Index

absolute supremacy see supremacy
academic resources, how to find, Appendix 4
Acheson, Dean, ‘Schuman Plan’, and, 7 ‘acte clair’ doctrine, preliminary rulings, 386–7
adjudicatory powers see judicial powers, European
administrative powers
centralised enforcement model, 327
scope, 328
subsidiarity, 331
decentralised enforcement model
national administrative acts, and, 335
national administrative autonomy, and, 336
Advocates General see Court of Justice
Agencies of the Union see Commission approximation (harmonisation) see positive integration
audit see Court of Auditors
barriers to trade, removal of illegal see negative integration
Bretton Woods system, see Economic and Monetary Policy
budget
Parliament’s powers, 165–6
Charter of Fundamental Rights ‘incorporation doctrine’, 460–6
limitations, 446–8
principles and rights, 444–6
relations with other European Treaties, 448–50
status, 30–1, 37, 442
structure and content, 443–4
Civil Service Tribunal see Court of Justice of the European Union co-decision procedure see Parliament Constitutionality, delegated legislative powers, and, 309–10
Commission administrative organs, 190
Commissioners, 188–9
Common Foreign and Security Policy, 27
composition, 186–7
decision-making, 190–2
delegated legislative powers, 309
European Agences creation, 195–6
delegated powers, 324–7
functions, 196–7
structure, 197–8
executive powers, 305
functions and powers, 192–5
origin in ECSC, 8–9
policy instruments, 306–7
President, 187–8
status, 185–6
‘Stockholm Programme’, 308–9
structure, 186–7
supranational nature, 8–10, 14–15
Treaty of Nice, 30–1
trilogues, 249–50
Common Foreign and Security Policy (CFSP)
competence
EU special competences in relation, 273–6
generally, 267–8
sui generis nature, 276–7, 298–9
decision-making procedures
distinctiveness, 281
institutional arrangement, 281–2
voting arrangements, 282–3
direct effect, 277
High Representative for Foreign Affairs and Security Policy, 176–9
intergovernmentalism, 27
second pillar of EU, 26–7
Treaty of Lisbon, 37, 38–9
Common law see European law competence
categories, 237
complementary competences, 242–3
coordinating competences, 241–2
exclusive competences, 237–8
external see external competences
general competences, 229
harmonisation competence, 229–31
minimum harmonisation competences, 240–1
overview, 289
pre-emption and, 144
residual competence, 231–5
scope, 224–5
shared competences, 238–9
supremacy and, 131–3
teleological interpretation, 225–9
‘competence-competence’ (Kompetenz-Kompetenz) concept, 52–3
consistent interpretation principle see judicial powers, national constitutional structure
alternative views, 44–5
American theory see federalism development summarised, 39–41
European theory application to EU, 62–3
‘competence-competence’ (Kompetenz-Kompetenz), 52–3
confederation versus federation, 50–3
costs and benefits see integration
costs of integration, 50–2
costs of integration see integration
costs of integration and benefits of integration, 50–2
costs of integration and benefits of integration see integration
costs of integration and benefits of integration see economic union
costs of integration and benefits of integration see federalism
costs of integration and benefits of integration see federalism development
costs of integration and benefits of integration see federalism development
Constitutional Treaty 2004
failure, 5, 32–3
‘total revision’ see EU, 33–6
‘coronation theory’ see economic union
Council of Europe
aim, 4 (footnote)
establishment, 3–4
Council of Ministers
Committee of Permanent Representatives (Coreper), 176–7
Common Foreign and Security Policy, 27
composition and configurations, 173–5
decision-making, 179–83
decisional supranationalism, 14–18
functions, 183–4
High Representative for Foreign Affairs and Security Policy, 178–9
international nature, 14–15
origins in ECSC, 8–9
powers, 183–4
Presidency, 175–6
qualified majority voting see qualified majority voting role, 173
SEA reforms, 21
specialised committees, 177–8
Treaty of Maastricht, 22
Treaty of Nice, 30–1
trilogues, 249–50
voting, 179–83
Court of First Instance (CFI) see General Court
Court of Justice
Advocates General, 202–3
composition and structure, 199–201
decisional supranationalism, 17–18
origins in ECSC, 8–9
SEA reforms, 21
Treaty of Nice, 30–1
Treaty of the European Union (TEU)
Civil Service Tribunal, 203–4
European court system, 198–9
judgments, how to find, Appendix 3
judicial procedures, 204–6
judicial reasoning, 206–7
jurisdiction see judicial powers, European overview, 198
powers see judicial powers, European Court of Justice; General Court
customs union definition, 12–13
free trade area contrasted, 13 (footnote)
Davignon Report, political integration proposals, 18–19
de Gaulle, General Charles, ‘Luxembourg Compromise’, 15–16
decisions
definition, 93
non-addressed decisions, direct effect, 95
specifically addressed decisions, direct effect, 93–5
delegated powers see executive powers ‘democratic deficit’, constitutional theory and, 71–3
derogations ‘incorporation doctrine’, 459–60
differential integration
definition, 23 (footnote)
supranationalism, and, 22–3
direct applicability
direct effect in relation, 80–1
regulations, of, 91–3
direct effect
decisions see decisions direct applicability in relation, 80–1
directive of, 80–1, 277 (footnote)
incidental horizontal direct effect, 101–3
internal market see direct effect international agreements see international agreements
monist and dualist theories, 77–9
no-horizontal-direct-effect rule exception, 101–3
limitation, 100–1
operation, 98–100
problem of, 114–15
norm, as, 114
regulations see regulations test, 84–7, 114
Treaties, 82–4
Ván Gend en Loos case, 79–80, 82–4
vertical and horizontal direct effect, 87–9
direct responsibility doctrine see fundamental rights
directives
definition, 96
direct effect, limitations, 96–8
incidental horizontal direct effect, 101–3
indirect effect
European law, via, 105–9
national law, via, 103–5, 114
no-horizontal-direct-effect rule exception, 101–3
limitation, 100–1
operation, 98–100
problem of, 114–15
pre-emptive capacity, 141–2
domestic law see national law
dualism, direct effect of European law, and, 77–9
Economic and Monetary Policy
differential integration see differential integration
economic convergence see Economic and Monetary Policy
economic sanctions see Common Foreign and Security Policy (CFSP)
economic union ‘coronation theory’, 18 (footnote)
Hague Summit, 18
Treaty of Maastricht, 22
Werner Report, 18
see also monetary union
EEC Treaty see Treaty of Rome 1957
effectiveness principle see judicial powers, national
elections see Parliament enforcement see administrative powers; judicial powers, national
equivalence principle see judicial powers, national
ERTA doctrine see external competences
‘essential core’ doctrine see fundamental rights
‘essential elements’ doctrine see executive powers
European Agencies see Commission
European Atomic Energy Community (Euratom) creation, 5
integration into EU, 40
European Central Bank (ECB) administrative structure, 211–13
decision-making, 213–14
establishment, 25, 209
functions and powers, 214–15
internal divisions, 213–14
status, 209–11
European Coal and Steel Community establishment, 4–5
‘Schuman Plan’, 7
supranational structure, 8–10
European Communities
European Union in relation, 23
first pillar of EU, 24–6
Treaty of Amsterdam, 29
Treaty of Lisbon, 38–9
Treaty of Maastricht, 22–3
see also European Coal and Steel Community; European Economic Community
European Convention on Human Rights 1950 (ECHR)
constitutional preconditions for
Union accession, 455–6
direct review post-accession, 454–5
entry into force, 4 (footnote)
‘incorporation doctrine’, 466–7
indirect review pre-accession, 452–4
influence, 450–1
standard for Union acts, 452
European cooperation
growth of, 4
see also supranationalism
European Council
Common Foreign and Security Policy
institutional arrangement, 281–2
‘intergovernmental’ character, 27, 281
international character, 27
policy-making role, 281, 282
voting arrangements, 282–3
composition, 169
decision-making, 170
establishment, 19
executive powers, 305
functions and powers, 172–3
Laeeken Declaration, 32
meetings, 169–70
policy instruments, 305–6
President, 170–1
status, 169
‘Stockholm Programme’, 307–9
Treaty of Maastricht, 22–3
Treaty of Nice, 31
European Court of Human Rights (ECtHR), establishment, 4
(footnote)
European Court of Justice (ECJ) see Court of Justice
European Defence Community (EDC), failure, 10–12
European Economic Community (EEC)
establishment, 5, 12–13
supranational decision-making, 14–18
supranational legal system, 13–14
European Free Trade Association (EFTA)
establishment, 13 (footnote)
European law
direct effect see direct effect
domestic law in relation, 77–82
dualism, and, 77–9
‘incorporation doctrine’, and, 457–8
indirect effect, 105–9
international law contrasted, 9, 13–14
invocability, procedural limits, 410–13
legislation see legislative procedures
monism, and, 77–9
monist and dualist theories, 77–9
normative quality, 9, 13–14
pre-emption see pre-emption
secondary law forms of, 81–2, 89
how to find, Appendix 2
see also decisions; directives; international agreements;
regulations
State liability for judicial breaches, 420–2
supranational nature, 13–14
supremacy see supremacy
European Monetary System (EMS) see Economic and Monetary Policy
European Political Community, failure, 11–12
European System of Central Banks (ESCB)
establishment, 25
European Union
constitutional development summarised, 39–41
dual Treaty basis, 36
establishment, 5, 22–3
European Communities in relation, 23
historical overview, 6
international personality, 264–5
legal pragmatism, 32
‘new EU see Treaty of Lisbon
‘old’ EU see Treaty of Maastricht
policies and internal actions, 226 (table)
Schengen Agreement, and, 29–30
Eurozone see Economic and Monetary Policy
executive powers
administrative powers see administrative powers
Comitology, 309–10
core functions, 303
delegated implementing powers
agencies, 324–7
constitutional safeguards, 321–4
control of, 318
‘Meroni doctrine’, 325–7
scope of provisions, 318–21
delegated legislative powers
advent of, 303
constitutional safeguards, 309–10
‘essential elements’ doctrine, 313–15, 320–1, 340
judicial safeguards, 313–15
‘non-delegation’ doctrine, 309–10
political safeguards, 315–18
system of, 309–10
Treaty provisions, 311–13
dual executive, 304–5
executive acts, types of, 311 (figure)
‘executive federalism’, 304
informal governmental procedures, 307–9
‘Meroni doctrine’, 325–7
‘non-delegation’ doctrine, 309–10
policy instruments, 305–7
power of decision, 302–3
residual character, 302
system summarised, 340–1
external competences
Common Foreign and Security Policy
generally, 267–8
special competences in relation, 273–6
sui generis nature of competence, 276–7
conferral of, 298
ERTA doctrine, 272–3, 279
external objectives of EU, 263–6
functional succession doctrine, 289–90, 440
implied external powers doctrine
(Opinion 1/76 doctrine), 272–3 (footnotes), 278–9, 292
international personality of EU, 264–5
international treaties, rise of, 264
Locke’s ‘federative’ power
definition, 263–4
nature of, 276, 298–9
provisions generally, 265–7
‘residual’ treaty power
background, 270
codification, 272–3
development of implied powers doctrine, 270–1
special external powers
CFSP in relation, 273–6
generally, 268–70
split between Treaties, 265
successive exclusive treaty powers
critique of, 279–80
generally, 278
types, 278–9
‘WTO doctrine’, 278
external policies see Common Foreign and Security Policy
Index

external procedures
CFSP-specific procedures see Common Foreign and Security Policy
generally, 280–1, 299
shared powers
duty of cooperation, 293–4
mechanisms, 290–1
Member States as ‘trustees of the Union’, 295–6
mixed agreements, 291–3
‘open federation’ model, 290, 299–300
restrictions on the exercise of shared State power, 296–8
‘reversed’ subsidiarity, 296
treaty-making see treaty-making procedure
trustees doctrine, 295–6
federalism
American tradition, emergence of, 45
application to EU, 44, 56
Comitology system, 309–10
confederation versus federation, 50–3
division of powers in EU, 61
EU as ‘mixed’ constitution, 62, 73–5
EU as Union of States and peoples, 59–61
EU Treaties as Constitutional Treaty, 56–8
‘executive federalism’, 304
‘in between’ international and national structure, 45
judicial system, 395, 396 (figure)
Kelsen’s critique, 53–4
Madsonian theory, 45–8
‘mixed’ constitution, 48–9, 56, 62, 73–5
Schmitt’s critique, 54–5
sovereignty in relation, 48–9, 50–3
’Sovereign Constitutions’, 70 (footnote)
‘federative’ power, Locke’s definition, 263–4
financial assistance see Economic and Monetary Policy
financial stability see Economic and Monetary Policy
foreign affairs see Common Foreign and Security Policy; external competences; external procedures
Francovich doctrine see judicial powers; national free trade area
customs union contrasted, 13
(footnote)
Freedom, Security and Justice
‘Stockholm Programme’, 307–9
see also Justice and Home Affairs
functional succession doctrine see external competences
fundamental rights
Charter see Charter of Fundamental Rights
direct responsibility doctrine, 452
‘essential core’ doctrine, 437–8, 448
general principles, 431–2
‘incorporation doctrine’
Charter of Fundamental Rights, 460–6
derogation situation, 459–60
direct application, 457
European Convention on Human Rights, 466–7
general principles of European law, and, 457–8
implementation situation, 458
private actions, 467–8
judicial review, 430
limitations, 437–8
sources, 430
standard of, 434–7
supremacy and, 129–31
General Court (Court of First Instance)
composition and structure, 201–2
establishment, 21
Germany
administrative decisions, ‘national’ solution as to, 335 (footnote)
competence limits, 131–3
Council representation, 181
direct effect, and, 83 (footnote), 112–13
economic union, and, 18 (footnote)
ECSC, and, 7
‘essential core’ doctrine, 437
(footnote)
European Convention on Human Rights, and, 467 (footnote)
European Parliament representation, 155 (footnote)
executive powers, 304, 327
external sovereignty, 290
federalism, 44–5, 53–5, 62
fundamental rights, 437 (footnote)
governmental liability for lawful acts, 370 (footnote)
indirect effect, and, 105–9
international law, status of, 119
judicial federalism, 395
judicial powers, 396 (footnote), 398 (footnote)
legislative pre-emption, and, 142
liability principle, and, 417
(footnote), 419 (footnote)
Lisbon Treaty ratification, 35–6
‘Maastricht Decision’, 22 (footnote), 65–7, 131–3
non-discrimination and equivalence principle, 401–2
residual competence, and, 234–5
sovereignty, 50 (footnote)
standing before European Court (‘Plaumann test’), 357–8
’Sovereign Constitutions’, 70 (footnote)
supremacy, and, 117, 125, 128–33
Hague Summit 1969, proposals on European integration, 18
harmonisation see positive integration
High Representative for Foreign Affairs and Security Policy, functions and powers, 178–9
horizontal direct effect see direct effect human rights see fundamental rights
illegal trade barriers, removal of see negative integration
implied external powers doctrine
(‘Opinion 1/76 doctrine’), development of, 272–3
(footnotes), 278–9, 292
implied powers doctrine, development of, 270–1
incidental horizontal direct effect see direct effect
‘incorporation doctrine’ see fundamental rights
indirect effect see directives institutions
correlation with national institutions, 148
governmental functions, 153–4
governmental structure summarised, 218
list, 148
‘separation-of-powers’ principle, 150–4
Treaty provisions, 147–9
intergovernmentalism
Common Foreign and Security Policy, 27
developments outside EEC, 18–20
SEA reforms, 20–1
Treaty of Maastricht, 22–3
internal market
negative integration see negative integration
Index

positive integration see positive integration
SEA reforms, 21
internal policies see Economic and Monetary Policy
international agreements
definition, 109
direct effect
conditions for, 110–12
vertical and horizontal direct effect, 112–14
pre-emptive capacity, 142–4
international cooperation, sovereignty and, 3–4
international law
domestic law in relation, 77–8
European law contrasted, 13–14
international cooperation and, 3–4
monist and dualist theories, 77–8
sovereignty and, 43–5
international law theory, Maastricht Treaty ratification, and, 65–7
international treaties, rise of, 264
judicial cooperation, Schengen Agreement, 19
judicial powers
conception of, historical development, 343–7
core functions, 345
fundamental rights see fundamental rights see also judicial powers, European; judicial powers, national
judicial powers, European
annulment powers see judicial review
enforcement actions against EU, 375–7
financial sanctions, 373–4
procedural conditions, 371–3
provision for, 370–1
judicial review see judicial review
liability actions
governmental liability for lawful acts, 370
procedural conditions, 366–8
provision for, 365–6
substantive conditions, 368–70
overview, 207–8, 347 (figure)
preliminary rulings
‘acteclair’ doctrine, 386–7
conditions, 380
eligibility, 380–2
jurisdiction, 378–80
legal nature, 388–90
necessary questions, 382–5
obligation to refer, 385–8
provision for, 377–8
provisions summarised, 391
judicial powers, national
consistent interpretation principle, 399–400
cooperative federalism, 395
effectiveness principle
development, 404–10
generally, 397
invocability of European law, procedural limits, 410–13
overview, 404
enforcement role of national courts, 394–5
equivalence principle, 397, 401
judicial federalism, comparative perspective, 396 (figure)
judicial harmonisation of national procedural laws, 398
liability principle
conditions for State liability, 417–20
Francovich doctrine, 414–17
generally, 398, 413–14
private liability, Courage doctrine, 422–5
state liability for judicial breaches of European law, 420–2
limits on national procedural autonomy, 398 (figure)
national non-discrimination remedies in EU actions, 401–2
‘national procedural autonomy’ principle, 396–7 (footnote)
powers summarised, 425–6
‘similar’ actions, equivalence test, 402–3
‘sincere cooperation’, duty of, 397
voluntary cooperation with European Court, 395
judicial review
‘formal’ and ‘substantive’ grounds, 351–2
fundamental rights see fundamental rights
grounds generally, 350–1
illegality plea, 363–4
indirect review, 363
preliminary rulings, 364–5
proportionality, 352–4
provision for, 347–9
‘reviewable’ acts, 349–50
standing
applicants, types of, 354–5
Lisbon formulation, 359–63
‘Plaumann test’, 357–8
Rome formulation, 355–9
Justice and Home Affairs
third pillar of EU, 27
Treaty of Amsterdam, 27
see also Freedom, Security and Justice
Kelsen, Hans, federalism theory, 53–4
Kompetenz-Kompetenz see ‘competence-competence’
Laeken Declaration, aims, 32
lawful acts, governmental liability for, 370
legislative powers see competence, executive powers, legislative procedures, subsidiarity
legislative pre-emption see pre-emption
legislative procedures
legislation, conceptions of, 223–4, 243–5
‘ordinary’ legislative procedure
formal stages, 245–9
informal practices, 249–50
overview, 259–61
‘special’ procedures, 251–2
trilogues, 249–50
liability principle see judicial powers, national
Locke, John, ‘federalist’ power
definition, 263–4
‘locomotive theory’ see monetary union
Madison, James, federalism theory, 45–8
Member State law see national law
Member State population sizes, 182
(table)
‘Menton doctrine’ see executive powers
Monetary Policy see Economic and Monetary Policy
monetary union
Hague Summit, 18
‘locomotive theory’, 18 (footnote)
Treaty of Maastricht, 22, 25
Werner Report, 18
see also economic union
monism, direct effect of European law, and, 77–9
Montesquieu, Baron Charles de, ‘separation-of-powers’ principle, 150–1
mutual recognition
negative integration, 17–18
national law
pre-emption see pre-emption
supremacy of European law see supremacy
<table>
<thead>
<tr>
<th>Index</th>
</tr>
</thead>
</table>
Treaty establishing a Constitution for Europe (TCE) see
Constitutional Treaty
Treaty-making procedure
Council’s primary role, 283–4
initiation and negotiation, 284–6
modification, suspension and termination, 288–9
secondary roles, 284
signing and conclusion, 286–8
subsequent external exculpity, 298–9
succession to Member States’ agreements (functional succession doctrine), 289–90, 440
Treaty of Amsterdam 1997, Justice and Home Affairs reform, 28–30
Treaty of Lisbon 2007
background, 32–3
Common Foreign and Security Policy, and, 37, 38–9
Constitutional Treaty, and, 35
dlegation of powers, 310
tual basis for Union, 36
entry into force, 35–6
Laeken Declaration, 32
‘new’ TEU content, 37
democracy provisions, 37–8
institutional provisions, 37
reform of EU, 5, 36–9
TFEU see Treaty on the Functioning of the European Union
Treaty of Maastricht 1993
constitutional debate over
ratification, 65–7
creation of EU, 5
intergovernmental elements, 22–3
pillar (temple) structure, 23–4
subsequent amendment, 27–8
supranational elements, 22–3
Treaty of Nice 2001, institutional reforms, 30–1
Treaty of Paris 1951, creation of
ECSC, 4–5
Treaty of Paris 1952, creation of EDC, 10–11
Treaty of Rome 1957
creation of EEC, 5, 12–13
precision of wording, 32
Treaty on European Union (TEU)
‘new’ EU see Treaty of Lisbon
‘old’ EU see Treaty of Maastricht
Treaty on the Functioning of the
European Union (TFEU)
competence provisions, 37
institutional provisions, 37
trustees doctrine see external procedures
ultra vires see competence
United Kingdom
Charter of Fundamental Rights, and,
443 (footnote), 464–6
Council representation, 181
decisions addressed to individuals, adoption of, 329–30
delegation of powers to agencies, and, 326–7
differential integration, 29
(footnote), 39 (footnote)
direct effect, and, 96–8, 100–1
dualism, 78 (footnote)
Economic and Monetary Union, and, 28 (footnote)
effectiveness standard, and, 406–8
ESCB, and, 211
European Convention on Human Rights, and, 451 (footnote),
453 (footnote)
European Parliament representation, 156, 159
exclusive competence, and, 237
‘incorporation doctrine’, and, 458
(footnote)
incorporation of international law, 78 (footnote)
judicial powers, 396 (footnote)
judicial review, 344 (footnote)
liability principle, and, 416 (footnote)
parliamentary sovereignty, doctrine of, 50 (footnote), 80, 430
preliminary rulings, and, 379–80
residual competence, and, 234–5
subsidiarity, and, 256–7
supranational nature, 68 (footnote)
supremacy, and, 128
teleological interpretation, and, 227–8
United States
Bill of Rights, 457
executive powers, 304, 327
external sovereignty, 290
federalism see federalism
incorporation of international law, 78 (footnote)
judicial power, development of, 344‘Schuman Plan’, and, 7
‘separation-of-powers’ principle, 150–1
‘State Constitutions’, 70 (footnote)
Van Gend en Loos case, direct effect of
European law, and, 79–80, 82–4
vertical direct effect see direct effect voting
Council of Ministers, 179–83
Parliament, 162–4
qualified majority voting see qualified majority voting
weighted votes see qualified majority voting
Werner Report, proposals on
European integration, 18
Western European Union (WEU)
dissolution, 38–9
establishment, 3–4
‘WTO doctrine’ see external competences