

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Referendums and the European Union

Why have referendums on European integration proliferated since the 1970s? How are referendums accommodated within member states' constitutional orders, and with what impact on the European integration process? What is the likely institutional impact of referendums on the future of the European integration process? These are among some of the fundamental questions addressed in this book from an interdisciplinary perspective. The central thesis is that the EU is faced with a "direct democratic dilemma", which is compounded by the EU's rigid constitutional structure and a growing politicisation of the referendum device on matters related to European integration. *Referendums and the European Union* discusses how this dilemma has emerged to impact on the course of integration, and how it can be addressed.

Fernando Mendez is a senior researcher and lecturer at the Centre for Research on Direct Democracy, University of Zurich.

Mario Mendez is a senior lecturer in the Department of Law at Queen Mary University of London.

Vasiliki Triga is a lecturer in the Department of Communication and Internet Studies at the Cyprus University of Technology.

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Cambridge Studies in European Law and Policy

This series aims to produce original works which contain a critical analysis of the state of the law in particular areas of European law and set out different perspectives and suggestions for its future development. It also aims to encourage a range of work on law, legal institutions and legal phenomena in Europe, including “law in context” approaches.

The titles in the series will be of interest to academics; policy-makers; policy formers who are interested in European legal, commercial and political affairs; practising lawyers, including the judiciary; and advanced law students and researchers.

Joint Editors

Professor Dr Laurence Gormley

University of Groningen

Professor Jo Shaw

University of Edinburgh

Editorial advisory board

Professor Richard Bellamy, *University College London*

Professor Catherine Barnard, *University of Cambridge*

Professor Marise Cremona, *European University Institute, Florence*

Professor Alan Dashwood, *University of Cambridge*

Professor Dr Jacqueline Dutheil de la Rochère, *Université de*

Paris II, Director of the Centre de Droit Européen, Paris

Dr Andrew Drzemczewski, *Council of Europe, Strasbourg*

Sir David Edward, KCMG, QC, former Judge, *Court of Justice of the European Communities, Luxembourg*

Professor Dr Walter Baron van Gerven, *Emeritus Professor, Leuven and Maastricht, and former Advocate General, Court of Justice of the European Communities*

Professor Daniel Halberstam, *University of Michigan*

Professor Dr Ingolf Pernice, *Director of the Walter Hallstein Institut, Humboldt University*

Michel Petite, *Former Director-General of the Legal Service, Commission of the European Communities, Brussels*

Professor Dr Sinisa Rodin, *University of Zagreb*

Professor Neil Walker, *University of Edinburgh*

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Books in the series

EU Enlargement and the Constitutions of Central and Eastern Europe

Anneli Albi

Social Rights and Market Freedom in the European Constitution: A Labour Law Perspective

Stefano Giubboni

The Constitution for Europe: A Legal Analysis

Jean-Claude Piris

The European Convention on Human Rights: Achievements, Problems and Prospects

Steven Greer

European Broadcasting Law and Policy

Jackie Harrison and Lorna Woods

The Transformation of Citizenship in the European Union: Electoral Rights and the Restructuring of Political Space

Jo Shaw

Implementing EU Pollution Control: Law and Integration

Bettina Lange

The Evolving European Union: Migration Law and Policy

Dora Kostakopoulou

Ethical Dimensions of the Foreign Policy of the European Union: A Legal Appraisal

Urfan Khaliq

The European Civil Code: The Way Forward

Hugh Collins

State and Market in European Union Law

Wolf Sauter and Harm Schepel

The Ethos of Europe: Values, Law and Justice in the EU

Andrew Williams

The European Union's Fight Against Corruption: The Evolving Policy Towards Member States and Candidate Countries

Patrycja Szarek-Mason

The Lisbon Treaty: A Legal and Political Analysis

Jean-Claude Piris

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

*New Governance and the Transformation of European Law: Coordinating EU
Social Law and Policy*

Mark Dawson

The Limits of Legal Reasoning and the European Court of Justice

Gerard Conway

International Trade Disputes and EU Liability

Anne Thies

Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez, Vasiliki Triga

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Referendums and the European Union

A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga



CAMBRIDGE
UNIVERSITY PRESS

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

CAMBRIDGE
UNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning and research at the highest international levels of excellence.

www.cambridge.org

Information on this title: www.cambridge.org/9781107034044

© Fernando Mendez, Mario Mendez and Vasiliki Triga 2014

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2014

Printed in the United Kingdom by Clays, St Ives plc

A catalogue record for this publication is available from the British Library

Library of Congress Cataloguing in Publication data

Mendez, Fernando, 1972- , author.

Referendums and the European Union : a comparative inquiry / Fernando Mendez, Mario Mendez, and Vasiliki Triga.

pages cm - (Cambridge studies in European law and policy)

Includes bibliographical references and index.

ISBN 978-1-107-03404-4 (hardback)

1. Referendum - European Union countries. 2. European Union countries - Politics and government. 3. European federation. I. Mendez, Mario (College teacher) author. II. Triga, Vasiliki, author. III. Title.

JF497.E85M46 2014

328.24 - dc23 2014016179

ISBN 978-1-107-03404-4 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Contents

<i>Series editors' preface</i>	page ix
<i>Acknowledgements</i>	xi
<i>List of figures</i>	xii
<i>List of tables</i>	xiv
Introduction	1
1 Direct democracy, referendums, and European integration: a conceptual framework	7
Direct democracy and its critics	7
Referendums on European integration	14
Comparative institutional analysis	19
A typology of referendums on European integration	22
Conclusion	28
2 Constitutionally accommodating European integration: the role and impact of the referendum	30
Part 1: constitutional mapping	31
Part 2: case studies	50
Conclusion	64
3 Political dynamics around EU-related referendums	70
Part 1: elite and citizen interactions	70
Part 2: analysis	85
Conclusion	105

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

viii

CONTENTS

4	EU institutional adaptation in the shadow of the extraterritorial referendum	111
	Referendums and institutional stability	111
	Part 1: constitutional design	116
	Part 2: political conflict and the EU	129
5	Dilemmas of direct democracy: the EU from a comparative perspective	149
	Direct democracy and federalism	149
	Cross-polity comparative review	173
	Conclusion	182
6	Models of constitutional design	185
	Part 1: five models of constitutional design	185
	Part 2: comparative analysis	200
7	Conclusion	218
	<i>References</i>	230
	<i>Index</i>	253

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Series editors' preface

Referendums and the European Union: A comparative inquiry

Until the Danish referendum vote rejecting the Treaty of Maastricht in 1992, referendums did not really resonate within the European integration process. True, several countries had held referendums to make membership (of others) possible (France), to make membership happen (Denmark, Ireland) and to make membership not happen (Norway). This was back in 1972. Famously, a referendum was held in the UK in 1975 on membership (and this looks set to be replicated in 2017). Ireland and Denmark approved the Single European Act and its amendments to the European Community treaties in 1986–7. All of these referendums, with the exception of Norway's rejection of membership (not generally seen as surprising), saw relatively healthy majorities in favour of membership and in favour of continued development of the European treaties to promote closer European integration between these sovereign states. This benign assumption of popular support for European integration was dramatically turned upside down by the Danish referendum rejection – even after the “no” vote was overturned the following year after some trend-setting “opt-outs” negotiated by the Danish government. Since then nothing can be taken for granted and the politics of referendums have been an important element of the overall politics of European integration.

In this important new book, the authors explore the tensions that exist between the need for constitutional adaptability in times of crisis (well illustrated by the referendum dynamics that have come to the fore since the banking crisis of 2008 became a full-blown financial crisis threatening the continued existence of the eurozone) and the existence of what they call the “veto point of popular votes”, which can bring whatever good ideas the political elites thought they had to a shuddering halt because

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

X SERIES EDITORS' PREFACE

they are not acceptable to the wider electorate. But of course things are never as simple as that. The impact of direct democracy on European integration is not a simple linear process, up and down some sort of golden strand that links wider national electorates with the EU law and policy-making process. On the contrary, as is well known, decisions about EU matters at the national level, including referendums, are often made hostages to concerns in domestic politics, as national politicians play the blame game.

Against this backdrop, this book makes a major contribution to helping us to understand what is happening with referendums and referendum politics in the EU, as well as what might be the impact in the future of the various referendums which would now need to be held for further European treaties to be ratified. Throughout this process, (constitutional) law at the EU and the national levels remains inextricably linked with politics, and many contextualising factors have to be taken into account. The result of the analysis is a sophisticated account of referendum politics seen in a constitutional context which should be an important handbook for observers of and participants in these processes for years to come.

Jo Shaw
Laurence Gormley

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Acknowledgements

This co-authored book is the outcome of a collaboration between researchers based at the Centre for Research on Direct Democracy (c2d), University of Zurich (Fernando Mendez), Queen Mary University of London (Mario Mendez) and the Cyprus University of Technology (Vasiliki Triga). Part of the research was made possible by two grants from the Swiss National Science Foundation (Grant no. 100015-124577 and Grant no. 100015-135127), which financed a research team based at the University of Zurich. The team was composed of PhD students and post-doctoral researchers working in the fields of law and political science. A number of research collaborators helped to bring this project to fruition. In particular, a special mention should be made of Beat Kuoni, Nina Massuger and Vasilis Manavopoulos. Others who have contributed in the research effort include Carl Lebeck, Alexandre Baudin, Thomas Jensen, Yann Kergunteuil, Annemarije Oosterwaal, Jonathan Wheatley and Yanina Welp.

All three authors would like to give an especially warm thanks to Andreas Auer for his invaluable support in making the research collaboration possible, and also for his valuable input throughout the project. We would also like to thank Kenneth Armstrong and Carlos Mendez in particular for valuable discussions on aspects of this book. We are also grateful for responses received in relation to queries about their respective constitutional systems to the following individuals: Anneli Albi, Matej Avbelj, Gavin Barrett, Nóra Chronowski, Bruno de Witte, Paul Gragl, Rasmussen Holdgaard, George Karipsiades, Goran Selanec and Mattias Wendel.

We are also very grateful to Cambridge University Press, particularly Sinead Moloney for her patience while waiting for a manuscript that took longer to complete than anticipated, and to the anonymous reviewers for their constructive comments on the manuscript.

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Figures

3.1	Overriding logic and type of referendum	<i>page</i> 87
3.2	Parties' consensus on membership referendums	88
3.3	Parties' consensus on treaty-ratification referendums	89
3.4	Parties' consensus on policy referendums	89
3.5	Type of referendum and party consensus	90
3.6	Overriding logic and party consensus	91
3.7	Reasons and party consensus	92
3.8	Citizens' attitudes and membership referendums	93
3.9	Citizens' attitudes and treaty-ratification referendums	94
3.10	Citizens' attitudes and policy referendums	95
3.11	Citizens' attitudes by type of referendums	95
3.12	Citizens' attitudes by overriding logic	96
3.13	Turn-out and membership referendums	98
3.14	Turn-out and treaty-ratification referendums	99
3.15	Turn-out and policy referendums	99
3.16	Turn-out by type of referendum	100
3.17	Turn-out by logic of referendum	100
3.18	Dominant voting logic during treaty-ratification referendums	104
3.19	Mean "Yes" vote by referendum type	104
3.20	Mean "Yes" vote by logic of referendum	105
4.1	Attitudes towards EU treaty-ratification referendums	135
4.2	Percentage of ambivalent respondents by EU member state	136
4.3	Distribution of positions among old and new member states	137
4.4	Cross-national variations in respondents' attitudes on referendums	138
4.5	Citizens' Left-Right self-placement and attitudes to treaty-ratification referendums	139

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

LIST OF FIGURES xiii

4.6	Citizens' views on European integration and attitudes to treaty-ratification referendums	140
4.7	Political candidates' position on treaty-ratification referendums (n = 1347)	141
4.8	Party family and position on treaty-ratification referendums	143
4.9	Party attitude to European integration and position on referendums	144
4.10	The image of the European Union	146
5.1	Polity impacting referendums by decade	174
5.2	Polity impacting referendums during first five decades	174

Cambridge University Press

978-1-107-03404-4 - Referendums and the European Union: A Comparative Inquiry

Fernando Mendez, Mario Mendez and Vasiliki Triga

Frontmatter

[More information](#)

Tables

1.1 Referendums related to European integration	<i>page</i> 24
3.1 Logic and reasons for holding referendums	73
3.2 Overriding logic for holding referendums across categories of referendums	86
3.3 Reasons for holding referendums across categories of referendums	86
3.4 Reasons and mean citizens' attitudes toward Europe	96
3.5 Referendum type and success rates	101
3.6 Overriding logic and success rates	102
Annex Synoptic overview of political dynamics	108
4.1 Position of parties during the 2009 EP elections on the question "Any new treaty should be subject to a referendum"	142