Gough & J. Kupsch Quantum Fields and Processes
- 172 T. Ceccherini-Silberstein, F. Scarabotti & F. Tolli Discrete Harmonic Analysis
- 173 P. Garrett Modern Analysis of Automorphic Forms by Example, I
- 174 P. Garrett Modern Analysis of Automorphic Forms by Example, II
- 175 G. Navarro Character Theory and the McKay Conjecture
- 176 P. Fleig, H. P. A. Gustafsson, A. Kleinschmidt & D. Persson *Eisenstein Series and Automorphic* Representations
- 177 E. Peterson Formal Geometry and Bordism Operators
- 178 A. Ogus Lectures on Logarithmic Algebraic Geometry
- 179 N. Nikolski Hardy Spaces
- 180 D.-C. Cisinski Higher Categories and Homotopical Algebra
- 181 A. Agrachev, D. Barilari & U. Boscain A Comprehensive Introduction to Sub-Riemannian Geometry
- 182 N. Nikolski Toeplitz Matrices and Operators
- 183 A. Yekutieli Derived Categories
- 184 C. Demeter Fourier Restriction, Decoupling and Applications
- 185 D. Barnes & C. Roitzheim Foundations of Stable Homotopy Theory
- 186 V. Vasyunin & A. Volberg The Bellman Function Technique in Harmonic Analysis
- 187 M. Geck & G. Malle The Character Theory of Finite Groups of Lie Type
- 188 B. Richter Category Theory for Homotopy Theory
- 189 R. Willett & G. Yu Higher Index Theory
- 190 A. Bobrowski Generators of Markov Chains
- 191 D. Cao, S. Peng & S. Yan Singularly Perturbed Methods for Nonlinear Elliptic Problems
- 192 E. Kowalski An Introduction to Probabilistic Number Theory
- 193 V. Gorin Lectures on Random Lozenge Tilings
- 194 E. Riehl & D. Verity Elements of ∞-Category Theory
- 195 H. Krause Homological Theory of Representations
- 196 F. Durand & D. Perrin Dimension Groups and Dynamical Systems
- 197 A. Sheffer Polynomial Methods and Incidence Theory
- 198 T. Dobson, A. Malnič & D. Marušič Symmetry in Graphs
- 199 K. S. Kedlaya p-adic Differential Equations
- 200 R. L. Frank, A. Laptev & T. Weidl Schrödinger Operators: Eigenvalues and Lieb-Thirring Inequalities
- 201 J. van Neerven Functional Analysis
- 202 A. Schmeding An Introduction to Infinite-Dimensional Differential Geometry
- 203 F. Cabello Sánchez & J.M.F. Castillo Homological Methods in Banach Space Theory
- 204 G.P. Paternain, M. Salo & G. Uhlmann Geometric Inverse Problems
- 205 V. Platonov, A. Rapinchuk & I. Rapinchuk Algebraic Groups and Number Theory, I (2nd Edition)
- 206 D. Huybrechts The Geometry of Cubic Hypersurfaces
- 207 F. Maggi Optimal Mass Transport on Euclidean Spaces
- 208 R. P. Stanley Enumerative Combinatorics, II (2nd edition)
- 209 M. Kawakita Complex Algebraic Threefolds
- 210 D. Anderson & W. Fulton Equivariant Cohomology in Algebraic Geometry

# **Complex Algebraic Threefolds**

MASAYUKI KAWAKITA Kyoto University







Shaftesbury Road, Cambridge CB2 8EA, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314–321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi – 110025, India

103 Penang Road, #05-06/07, Visioncrest Commercial, Singapore 238467

Cambridge University Press is part of Cambridge University Press & Assessment, a department of the University of Cambridge.

We share the University's mission to contribute to society through the pursuit of education, learning and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9781108844239

DOI: 10.1017/9781108933988

© Masayuki Kawakita 2024

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press & Assessment.

First published 2024

A catalogue record for this publication is available from the British Library

A Cataloging-in-Publication data record for this book is available from the Library of Congress

ISBN 978-1-108-84423-9 Hardback

Cambridge University Press & Assessment has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.



For my family

# Contents

	Prefe	Preface	
1	The Minimal Model Program		1
	1.1	Preliminaries	2
	1.2	Numerical Geometry	11
	1.3	The Program	19
	1.4	Logarithmic and Relative Extensions	28
	1.5	Existence of Flips	36
	1.6	Termination of Flips	46
	1.7	Abundance	52
2	Singularities		59
	2.1	Analytic Germs	60
	2.2	Quotients and Coverings	64
	2.3	Terminal Singularities of Index One	76
	2.4	Terminal Singularities of Higher Index	86
	2.5	Singular Riemann–Roch Formula	96
	2.6	Canonical Singularities	107
3	Divi	116	
	3.1	Identification of the Divisor	117
	3.2	Numerical Classification	121
	3.3	General Elephants for Exceptional Type	128
	3.4	General Elephants for Ordinary Type	136
	3.5	Geometric Classification	145
	3.6	Examples	157
4	Divi	162	
	4.1	Contractions from Gorenstein Threefolds	162
	4.2	Contractions to Smooth Curves	168

viii		Contents	
	4.3	Contractions to Singular Curves	176
	4.4	Construction by a One-Parameter	
		Deformation	186
5	Flips		199
	5.1	Strategy and Flops	200
	5.2	Numerical Invariants	207
	5.3	Planarity of Covering Curves	213
	5.4	Deformations of an Extremal	
		Neighbourhood	221
	5.5	General Elephants	231
	5.6	Examples	244
6	The S	249	
	6.1	Links of Mori Fibre Spaces	250
	6.2	The Sarkisov Program	255
	6.3	Rationality and Birational Rigidity	265
	6.4	Pliability	275
7	Coni	cal Fibrations	285
	7.1	Standard Conic Bundles	286
	7.2	Q-Conic Bundles	294
	7.3	Classification	303
	7.4	Rationality	309
	7.5	Birational Rigidity	319
8	Del P	Pezzo Fibrations	324
	8.1	Standard Models	325
	8.2	Simple Models and Multiple Fibres	333
	8.3	Rationality	343
	8.4	Birational Rigidity	348
9	Fano	Threefolds	359
	9.1	Boundedness	360
	9.2	General Elephants	371
	9.3	Classification in Special Cases	378
	9.4	Classification of Principal Series	388
	9.5	Birational Rigidity and K-Stability	398
10	Mini	mal Models	410
	10.1	Non-Vanishing	411
	10.2	Abundance	421
	10.3	Birational Minimal Models	428

	Contents	ix
10.4	Nef and Mobile Cones	434
10.5	Pluricanonical Maps	446
Refer	ences	453
Notat	476	
Index		478

# Preface

The present book treats explicit aspects of the birational geometry of complex algebraic threefolds. It is a fundamental problem in birational geometry to find and analyse a good representative of each birational class of algebraic varieties. The minimal model program, or the MMP for short, conjecturally realises this by comparing the canonical divisors of varieties. According as the MMP has developed, the book has arisen from two perspectives.

Firstly, since the MMP in dimension three was established about a quarter century ago, it is desirable to understand individual threefolds explicitly by means of the MMP. The initial step is to describe birational transformations in the MMP. Now we have a practical classification of them in dimension three. The ensuing important subject is to analyse the threefolds output by the MMP. In this direction one can mention the Sarkisov program, which decomposes every birational map of Mori fibre spaces.

Secondly, the MMP in higher dimensions is still evolving actively after the existence of flips was proved, as typified in the settlement of the Borisov– Alexeev–Borisov conjecture. A good knowledge of threefolds is useful and will be necessary in further development of higher dimensional birational geometry. This is comparable to the nature that most results on threefolds are based upon the classical theory of surfaces.

The book concentrates on the explicit study of algebraic threefolds by the MMP. The author has tried to elucidate the proofs rigorously and to make the book as self-contained as possible. A number of examples will help to deepen the understanding of the reader. The reader is strongly encouraged to verify the computations in examples. Though it does not cover important topics such as affine geometry, derived categories and positive characteristic aspects, the book will supply enough knowledge of threefold birational geometry to enter the field of higher dimensional birational geometry.

xii

## Preface

The book is intended for advanced graduate students who are interested in the birational geometry of algebraic varieties. It can also be used by researchers as a reference for the classification results on threefolds. The reader should be familiar with basic algebraic geometry at the level of Hartshorne's textbook [178]. Some knowledge of the MMP is helpful but it is not a prerequisite. One can learn the general theory of the MMP from a standard book such as that by Kollár and Mori [277] or by Matsuki [307]. Whilst it roughly corresponds to Chapter 1 of the book, the main body starts from Chapter 2 and concentrates on threefolds.

The volume edited by Corti and Reid [97] is an outstanding collection from the same standpoint. It played a guiding role in the explicit study of algebraic threefolds when it was published. However, great progress has been made since then. The present book aims at an organised treatment of threefolds, including recent results. It seeks to be somewhat of a threefold version of the book on surfaces by Barth, Hulek, Peters and Van de Ven [30] or by Beauville [35].

Chapter 1 summarises the theory of the MMP in an arbitrary dimension but excludes detailed proofs. The first part, Chapters 2 to 5, of the main body deals with objects which appear in the course of the MMP. Chapter 2 classifies three-fold singularities in the MMP completely. Then Chapters 3, 4 and 5 describe threefold birational transformations in the MMP, that is, divisorial contractions and flips. They contain all the necessary arguments by omitting only the parts which repeat the preceding arguments.

The second part, Chapters 6 to 10, is devoted to the analysis of outputs of the MMP, which are Mori fibre spaces and minimal models. After Chapter 6 explains the general theory of the Sarkisov program, Chapters 7, 8 and 9 investigate the geometry of threefold Mori fibre spaces according to the relative dimension of the fibre structure. Finally Chapter 10 discusses minimal threefolds from the point of view of abundance.

The author would like to express thanks to all the colleagues with whom he held discussions. Yujiro Kawamata introduced him to the subject of birational geometry as his academic supervisor. Alessio Corti and Miles Reid communicated their profound knowledge of threefolds to him during his visit to the University of Cambridge. When he stayed at the Institute for Advanced Study, he received warm hospitality from János Kollár. He also learnt a great deal from the regular seminar organised by Shigefumi Mori, Shigeru Mukai and Noboru Nakayama. Finally he would like to thank Philip Meyler and John Linglei Meng at Cambridge University Press for their support for the publication.