Quantum Spin Glasses, Annealing and Computation

Quantum annealing is a new-generation tool of information technology, which helps in solving combinatorial optimization problems with high precision, based on the concepts of quantum statistical physics.

This book focuses on the recent developments in quantum spin glasses, quantum annealing and quantum computations. It offers a detailed discussion on quantum statistical physics of spin glasses and its application in solving combinatorial optimization problems. Separate chapters on simulated annealing, quantum dynamics and classical spin models are provided for enhanced understanding. Notes on adiabatic quantum computers and quenching dynamics make it apt for the readers. This text will be useful for the students of quantum computation, quantum information, statistical physics and computer science.

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Quantum Spin Glasses, Annealing and Computation

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This book is dedicated to the memory of Prof. Jun-ichi Inoue

> The book contains three important notes contributed by Eliahu Cohen, Uma Divakaran, Sudip Mukherjee, Atanu Rajak and Boaz Tamir.

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both the local quenches simultaneously at time t = 0, we find a small peak at t' = 50 and a relatively stronger peak at t'' = 200. It can also be noted that there are small fluctuations close to $t_1 = 100$ which is more clear for the $\delta = 1$ curve (from Rajak and Divakaran, 2016).

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- 8.20 The diagram for the energy levels of the Majorana chain of system size N = 100 as a function of $\xi = \Delta/w$ with $\mu = 0$ and w = 1 considering (a) open boundary condition and (b) periodic boundary condition, respectively. One can observe that two zero energy Majorana edge modes exist in case (a) but not in case (b). It is mentioned that the energy spectrum is scaled by a constant factor 1/4 in Eq. (8.65) compared to Eq. (8.54) (from Rajak and Dutta, 2014).
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- 8.24 The energy levels of the Hamiltonian in Eq. (8.52) as a function of parameter $\xi = \frac{\Delta}{w_0}$ (where $w_0 = 1$) for (a) periodic and (b) open boundary conditions with N = 100 and $\phi = \pi/10$. The inverted energy levels inside the gapless phase of the system is indicated by the red color (from Rajak et al., 2014).
- 8.25 (a) Variation of the probability of defect (P_{def}) with τ for different values of ϕ exhibiting dip at different values of $\tau \geq \tau_c$ where the value of τ_c increases as ϕ decreases. (b) The probability of Majorana (P_m) exhibits a peak precisely at those values of τ where P_{def} shows dips. Here, N = 100 (from Rajak et al., 2014).

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- 8.26 (a) P_{def} , P_{neg} and P_m as a function of τ with $\phi = \pi/10$ exhibit that all of them add up to unity for any τ . (b) The plot shows that $\ln(\tau_c)$ varies almost linearly with $\ln(\sin \phi)$ having slope (= -0.9) nearly equal to -1 (from Rajak et al., 2014).
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- 9.1 Title and abstract from the first published paper proposing the idea that quantum tunneling across the free energy barriers in the Sherrington-Kirkpatrick spin glass model can lead to an efficient way of searching for its ground state(s). It was argued that "quantum tunneling between the classical 'trap' "states", separated by infinite (but narrow) barriers in the free energy surface, is possible, as quantum tunneling probability is proportional to the barrier area which is finite." They suggested that any amount of transverse field would lead to the collapse of the overlap distribution to a delta function. It may be noted that computationally hard problems can often be mapped into such long-range spin glass models; the advantage of quantum tunneling in such quantum spin glass models has lead ultimately to the development of the quantum annealer. A related reference is Chakrabarti (1981). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.2 Title and abstract from the first paper reporting on the experimental studies of an Ising spin glass sample under the influence of a tunable transverse field. The observed nature of the tunneling induced phase diagram compared well with that predicted by Ray et al. (1989). (Permission to use title and abstract from the paper is given by American Physical Society)

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- 9.3 Title and abstract of the first published paper demonstrating the search of the ground state of a Lennard–Jones system using 'quantum annealing' (term appearing for the first time in paper title). (Permission to use title and abstract from the paper is given by Elsevier)
- 9.4 Title and abstract from a paper demonstrating the clear advantage of quantum annealing in Ising models with frustrating interactions. It was found that in case of quantum annealing not only there was a higher probability of the initial state to converge in the ground state of such models but also the convergence time was comparatively less than that of simulated annealing. A related reference is Chakrabarti et al. (1996). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.5 Title and abstract of the first published experimental paper reporting on the advantage of quantum annealing in finding the ground state of disordered magnets. Such experimental observations have put quantum annealing on firm physical ground. Some related references are Wu et al. (1991), Wu et al. (1993), Bitko et al. (1996), Kadowaki and Nishimori (1998). (Permission to use title and abstract from the paper is given by The American Association for the Advancement of Science)
- 9.6 Title and abstract of a paper reporting on the zero temperature quantum adiabatic algorithm for NP-hard problems. A related reference here is Kadowaki and Nishimori (1998). (Permission to use title and abstract from the paper is given by The American Association for the Advancement of Science)
- 9.7 Title and abstract of a paper on the application of quantum annealing in estimating the remaining fraction of undesired solutions in some optimization searches in Ising spin glasses. They also indicated the relative fastness of quantum annealing with respect to simulated annealing. Some related references here are Wu et al. (1993), Finnila et al. (1994), Kadowaki and Nishimori (1998), Brooke et al. (1999), Brooke et al. (2001) and Farhi et al. (2001). (Permission to use title and abstract from the paper is given by The American Association for the Advancement of Science)
- 9.8 Two important early reviews helped the subsequent development of quantum annealing significantly. Title and abstract for the first review on adiabatic quantum computation and annealing, proclaiming that the idea of quantum tunneling through the infinitely high energy barriers in long-range frustrated spin glasses was introduced in Ray et al. (1989). Other related references here are Finnila et al. (1994), Chakrabarti et al. (1996), Kadowaki and Nishimori (1998), Brooke et al. (1999) and Das et al. (2005). (Permission to use title and abstract from the paper is given by Institute of Physics)

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- 9.9 Title and abstract from the second (early) review on quantum annealing and quantum computation. Authors summarized that following the indication of Ray et al. (1989), both theoretical and experimental successful studies on QA made this technique extremely useful in solving hard optimization problems, in binary to analog quantum computers. Some related references here are Finnila et al. (1994), Kadowaki and Nishimori (1998), Brooke et al. (1999), Farhi et al. (2001) and Santoro et al. (2002). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.10 Title and abstract from the review discussing the detailed mathematical structure and theorems related to QA. Some related references here are Finnila et al. (1994), Kadowaki and Nishimori (1998), Santoro et al. (2002), Santoro and Tosatti (2006), Das et al. (2005), Das and Chakrabarti (2005) and Das and Chakrabarti (2008). (Permission to use title and abstract from the paper is given by American Institute of Physics)
- 9.11 Title and abstract from a paper extending and clarifying the quantum annealing method used by Brooke et al. (1999) for quantum glasses. Authors argued that at low enough temperatures, and "in cases where barriers to relaxation are tall and narrow, quantum mechanics can enhance the ability to traverse the free energy surface (Ray et al. 1989)". Other related references cited are Wu et al. (1991), Wu et al. (1993). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.12 Title and abstract of the first paper by the D-Wave group giving the basic architecture of their quantum annealing processor. Some related references here are Finnila et al. (1994), Kadowaki and Nishimori (1998), Brooke et al. (1999) and Farhi et al. (2001). (Permission to use title and abstract from the paper is given by Nature Publishing Group)
- 9.13 Title, abstract and some excerpts from a paper by scientists from the University of Southern California, University of California, ETH Zurich and Microsoft Research. They argued in favour of the quantum nature of the D-Wave quantum annealer by comparing its performance with that of a classical one. In the introduction, the authors had commented "The phenomena of quantum tunneling suggests that it can be more efficient to explore state space quantum mechanically in a quantum annealer (Ray et al. 1989, Finnila et al. 1994, Kadowaki and Nishimori 1998)". Some other related references here are Brooke et al. (1999), Farhi et al. (2001) and Johnson et al. (2011). (Permission to use title and abstract from the paper is given by Nature Publishing Group)
- 9.14 Title and abstract from a paper where authors critically investigate the claim of the probable quantum speed-up over classical annealing. Their

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investigations suggest that the previously observed (Santoro et al., 2002; Martoňák et al., 2002) advantage of QA compared to SA in searching for the ground state of 2D spin glasses was due to the discretization of time in the quantum Monte Carlo algorithm. They have not found any advantage in continuous time limit. Other related cited papers are Ray et al. (1989), Finnila et al. (1994), Kadowaki and Nishimori (1998), Farhi et al. (2001) and Das and Chakrabarti (2008). (Permission to use title and abstract from the paper is given by The American Association for the Advancement of Science)

- 9.15 Title and abstract from a paper discussing the out of equilibrium quantum Monte Carlo study of spin glasses on 3-regular graphs. Authors observe the inability of quantum algorithm regarding fastness relative to SA in bringing down the system to glassy state. Some related references here are Ray et al. (1989), Finnila et al. (1994), Kadowaki and Nishimori (1998), Brooke et al. (1999), Farhi et al. (2001), Santoro et al. (2002), Das and Chakrabarti (2008) and Boixo et al. (2014). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.16 Title and abstract from a paper where authors scrutinize the role of decoherence in quantum computation as well as quantum annealing. They indicate that decoherence does not affect adiabatic quantum computation. The related references here are Ray et al. (1989), Kadowaki and Nishimori (1998), Farhi et al. (2001), Das and Chakrabarti (2008), Boixo et al. (2013) and Boixo et al. (2014). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.17 Title and abstract from a paper reporting on an interesting implementation of singular-value decomposition by QA in cases of big data and image analysis. The related references are Ray et al. (1989), Finnila et al. (1994), Kadowaki and Nishimori (1998), Farhi et al. (2001), Das and Chakrabarti (2005), Das and Chakrabarti (2008) and Suzuki et al. (2013). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.18 Title and abstract from the paper suggesting a generalized method for the reduction of the dimension of the Hilbert space of quantum systems. The related references are Ray et al. (1989), Finnila et al. (1994), Kadowaki and Nishimori (1998), Farhi et al. (2001) and Perdomo-Ortiz et al. (2012). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.19 Title and abstract from the paper reporting on experimental evidence of the success of QA using quantum tunneling across free energy barriers. The related references are Ray et al. (1989), Finnila et al. (1994), Kadowaki and

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Nishimori (1998), Brooke et al. (1999), Santoro et al. (2002), Johnson et al. (2011) and Boixo et al. (2013).

- 9.20 Title and abstract from a paper reporting on the failure of the quantum annealer in context of speed enhancement with respect to SA. Some related references here are Finnila et al. (1994), Kadowaki and Nishimori (1998), Brooke et al. (1999), Santoro et al. (2002), Das and Chakrabarti (2008), Boixo et al. (2014) and Mukherjee and Chakrabarti (2015). (Permission to use title and abstract from the paper is given by American Physical Society)
- 9.21 Title, abstract and excerpts from the first major paper supporting the claim of D-Wave quantum computer used in searching for low energy conformations of the lattice protein model, reported by the Harvard University group. The authors commented in the introductory section "Harnessing quantum-mechanical effects to speed up the solving of classical optimization problems is at the heart of quantum annealing algorithms (Finnila et al., 1994; Kadowaki and Nishimori, 1998; Farhi et al., 2001; Santoro and Tosatti, 2006; Das and Chakrabarti, 2008; Ray et al., 1989)". Some other relevant references here are Amara et al. (1993), Brooke et al. (1999), Farhi et al. (2001) and Johnson et al. (2011). (Permission to use title and abstract from the paper is given by Nature Publishing Group)

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Preface

This book intends to introduce the readers to the developments in the researches on phase transition of quantum spin glasses, their dynamics near the phase boundary and applications in the efforts to solve multi-variable optimization problems by using quantum annealing. In view of the recent successes of both theoretical and experimental studies, major efforts were undertaken in employing these ideas in developing some prototype quantum computers (e.g., by D-wave Systems Inc.) and these have led to a revolution in quantum technologies.

The ideas developed in solving the dynamics of frustrated random systems like spin glasses, in particular of the celebrated Sherrington-Kirkpatrick model (SK model) (1975), have led to the understanding of the intrinsic nature of the problems involved in searches for the least cost solutions in multi-variable optimization problems. In particular, the pioneering idea of simulated or classical annealing technique by Kirkpatrick, Gelatt, and Vecchi (1983) had already led to major breakthroughs. It has also led to some crucial concepts regarding how the hardness of such optimization problems come about through their mapping to the ruggedness of the cost function landscape of the SK model. The idea that quantum fluctuations in the SK model can lead to some escape routes by tunneling through such macroscopically tall but thin barriers (Ray, Chakrabarti, and Chakrabarti, 1989) those which are difficult to scale using classical fluctuations, have led to some important clues. With this and some more developments, the quantum annealing technique was finally launched through a landmark paper by Kadowaki and Nishimori in 1998. Since then, as mentioned earlier, a revolution has taken place through a surge of outstanding papers both in theory and in technological applications, leading finally to the birth of this new age of quantum technologies.

This book intends to present and review these developments in a step-by-step manner, mainly from the point of view of theoretical statistical physicists. We hope the book will also be useful to physicists in general and to computer scientists as well. As one can easily see, the subject is growing at a tremendous rate today, and many more materials will soon be needed to supplement our knowledge on quantum annealing. We believe, however, the materials discussed in the book will prove indispensable for young researches and Ph. D

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course students who are eager to get into this exciting field of research! Indeed, we have added three notes by other experts (B. Tamir and E. Cohen, A. Rajak and U. Divakaran, and S. Mukherjee) to provide some complementary ideas and historical accounts for the benefit of the readers.

This book is dedicated to the loving memory of Professor Jun-ichi Inoue with whom we shared many ideas and developed part of the studies described here. Indeed, we had an early plan to write this book together with him. His untimely death has robbed us of that opportunity. We still hope, he would be delighted to see this book and its contents.

We are grateful to the Cambridge University Press, in particular to M. Choudhary, R. Dey, and D. Majumdar, for their immense patience and constant encouragements. We do hope the book will be useful and enjoyable to the readers.

Shu Tanaka, Tokyo Ryo Tamura, Tsukuba Bikas K. Chakrabarti, Kolkata August 2016