

## Index

- ab initio* calculations, 61
- ab initio* calculation of band structure, 319
- absorption of ultrasound waves in solids, 275
- acoustic absorption coefficient, 276
- acoustic absorption heating, 276
- acoustic and optical polarizations, 185
- acoustic impedance, 278
- acoustic phonon scattering of electron, 353
- adiabatic approximation, 727
- Ampere law, 140
- annihilation and creation operators, 715
- annihilation operator, 102, 587
- anti-Stokes cooling of CO<sub>2</sub> gas, 626
- anti-Stokes emission, 727
- anti-Stokes fluorescence, 582
- antisite defect, 360
- asymmetric phonon conductance, 287
- atomic displacement in lattice vibration, 208
- atomic electron orbitals, 58
- atomic properties, 30
- atomic radius, 30
- atomic-level resonances, 40
- audible sound, 173
- auger effect, 727
- average Debye DOS model, 185
- average sound velocity, 244
- average thermal speed, 456
- Avogadro number, 22, 666
- azimuthal quantum number, 110
  
- ballistic phonon transport regime, 279
- ballistic transport, 35, 129, 727
- bandgap energy, 727
- basis, 190
- Beer–Lambert law, 562
- Beni–Platzman–Debye MSD model, 210
- blackbody photon DOS and phase speed, 523
- blackbody radiation, 519
- blackbody radiation intensity, 525
- blackbody, spectral emissive power, 525
- Bloch theorem, 727
  
- Bloch wave, 727
- Bohr radius, 22, 666
- Boltzmann constant, 20, 22, 666
- Boltzmann transport equation (BTE), 120
- bond order, 62
- Born–Oppenheimer approximation, 96
- Bose einstein condensates, 718
- Bose–Einstein condensate, 627, 728
- Bose–Einstein statistics, 696
- boson, 699, 728
- boundary Seebeck coefficient, 399
- boundary-layer turbulence, 474
- boundary-layer turbulent heat flux, 491
- bra–ket inner product, 100
- Bravais lattice, 728
- bravais lattice, 187
- bremsstrahlung, 728
- Brillouin scattering, 728
- Brillouin zone, 186, 728
- Brillouin zone planes, 189
- Brownian diffusion, 471
- Brownian diffusion time, 472
- Brownian motion, 154, 472
- bulk modulus, 161, 162
- bulk properties, 30
- bulk properties of elements, 662
  
- Cahill–Pohl model, 232
- Cahill–Pohl thermal conductivity, 681
- Callaway conductivity model, 211
- Callaway phonon thermal conductivity model from BTE, 212
- Callaway–Holland thermal conductivity model, 215
- canonical ensemble, 83
- canonical partition function, 697
- carrier density, 40
- carrier-induced entropy, 701
- Casimir boundary scattering, 218
- chirality, 71, 728
- classical electron oscillator, 579

- classical Hamiltonian, 80  
 classical molecular dynamics, 81  
 CO<sub>2</sub> gas laser, 611  
 cohesive energy, 30, 728  
 collision (viscous or Langevin) relaxation time, 154  
 commutation relations, 532  
 commutator operator, 118  
 compatibility equation, 162  
 complex index of refraction, 139  
 compression wave, 162  
 Compton scattering, 544, 728  
 conduction band, 728  
 configuration coordinate diagram, 603  
 confluent hypergeometric function, 103  
 continuity equation, 160  
 continuous and band photon absorption, 562  
 continuous and band photon emission in solids, 571  
 cooling length, 397  
 cooperative process, 38, 582  
 cooperative processes, 728  
 coordination number, 191  
 correlation function, 159  
 Coulomb constant, 22, 54, 666  
 Coulomb law, 54  
 covalent bonds, 237, 247  
 converting hot-phonon energy to electric potential, 418  
 creating fock states, 716  
 creation and annihilation operators, 729  
 creation operator, 102, 587  
 crystal boundary scattering, 218  
 crystal structure, 30  
  
 de Broglie quantum wave, 98  
 de Broglie relation, 324  
 de Broglie wavelength, 728, 729  
 Debye atomic displacement model, 93  
 Debye cut-off frequency, 202  
 Debye model of lattice specific heat capacity, 204  
 Debye model of the phonon density of states, 201  
 Debye temperature, 30, 90, 186, 241, 729  
 Debye–Gaussian model, 186  
 degenerate conductors, 344  
 degenerate energy state, 729  
 dense fluids specific heat capacity: van der Waals model, 447  
 density, 30  
 density of electronic energy states, 327  
 density of states, 40, 106, 729  
 dielectric function, 139, 148  
 dielectric heating, 151  
 diffraction, 552  
 diffuse scattering, 684  
 diffusion time, 161  
 dimensionless dispersion relation, 177  
 dipole moment, 145  
 dipole-moment transition, 582  
  
 Dirac delta function, 730  
 disjoining pressure in ultrathin liquid films, 486  
 dispersion, 730  
 dispersion relation, 177, 194  
 distinguishable, 100, 699  
 distinguishable and indistinguishable particles, 730  
 dopant, 731  
 doped semiconductor, 366  
 Doppler temperature, 629  
 double bond, 60  
 Drude electron transport model, 731  
 Drude–Sommerfeld electron gas model, 105  
 Dulong–Petit limit, 204  
 dynamic viscosity, 160, 460  
 dynamical matrix, 177  
  
 effective conductivity with dispersed particles in Brownian motion, 470  
 effective electron mass, 322, 731  
 Einstein (Brownian) diffusion coefficient, 472  
 Einstein coefficients, 538  
 Einstein population rate equation, 535, 536  
 Einstein solid thermal conductivity model, 231  
 Einstein thermal conductivity, 676  
 elastic and inelastic scattering, 132  
 elastic binary collision rate of ideal gas, 457  
 elastic collision (scattering), 731  
 elastic constants, 161  
 electric energy, 440  
 electric susceptibility, 146  
 electric susceptibility, 139  
 electrical conductivity, 30, 128, 139, 367  
 electromagnetic wave equation, 140  
 electron, 7  
 electron and phonon transport equations, 393  
 electron band structure in crystals, 309  
 electron band structures for semiconductors, 321  
 electron bandgap, 731  
 electron BTE for semiconductors, 334  
 electron charge, 22, 666  
 electron density of states, 106  
 electron energy equation, 397  
 electron gas specific heat capacity, 332  
 electron group velocity, 327  
 electron mass, 22, 666  
 electron mean free path, 348  
 electron mobility, 128, 340  
 electron scattering, 341  
 electron specific heat capacity, 331  
 electron volt, 732  
 electron wave function for hydrogenlike atoms, 109  
 electron–phonon relaxation time, 350  
 electron–phonon wave function, 351  
 electron–scattering relaxation relations, 355  
 electronegativity, 30, 238  
 electronic energy states of rare-earth ion-doped crystals, 400

- electronic orbital structure, 30
- electronic quantum number, 440
- emission mechanisms, 571
- emissivity, 574
- energy gap, 313
- energy relaxation time, 338, 732
- energy transformation kinetics, 131
- ensembles, 82
- equation of radiative transfer, 547
- equilibrium distribution function for translational energy, 453
- ergodic hypothesis, 81, 732
- estimation of acoustic velocity, 206
- evanescent wave, 644, 732
- exciton, 732
- exciton-polariton, 736
- expectation value, 97
- extrinsic, 731
- extrinsic defect, 359
  
- f-process, 358
- Faraday law, 140
- Fermi energy, 108
- Fermi energy or Fermi level, 732
- Fermi golden rule (FGR), 131, 335, 562, 732
- Fermi momentum, 325, 327
- Fermi surface, 732
- Fermi temperature, 109, 327
- Fermi velocity, 109, 327
- Fermi–Dirac integral, 165
- Fermi–Dirac statistics, 696
- fermion, 698, 732
- Feynman diagram, 732
- field emission, 733
- field enhancement, 645
- field operators, 716
- fine-structure constant, 22, 23, 666
- finite size effect, 276
- first ionization energy, 30
- first quantization, 713
- fluid flow regimes, 474
- fluid particle, 8, 733
- fluid particle BTE and average molecular speed, 451
- fluid particle quantum energy states and partition function, 436
- fluorescence, 536, 733
- Fock states, 714
- Fokker–Planck stochastic equation, 156
- four quantum numbers, 110
- Fourier law of conduction, 1
- free-electron energy, 500
- free-molecular-flow regime, 474
- free-space electric permittivity, 22, 666
- free-space magnetic permeability, 22, 666
- Frenkel defects, 360
- fundamental constants, 22, 666
  
- g-process, 358
- gamma function, 342
- gas particle translational motion, 436
- gas thermal conductivity in narrow gaps, 502
- Gauss law, 140
- glossary, 727
- Grüneisen equation of states, 734
- Grüneisen parameter, 233, 244, 733
- Grüneisen parameter prediction, 244
- grand canonical ensemble, 80, 83
- graphene-flake junction, 413
- gravitational acceleration vector, 160
- Green–Kubo (G–K) relation, 158, 668
- Green–Kubo (G–K) transport theory, 156
- group theory, 733
  
- Hagen–Rubens relation, 576
- Hall coefficient, 349
- Hall factor, 349
- Hall mobility, 349
- Hamaker constant, 486
- Hamiltonian, 77, 734
- Hamiltonian function, 79
- Hamiltonian operator, 79
- harmonic approximation, 57, 80
- harmonic limit, 57
- hartree, 22, 666
- heat current, 159
- heat current autocorrelation function, 255
- heat of evaporation, 30
- heat of fusion, 30
- heat transfer physics, 32
- Heisenberg uncertainty principle, 21
- Hermite polynomial, 103
- heteronuclear polyatomic gas, 440
- Hilbert space, 100
- hole, 7
- hole concentration, 367
- hole density, 329
- hole mobility, 367
- Hooke law, 159, 162
- hot electrons, 419
- hot phonons, 419
- hot-electron cooling time, 411
- hot-phonon bottleneck, 412
- hydrogen atom model, 109
- hyperbolic heat conduction equation, 430
- hyperfine structure, 23
- hypersound, 173
  
- ideal-gas mean free path, 459
- ideal-gas specific heat capacity, 443
- ideal-gas thermal conductivity for BTE, 462
- impurity (and isotope and vacancy) scattering, 218
- impurity defect, 360
- in- and out-scattering, 123
- indistinguishable, 100
- indistinguishable particles, 697
- inelastic collision, 734
- inelastic scattering, 734

- inner turbulent core, 493
- interacting indistinguishable particles, 696
- interaction of fluid particle and surface, 474
- interaction rate between electrons and heavier species, 501
- interatomic forces, 52
- interference, 552
- intermolecular forces, 52
- internal conversion, 137
- interparticle collisions, 451
- interstitial defect, 360
- intrinsic, 731
- intrinsic carrier density, 329
- intrinsic defect, 359
- ionic bonds, 238, 246
- ionic crystals, 734
- isobaric–isothermal ensemble, 83
- isothermal compressibility, 161, 162
- isotopes, 30
  
- Joule heating, 151
  
- Kane nonparabolic electron relaxation-time model, 324
- Kapitza boundary resistance, 734
- Kelvin relation, 347
- Kennard thermal slip constant, 510
- kinematic viscosity, 461
- kinetic energy, 53
- kinetic theory, 734
- kinetics, 734
- Kirchhoff law, 573
- Kirchhoff law of radiation, 734
- Knudsen number, 474
- Knudsen number effect, 503
- Knudsen-flow-regime surface accommodation coefficients, 476
- Kolmogoroff length, 490, 491
- Kolmogoroff spectral law, 491
- Kronig–Penney potential model, 311
  
- ladder operator, 102
- Ladder Operators, 713
- laminar sublayer, 493
- laminar-flow, 474
- Landauer formulation, 288
- Langevin equation, 471
- Langevin relaxation time, 155
- Langevin stochastic dynamic equation, 471
- Langevin stochastic equation, 155
- Langmuir adsorption isotherm, 485
- Langmuir adsorption model, 484
- laser cooling of CO<sub>2</sub>, 620
- laser cooling of atomic gases, 627
- laser cooling of solids, 582
- lasers and narrow-band emissions, 527
- lattice basis, 190
- lattice constant, 30
- lattice dynamics, 191
  
- Lennard–Jones (L–J) potential, 67
- lifetime, 606
- linear combination of atomic orbitals (LCAO), 315
- liquid–gas interfacial force balance, 160
- local thermal equilibrium, 544
- localization, 35
- longitudinal-acoustic branch, 178
- longitudinal-optical branch, 178
- Lorenz number, 348
- luminescence, 734
  
- Mach number, 476
- macroscopic (continuum) energy equation, 1
- macroscopic elastic solid-mechanics equations, 159
- macroscopic fluid dynamics equations, 159
- magnetic quantum number, 110
- magnon, 734
- majority carrier, 329
- master equation, 735
- master equation of quantum mechanics, 42
- matrix element, 336
- Matthiessen rule, 151, 215, 370, 578
- Maxwell equations, 140
- Maxwell velocity slip coefficient, 480
- Maxwell–Boltzmann (M–B), 699
- Maxwell–Boltzmann (M–B) energy distribution function, 456
- Maxwell–Boltzmann (M–B) statistics, 696
- mean free path, 35, 276, 467
- mean-field theory, 155
- mean-square relative displacement, 209
- melting temperature, 30
- metallic bonds, 247
- microcanonical ensemble, 82
- microwave heating, 151
- Mie scattering, 546
- minimum phonon conductivity relations, 676
- mixing length, 492
- molecular gas lasers, 608
- molecular orbital, 60
- molecular weight, 30
- molecular wire, 287
- momentum accommodation coefficient, 507
- momentum relaxation time, 338, 735
- Monte Carlo method, 709
  
- n*-type doping, 731
- n*- and *p*-type semiconductors, 735
- nanocone conductivity, 289
- nanostructures, 288
- nanotube properties, 71
- natural angular frequency, 91
- Navier equation, 162
- Navier–Stokes equations, 159
- near-field radiative heat transfer, 642
- near-field thermal emission, 528
- neutron mass, 22, 666

- neutron scattering, 198  
 Newton (gravitational) constant, 22, 666  
 Newton and Hamiltonian equations, 80  
 Newtonian viscous fluid, 160  
 nonequilibrium (hot) phonon, 649  
 noninteracting indistinguishable particles, 696  
 nonparabolic energy band, 324  
 nonpolar semiconductor, 358  
 nonradiative decay, 577  
 normal coordinates, 94, 209, 351, 735  
 normal modes of vibration, 192  
 number of phonon branches, 190  
 number operators, 716
- Onsager reciprocity relation, 153  
 Onsager transport coefficients, 152  
 Onsager transport relations, 343  
 optical dispersion relation, 142  
 optical-phonon contribution to conductivity, 261  
 optical-phonon energy equation, 397  
 optically thick limit and radiant conductivity, 549  
 outer turbulent core, 493  
 oxidation states, 30
- p*-type doping, 731  
 parabolic energy band, 322, 331  
 particle probability distribution function, 735  
 particles, 16  
 partition function, 21, 79  
 Pauli exclusion principle, 735  
 Peltier coefficient, 347  
 Peltier cooling/heating, 377  
 permutation operator, 714  
 phase function, 546  
 phase speed, 179  
 phase state, 30  
 phase transformation, 92  
 phonon, 4  
 phonon annihilation operator, 196  
 phonon assisted, 582  
 phonon boundary conductance, 272  
 phonon boundary resistance, 271, 683  
 phonon boundary scattering, 278  
 phonon boundary thermal resistance, 272  
 phonon boundary transmission coefficient, 273  
 phonon contributions to Seebeck coefficient, 701  
 phonon creation operator, 196  
 phonon density of states, 181  
 phonon dispersion, 174  
 phonon dispersion models, 223  
 phonon dispersion relation, 177  
 phonon drag on electron, 735  
 phonon gas, 735  
 phonon group velocity, 179  
 phonon heat capacity, 201  
 phonon intensity, 524  
 phonon polariton, 736  
 phonon recycling, 646  
 phonon recycling (in photonics), 646  
 phonon softening, 344, 706  
 phonon soliton, 287  
 phonon thermal conductivity, 214  
 phonon-assisted absorption, 588  
 phonon-polariton, 736  
 phonons in photovoltaics, 631  
 phosphor, 736  
 phosphorescence, 736  
 photon, 9  
 photon absorption and emission, 543  
 photon absorption and emission in two-level electronic system, 534  
 photon absorption cross-section area, 540  
 photon absorption in solids, 562  
 photon absorption time, 161  
 photon density of states, 524  
 photon dispersion relation, 139  
 photon emission cross-section area, 541  
 photon energy confinement, 645  
 photon energy density, 524  
 photon intensity, 524  
 photon number density, 523  
 photon quantum number, 520  
 photon spectrum, 522  
 photon-free electron scattering rate, 544  
 photon-induced, 588  
 photon-matter interactions, 529  
 physical adsorption and desorption, 482  
 pi bond, 60  
 piezoelectric constant, 350  
 Planck constant, 21, 22, 666  
 Planck mean absorption coefficient, 653  
 plasma frequency, 736  
 plasma polariton, 736  
 plasma thermal conductivity, 496  
 plasmon, 150, 736  
 Poisson ratio, 159, 162, 208  
 polar and nonpolar semiconductors, 736  
 polar molecules, 736  
 polariton, 736  
 polarization vector, 143  
 polaron, 736  
 polaron hopping, 706  
 potential energy, 53, 736  
 potential models, 65  
 potential well, 53  
 power-law energy dependent  $\tau_e$ , 338  
 Poynting vector, 144  
 primary wave, 162  
 primitive cell, 736  
 primitive lattice vectors, 187  
 primitive reciprocal lattice vectors, 187  
 principal energy carriers, 3  
 principal quantum number, 110  
 probability density function, 155  
 probability distribution function, 10  
 propagating waves, 643  
 proton mass, 22, 666  
 pseudopotential, 736

- quantum concentration, 696  
 quantum corrections to MD predictions, 262  
 quantum dot, 408  
 quantum efficiency, 580  
 quantum Hamiltonian, 737  
 quantum mechanics, 737  
 quantum theory of lattice vibration, 196  
 quantum well, 408  
 quantum well for improved figure of merit, 408  
 quantum wells, 411  
 quantum wires, 408  
 quantum harmonic oscillator, 197  
 quasi-particle, 16, 737
- radial distribution function, 73  
 radiation (decay) lifetime, 536  
 radiation broadening, 579  
 radiative intensity, 144  
 radiative lifetime, 592  
 Raman scattering, 737  
 random resonator, 558  
 rattler, 737  
 Rayleigh and Mie scatterings, 737  
 Rayleigh scattering, 133, 218, 547  
 Rayleigh–Jeans law, 519, 527  
 reciprocal lattice, 186, 737  
 reciprocity between spectral photon emission and absorption, 572  
 rectification in anisotropic, one-dimensional systems, 286  
 reduced mass, 177  
 relative electric permittivity, 139  
 relative magnetic permeability, 139  
 relaxation time, 737  
 relaxation-time approximation, 124  
 relaxation-time approximation of gas BTE, 462  
 relaxation-time models, 215  
 Reststrahlen absorption and reflection, 737  
 RMS thermal speed, 454  
 role of fluid particle motion in gas lasers, 608  
 rotational constant, 439  
 rotational energy, 439  
 rotational quantum number, 439  
 Rydberg energy, formula, and constant, 738
- Saha equation, 501  
 scales in Lennard Jones (L–J) potential, 92  
 scattering phase, 546  
 scattering relaxation times, 217  
 screened Coulombs potential, 54  
 second quantization, 713  
 second viscosity, 160  
 secondary wave, 162  
 Seebeck coefficient, 347  
 semiconductors, 319, 321, 366, 738  
 semiempirical PV efficiency, 639  
 shear modulus, 162  
 shear wave, 162  
 sigma bond, 60
- simple classical harmonic oscillator, 91  
 simple harmonic oscillator, 101  
 single-bandgap ideal photovoltaic efficiency, 634  
 single-mode relaxation time, 211  
 size effects, 276, 407, 502, 642  
 size parameter, 219, 546  
 skutterudites, 738  
 Slack relation, 233  
 Slater determinant, 714  
 slip coefficients in transitional-flow regime, 480  
 solid angle, 545  
 species concentrations for two-temperature system, 501  
 specific heat capacity, 30  
 spectral absorptance, 563  
 spectral surface emissivity, 574  
 specular parameter, 279  
 specular scattering, 687  
 speed of light in vacuum, 22, 666  
 speed of sound for an ideal-gas, 468  
 speed probability distribution function, 456  
 spherical, parabolic band, 738  
 spin, 738  
 spontaneous and stimulated emissions in thermal cavity, 538  
 spontaneous emission, 536, 540, 738  
 spring constant, 91  
 Stefan–Boltzmann constant, 22, 666  
 Stefan–Boltzmann law, 527  
 sticking probability, 484  
 stimulated absorption, 536  
 stimulated emission, 536, 738  
 Stokes law, 738  
 strain tensor, 162  
 structural factor, 189  
 structure defects, 360  
 substitutional defect, 360  
 superlattice, 411  
 surface plasmon, 739  
 surface polariton, 642  
 surface tension, 160  
 surface-phonon polariton, 643  
 surface-plasmon polarization, 643  
 symmetry number, 447
- T-matrix method, 554  
 tables of properties, 661  
 theoretical maximum evaporatoin/condensation heat transfer rate, 461  
 thermal accommodation coefficient, 477, 507  
 thermal conductivity, 30, 372  
 thermal conductivity of ideal gas, 463  
 thermal conductivity of liquids, 469  
 thermal conductivity of polymers, 233  
 thermal creep (slip) flow, 508  
 thermal diffusivity, 467  
 thermal effusivity, 467  
 thermal nonequilibrium, 393

- thermal nonequilibrium plasma energy equation, 500
- thermal plasma, 494, 496
- thermal plasma generation, 495
- thermionic emission, 432, 739
- thermodynamic relations, 76
- thermoelectric (TE) figure of merit, 372, 373
- thermoelectric force, 335
- thermoelectric power factor, 372
- thermophoresis, 481
- thermophoretic force, 481
- thermophoretic velocity, 481
- thermophotovoltaic, 739
- Thomson coefficient, 348
- Thomson cross-section area, 545
- Thomson scattering, 739
- three-level analysis of CO<sub>2</sub> laser kinetics, 614
- tight-binding approximation, 315, 317
- time-dependent Schrödinger equation, 97
- Tiwari model, 225
- total partition function, 436
- transit time, 161
- transition dipole moment, 593
- transition probability rate, 134, 689
- transition Reynolds number, 487
- transitional-flow regime, 474
- translational quantum numbers, 437
- transmission probability for diffuse scattering, 684
- transmission probability for specular scattering, 687
- triple bond, 60
- tunneling, 552, 739
- turbulent eddies, 494
- turbulent energy distribution function for inertial subregime, 491
- turbulent flow, 474
- turbulent mean free path, 492
- turbulent mixing length, 493
- turbulent thermal conductivity, 492
- turbulent viscous boundary-layer thickness, 493
- turbulent-flow structure and transport, 487
- ultrasound, 173
- Umklapp processes, 337, 739
- universal gas constant, 22, 666
- universal phonon quantum transport, 289
- vacancy defect, 360
- valence band, 739
- van der Waals coefficient, 448
- van der Waals constant, 448
- van der Waals equation of states, 449
- van der Waals interactions, 247
- variational method, 267
- velocity vector (momentum) probability distribution function, 455
- vibrational (or vibronic) quantum number, 438
- vibrational energy, 438
- viscous stress tensor, 160
- viscous-flow regime, 474
- volumetric thermal expansion coefficient, 160
- von Kármán universal constant, 493
- wave function, 96
- wave packets, 16
- wave vector, 97
- waves, 16
- Wiedemann–Franz law, 348, 389, 391
- Wien displacement law, 525
- Wigner–Seitz primitive cell, 739
- Young modulus, 159, 162
- zero-point energy, 103