

INDEX

Abacha, Sani, 40-41 abuse of functions, in anti-corruption treaties, 82-83 accessibility, in cooperative confiscation laws, 204-207 active nationality principle, discretionary jurisdiction and, Additional Protocol to the Criminal Law Convention on Corruption (COECrimCC-AP), 16t Ad Hoc Committee for the Negotiation of a Convention against Corruption (GA), asset recovery and, 23-27 administrative law, private sector bribery in, 77-80 Advocaten voor de Wereld VZW v. Leden van de Minsterraad, 209 African Charter on Human and Peoples' Rights (AfCHPR) collective right to wealth and resources under, 281-288 group property rights and, 10-11 interference and its justification, Art. 14 provisions concerning, 279–281 procedural guarantees in, 95-96 property rights in, 276-288 property rights issues and, 291-292 scope of rights under Art. 14, 276-279 summary of property rights in, 288 African Commission on Human and Peoples' Rights (AfCmHPR), 276-277

collective right to wealth and

resources and, 286-288

on interference in property rights cases, 279-281 property rights issues and, 291-292 scope of property rights and, 276-279 African Court on Human and Peoples' Rights (AfCtHPR), 276-277 summary of property rights in rulings of, 288 African Union (AU) anti-corruption treaties and, 1-3 discretionary assumptions of jurisdiction, 61-62 foreign versus domestic bribery provisions in treaties of, 66-70 private sector bribery in, 77-80 African Union Convention on Preventing and Combating Corruption (AUCPCC), 16t abuse of functions and breach of duty provisions in, 82-83 active nationality principle, discretionary jurisdiction, 60-61 asset diversion and misuse provisions, 83-84 bribee definitions in, 66–70 briber identity, in public bribery provisions, 65-66 confiscation provisions in, 103-105 consideration for the bribe, 73-74 contents of bribery provisions, 72–73 corruption definition in, 20-22 duty to cooperate for the purposes of confiscation in, 124-126 illicit wealth provisions, 84-87 mandatory assumptions of jurisdiction in, 59-60

fairness of enforcement and, 295



350 INDEX

African Union Convention (cont.) procedural fairness and mental elements of bribery, 74-76 proportionality in asset recovery money laundering and concealment and, 248-252 provisions, 87-89 protection of bona fide third parties ne bis in idem (double jeopardy) in, 252-254 Al-Qaida, 243-248 in, 99 frozen assets of, 46-47 object- and value-based confiscation Al-Qaida Sanction Committee, 46-47 provisions in, 114-116 passive personality/protective Al-Saadoon and Mufdhi v. UK, principles, discretionary 166-170, 171-172 Al-Skeini and Others v. UK, 147-148 jurisdiction, 61-62 American Convention on Human penalties for corruption in, 92 prevention and investigation of Rights (ACHR) corruption in, 94-95 Art. 21, 10-11 private sector bribery in, 77-80 collective dimension in asset recovery procedural guarantees in, 95-96 and, 275 proceeds, concept in confiscation interference in property rights under, provisions, 109-111 270 - 271public sector bribery offenses justification for interference in, provisions, 64-76 271 - 275trading and abusing influence personal scope of property rights in, provisions in, 80-82 Ahorugeze v. Sweden, 156-162, 166-169 property rights issues and, 291-292 Air Canada v. UK property rights under, 264-276 foreign proceeds confiscation in, substantive scope of property rights 189-190 and, 269-270 in rem property in, 175-177 summary of enforcement orders interference rules in, 190-195 applicability, 275–276 local confiscation orders in, temporal scope of property rights in, 186-187 procedural fairness and territorial scope of property rights proportionality in asset recovery and, 267-269 and, 248-252 American Declaration of the Rights and Duties of Man (ADRDM), proportionality in domestic confiscation law and, 234-235 264-276 protection of bona fide third parties anti-corruption treaties in, 252-254 abuse of functions and breach of duty value of foreign confiscation orders provisions in, 82-83 in, 223-228 abuse of processes, political offenses, Al Barakaat International Foundation, and political prosecution 245-248 exceptions, 212-217 alien property rights, sovereignty issues asset diversion and misuse in, 83-84 and, 33-35 bribee identity in, 66–70 Al-Jedda v. UK, 162-166 briber's role in, 65-66 compatibility with human rights, Alldridge, Peter, 109–111 Allgemeine Gold- und 140 - 141confiscation of instrumentalities and, Silberscheideanstalt AG (AGOSI) v. UK, 175–177, 186–187, 189–195, 111-112 234-235 confiscation orders enforcement, 121



INDEX 351

connection between thing and offense in confiscation instruments, 115-116 contents of bribery provisions, 72-73 criminalization of corruption and, 8, defenses contained in, 90-92 detection instruments in, 93-94 discretionary assumptions of jurisdiction, 60-63 discretion not to confiscate, 121 double jeopardy and legal certainty in, 210-212 dual criminality requirement in cooperative confiscation and, 209 duty to cooperate for purposes of confiscation in, 123-129 duty to enable confiscation content in, 106-123 enforcement of, 93-100 foreign versus domestic bribery provisions in, 66-70 historical development of, 1-3 illicit enrichment provisions in, 84 - 87immunity from jurisdiction in, 97-98 international confiscation standards and, 129-137 lawfulness requirement and, 196-197 liability of things to confiscation in, 109-116 mandatory assumptions of jurisdiction in, 59-60 money laundering and concealment provisions, 87-89 ne bis in idem (double jeopardy) principle in, 99 non-enforcement of, 96-100 norm conflicts in asset recovery and, 169 - 172obstruction of justice provisions in, 90 penalties in, 92 persons affected by confiscation, 116 - 120predicate offenses to confiscation in, 107-109 prescriptive provisions for criminalization in, 63-93

prevention and investigation procedures in, 94-95 private sector bribery in, 77-80 procedural guarantees in, 95-96 proceeds and instrumentalities as property in, 112-114 property liable to confiscation, identification and preservation of, 121-122 protection of bona fide third parties in, 252-254 public sector bribery offenses, 64-76 social, political, and intellectual developments and, 3-5 sovereignty principle in, 99 statutes of limitations, 98 substantive scope of property rights in, 172-183 summary of provisions in, 93 suppression conventions as, 13–17 third party beneficiaries and intermediaries, public sector bribery, 70-71 trade and abuse of influence in, 80-82 Apostolakis v. Greece, 223–228 Arab Charter on Human Rights (ArCHR), 288-290, 291-292 Arab Forum on Asset Recovery, 54 Arab Human Rights Committee (ArHRCmte), 288-290 Arab Spring. See also specific countries asset recovery and, 9, 47-54 property rights in wake of, 288-290 arbitrariness accessibility, precision, and foreseeability in cooperative confiscation and, 204-207 mutual legal assistance powers compatibility with rule of law and, 203-217 refusal of assistance, grounds for, 207-217 Arcuri and Others v. Italy, 187–189, 195, 236-237, 252-254 Asaad, Bashar al-, 47-54 ASEAN Human Rights Declaration (AHRD), 290-291 Asian Development Bank (ADB), anti-corruption efforts in, 17-20



352 INDEX

Asia-Pacific region absence of human rights treaty in, 31 - 33anti-corruption efforts in, 17-20 human rights to property, 290-291 asset diversion and misuse, in anti-corruption treaties, 83-84 asset recovery abuse of processes, political offenses, and political prosecution exceptions, 212-217 in Arab Spring, 47–54 assurances, effect in, 166-169 case studies in, 38-56 collective and individual interests collective dimension in, 275 compensation provision and, 257 cooperative confiscation as tool for, counter-terrorist sanctions regimes, criminalization of corruption and, 299-301 in customary international law, 33 - 35definitions of, 9, 22–29, 56–57, 293-294 deterrence of corruption and, 140 - 141double jeopardy and legal certainty in cases of, 210-212 dual criminality requirement in cooperative confiscation and, 209 duty to cooperate for purposes of confiscation and, 124 duty to cooperate in disposal of confiscated illicit wealth, 137-138 equality, interaction with rights to property, 259-261 in failed states, 42-46 fairness of enforcement proceeding in, 228-252 flagrant denial of rights criteria and, 156-162 foreign confiscation orders, value of, 223-228

human rights and, 1, 140-141 international law and, 5, 294 jurisdiction rules and, 58-63 justification for interference and, 273-275 lawfulness requirement and, 196-197 legal basis for enforcement and, 198-203 literature sources on, 23n.65 narrow definition of, 27-28 new Arab property rights and, 288 - 290norm conflicts in public international law and, 169-172 peoples' rights to property and, 35-37 personal scope of property rights, 145-146 practical challenges to, 54-55 preventative confiscation and, 237 - 239property in cases of, 182-183 property rights and, 6-8, 56-57 proportionality in cases of, 10-11, 248 - 252public interest in confiscation and, 219-222 regional property rights and, 264 requested state responsibilities in, 153 - 172sanctions case law and, 193-195 substantive scope of property rights and, 269-270 successful recovery cases, 38-42 temporal scope in, 255-256 temporal scope of property rights, 144-145 UNCAC definition of, 23-27 assistance in confiscations compatibility with rule of law, 203-217 grounds for refusal, 207-217 Association of Southeast Asian Nations (ASEAN), property rights issues and, 31-33, 290-291 assurances, flagrant denial of justice and effect of, 166-169 asylum rights, due diligence standard and requesting state trustworthiness and, 162-166

fragmentation of international law

and, 293



INDEX 353

aut dedere aut judicare principle, mandatory assumptions of jurisdiction and, 59-60 Axen, Hermann, 145-146, 178-181, Azinas v. Cyprus, 223-228 Babar Ahmad and Others v. UK, 156-162, 166 background corruption, trade and abuse of influence and, 80-82 Baklanov v. Russia, 201-202 Balkan v. Russia, 195 Banfield v. UK, 223-228 Bank for International Settlements (BIS), Nigeria v. Sani Abacha case and, 40-41 banking secrecy asset recovery responsibilities and, duty to cooperate for the purposes of confiscation and, 124-126 Swiss laws on, 12 Banković v. Belgium, 147-148 bare possession of property, as property right, 178 Ben Ali, Zine El-Abidine, 47–54 Benet Czech, spo. s.r.o. v. Czech Republic, 177, 255-256 Beyeler v. Italy, 178, 182-183 bilateral mutual legal assistance treaties (MLATs), confiscation provisions, 134-136 bin Laden, Usama, 46-47, 243-248 Blake v. UK, 239 bona fide third parties, 122 bilateral mutual legal assistance treaties on confiscation and, 134-136 duty to cooperate in disposal of confiscated illicit wealth, 137-138 EU framework decisions on confiscation and, 131-133 persons affected by confiscation and, 116-120 protection of, 252-254

Bosphorus Hava Yollari Turizm Ve Ticaret Anonim Sirketi v. Ireland, 162-166, 169-170, 171-172 Bozano v. Switzerland, 198-199 breach of duty abuse of functions and, 82-83 in private sector bribery, 78–79 Arab Spring asset recovery cases and, 49 confiscation of instrumentalities and, 111-112 consideration for the bribe, 73-74 contents of bribery provisions, 72-73 definitions of, 12-13 foreign versus domestic bribery provisions, bribee identification, in personam rights and, 177 in private sector, 77-80 mental elements of, 74-76 Nigeria v. Sani Abacha case, 40-41 objective elements in, 71-72 OECD Convention, 20–22 proceeds and instrumentalities as property and, 112-114 proceeds-not-profits doctrine and, 109-111 in public sector, 64-76 territorial scope of property rights and, 267-269 third party beneficiaries and intermediaries, 70-71 burden of proof connection between thing and offense in confiscation instruments, 115-116 flagrant denial of rights and degree of injustice criteria and, 156-162 lawfulness of interference and, 196-219 Butler v. UK, 190, 195, 202-203, 237-239, 254 Cairo Declaration on Islamic Human Rights (CDIHR), 288-290 Calvo Doctrine, alien property rights

and, 33-35

SADC-MLAP confiscation

requirements concerning, 134



354 INDEX

Canada, Arab Spring asset recovery supported by, 54 Cantos v. Argentina, 266 Carter, Jimmy, Foreign Corrupt Practices Act and, 1-3 case studies in asset recovery, 38–56. See also specific cases sanctions case law and, 193-195 Cayman Islands banks, Peru v. Vladimiro Lenin Montesinos Torres case, 41-42 Cebotari v. Moldova, 215–216 censorship laws, confiscation under, 186-187 Centre for Minority Rights Development (Kenya) and Minority Rights Group International on behalf of Endorois Welfare Council v. Kenya, 279-281, 286-288, 296-298 Chahal v. UK, 166-169 Chaparro Álvarez and Lapo Íñiguez v. Ecuador, 266-267, 270-271, 273-275, 291-292, 295-298 Charter of Fundamental Rights of the European Union (EUCFR), European human rights to property and, 141–143 Charter of the United Nations (UNC), counter-terrorist sanctions regimes and, 46-47 civil law limits of state power and, 293 persons affected by confiscation in, 116-120 private sector bribery in, 77-80 procedural fairness and proportionality in asset recovery and, 248-252 qualified rights, flagrant denials and, Civil Law Convention on Corruption (COECivCC), 16t active nationality principle, discretionary jurisdiction, 60-61 mandatory assumptions of

proportionality of cooperative confiscation and, 228-230 right to fair trial and international cooperation and, 149-150 Clinton, Bill, Foreign Corrupt Practices Act and, 1-3 Çoban, Ali Riza, 190-195 COECivCC. See Civil Law Convention on Corruption COECrimCC. See Criminal Law Convention on Corruption (COECrimCC) COECrimCC-AP. See Additional Protocol to the Criminal Law Convention on Corruption (COECrimCC-AP) COEMLC 1990. See Council of Europe Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime (COEMLC 1990) COEMLC 2005. See Council of Europe Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime and on the Financing of Terrorism Cold War, treaty-based human rights to property after, 31-33 collective wealth AfCHPR property rights provisions and, 281-288 indigenous and tribal property claims, 275 peoples' rights to property and, 35-37 colonialism, property rights and, 6-8 COM(2012) 85 connection between thing and offense in confiscation instruments, 115-116 decisions on confiscation and, 131-133 enforcement or non-enforcement of confiscation orders, 121 persons affected by confiscation in, 116-120 procedure for proof of predicate offense in, 108-109 proceeds, concept in confiscation provisions, 109-111

jurisdiction in, 59-60

civil rights and obligations

civil proceedings, in *Nigeria* v. *Sani Abacha* case, 40–41



INDEX 355

commercial transactions, public sector bribery and intent of, 74-76 Commission, Council, United Kingdom v. Yassin Abdullah Kadi (Kadi No. 4), 193-195, 245-248 Commonwealth of Independent States Convention on Legal Assistance and Legal Relations in Civil, Family and Criminal Matters (Minsk Convention), 204–207 property rights and, 141-143 Commonwealth of Independent States Convention on Human Rights and Fundamental Freedoms (CISCHR), property rights and, 141-143, 143n.14 communal property, human rights and, competing obligations, norm conflicts in asset recovery and, 170-171 compliance presumption due diligence standard and requesting state trustworthiness and, 165-166 legal basis for enforcement and, 198-203 compulsory acquisition, bare possession of tangible things as property, 178 Conference of States Parties (COSP), asset recovery and, 27 confiscation. See also cooperative confiscation; duty to enable confiscation in anti-corruption treaties, 294 asset recovery and, 5 concept of, 107 connection between thing and offense rules, 115-116 cooperative confiscation, 9 in customary international law, 33 - 35degree of injustice and flagrant denial of rights, 156-162 discretion not to confiscate, 121 domestic law to enable, 105-106 duty to cooperate for purposes of,

duty to cooperate in disposal of illicit wealth, 137-138 duty to enable confiscation, 102-123 effect on property, 114-116 enforcement of order, 121 exceptional circumstances with, 257-258 fairness of enforcement proceeding in, 228-252 human rights versus, 140-141 instrumentalities concept in, 111–112 interference rules concerning, 184-196 international standards, 102-106, 129-137 liability of things to, 109–116 new Arab property rights and, 288 - 290object-based confiscation provisions, offenses predicate to, 107-109 persons affected by, 116-120 Philippines v. Ferdinand and Imelda Marcos asset case, 38-40 proceeds and instrumentalities as property and, 112-114 proceeds concept and, 109-111 of property, in asset recovery cases, 182 - 183property as legitimate expectation and, 181-182 property liable to, identification and preservation of, 121-122 property rights and, 8, 144-184 proportionality to general interest in, 219-259 requested state responsibility for foreign confiscation orders, 152 - 153temporal scope of property rights in, 255-256 conflict-resolution techniques, right to corruption-free society and, 283-286 constitutional property, illicit wealth as property and, 174-175 Convention on the Civil Aspects of International Child Abduction (Hague Convention), 170-171

123-137



356 INDEX

Convention on Combating Bribery of Foreign Public Officials in International Business Transactions (OECD-ABC), 16t active nationality principle, discretionary jurisdiction, 60-61 bribee identity in, 66-70 briber identity in, 65-66 concept of confiscation in, 107 confiscation standards in, 102-106 consideration for the bribe, 73–74 defenses to corruption charges in, 90-92 duty to cooperate for purposes of confiscation in, 124 foreign versus domestic bribery provisions in, 66-70 investigative and prosecutorial discretion in, 96-97 mandatory assumptions of jurisdiction in, 59-60 mental elements of bribery, 74-76 money laundering and concealment provisions, 87-89 ne bis in idem (double jeopardy) in, 99 penalties for corruption in, 92 persons affected by confiscation in, 116-120 predicate offenses to confiscation and, 107-109 proceeds, concept in confiscation provisions, 109-111 public sector bribery provisions, 64 - 76statutes of limitations in, 98 third party beneficiaries and intermediaries, 70-71 Convention on the fight against corruption involving officials of the European Communities or officials of the Member States of the European Union (EUOCC) confiscation provisions in, 103-105 consideration for the bribe, 73-74 contents of bribery provisions, 72-73 corruption definition, 16, 20-22 domestic versus foreign bribery in, 66 - 70

double jeopardy and legal certainty in, 210-212 duty to cooperate for the purposes of confiscation in, 124-126 mandatory assumptions of jurisdiction and, 59-60 mental elements of bribery, 74-76 ne bis in idem (double jeopardy) in, 99 passive personality/protective principles, discretionary jurisdiction, 61-62 penalties for corruption in, 92 persons affected by confiscation in, 116-120 third party beneficiaries and intermediaries, passive bribery involving, 70-71 Convention on the protection of the European Communities' financial interests (EUCPFI), 16t active nationality principle, discretionary jurisdiction, 60-61 corruption definition, 20-22 double jeopardy and legal certainty in, 210-212 mandatory assumptions of jurisdiction in, 59-60 ne bis in idem (double jeopardy) in, 99 penalties for corruption in, 92 conviction-based confiscation procedural fairness and proportionality in asset recovery and, 248-252 proof of predicate offense and, 108-109 cooperative confiscation. See also confiscation abuse of processes, political offenses, and political prosecution exceptions, 212-217 accessibility, precision, and foreseeability in laws concerning, 204-207 in anti-corruption treaties, 9, 101 asset recovery and, 5 barriers to, 54-55 compatibility with human rights, 140 - 141



INDEX 357

compensation provision and, 257 competing obligations in, 170-171 in customary international law, democratic legitimacy as condition for, 217 domestic law on duty to cooperate, 105 - 106double jeopardy and legal certainty in, 210-212 dual criminality requirement, 209 duty to cooperate for purposes of, 123-137 duty to enable confiscation, 102-123 ECHR jurisdiction concept and, 154 - 162illicit wealth as property and, 174-175 interference with, 186-190 international law principles and, 218 lawfulness requirement and, 196-197 legal basis for enforcement and, 198-203 norm conflicts in asset recovery and, 169 - 172personal scope of property rights and, 145-146 prescriptive provisions, 63-93 procedural fairness and proportionality in, 248-252 proportionality in ECtHR case law concerning, 228-230 public interest in confiscation and, 219-222 refusal of assistance, grounds for, 207 - 217regional treaties and, 295, 298-299 requested state responsibilities in asset recovery, 153-172 requesting state hearing proportionality and, 240-245 summary of legal basis for, 218-219 temporal scope of property rights, 144-145, 255-256 territorial scope of property rights, 146 - 172corporate offenders active nationality principle and, 60 - 61

anti-corruption treaties and, 8 in bribery offenses, 65-66 foreign confiscation orders and, 295 international versus transnational law concerning, 13-17 personal scope of property rights of, 145-146 political prosecution of, 212-217 proceeds as property in, 178–181 property as legitimate expectation for, 181–182 public international law on corruption and, 20-22 value of foreign confiscation and, 223-228 corruption and corrupt practices. See also criminalization of corruption asset recovery as deterrent to, 140 - 141bribery in public sector, 64-76 criminalization of, 58 definitions of, 9, 12-22, 293-294 human rights and, 1 international definitions of, 20-22, 56-57 international treaties and supranational legislative instruments, 16t lack of agreed meaning for, 22 offenses, categories of, 64-90 public international law common definition, 20-22 soft law norms on, 17-20 suppression conventions and, 13-17 corruption-free society, right to, Kofele-Kale's concept of, 283-286 corrupt trilateral relationship, trade or abuse of influence as, 80-82 Council Framework Decision EU 2003/ 568/JHA, 16t active nationality principle, discretionary jurisdiction, 60-61 breach of duty in private sector bribery and, 78-79 confiscation standards and, 103-105 connection between thing and offense in confiscation instruments, 115-116



358 INDEX

Council Framework Decision EU 2003/ 568/JHA (cont.) context and consequences of private sector bribery, 79-80 mandatory assumptions of jurisdiction and, 59-60 penalties for corruption in, 92 private sector bribery in, 77–80 proceeds concept in confiscation and, 109-111 Council Framework Decision EU 2003/ 577/IHA, decisions on confiscation and, 131-133 Council Framework Decision EU 2005/ 212/IHA confiscation standards and, 103-105 persons affected by confiscation in, 116-120 proceeds concept in, 109-111 thing and offense connection in confiscation procedures, 115-116 Council Framework Decision EU 2006/ 783/JHA, confiscation cooperation standards and, 131-133, 136-137 Council of Europe (COE) anti-corruption treaties and, 1–3, 17 - 20briber identity in public bribery treaties, 65-66 confiscation standards and, 102-106 contents of bribery provisions in treaties of, 72-73 Convention on Extradition, 198-203 discretionary assumptions of jurisdiction, 61-62 domestic versus foreign bribery in treaties of, 66-70 European human rights to property and, 141-143 framework decisions on confiscation and, 131-133 money laundering convention, 87-89 object-based confiscation provisions

property definitions of, 112-114 public sector bribery offenses, 64-76 terrorism financing convention, 87-89 Council of Europe Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime (COEMLC 1990) confiscation provisions in, 103-105 enforcement or non-enforcement of confiscation order, 121 international confiscation standards and, 129-131 money laundering and concealment provisions, 87-89 persons affected by confiscation in, 116 - 120procedure for proof of predicate offense in, 108-109 proceeds, concept in confiscation provisions, 109-111 Council of Europe Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime and on the Financing of Terrorism (COEMLC 2005) connection between thing and offense in confiscation instruments, 115-116 enforcement or non-enforcement of confiscation orders, 121 international confiscation standards and, 129-131 money laundering and concealment provisions, 87-89 procedure for proof of predicate offense in, 108-109 proceeds, concept in confiscation provisions, 109-111 counter-terrorist sanctions regimes asset recovery and, 46-47 property liable to confiscation, identification and preservation of, 121-122 criminal expenditures, definitions in confiscation provisions, 109-111 criminalization of corruption

ambiguity concerning, 58

in anti-corruption treaties, 9

persons affected by confiscation and,

private sector bribery in, 77-80

and, 114-116

116 - 120



INDEX 359

defenses to, 90-92 double jeopardy and legal certainty in, 210-212 flagrant denial of justice in foreign penalty enforcement, 150-152 illicit wealth provisions, 84–87 immunity from jurisdiction and, 97-98 impact of anti-corruption treaties on, 100 international issues in, 299-301 investigative and prosecutorial discretion and, 96-97 jurisdiction and, 58-63 legal basis for enforcement and, 198-203 money laundering and concealment, ne bis in idem (double jeopardy) principle, 99 obstruction of justice offense, 90 offense categories, 64-90 penalties for, 92 predicate offenses to confiscation, 107 - 109prescriptive provisions for, 63-93 private sector bribery, 77–80 procedural fairness and proportionality in asset recovery and, 248-252 proceeds and instrumentalities as property in confiscation provisions, 112-114 property liable to confiscation, identification and preservation of, 121-122 proportionality requirement and, 296-298 public sector bribery, 64-76 right to fair trial and international cooperation and, 149-150 soft law norms and, 17-20 sovereignty principle and, 99 statutes of limitations, 98 trade and abuse of influence and, 80-82 criminal law barriers to prosecution, in asset recovery cases, 54-55

dual criminality requirement for extradition and, 209 international versus transnational law, 13-17 qualified rights, flagrant denials and, 166 soft law norms and, 17-20 Criminal Law Convention on Corruption (COECrimCC), 16t active nationality principle, 60-61 breach of duty in private sector bribery and, 78-79 bribee identity in private sector bribery, 78 briber identity in public bribery provisions, 65-66 confiscation provisions in, 103-105 consideration for the bribe, 73-74 contents of bribery provisions, 72-73 context and consequences of private sector bribery, 79-80 corruption definition in, 20-22 discretionary jurisdiction under, 60 - 63domestic versus foreign bribery in, 66 - 70duty to cooperate for the purposes of confiscation in, 124–126 immunity from jurisdiction in, 97-98 mandatory jurisdiction assumptions, 59-60 mental elements of bribery, 74-76 money laundering and concealment provisions, 87-89 passive personality and protective principles, 61-62 penalties for corruption in, 92 persons affected by confiscation in, 116 - 120predicate offenses to confiscation and, 107-109 prevention and investigation of corruption in, 94-95 private sector bribery in, 77-80 proceeds, concept in confiscation provisions, 109-111 statutes of limitations in, 98



360 INDEX

Criminal Law Convention on Corruption (cont.) third party beneficiaries and intermediaries, passive bribery involving, 70-71 trading and abusing influence provisions in, 80-82 value- and object-based confiscation provisions in, 114-116 criminal organizations anti-corruption treaties and, 3-5 proceeds as property and, 178-181 proceeds from local offenses, confiscation of, 187-189 proportionality in domestic confiscation law and, 230-232, protection of bona fide third parties and, 252-254 Crowther v. UK, 232-233 cultural defenses, to corruption charges, custodial sentencing, for corruption, 92 customary international law, property rights in, 33-35 damage to public interest principle, in public sector bribery, 76 Dassa Foundation v. Liechtenstein, 255-256 Deauville Partnership with Arab Countries in Transition, 54 de facto possession jurisdiction in cooperative compensation and, 155-156 property in asset recovery, 182-183 regional treaty comparisons concerning, 295 defense, in criminalization of corruption, 90-92 procedural guarantees and, 95-96

de minimis value, predicate offenses to confiscation based on, 107-109 democratic legitimacy, cooperative confiscation and, 217 Democratic Republic of Congo, asset recovery case involving, 43 Denisova and Moiseyeva v. Russia, 181–182, 193–195, 239–240 deportation decision abuse of processes, political offenses, and political prosecution exceptions and, 212-217 flagrant denial of rights and degree of injustice concerning, 156-162 detection of corruption, anti-corruption treaty provisions for, 93-94 detention for extradition, accessibility, precision, and foreseeability requirements, 204-207 developmental defenses, to corruption charges, 90-92 Dimitar Krastev v. Bulgaria, 239–240 Dino Noca v. DRC, 279-281, 283-286 diplomatic protection customary human rights under, 33–35 public interest in confiscation and, 219 - 222direct proceeds, definitions in confiscation provisions, 109-111 discretionary jurisdiction active nationality principle and, 60-61 in anti-corruption treaties, 60-63 bribery, investigative/prosecutorial discretion, 96-97 duty to cooperate for the purposes of confiscation, 127-128 grounds for assumption, 60-63 interference rules and, 193-195 non-confiscation discretion, 121 passive personality/protective principles, 61-62 public interest in confiscation of possessions and, 219-222 discretionary prosecution, double jeopardy and legal certainty and, 210-212 discriminatory prosecutions public interest in confiscation of possessions and, 219-222

requesting state hearing

rights and, 156–162 *de jure* possession, jurisdiction in

240 - 245

155-156

proportionality concerning,

degree of injustice, flagrant denial of

cooperative compensation and,



INDEX 361

refusal of assistance in cooperative confiscation cases based on, 207-217 "disguised extradition" principle, 212-217 disproportionate confiscation, interference rules and, 193-195 domestic bribery criminalization of, 66-70 private sector bribery, 77-80 third party beneficiaries and intermediaries, 70-71 domestic law accessibility, precision, and foreseeability in cooperative confiscation and, 204-207 anti-corruption treaties and, 9 confiscation standards and, 105-106 discretionary jurisdiction based on, duty to cooperate for purposes of confiscation in, 123-137 duty to enable confiscation content in, 106-123 effects of confiscation on property in, 114-116 illicit enrichment offenses and, 84-87 illicit wealth as property and, 174 - 175legal basis for enforcement and, 198-203 objects and instrumentalities of confiscation under, 186-187 predicate offenses to confiscation in, 107-109 private sector bribery in, 77-80 proportionality in ECtHR case law concerning, 230-240, 258-259 public interest in confiscation and, 219-222 right to fair trial and international cooperation and, 149-150 double jeopardy bilateral mutual legal assistance treaties on confiscation and, 134-136 connection between thing and offense in confiscation instruments, 115-116 criminalization of corruption and, 99

duty to cooperate for the purposes of confiscation and, 124-126 EU framework decisions on confiscation and, 131-133 international confiscation standards, 129 - 131justification for interference and, 296 persons affected by confiscation and, 116-120 refusal of assistance in cooperative confiscation cases based on, 210-212 "double punishment/double payment" argument, double jeopardy and legal certainty in confiscation and, 210 - 212"double recovery" principle, proportionality of lawfulness and, 227 - 228DRC v. Mobutu Seso Seko case, 43 Drozd and Janousek v. France and Spain, 150-153, 154-166 drug trafficking anti-corruption treaties and, 3-5 in rem property rights and, 175-177 instrumentalities of local offenses and, 186-187 interference to the general interest in, 219-222, 295-296 international versus transnational criminal law and, 13-17 legal basis for enforcement and, 202 - 203liability for possession of, 240-245 preventative confiscations and, 237-239 proceeds from, confiscation of, 187 - 189proportionality in domestic confiscation law, 230-232 value of foreign confiscation order and, 223-224 dual criminality requirement active nationality principle, discretionary jurisdiction, 60 - 61duty to cooperate for the purposes of confiscation and, 127 - 128



362 INDEX

dual criminality requirement (cont.) justification for interference and, principle in abstracto, 207-210 principle in concreto, 207-210 refusal of assistance in cooperative confiscation cases based on, 209 Dublin Regulation, 162-166 Duboc v. Austria, 152-153, 154-162, 169 - 172arbitrariness in, 203 dual criminality requirement and lawfulness in, 209 illicit wealth as property in, 174-175 in personam rights in, 177 legal basis for enforcement in, 198-199 procedural fairness and proportionality in asset recovery and, 251-252 property in asset recovery in, 182-183 proportionality of cooperative confiscation in, 228-230, 296-298 proportionality of lawfulness to general interest in, 219-222, 226 protection of bona fide third parties in, 252-254 temporal scope issues in, 255-256 due diligence standard, requesting state trustworthiness and, 162-166 due process rights, illicit enrichment offenses and, 84-87 duty to cooperate for purposes of confiscation, 123-137 duty to cooperate in disposal of confiscated illicit wealth, 137-138 duty to enable confiscation content of, 106-123 cooperative confiscation agreements in, 102-123 international standards, 102-106 OECD-ABC template for, 102 persons affected by confiscation, 116-120 regional treaties and instruments, 103 - 105UNCAC/UNTOC provisions, 105-106 Duvalier, Jean-Claude ("Baby Doc"), 43 - 46

EC Convention between Member States of the European Communities on Double Jeopardy, 210-212 ECHR. See European Convention for the Protection of Human Rights and Fundamental Freedoms ECHR-P1. See Protocol to the European Convention for the Protection of Human Rights and Fundamental Freedoms ECHR-P7. See European Convention for the Protection of Human Rights and Fundamental Freedoms (ECHR), Protocol No. 7 Economic and Social Council of the United Nations (ESC) asset recovery terminology in, 23-27 soft law norms on corruption and, Economic Community of West African States (ECOWAS), anticorruption treaties and, 1-3 economic policies collective right to wealth and resources and, 286-288 corruption and, 3-5 Egypt, asset recovery in, 47-54 El-Masri doctrine, flagrant denial of rights and degree of injustice and, 156-162 Embargos Act (Switzerland) (EmbA), Arab Spring asset recovery and, 47 - 54embezzlement Arab Spring asset recovery cases and, 49 criminalization of, 83-84 duty to cooperate in disposal of confiscated illicit wealth and, 137-138 legal basis for enforcement in cases of, 198-203 Nigeria v. Sani Abacha case, 40 - 41Peru v. Vladimiro Lenin Montesinos Torres case, 41-42 proceeds and instrumentalities as property and, 112-114



INDEX 363

value of foreign confiscation in cases of, 223-228 "emergence" standard for flagrant injustice, 162-166 encroachment principles, in AfCHPR provisions on interference, 279-281 enemy combatants category, assurances, effect of in violations cases, 166-169 enforcement proceedings. See also non-enforcement of anti-corruption treaties in AfCHPR provisions on interference, 279-281 in anti-corruption treaties, 93-100, 295 of confiscation, 121 fairness of, 228-252 flagrant denial of justice in foreign penalty enforcement, 150-152 foreign confiscation orders and, 261-263 legal basis for, 198-203 protection of bona fide third parties in, 252-254 requested state responsibilities in asset recovery, 153-172 Engel and Others v. Netherlands, 149-150, 230-232, 248-252 entry into force, temporal scope of property rights and, 144-145, 266 equality, property and, 259-261 equivalent protection presumption due diligence standard and requesting state trustworthiness and, 165-166 norm conflict in asset recovery and, 171 - 172Eskinazi and Cheluche v. Turkey, 170-171, 243-245, 251-252 EUCFR. See Charter of Fundamental Rights of the European Union (EUCFR) EUCPFI. See Convention on the protection of the European Communities' financial interests EUCPFI-P1 and P2. See Protocol

the European Communities' financial interests EU Dec. 2003/568/JHA. See Council Framework Decision EU 2003/ 568/JHA EUOCC. See Convention on the fight against corruption involving officials of the European Communities or officials of the Member States of the European Union Euro-crimes concept, connection between thing and offense in confiscation instruments, 115-116 European Arrest Warrant, 162-166 dual criminality requirement and lawfulness in enforcement of, 209 European Commission of Human Rights (ECmHR) human rights versus confiscation orders and, 140-141 legal basis for enforcement and, 198-203 proceeds from local offenses and, 187 - 189European Communities (EC), anticorruption treaties and, 1-3 European Convention for the Protection of Human Rights and Fundamental Freedoms (ECHR) abuse of processes, political offenses, and political prosecution exceptions and, 212-217 adverse consequences of extradition and, 148 Art. 5(1)(f) cases and, 193-195, 204-207, 212-217 Art. 6, fairness of enforcement proceeding in, 228-252, 258-259 degree of injustice criteria, 156-162 dual criminality requirement and lawfulness in, 209 due diligence standard and state trustworthiness, 162-166 European human rights to property and, 141-143

flagrant denial of rights under, 150–152, 156–162

and Second Protocol to the

Convention on the protection of



364 INDEX

European Convention (cont.) foreign penalty enforcement under, 150-152, 295 in Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 jurisdiction concept in, 147–148, 154 - 162lawfulness requirement and, 196-197 legal basis for enforcement and, 198-203 norm conflicts in asset recovery and, 169-172 personal scope of property rights under, 145-146 procedural fairness and proportionality in asset recovery and, 248-252 property and equality under, 259-261 Protocol No. 7, 210-212 public interest in confiscation of possessions and, 219-222 qualified rights, flagrant denials and, requested state responsibility for foreign confiscation orders, 152 - 153right to fair trial and international cooperation in, 149-150 European Court of Human Rights (ECtHR) abuse of processes, political offenses, and political prosecution exceptions and, 212-217 accessibility, precision, and foreseeability in cooperative confiscation and, 204-207 confiscation orders and, 9-10 democratic legitimacy and cooperative confiscation in, 217 detention for extradition cases, 204-207 double jeopardy and legal certainty in cases of, 210-212 dual criminality requirement and lawfulness and, 209 due diligence standard and state trustworthiness, 162–166 equality, interaction with rights to property, 259-261

exceptional circumstances in confiscation and, 257-258 fairness of enforcement proceeding and, 228-252 flagrant denial of rights and degree of injustice rulings, 156–162 foreign confiscation orders and, 295 human rights versus confiscation orders and, 140-141 illicit wealth as property and, 174 - 175in personam rights and, 177 in rem property rights and, 175-177 interference rules interpretations summary, 195-196 international law principles and, 218 lawfulness requirement and, 196-197 legal basis for enforcement and, 198-203 local confiscation orders, objects and instrumentalities, 186-187 nature of interference in rules of, 184-196 norm conflicts in asset recovery and, 169 - 170personal scope of property rights and, 145-146 procedural fairness and proportionality in asset recovery and, 248-252 proceeds as property principle and, 178 - 181proceeds from local offenses and, 187 - 189proceeds of foreign corruption, confiscation of, 189-190 property and possessions defined by, 173 - 174property as legitimate expectation in, 181 - 182proportionality in cooperative confiscation case law of, 228-230, 296-298 protection of bona fide third parties in rulings by, 252-254 public interest in confiscation and, 219 - 222requested state responsibility for foreign confiscation orders, 152–153



INDEX 365

requested state responsibility in asset recovery, 153-154 summary of enforcement issues, 258-259 temporal scope of property rights and, 144-145 territorial jurisdiction rulings of, 147-148 value of foreign confiscation orders and, 223-228 European Court of Justice (ECJ) European property rights and, 143 human rights versus confiscation orders and, 140-141 proportionality in targeted financial sanctions and, 245-248 sanctions case law and, 193-195 European Union (EU) anti-corruption treaties and, 1-3, Arab Spring asset recovery and, 47 - 54briber identity in public bribery treaties, 65-66 confiscation standards in framework decisions, 102-106 contents of bribery provisions in treaties of, 72-73 discretionary assumptions of jurisdiction, 61-62 domestic versus foreign bribery in treaties of, 66-70 framework decisions on confiscation. 131-133 object-based confiscation provisions and, 114-116 persons affected by confiscation and, 116 - 120property definitions of, 112-114 public sector bribery offenses and, targeted financial sanctions case law, proportionality in, 245-248 third party beneficiaries and intermediaries, passive bribery involving, 70-71 European Union Council Directive 91/308/EEC, money laundering

European Union General Court (EGC), human rights versus confiscation orders and, 140-141 exceptional circumstances in confiscation, 257-258 exequatur proceedings dual criminality requirement and, 209 illicit wealth as property and, 174-175 legal basis for enforcement and, 198-203 proportionality of cooperative confiscation in, 228-230 protection of bona fide third parties in, 252-254 expropriation of property, in customary international law, 33-35 expulsion cases, flagrant denial of rights and degree of injustice and, 156-162 extended confiscation principle, connection between thing and offense in confiscation instruments, 115-116 Extractive Industries Transparency Initiative (EITI), anti-corruption efforts of, 17-20 extradition procedures abuse of processes, political offenses, and political prosecution exceptions in, 212-217 accessibility, precision, and foreseeability in cooperative confiscation and, 204-207 adverse consequences of, 148 dual criminality requirement, 209 duty to cooperate for the purposes of confiscation and, 127-128 flagrant denial of rights and degree of injustice and, 156-162 legal basis for enforcement and, 198-203 mandatory assumptions of jurisdiction and, 59-60 regional treaty comparisons of, 295 right to fair trial and international cooperation and, 149-150 territorial scope of property rights

and, 267-269

provisions, 87-89



366 INDEX

extra-judicial transfer, flagrant denial of rights and degree of injustice and, 156-162 extraterritorial jurisdiction active nationality principle, discretionary jurisdiction, 60-61 cooperative confiscation and, 154 - 162double jeopardy and legal certainty in proceedings involving, 210–212 flagrant denial of rights and degree of injustice and, 156-162 mandatory assumptions of jurisdiction and, 59-60 proportionality in domestic confiscation law and, 233-234 territorial scope of property rights and, 267-269

facilitation payments, exemption from criminalization, 90-92 failed states, asset recovery cases and, 42 - 46fairness of enforcement, in confiscation orders, 228-252 fair trial standards assurances, effect of, 166-169 flagrant denial of rights and degree of injustice criteria for, 156–162 procedural fairness and proportionality in asset recovery and, 248-252 proportionality in domestic confiscation law, 230-232 requesting state hearing proportionality and, 240-245 territorial scope of property rights and right to, 149-150 Fawcett, James, 150-152 Federal Act of March 20, 1981 (Switzerland) Haiti v. Jean-Claude "Baby Doc" Duvalier case and, 43-46 Philippines v. Ferdinand and Imelda

Marcos asset recovery case, 38-40

Federal Act on Freezing and Restitution

of Assets of Politically Exposed Persons obtained by Unlawful

Means (Switzerland) (SRVG),

Arab Spring asset recovery and, 53 - 54Federal Department of Foreign Affairs (FDFA) (Switzerland), in Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 final judgment waiver, duty to cooperate in disposal of confiscated illicit wealth and, 137-138 Financial Action Task Force (FATF), asset recovery responsibilities and, financial institutions, asset recovery from, 23-27 financial sanctions. See targeted financial sanctions "Five Pensioners" v. Peru, 273 flagrant denial of justice assurances, effect of, 166-169 degree of injustice and, 156-162 in foreign penalty enforcement, 150 - 152norm conflicts and, 170 procedural fairness and proportionality in asset recovery and, 251-252 proportionality in domestic confiscation laws and, 239-240 qualified rights and, 166 requesting state hearing proportionality and, 240-245 requesting state trustworthiness and due diligence standard, 162-166, 183-184 flagship principle, cooperative confiscation and jurisdiction issues, 154-162 foreign bribery, criminalization of, 66 - 70foreign confiscation orders abuse of processes, political offenses, and political prosecution exceptions in, 212-217 asset recovery, requested state responsibilities, 153-172

collective right to wealth and resources and, 286–288

140-141

compatibility with human rights,



INDEX 367

dual criminality requirement and lawfulness in enforcement of, 209 fairness of enforcement proceeding in, 228-252 flagrant denial of rights criteria and, 156 - 162interference in, 186-190, 261-263, 270-271 legal basis for enforcement and, 198-203 mutual legal assistance powers compatibility with rule of law in, 203 - 217protection of bona fide third parties and, 252-254 qualified rights, flagrant denials and, regional human rights treaties and, requested state responsibility for, 152 - 153requesting state proportionality and, substantive scope of property rights and, 269-270 value of, 223-228 foreign corruption offenses, confiscation of proceeds from, 189–190 Foreign Corrupt Practices Act (USA) (FCPA) impact of, 1-3 Watergate scandal and, 3-5 foreign penalty enforcement flagrant denial of justice in, 150 - 152procedural fairness and proportionality in asset recovery and, 248-252 foreign property-holders, AfCHPR Art. 14 on rights of, 276-279 foreign public official, mandatory jurisdiction in bribery of, 60 - 61foreseeability, in cooperative confiscation laws, 204-207

Forminster Enterprises Limited v. Czech Republic, 206-207, 255-256 fragmentation asset recovery and, 293 right to corruption-free society and, 283 - 286free disposition collective right to wealth and resources and, 281-288 peoples' rights to property and, 35-37 Frizen v. Russia, 178-182, 193-195, 201-202 frozen assets Arab Spring asset recovery and, 47-54 collective right to wealth and resources and, 281-288 counter-terrorist sanctions regimes, 46 - 47double jeopardy and legal certainty in proceedings involving, 210-212 in DRC v. Mobutu Seso Seko case, 43 in Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 Nigeria v. Sani Abacha case, 40-41 Philippines v. Ferdinand and Imelda Marcos asset recovery case, 38-40 property liable to confiscation, identification and preservation of, 121-122 public interest in confiscation and, 219-222 requesting state proportionality and, 243-245 sanctions case law and, 193-195 targeted financial sanctions and, 245 - 248temporal scope and, 255-256 Fujimori, Alberto, 41–42 Gabrić v. Croatia, 223-224 Garabayev v. Russia, 200 Geerings v. Netherlands, 233-234, 248 - 252General Assembly of the United Nations (GA) asset recovery terminology in, 23-27 bilateral mutual legal assistance treaties on confiscation and, 134-136

forfeiture procedures. See seizure

The Former King of Greece and Others v.

procedures

Greece, 181-182



368 INDEX

General Assembly of the United Nations (GA) (cont.) Resolution 1803 (XVII) on Permanent Sovereignty over Natural Resources, 35-37 soft law norms on corruption and, 17 - 20general interest principle, proportionality of lawfulness to, 219-259 German Democratic Republic (GDR), personal scope of property rights and, 145-146 "global settlement," in Nigeria v. Sani Abacha case, 40-41 good faith requirement, abuse of processes, political offenses, and political prosecution exceptions and, 212-217 governmental power, international legal norms on limits of, 37-38 Grayson and Barnham v. UK, 232-233 Grifhorst v. France, 257-258 Group of Eight (G-8) asset recovery and, 27 Deauville Partnership with Arab Countries in Transition, 54 Group of States against Corruption (GRECO) (COE), 17-20 breach of duty in private sector bribery and, 78-79 confiscation standards and, 103-105 connection between thing and offense in confiscation instruments, 115-116 consideration for the bribe, 73-74 contents of bribery provisions in treaties of, 72-73 discretionary assumptions of jurisdiction, 62-63 enforcement or non-enforcement of confiscation orders, 121 persons affected by confiscation and, 116-120 predicate offenses to confiscation and, 107-109 proceeds, concept in confiscation provisions, 109-111 on trade or abuse of influence, 80-82 value-based confiscation provisions

Group of Twenty (G-20), asset recovery and, 27 group rights as human rights, 29-31 property rights as, 10-11 proportionality requirement and, 296-298 public interest in confiscation and, 219-222 Gunme and Others v. Cameroon, 286-288 Gusinskiy v. Russia, 215-216 Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 Handyside v. UK, 175-177, 186-187, 190-195, 261-263 Harare Scheme in Relation to Mutual Assistance in Criminal Matters within the British Commonwealth, harmonious interpretation principle, norm conflicts in asset recovery and, 170-171 harm to public interest principle, in public sector bribery, 76 Hauer v. Rheinland Pfalz, 143 haven jurisdictions abuse of processes, political offenses, and political prosecution exceptions in, 212-217 Arab Spring asset recovery and, 54 due diligence standard for, 162-166 duty to cooperate in disposal of confiscated illicit wealth and, 137 - 138fairness of enforcement proceeding in, 228-252 skepticism towards asset recovery in, 54 - 55Switzerland as, 38 value of foreign confiscation orders and, 223-228 Higgins, Rosalyn (Dame), 33-35, 299-301 high-value accounts, asset recovery responsibilities concerning, 23-27 Hirsi Jamaa and Others v. Italy,

154-162, 171-172

and, 114-116



INDEX 369

Honecker, Erich, 145-146, 178-181, Honecker and Others v. Germany, 145-146, 178-181, 205-206, 230, 252-254, 257-258 Hull Formula, alien property rights and, human dignity, property rights and, 37 - 38human rights anti-corruption efforts and, 5-6, 9 - 10in Asia-Pacific region, 290-291 asset recovery and, 140-141 assurances, effect of, 166-169 confiscation orders and, 8 cooperative confiscation and, 298-299 criminalization of corruption and, European property rights as, 141-143 flagrant denial of rights and, 156-162 fundamental entitlements in, 293 as group entitlements, 29-31 international standards for, 9–10 legal basis for enforcement and violation allegations, 198-203 persons affected by confiscation and, 116 - 120procedural guarantees in anticorruption treaties for, 95-96 property rights as, 29-38, 56-57 in public international law, 294 qualified rights, flagrant denials and, Hurford v. UK, 240-245 IACAC. See Inter-American

Convention against Corruption (IACAC)

IACMACM. See Inter-American
Convention on Mutual Assistance
in Criminal Matters (IACMACM)

Ilaşcu and Others v. Moldova and
Russia, 156–162
illegal gains, definitions in confiscation
provisions, 109–111
illicit acquisition, connection between
thing and offense in confiscation
instruments, 115–116

illicit wealth anti-corruption treaty provisions concerning, 84-87 challenges in recovery of, 54-55 compensation provision in confiscation of, 257 confiscation versus property rights standards, 9-10 duties to enable restraint and confiscation of, 102-106 duty to cooperate in disposal of confiscated wealth, 137-138 fairness of enforcement proceeding in confiscation of, 228-252 Haiti v. Jean-Claude "Baby Doc" Duvalier case and, 43-46 inter-American and pan-African jurisprudence and, 10-11 lawfulness requirement and, 196-197 Nigeria v. Sani Abacha case, 40-41 peoples' rights to property and, 35-37, 145-146 Philippines v. Ferdinand and Imelda Marcos asset recovery case, 38-40 as property, 174-175 property as legitimate expectation and, 181-182 property liable to confiscation, identification and preservation of, 121-122 public interest in confiscation and, 219 - 222requesting state hearings and confiscation of, 240-245 state responsibilities concerning, 23 - 27Switzerland as haven for, 38 territorial scope of property rights and, 146-172 immunity, from jurisdiction, 97-98 Implementation of international sanctions, Arab Spring asset recovery and, 47-54 in absentia convictions, flagrant denial of rights and degree of injustice rulings, 156-162 indigenous claims, 272 collective dimension in, 275



370 INDEX

indigenous claims (cont.) collective right to wealth and resources under AfCHPR and, group property rights and, 10-11 interference rules and, 270-275, 279-281 territorial scope of property rights and, 267-269 Indigenous Community of the Yakye Axa v. Paraguay, 271-275 influence, trading or abusing of, provisions against, 80-82 Insanov v. Azerbaijan, 162, 180-181, 182-183, 239-240 instrumentalities in confiscation provisions, 111–112 criticism of interference rules and, 190-195 in rem property rights and, 175-177 liability attached to, 114-116 local confiscation orders, 186-187 property as, 112-114 third party offenses, proportionality in domestic confiscation law, 234 - 235value of foreign confiscation orders and, 223-228 instrumentum sceleris, interference rules and, 190-195 intent illicit enrichment offenses, 84-87 in public sector bribery, 74-76 Inter-American Commission on Human Rights (IACmHR) property rights and, 291-292 property rights issues and, 264-276 territorial scope of property rights and, 267-269 Inter-American Convention against Corruption (IACAC), 16t abuse of functions and breach of duty provisions in, 82-83 active nationality principle, discretionary jurisdiction, 60-61 asset diversion and misuse provisions, 83-84 bribee definitions in, 66-70

briber identity in public bribery provisions, 65-66 concept of confiscation in, 107 confiscation provisions in, 103-105 consideration for the bribe, 73-74 contents of bribery provisions, 72–73 corruption definition in, 20-22 duty to cooperate for the purposes of confiscation in, 124-126 illicit wealth provisions, 84-87 mandatory assumptions of jurisdiction in, 59-60 mental elements of bribery, 74-76 money laundering provisions, 87-89 penalties for corruption in, 92 prevention and investigation of corruption in, 94-95 public sector bribery offenses provisions, 64-76 trading and abusing influence provisions in, 80-82 Inter-American Convention on Mutual Assistance in Criminal Matters (IACMACM), confiscation provisions in, 103-105, 133 Inter-American Court of Human Rights (IACtHR), 264-276 collective dimension in asset recovery and, 275, 286-288 extradition orders and, 295 interference in property rights and, 270-271 justification for interference in rulings of, 271-275 personal scope of property rights and, 266-267 property rights and, 291-292 substantive scope of property rights and, 269-270 summary of enforcement orders applicability, 275-276 territorial scope of property rights and, 267-269 inter-American jurisprudence, asset recovery and, 10-11 interference with property rights accessibility, precision, and foreseeability in cooperative confiscation and, 204-207



> INDEX 371

AfCHPR Art. 14 provisions concerning, 279-281 Asia-Pacific conventions on justification for, 290-291 compensation provision and, 257 cooperative confiscations, rules applied to, 186-190 criticism of three rules of, 190-195 equality, interaction with rights to property, 259-261 exceptional circumstances in confiscation and, 257-258 fairness of enforcement proceedings under ECHR Art. 6, 228-252 foreign confiscation orders and, 261-263, 295-296 inter-American concepts of, 270-271 international law principles and, 218 justification for, in ACHR, 271-275 lawfulness of, 196-219 objects and instrumentalities, local offenses, 186-187 personal scope of, 266-267 procedural fairness and proportionality in asset recovery and, 248-252 proceeds of foreign corruption, 189 - 190proceeds of local offenses, 187-189 proportionality of, 219-259 protection of bona fide third parties, 252-254 protection of bona fide third parties and, 252-254 summary of issues in, 258-259 temporal scope of, 255-256 "three rules" of, 184-196 "two-pronged" test for interference, 286-288 value of foreign confiscation order and, 223-228 intergovernmental organizations, anti-corruption efforts by, 17-20 Interights and Others v. Mauritania, 279-281 International Chamber of Commerce, Rules to Combat Extortion and

international cooperation, territorial scope of property rights and right to, 149-150 International Covenant on Civil and Political Rights (ICCPR) collective right to wealth and resources under AfCHPR and, 281-288 omission of property rights in, 6-8, 31 - 33peoples' rights to property in, Philippines v. Ferdinand and Imelda Marcos asset recovery case, 38-40 International Covenant on Economic. Social and Cultural Rights (ICESCR) collective right to wealth and resources under AfCHPR and, 281-288 omission of property rights in, 6-8, peoples' rights to property in, 35–37 International Criminal Court (ICC), Syrian asset recovery and, 49 international criminal law soft law norms and, 17-20 transnational law versus, 13-17 International Criminal Tribunal for Rwanda, flagrant denial of rights trials and, 156-162 international human rights treaties, as sources of property rights, 31 - 37international law. See also customary international law, property rights in collective right to wealth and resources and, 283-286 comparison of regional rights treaties with, 291-292 confiscation standards, 102-106, 129-137 cooperative confiscation and, 298-299 cooperative confiscation and principles of, 218 criminalization of corruption and,

299-301

Bribery in Business Transactions,

17 - 20



372 INDEX

international law. (cont.) duty to cooperate for purposes of confiscation in, 123-137 jurisdiction in criminal law and, 58-63 procedural fairness and proportionality in asset recovery and, 248-252 property rights in, 6-8 International Law Commission (ILC), 283-286 International Mutual Assistance in Criminal Matters (Swiss Federal Act) (IMAC) Nigeria v. Sani Abacha case, 40-41 Philippines v. Ferdinand and Imelda Marcos asset recovery case, international property guarantees, 11 international standard of treatment principle persons affected by confiscation and, 116 - 120property rights in, 6-8 international standards on confiscation, 102 - 106investigation of corruption anti-corruption treaties' provisions for, 94–95 investigative and prosecutorial discretion, 96-97 property liable to confiscation, identification and preservation of, 121 - 122investment treaties, alien property rights and, 33-35 Isa, Hamad bin (King), 50 Islamic terrorism, interdictions against, 46 - 47Ismayilov v. Russia, 223-224 Ivcher Bronstein v. Peru, 266-267, 270-271, 273

James v. UK, 219–222 jurisdiction in anti-corruption treaties, 294 in cooperative confiscation, ECHR concept of, 154–162 criminalization of corruption and, 58–63

discretionary assumption of, 60-63 double jeopardy and legal certainty in multiple jurisdictions, 210-212 ECHR Art. 1 concept of, 147-148 foreign confiscation orders and issues of, 295 immunity from, 97-98 mandatory assumption of, 59-60 territorial scope of property rights and, 146-172, 267-269 jury prejudice, flagrant denial of rights and, 156-162 jus cogens principle peoples' rights to property and, 35 - 37proportionality of confiscation and, 223 - 228sanctions case law and, 193-195 targeted financial sanctions and, 245-248

Kadi v. Council of the European Union and Commission of the European Communities (Kadi No. 1), 193–195, 245–248 Kälin, Walter, 29–31 Karamitrov and Others v. Bulgaria,

144–145, 201–202 Khodorkovskiy and Lebedev v. Russia, 180–181, 182–183, 212–217, 225–226

Khodorkovskiy v. Russia (Khodorkovskiy No. 1), 214 Khuzhin and Others v. Russia, 202–203 Klip, André, 162–166 Kofele-Kale, Ndiva, 283–286 Konovalov v. Russia, 193–195, 202–203 Kopecky v. Slovakia, 173–174 Kreydich v. Ukraine, 200

language, definitions and, 12
Lausanne Seminars on Asset
Recovery, 54
lawfulness requirement
abuse of processes, political offenses,
and political prosecution
exceptions and, 212–217

Künzli, Jörg, 29-31



INDEX 373

accessibility, precision, and foreseeability in cooperative confiscation and, 204-207 in AfCHPR Art. 14, 279-281 democratic legitimacy and, 217 dual criminality requirement in cooperative confiscation and, 209 enforcement rules, 198-203 IACtHR emphasis on, 271-275 interference in possession and, 196-219 international law principles and, 218 justification for interference and, 296 refusal of assistance based on, 207-217 summary of, 218-219 League of Arab States, 288-290 legal certainty principle, refusal of cooperation in confiscation cases due to, 210-212 legal expenses exceptions duty to cooperate in disposal of confiscated illicit wealth and, 137-138 property liable to confiscation, identification and preservation of, 121-122 legitimate expectations of property, 181 - 182in asset recovery cases, 182-183 Lehavi, Amnon, 298-299 lex posterior principle, norm conflicts in asset recovery and, 169-170 liability adverse consequences of extradition and, 148 of briber, in public bribery treaties, 65 - 66illicit enrichment offenses, 84-87 instrumentality in confiscation and, 114-116 things liable to confiscation, 109-116 Libya, asset recovery in, 47-54 Lindberg v. Sweden, 166, 200-201

Loizidou v. Turkey, 147-148 Loukanvo v. Bulgaria, 219-222 Low, Lucinda, 83-84 Luchtman, Michiel, 148, 150-152 Lutsenko v. Ukraine, 216-217 Mamatkulov and Askarov v. Turkey, 166-169 mandatory jurisdiction double jeopardy and legal certainty and, 210-212 grounds for assumption, 59-60 Marcos, Ferdinand and Imelda, asset recovery case involving, 38-40 Martelly, Michel, 43-46 Mattei, Ugo, 298-299 Mayagna (Sumo) Awas Tingni Community v. Nicaragua, 270-275 Mechanism for Follow-up on the Implementation of the IACAC (MESICIC) abuse of functions and breach of duty provisions in, 82-83 confiscation provisions in, 103-105 mens rea offenses, illicit enrichment as, 84-87 MESICIC. See Mechanism for Follow-up on the Implementation of the IACAC Middle East anti-corruption efforts in, 17-20 Arab Spring asset recovery and, 47 - 54new property rights in, 288-290 Milanovic, Marko, 155-156, 169-170, 172 Milosavljev v. Serbia, 223–228 mini-MLAT, duty to cooperate for the purposes of confiscation in UNCAC and, 127-128 minor fraud, criminalization of, 83-84 Minsk Convention, 204–207 money laundering in anti-corruption treaties, 87-89 anti-corruption treaties and, 4-5 double jeopardy and legal certainty in

proceedings involving, 210-212

dual criminality requirement and, 209

lobbying, as trade or abuse of influence,

confiscated illicit wealth, 137-138

locus regit actum principle, duty to

cooperate in disposal of

80-82

local law. See domestic law



374 INDEX

money laundering (cont.) duty to cooperate in disposal of confiscated illicit wealth and, 137-138 exceptional circumstances in confiscation and, 257-258 international confiscation standards and, 129-131 Nigeria v. Sani Abacha case, 40-41 persons affected by confiscation and, 116 - 120Peru v. Vladimiro Lenin Montesinos Torres case and, 41-42 proportionality requirement and, 296-298 public interest in confiscation of possessions and, 219-222 requested state responsibility for foreign confiscation, 152-153 Swiss laws on, 12 Montesinos Torres, Vladimiro Lenin, 41 - 42MSS v. Belgium and Greece, 162-166, 170 - 171Mubarak, Hosni, 47-54 multinational enterprise(s) (MNEs) active nationality principle, discretionary jurisdiction, 60-61 corruption and, 3-5 mutual legal assistance treaties (MLATs) abuse of processes, political offenses, and political prosecution exceptions in, 212-217 anti-corruption treaties and, 4-5 Arab Spring asset recovery and, 47-54 asset recovery requests, 23-27, 294 bilateral MLATs, confiscation provisions, 134-136 compatibility with human rights, 140-141, 299-301 concept of confiscation in, 107 confiscation of instrumentalities and, 111-112 confiscation orders enforcement, 121 cooperative confiscation and, 101 counter-terrorist sanctions regimes and, 46-47 discretion not to confiscate, 121

double jeopardy and legal certainty in, 210-212 dual criminality requirement and lawfulness in, 209 duty to cooperate for purposes of confiscation in, 123-137 duty to cooperate in disposal of confiscated illicit wealth, 137-138 duty to enable confiscation in, 102-123 failed states and, 42-46 in Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 international confiscation standards and, 102-106, 129-137 lawfulness requirement and, 196-197 legal basis for enforcement and, 198-203 liability of things to confiscation in, 109-116 Nigeria v. Sani Abacha case, 40-41 norm conflicts in asset recovery and, persons affected by confiscation in, 116 - 120Philippines v. Ferdinand and Imelda Marcos asset recovery case, 38-40 proceeds and instrumentalities as property in, 112-114 property liable to confiscation, identification and preservation of, 121-122 protection of bona fide third parties, 252 - 254regional treaties, confiscation provisions, 103-105 substantive scope of property rights in, 172-183 Swiss laws on, 12 treaties and instruments for, 9 mutual recognition principle, EU framework decisions on confiscation and, 131-133 Nada v. Switzerland, 154-162, 164-165, 170-172, 243-245

170–172, 243–245
Nasrulloyev v. Russia, 204–207
nationality principle
mandatory jurisdiction based on,
60–61



INDEX 375

passive personality/protective principles, discretionary jurisdiction, 61-62 nationalization of property, property as legitimate expectation and, 181 - 182national law. See also domestic law accessibility, precision, and foreseeability in cooperative confiscation and, 204-207 duty to enable confiscation content in, 106-123 foreign public officials defined in, 66-70 legal basis for enforcement and, 198-203 procedural fairness and proportionality in asset recovery and, 248-252 territorial jurisdiction and, 147-148 national standard of treatment principle, property rights and, 6-8 natural wealth and resources collective dimension in recovery of, 275 collective right to, under AfCHPR property rights provisions, 281–288 group versus individual property rights and, 10-11 peoples' rights to property and, 35-37 property rights and, 9 ne bis in idem (double jeopardy) principle bilateral mutual legal assistance treaties on confiscation and, 134-136 connection between thing and offense in confiscation instruments, 115-116 cooperative confiscation and, 210 - 212criminalization of corruption and, 99 duty to cooperate for the purposes of confiscation and, 124-126 EU framework decisions on confiscation and, 131-133 international confiscation standards, 129-131 justification for interference and, 296

persons affected by confiscation and, 116-120 refusal of assistance in cooperative confiscation cases based on, 207-217 ne bis poena in idem, cooperative confiscation and, 210-212 necessary and sufficient treaty basis principle, duty to cooperate for the purposes of confiscation and, 127 - 128negative rights, property rights as, 29 - 31net profit from crime, definitions in confiscation provisions, 109-111 Neulinger and Shuruk v. Switzerland, 201 New International Economic Order (NIEO) collective right to wealth and resources and, 35-37 natural resources resolutions, 35-37 Nigeria v. Sani Abacha asset recovery case, 40-41 non-conviction-based confiscation double jeopardy and legal certainty issues, 210-212 international legal framework for, procedural fairness and proportionality in asset recovery and, 248-252 proof of predicate offense and, 108-109 proportionality in domestic confiscation law and, 233-234, 236-237 UNCAC and UNTOC provisions concerning, 105-106 non-criminal sanctions, for corruption, 92 non-enforcement of anti-corruption treaties, 96-100 non-governmental organizations (NGOs) anti-corruption efforts by, 17-20 assurances, effect of, 166-169 corruption and, 3-5 flagrant denial of rights criteria and,

156-162



376 INDEX

non-judicial orders assurances, effect in, 166-169 concept of confiscation and, non-refoulement principle, asset recovery and, 169-170 non-retroactivity principle, duty to cooperate for the purposes of confiscation and, 124-126 non-torture-related flagrant denial of rights, criteria for, 156-162 norm conflicts asset recovery in public international law, 169-172 authorization of interference and, 206-207 collective right to wealth and resources and, 281-288 North Africa. See also Arab Spring anti-corruption efforts in, 17-20 "no separate issue" principle, qualified rights and flagrant denials and, 166 Novikov v. Russia, 181–182 nulla poena sin lege, dual criminality

OAO Neftyanaya Kompaniya Yukos v. Russia, 198, 212–217
object-based confiscation model
duty to cooperate for the purposes
of confiscation and, 124–126
effects on property and, 114–116
international confiscation standards,
129–131
local offenses, interference under,
186–187
proportionality in domestic
confiscation law and, 234–235
value of foreign confiscation orders
and, 223–228
objectum sceleris principle, interference
rules and, 190–195

requirement and, 209

anti-corruption treaties, 90
OECD-ABC. See Convention on
Combating Bribery of Foreign
Public Officials in International
Business Transactions

obstruction of justice offense, in

offense categories, in criminalization of corruption, 64-90 abuse of functions and breach of duty, 82-83 asset diversion and misuse, 84 civil versus criminal offenses classification, 149-150 confiscation as presupposition of offense, 107-109 connection between thing and offense in confiscation instruments, 115-116 dual criminality requirement in cooperative confiscation and, illicit enrichment, 84-87 in rem property rights and, 175 - 177local offenses, proceeds from, 187-189 money laundering and concealment, 87 - 89obstruction of justice, 90 political offenses, 212–217 private sector bribery, 77–80 procedural fairness and proportionality in asset recovery and, 248-252 public sector bribery, 64-76 statutes of limitations and, 98 trading and abusing influence, 80 - 82Office of the Attorney General (OAG) (Switzerland), Haiti v. Jean-Claude "Baby Doc" Duvalier case and, 43–46 Organisation for Economic Co-operation and Development (OECD) anti-corruption treaties and, 1-3, 17 - 20asset recovery and, 27-28 briber identity in public bribery treaties, 65-66 bribery conventions and protocols, 20 - 22contents of bribery provisions in treaties of, 72-73 foreign versus domestic bribery

provisions in treaties of, 66-70



INDEX 377 corruption defined in, 12–22

human property rights in, 29

investigative and prosecutorial discretion conventions, 96-97 object-based confiscation provisions and, 114-116 Organisation for Economic Co-operation and Development Working Group on Bribery in International Business Transactions (OECD-WGB), 17–20 contents of bribery provisions in treaties of, 72-73 discretionary assumptions of jurisdiction, 62-63 duty to cooperate for purposes of confiscation in, 124 enforcement or non-enforcement of confiscation orders, 121 intent in public sector bribery and, international confiscation standards and, 102-106 ne bis in idem (double jeopardy) in, 99 persons affected by confiscation and, 116-120 predicate offenses to confiscation and, 107-109 proceeds, concept in confiscation provisions, 109-111 Organization of African Unity, 276-277 Organization of American States (OAS) anti-corruption treaties and, 1-3 confiscation standards and, 102-106 foreign versus domestic bribery provisions in treaties of, 66-70 property definitions of, 112-114 property rights and, 291-292 regional property rights and, 264-276 Organization of the Islamic Conference, 288 - 290Orwell, George, 12 Othman (Abu Qatada) v. UK, 156-162, 166-169

Öztürk v. Germany, 149-150, 230-232, 248 - 252pan-African property rights asset recovery and, 10-11 jurisprudence concerning, 31-33, 276-288 passive personality principle, discretionary jurisdiction assumption, 61-62 Patricia v. Bulgaria, 193-195 Patrikova v. Bulgaria, 201–202 Pauwelyn, Joost, 170 "peaceful enjoyment" of possession AfCHPR Art. 14 provisions concerning, 279-281 confiscation rule concerning, 184 - 185Pelligrini v. Italy, 150-153, 162-166 people, definitions of, 35–37, 281–288 peoples' property rights in public international law, 35-37 collective right to wealth and resources and, 286-288 property encroachment and, 282 permanent sovereignty, peoples' rights to property and, 35-37 Permanent Sovereignty over Natural Resources (GA Res. 1803), 35-37 personal scope of property rights, 145-146, 183-184 ACHR Art. 21 provisions on, 266-267 cooperative confiscation and, 154-162 Peru v. Vladimiro Lenin Montesinos Torres case, 41-42 Peukert, Wolfgang, 191-193 Philippines v. Ferdinand and Imelda Marcos asset recovery case, 38 - 40Phillips v. UK interference rules in, 184–185, 195 procedural fairness and proportionality in asset recovery and, 248-252 proceeds from local offenses confiscation in, 187-189

out-of-court settlements, Nigeria v. Sani

ownership restrictions, confiscation rule

asset recovery defined in, 22-23

Abacha case, 40-41

concerning, 186–190 Oxford English Dictionary



378 INDEX

Phillips v. UK (cont.) proportionality in domestic confiscation law in, 230-232, in rem property rights in, 175-177 Pieth, Mark, 109-111 political instability, Arab Spring asset recovery and, 47-54 politically exposed persons (PEPs) abuse of processes, political offenses, and political prosecution exceptions and, 216-217 AfCHPR Art. 14 on rights of, 276-279 Arab Spring asset recovery and, 53 - 54asset recovery and, 5, 8, 23-27, double jeopardy and legal certainty in proceedings of, 210-212 equality, interaction with rights to property, 259-261 fairness of enforcement proceeding and, 228-252 foreign confiscation orders and, 295 in Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 in rem property rights of, 295 international law principles and, 218 justification for interference and, 296 Protocol to the European Convention for the Protection of Human Rights and Fundamental Freedoms (ECHR-P1) and, 9-10 rights in customary international law of, 33-35 political offense exception, cooperative confiscation and, 212-217 political prosecution exception, in cooperative confiscation, 212-217 political transitions in states fairness of enforcement proceeding and, 228-252 flagrant denial of rights in, 156-162 politics, anti-corruption treaties and, 3-5 "Politics and the English Language" (Orwell), 12 positive rights, property rights as, 29-31

possessions bare possession as property right principle, 178 concept of property in ECHR-P1 Art. 1, 173-174 confiscation as control of, 190-195 in rem property rights and, 175 - 177interference with peaceful enjoyment of, 184-185 lawfulness of interference in, 196–219 legal basis for impairment of, 198-203 property as legitimate expectation and, 181-182 proportionality of lawfulness to general interest in confiscation of, 219-259 public interest in deprivation of, 219 - 222post-conflict environments, flagrant denial of rights and degree of injustice criteria for, 156-162 precision, in cooperative confiscation laws, 204-207 predicate offenses to confiscation, 107-109 flagrant denial of rights criteria and, 156 - 162procedural fairness and proportionality in asset recovery and, 248-252 procedure for proof of, 108-109 public interest in confiscation of possessions and, 219-222 value of foreign confiscation orders and, 223-228 prescriptive provisions for criminalization of corruption, 63 - 93illicit wealth provisions, 84-87 Presidential Commission on Good Governance, Philippines v. Ferdinand and Imelda Marcos asset recovery case, 38-40 presumption of innocence confiscation of illicit wealth and, 240 - 245due diligence standard and, 162-166



INDEX 379

persons affected by confiscation and, 116-120 preventative confiscation and, 239 presumption of ownership connection between thing and offense in confiscation instruments, 115-116 proceeds and instrumentalities as property and, 112-114 prevention of corruption anti-corruption treaties' provisions for, 94-95 proportionality in domestic confiscation law and, 237-239 private property asset recovery and, 6-8 collective rights and, 29-31 effects of confiscation on, 114-116 as legitimate expectation, 181-182 proceeds and instrumentalities as, 112-114 private sector, bribery in, 77-80 abuse of processes, political offenses, and political prosecution exceptions and, 216-217 bribee identity, 78 consideration in, 78-79 context and consequences of, 79-80 procedural fairness abuse of, in cooperative confiscation, 212-217 in anti-corruption treaties, 95-96, degree of injustice and flagrant denial of rights, 156-162 IACtHR emphasis on, 271-275 legal basis for enforcement and, 202-203 proportionality in asset recovery and, 248 - 252proportionality in domestic confiscation law and, 239-240 procedural proportionality. See proportionality "proceeds-not-profits" doctrine in confiscation provisions, 109-111 proportionality of, 226 proceeds of corruption confiscation and concept of, 109-111

connection between thing and offense in confiscation instruments, 115-116 crime regime proceeds, 232-233 in foreign offenses, confiscation of, 189 - 190in local offenses, interference in, 187 - 189new Arab property rights and, 288 - 290as property, 178-181 property as, 112-114 public interest in confiscation and, 219 - 222property of foreign origin, confiscation of, 105-106 property rights in Asia/Pacific region, 290-291 asset recovery and, 1, 6-8, 182-183 assurances, effect of, 166-169 bare possession of property, 178 comparison of international provisions for, 291-292 concept of property in ECHR-P1 Art.1, 173-174 confiscation of instrumentalities and, 111 - 112in customary international law, 33-35 definitions of, 9, 293-294 effects of confiscation on, 114-116 equality under ECHR and, 259-261 European human rights to property, 141 - 143group versus individual rights, 10-11 as human rights, 29-38 identification and preservation of property liable to confiscation, 121-122 illicit wealth as property, 174-175 in personam rights, 177 international human rights sources, 31 - 37international legal norms, 37-38 legitimate expectations of property, 181 - 182nature of interference with, 184-196 new Arab property rights, 288 - 290normative relationships and, 29-31



380 INDEX

property rights (cont.) proceeds and instrumentalities as property, 112-114, 178-181 in public international law, 29-31, 294 rights in rem, 175-177 scope of rights, ECHR-P1 Art. 1, 144 - 184treaty-based human rights to, 31-33 in Western hemisphere, 264-276 proportionality in AfCHPR Art. 14, 279-281 asset recovery and, 10-11, 248 - 252in ECtHR cooperative confiscation case law, 228-230 in ECtHR domestic confiscation case law, 230-240 equality, interaction with rights to property, 259-261 exceptional circumstances in confiscation, 257-258 fairness of enforcement proceeding and, 228-252 foreign confiscation orders, value of, 223-228 in Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 of interference to general interest, 219-259 protection of bona fide third parties, 252-254 regional treaty comparisons concerning, 296-298 requesting state hearings and, 240 - 245sanctions case law and, 193-195 summary of issues in, 258-259 targeted financial sanctions case law, 245 - 248temporal scope and, 255-256 prosecution in criminalization of corruption barriers to, in asset recovery, 54-55 double jeopardy and legal certainty and, 210-212 of illicit enrichment offenses, 84-87 investigative and prosecutorial discretion, 96-97 non-enforcement issues, 96-100

political prosecutions, 212–217 prosecutorial delay, flagrant denial of rights and, 156-162 protective principles, discretionary jurisdiction assumption, 61-62 Protocol Against Corruption to the Treaty of the South African **Development Community** (SADC-PAC) abuse of functions and breach of duty provisions in, 82-83 active nationality principle, discretionary jurisdiction, 60-61 asset diversion and misuse provisions, 83-84 bribee definitions in, 66-70 briber identity in public bribery provisions, 65-66 confiscation provisions in, 103-105 consideration for the bribe, 73-74 contents of bribery provisions, 72-73 corruption definition of, 20-22 duty to cooperate for the purposes of confiscation in, 124-126 mandatory assumptions of jurisdiction in, 59-60 mental elements of bribery, 74–76 money laundering provisions, 87-89 ne bis in idem (double jeopardy) in, object- and value-based confiscation provisions in, 114-116 penalties for corruption in, 92 prevention and investigation of corruption in, 94-95 private sector bribery in, 77–80 public sector bribery offenses provisions, 64-76 trade and abuse of influence provisions in, 80-82 Protocol on Mutual Legal Assistance in Criminal Matters to the Treaty of the Southern African **Development Community** (SADC-MLAP) concept of confiscation in, 107 confiscation provisions in, 103-105, 134



INDEX 381

persons affected by confiscation and, 116-120 proceeds, concept in confiscation provisions, 109-111 Protocol to the European Convention for the Protection of Human Rights and Fundamental Freedoms (ECHR-P1), 16t criticism of interference rules and, 190-195 double jeopardy and legal certainty in, 210-212 dual criminality requirement and lawfulness in enforcement of, 209 fairness of enforcement proceeding and, 228-252, 295 human rights versus confiscation orders and, 140-141 interference rules in Art. 1, 184-196 international law principles and, lawfulness of interference in, 204-207 local confiscation orders, objects and instrumentalities, Art. 1 provisions, 186-187 norm conflicts in asset recovery and, 169 - 172proceeds as property principle and, 178 - 181proceeds from local offenses, measures concerning, 187-189 proceeds of foreign corruption, confiscation of, 189-190 property as legitimate expectation Art.1, 181-182 property concept in Art. 1, 173-174 property rights protections, 9-10 proportionality of interference to general interest in, 219-259 qualified rights and flagrant denials and, 166 scope of property rights in, 144-184 Protocol to the European Convention on the protection of the European Communities' financial interests (EUCPFI-P1), 16t active nationality principle, assumption of discretionary

asset diversion and misuse provisions, 83-84 confiscation provisions in, 103-105 consideration for the bribe, 73-74 contents of bribery provisions, 72–73 domestic versus foreign bribery in, 66 - 70duty to cooperate for the purposes of confiscation in, 124-126 European human rights to property and, 141-143 harm or damage to public interest in public sector bribery, requirement for, 76 immunity from jurisdiction in, 97 - 98in rem property rights and, 175-177 lawfulness requirement and, 196-197 mandatory jurisdiction assumptions, mental elements of bribery, 74-76 passive personality/protective principles, discretionary jurisdiction, 61-62 penalties for corruption in, 92 property protections in Art. 1, 143 requested state responsibility for foreign confiscation orders, 152 - 153third party beneficiaries and intermediaries, passive bribery involving, 70-71 public interest IACtHR emphasis on, 271-275 proportionality of lawfulness to general interest and, 219-222 public international law Arab property rights and, 288–290 corruption definitions in, 12-22 human property rights in, 29-31 international versus transnational criminal law and, 13-17 norm conflicts in, 169-172 peoples' rights to property under, 35-37, 294 personal scope of property rights and, 145-146 right to corruption-free society and, 283-286

jurisdiction, 60-61



> 382 INDEX

public officials, corruption involving in personam rights and, 177 value of foreign confiscation orders, 223-228 public sector bribery bribe contents, 72-73 bribee identity and, 66-70 briber identity, 65-66 consideration for the bribe, 73-74 criminalization of, 64-76 harm or damage to public interest and, 76 in personam rights and, 177 mental elements of, 74-76 objective elements in, 71-72 third party beneficiaries and intermediaries, 70-71 value of foreign confiscation issues in, 223-228

Qaddafi, Muammar el-, 47-54 Qaddafi, Muatassim, 49 Qaddafi, Seif al-Islam el-, 49, 276–279 qualified rights, flagrant denials and, 166

Quinn v. France, 199-200

Raimondo v. Italy interference rules in, 184-185, 195, 270 - 271legal basis for enforcement in, 202-203 proceeds as property in, 178-181, 184-185 proceeds from local offenses in, 187-189 property as asset recovery in, 182 - 183property as legitimate expectation in, 181-182 proportionality in domestic confiscation law and, 236-237, 239 ratione loci principle, territorial scope of property rights and, 267-269 ratione materiae principle new Arab property rights and, 288 - 290

ratione personae principles international law and, 218 territorial scope of property rights and, 267-269 real risk standard, flagrant denial of rights and degree of injustice criteria and, 156-162 reasonable living expense exceptions, property liable to confiscation, identification and preservation of, 121-122 reasonable time principle, in confiscation cases, 255-256 regional anti-corruption treaties absence in Asia-Pacific region of, 290-291 comparison of property rights provisions in, 291-292 confiscation provisions in, 103-105 cooperative confiscation and, 295, 298-299 duty to cooperate for purposes of confiscation and, 124-126 foreign confiscation orders and, 295 inter-American and pan-African jurisprudence, 10-11 international property guarantees and, 11 lawfulness requirement comparisons, 296 peoples' rights to property and, 35-37 property rights and, 8, 9-10, 294 proportionality requirement comparisons, 296-298 regional property rights asset recovery and, 264 in Western hemisphere, 264–276 requested state responsibilities in confiscation abuse of process, political offenses, and political prosecution exceptions, 212-217 adverse consequences of extradition and, 148 asset recovery cases, 153-172 in bilateral MLATs, 134-136 challenges in, 54–55 COEMLC treaties and, 129-131

proceeds as property and, 178-181



INDEX 383

domestic law referability in, 198-203, 218 - 219double jeopardy and legal certainty and, 210-212 dual criminality principle and, 207 - 210fairness of enforcement proceeding and, 228-252 foreign confiscation orders, 152-153 fund sharing with requesting states, IACMACM treaty and, 133 illicit wealth, cooperation duty, 137-138 lawfulness of interference and, 196-197 procedural fairness and proportionality in asset recovery and, 251-252 proportionality of interference in, 219-222, 240-245 regional treaties and instruments and, 103-105, 124-126 SADC-MLAP and, 134 territorial scope of property rights and, 146-147, 183-184 trustworthiness and due diligence standard, 162-166 UNCAC and UNTOC provisions concerning, 127-128 requesting state responsibilities in confiscation abuse of process, political offenses, and political prosecution exceptions, 212-217 in bilateral MLATs, 134-136 COEMLC 1990/2005 treaties and, 129 - 131dual criminality requirement and, 207-210 due diligence standard and trustworthiness of, 162-166 effect of assurances in, 166-169 EU framework decisions on, 131-133 fairness of enforcement proceeding in, 228-252 flagrant denial of rights and, 156-162, 166, 183-184

in IACMACM treaties, 133 illicit wealth disposal, duty to cooperate in, 137-138 lawfulness requirement and, 218-219 proportionality of interference and, 219-222, 240-245 qualified rights and, 166 regional treaties and instruments, 103-105, 136-137 UNCAC/UNTOC provisions concerning, 127-128 Restitution of Illicit Assets Act (RIAA) (Switzerland) Arab Spring asset recovery and, 53-54 criminalization of corruption and, in Haiti v. Jean-Claude "Baby Doc" Duvalier case, 43-46 restraining orders accessibility, precision, and foreseeability in cooperative confiscation and, 204-207 compensation provision and, 257 criticism of interference rules and, 190-195 interference rules and, 186-190 legal basis for enforcement, 201 local procedures, objects and instrumentalities, 186-187 proceeds of local offenses, 187-189 property confiscation identification and preservation, 121-122 temporal scope of, 255-256 return of assets principle double jeopardy and legal certainty in confiscation and, 210-212 public interest in confiscation and, 219-222 in UNCAC, 1, 4-5 RIAA. See Restitution of Illicit Assets Act (RIAA) rights in personam, 177, 183-184 foreign confiscation orders and, 261-263 inter-American concepts of, 269-270 rights in rem, 175-177 foreign confiscation orders and,

261-263



384 INDEX

rights in rem, (cont.) inter-American concepts of, 269-270 regional treaty comparisons concerning, 295 rule of law abuse of processes, political offenses, and political prosecution exceptions and, 212-217 cooperative confiscation and, 101 democratic legitimacy and, 217 enforcement rules, 198-203 flagrant denial of rights and, 156-162 interference with possessions and, 196-219 international law principles and, 218 mutual legal assistance powers, compatibility with, 203-217 summary of principles of, 218-219 Rwandan genocide, flagrant denial of rights trials and, 156-162

Saadi v. Italy, 166-169 Saadi v. UK, 212-217 Saccoccia v. Austria arbitrariness in, 203 asset recovery and, 182-183 dual criminality requirement and lawfulness in, 209 due diligence and state trustworthiness in, 162-166 flagrant denial of rights in, 156-162 foreign confiscation in, 152-153, 184-185, 295 illicit wealth as property in, 174-175 in personam rights in, 177 legal basis for enforcement in, 198-199 norm conflicts in asset recovery and, 169 - 172procedural fairness and proportionality in asset recovery and, 248-252 proceeds as property in, 180-181 proceeds of foreign corruption offenses, confiscation of, 189-190 proportionality of cooperative confiscation in, 228-230, 296-298 proportionality of lawfulness to

protection of bona fide third parties under, 252-254 temporal scope issues in, 255-256 SADC-MLAP. See Protocol on Mutual Legal Assistance in Criminal Matters to the Treaty of the Southern African Development Community SADC-PAC. See Protocol Against Corruption to the Treaty of the South African Development Community safe havens, cooperative confiscation and, 101 Salabiaku v. France, 240-245 Saleh, Ali Abdullah, 50 Salvador Chiriboga v. Ecuador, sanctions case law. See also targeted financial sanctions asset recovery and, 193-195 requesting state proportionality and, 243 - 245Saramaka People v. Suriname, 271-275, 286-288 Sawhoyamaxa Indigenous Community v. Paraguay, 271-275 Schengen Implementing Convention, 210-212 Schilling, Theodor, 150-152 scope of property rights under ACHR Art. 21, 266-270 in AfCHPR, 276-279 in ECHR-P1 Art. 1, 144-184 legal basis for enforcement and, 198-203 personal scope, 145-146 sanctions case law and, 193-195 substantive scope, 172–183 temporal scope, 144-145 territorial scope, 146-172 value of foreign confiscation orders and, 223-228 Second Protocol to the Convention on the protection of the European Communities' financial interests (EUCPFI-P2), 16t money laundering and concealment provisions, 87-89

general interest in, 219-222, 226



> INDEX 385

Security Council (SC) (United Nations) Arab Spring asset recovery and, 47–54 counter-terrorist sanctions regimes and, 46-47 due diligence standard and requesting state trustworthiness and, 162-166 proportionality in targeted financial sanctions cases and, 245-248 sanctions case law and resolutions of, 193-195 seizure procedures justification for interference in, 273-275 legal basis for enforcement, 198-203 objects and instrumentalities in local offenses, 186-187 proceeds as property and, 178-181 property liable to confiscation, identification and preservation of, 121 - 122temporal scope in, 255-256 self-determination, right of, peoples' rights to property and, 35-37 Seso Seko, Mobutu, asset recovery case involving, 43 Shelton, Dinah, 299-301 Silickienè v. Lithuania, 237-239, 248-254, 257-258 smuggling cases in rem property rights and, 175-177 interference rules and, 193-195 legal basis for enforcement in, 201-202 local confiscation orders, 186-187 objectum sceleris principle, 190-195 protection of bona fide third parties in, 252-254 territorial scope of property rights and, 267-269 value of foreign confiscation order and, 223-224 social conditions, anti-corruption treaties and, 3-5 socialist regimes, corruption in, 3-5 Sodantenko v. Ukraine, 204-207 Soering v. UK adverse consequences of extradition

extraterritorial jurisdiction in, 154-162 flagrant denial of rights in, 150-152 norm conflicts in asset recovery and, 169-170 proportionality of lawfulness to general interest in, 219-222 requested state responsibility for foreign confiscation in, 152–153 asset recovery duties and, 23-27 bribery offenses and, 65-66 corruption definitions in, 12-13 norms of corruption in, 17-20 Southern African Development Community (SADC) anti-corruption treaties and, 1-3 confiscation standards and, 102-106 foreign versus domestic bribery provisions in treaties of, 66-70 private sector bribery in, 77–80 property definitions of, 112-114 sovereignty criminalization of corruption and, 99 due diligence standard and requesting state trustworthiness and, 162-166 indigenous and tribal property claims, 275 property rights in customary international law and, 33-35 property rights versus, 10-11 public international law and, 6-8, 22right to corruption-free society and, 283-286 Special Administrative Measures, flagrant denial of rights and, 156-162 Special Immigration Appeals Commission (UK), 156-162 SRVG. See Federal Act on Freezing and Restitution of Assets of Politically Exposed Persons obtained by Unlawful Means (SRVG) (Switzerland) stakeholders, personal scope of property rights and, 266-267 Stapleton v. Ireland, 162-166

in, 148



386 INDEX

state institutions anti-corruption efforts by, 17-20 asset recovery responsibilities of, criminal conduct definitions, 9 mandatory assumptions of jurisdiction and, 59-60 peoples' rights to property in public international law and, 35-37 statutes of limitations criminalization of corruption, 98 in DRC v. Mobutu Seso Seko case, 43 duty to cooperate for the purposes of confiscation and, 124-126 failed states asset recovery barriers and, 42-46 legal basis for enforcement and, 198-203 Stephenson, Kevin, 121-122 Stessens, Guy, 78-79 Stolen Asset Recovery Initiative (StAR), asset recovery defined by, 27-28 Strasbourg conventions arbitrariness arguments and, 203 cooperative confiscation and, 298-299 counter-terrorist sanctions regimes and, 46-47 degree of injustice provisions, 156-162 ECHR-P1 Art. 1 and, 143 foreign penalty enforcement, flagrant denial of justice, 150-152 in rem property rights, 175-177 interference with property rights, 184-185, 261-263, 295-296 norm conflicts in public international law and, 169-170 procedural fairness and proportionality in asset recovery and, 248-252 proceeds as property under, 178-181 proportionality in, 258-259 protection of bona fide third parties in, 252-254 summary of lawfulness requirement and, 218-219 substantive scope of property rights, 172 - 183

inter-American concepts of, 269-270 substitute assets, legal basis for enforcement and, 198-203 Sudan Human Rights Organization and Another v. Sudan, 279-281 suppression conventions duty to cooperate for purposes of confiscation in, 123-137 international crimes and, 13-17 supranational organizations due diligence standard and requesting state trustworthiness and, 165-166 procedural fairness and proportionality in asset recovery and, 248-252 suspicious transactions, asset recovery responsibilities concerning, Swiss asset recovery system Arab Spring cases, 47–54 case studies, 38-56 counter-terrorism sanctions regimes and, 46-47 failed states and, 42-46 Haiti v. Jean-Claude "Baby Doc" Duvalier case and, 43-46 Nigeria v. Sani Abacha case, 40-41 Peru v. Vladimiro Lenin Montesinos Torres case, 41-42 Philippines v. Ferdinand and Imelda Marcos asset recovery case, 38-40 special-purpose asset recovery law, 42 - 46survey of, 9 Syria, asset recovery in, 47-54 Taliban, 243-248 frozen assets of, 46-47 targeted financial sanctions counter-terrorist sanctions regimes, 46 - 47equality, interaction with rights to property, 259-261 norm conflicts in asset recovery and, 170 - 171procedural proportionality in, 243-248



> INDEX 387

technical assistance/technical cooperation principles, duty to cooperate for the purposes of confiscation and, 124-126 temporal scope of property rights, 144-145, 183-184 under ACHR Art.21, 266 in confiscation orders, 255-256 territoriality principle, mandatory assumptions of jurisdiction and, 59-60 territorial scope of property rights, 146-172, 183-184 ACHR provisions concerning, 267-269 adverse consequences of extradition and, 148 in AfCHPR Art. 14 provisions, 276-279 cooperative confiscations, ECHR concept of jurisdiction and, flagrant denial of justice in foreign penalty enforcement, 150-152 right to fair trial and international cooperation and, 149-150 terrorism financing COE convention on, 87–89 requesting state proportionality and, 243-248 thing-based confiscation AfCHPR Art. 14 property rights provisions and, 276-279 asset recovery cases and, 182-183 bare possession as property, 178 bribery involving, 72-73 in COEMLC 1990/2005 treaties, 129 - 131compensation provision and, 257 cooperative confiscation and, 298-299 corruption definitions and, 12-13 deprivation as result of, 107 diversion and misuse of assets and, 83 - 84ECHR cooperative confiscation and, 154-162 in personam property rights and, 173-174

in rem property rights and, 173-174, interference rules and, 190-195 in international law, 138 liability of things in, 109-116 money laundering and, 87-89 personal scope of property rights and, 116-120, 145-146 proceeds as property and, 178 - 181proof of predicate offense and, 108 - 109property as legitimate expectation and, 181-182 property rights and, 29-38 proportionality of interference and, 219 - 222proportionality requirement and, 296-298 protection of bona fide third parties and, 252-254 in public international law, 29-31, 35 - 37in regional treaties, 124-126 substantive scope of property rights and, 269-270 third party rights. See also bona fide third parties; victim communities equality, interaction with rights to property, 259-261 EU framework decisions on confiscation and, 131-133 international confiscation standards and, 129-131 justification for interference and, 271-275 persons affected by confiscation, 116 - 120procedural fairness and proportionality in asset recovery and, 248-252 proceeds and instrumentalities as property and, 112-114 proportionality in domestic confiscation law, in third-party offenses, 234-235 protection of bona fide third parties, 252 - 254public sector bribery, 70-71



INDEX

388

third states foreign confiscation orders and, requesting state hearing proportionality and, 240-245 "three rules" approach to property rights interference, 184–196 title to property effects of confiscation on, 114-116 permanent removal, confiscation rule concerning, 184-185 proceeds and instrumentalities as property and, 112-114 "torture evidence" assurances, effect of, 166-169 flagrant denial of rights and degree of injustice rulings, 156–162 trade and abuse of influence, in anti-corruption treaties, 80-82 transnational criminal law (TCL) anti-corruption treaties and, 3-5 international criminal law versus, objective elements in public sector bribery, 71-72 Transparency International, anti-corruption efforts of, 17-20 transparency principle, persons affected by confiscation and, 116–120 treaty-based obligations duty to cooperate for the purposes of confiscation and, 127-128 European human rights to property and, 141-143 peoples' rights to property and, 35-37 property rights as, 31-33 Treaty of Lisbon, European human rights to property and, 141-143 Treaty on European Union, persons affected by confiscation and, 116-120 Treaty on the Functioning of the European Union, confiscation standards and, 103-105 tribal land claims collective dimension in, 275 collective right to wealth and resources under AfCHPR and, 281 - 288

group property rights and, 10-11 interference rules and, 270-275, 279-281 territorial scope of property rights and, 267-269 Tsonyo Tsonev v. Bulgaria, 156-162 Tunisia, asset recovery in, 47-54 "two-pronged" test for interference collective right to wealth and resources and, 286-288 property encroachment and, 279 Tymoshenko, Yuliya, 216 UNC. See Charter of the United Nations (UNC) UNCATND. See United Nations Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances "undue advantage" bribe contents and benefits, 72-73 consideration for the bribe, 73-74 mental elements of, 74-76 unfairness, allegations of, flagrant denial of rights and degree of injustice and, 156-162 UN Global Compact, anti-corruption efforts of, 17-20 United Kingdom, Nigeria v. Sani Abacha case and, 40–41 United Nations (UN) anti-corruption treaties and, 1-3 briber identity in public bribery treaties, 65-66 contents of bribery provisions in treaties of, 72-73 discretionary assumptions of jurisdiction, 61-62 investigative and prosecutorial discretion in conventions, 96-97 object-based confiscation under instruments of, 114-116 public sector bribery offenses, 64–76 United Nations Convention against Corruption (UNCAC), 16t abuse of functions and breach of duty provisions in, 82-83 active nationality principle, discretionary jurisdiction, 60-61



INDEX 389

Arab Spring asset recovery and, 54 asset diversion and misuse provisions, 83-84 asset recovery and, 294 asset recovery definitions, 22-29 bribee identity in private sector bribery, 78 concept of confiscation in, 107 confiscation standards in, 102-106 connection between thing and offense in confiscation cases, 115 - 116consideration for the bribe, 73-74 contents of bribery provisions in, context and consequences of private sector bribery, 79-80 cooperative confiscation and, 101 corruption definition of, 20-22 defenses to corruption charges in, definitions contained in, 9 domestic versus foreign bribery in, 66 - 70dual criminality requirement in cooperative confiscation and, 209 duty to cooperate for the purposes of confiscation and, 127-128 duty to cooperate in disposal of confiscated illicit wealth, 137-138 duty to enable confiscation in, 102 - 123illicit wealth provisions, 84-87 immunity from jurisdiction in, 97-98 investigative and prosecutorial discretion in, 96-97 mandatory assumptions of jurisdiction in, 59-60 mental elements of bribery, 74-76 money laundering and concealment provisions, 87-89 obstruction of justice provision in, 90 passive personality/protective principles, discretionary jurisdiction, 61-62 penalties for corruption in, 92 persons affected by confiscation and, 116-120

predicate offenses to confiscation in, 107 - 109prevention and investigation of corruption in, 94-95 private sector bribery in, 77-80 procedural guarantees in, 95-96 proceeds, concept in confiscation provisions, 109-111 property definitions in, 112-114 public sector bribery offenses provisions, 64-76 return of assets principle, 1, 4–5 right to corruption-free society and, 283-286 sovereignty principle in, 99 statutes of limitations in, 98 trading and abusing influence provisions in, 80-82 United Nations Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances (UNCATND), 87-89 concept of confiscation in, 107 cooperative confiscation and, 101 duty to cooperate for the purposes of confiscation and, 127-128 duty to enable confiscation in, 102–123 persons affected by confiscation and, 116-120 United Nations Convention against Transnational Organized Crime (UNTOC), 16t active nationality principle, discretionary jurisdiction, 60-61 concept of confiscation in, 107 confiscation standards in, 102-106 connection between thing and offense in confiscation instruments, 115-116 consideration for the bribe, 73-74 contents of bribery provisions in, 72 - 73corruption definition in, 20-22 defenses to corruption charges in, 90 - 92domestic versus foreign bribery in, 66 - 70duty to cooperate for the purposes of confiscation and, 127-128



390 INDEX

United Nations Convention against in rem property rights and, Transnational Organized Crime (cont.) 175-177 investigative and prosecutorial international confiscation standards, discretion in, 96-97 129-131 mandatory assumptions of procedural fairness and jurisdiction in, 59-60 proportionality in, 248-252 mental elements of bribery, 74–76 proportionality in ECtHR case law money laundering and concealment concerning, 230-240 provisions, 87-89 van Hoek, Aukje, 148, 150-152 obstruction of justice provision in, 90 van Offeren v. Netherlands, 233-234, passive personality/protective 248 - 252principles, discretionary Varnava and Others v. Turkey, jurisdiction, 61-62 144-145 penalties for corruption in, 92 Vatican, flagrant denial of justice persons affected by confiscation and, standard and, 150-152 116 - 120victim communities prevention and investigation of collective right to wealth and corruption in, 94-95 resources and, 35-37, 281-288 procedural guarantees in, 95-96 double jeopardy and legal certainty in proceeds, concept in confiscation proceedings of, 210-212 provisions, 109-111 fairness of enforcement proceeding property definitions in, 112-114 in, 228-252 public sector bribery offenses in, flagrant denial of rights criteria and, 64 - 76156 - 162sovereignty principle in, 99 jurisdiction in cooperative statutes of limitations in, 98 compensation and, 155-156 Universal Declaration of Human Rights persons affected by confiscation, (UDHR) 116-120 deprivation defined in, 33-35 property rights of, 35-37 property rights in, 31-33, public interest in confiscation and, 37-38 219-222 UN Office on Drugs and Crime value of foreign confiscation orders (UNODC), asset recovery defined and, 223-228 by, 27-28 Vulakh and Others v. Russia, 239 UNTOC. See United Nations Convention against Transnational Waldemar Nowakowski v. Poland, Organized Crime 193-196 Walsh v. UK, 248-252 US Alien Tort Claims Act, Philippines v. Ferdinand and Imelda Marcos Watergate scandal, Foreign Corrupt asset recovery case, 38-40 Practices Act and, 3-5 US Racketeering and Corrupt wealth distribution collective right to, under AfCHPR Organizations Act, Philippines v. Ferdinand and Imelda Marcos property rights provisions, asset recovery case, 38-40 281-288

value-based confiscation in anti-corruption instruments, 114–116 foreign confiscation orders, 223–228 281–288
group versus individual property rights and, 10–11
private property rights and, 6–8
Welch v. UK, 187–189
Willcox v. UK, 240–245



INDEX 391

Wolfsberg Group of financial institutions, asset recovery responsibilities and, 23–27 World Bank anti-corruption efforts by, 17–20 asset recovery defined by, 27–28

Yassin Abdullah Kadi and Al Barakaat International Foundation v. Council of the European Union and Commission of the European Communities (Kadi No. 2), 193–195, 245–248 Yassin Abdullah Kadi and Al Barakaat International Foundation v. European Commission (Kadi No. 3), 193–195, 245–248, 255–256 Yildirim v. Italy, 234–235

Zerbes, Ingeborg, 75 Zlínsat v. Bulgaria, 205, 239–240