

Emotion and Narrative

Emotions have a life beyond the immediate eliciting situation, as they tend to be shared with others by putting the experience in narrative form. Narrating emotions helps us to express, understand, and share them: the way we tell stories influences how others react to our emotions, and impacts how we cope with emotions ourselves. In *Emotion and Narrative*, Habermas introduces the forms of oral narratives of personal experiences, and highlights a narrative's capacity to integrate various personal and temporal perspectives. Theoretical proposals are richly illustrated with oral narratives from clinical and nonclinical samples. He demonstrates how the form and variety of perspectives represented in stories strongly, yet unnoticeably, influence the emotional reactions of listeners. For instance, narrators defend themselves against negativity and undesired views of themselves by excluding perspectives from narratives. Habermas shows how parents can help children, and psychotherapists can assist patients, to enrich their narratives with additional perspectives.

TILMANN HABERMAS teaches psychoanalysis at the Goethe University in Frankfurt am Main, Germany. His work on the development of the life story in adolescence has evolved into a sixteen-year longitudinal study of life narratives.



STUDIES IN EMOTION AND SOCIAL INTERACTION Second Series

Series Editors

Keith Oatley University of Toronto

Antony S. R. Manstead *Cardiff University*

Titles published in the Second Series

The Psychology of Facial Expression, edited by James A. Russell and José-Miguel Fernández-Dols

Emotions, the Social Bond, and Human Reality: Part/Whole Analysis, by Thomas J. Scheff

Intersubjective Communication and Emotion in Early Ontogeny, edited by Stein Bråten

The Social Context of Nonverbal Behavior, edited by Pierre Philippot, Robert S. Feldman, and Erik J. Coats

Communicating Emotion: Social, Moral, and Cultural Processes, by Sally Planalp

Emotions across Languages and Cultures: Diversity and Universals, by Anna Wierzbicka

Feeling and Thinking: The Role of Affect in Social Cognition, edited by Joseph P. Forgas

Metaphor and Emotion: Language, Culture, and Body in Human Feeling, by Zoltán Kövecses

Gender and Emotion: Social Psychological Perspectives, edited by Agneta H. Fischer

Causes and Consequences of Feelings, by Leonard Berkowitz

Emotions and Beliefs: How Feelings Influence Thoughts, edited by Nico H. Frijda, Antony S. R. Manstead, and Sacha Bem

Identity and Emotion: Development through Self-Organization, edited by Harke A. Bosma and E. Saskia Kunnen

(Continued after Index)



Emotion and Narrative

Perspectives in Autobiographical Storytelling

Tilmann Habermas Goethe University Frankfurt





CAMBRIDGEUNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314–321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi – 110025, India

79 Anson Road, #06-04/06, Singapore 079906

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning, and research at the highest international levels of excellence.

www.cambridge.org

Information on this title: www.cambridge.org/9781107032132

DOI: 10.1017/9781139424615

© Tilmann Habermas 2019

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2019

Printed and bound in Great Britain by Clays Ltd, Elcograf S.p.A.

A catalogue record for this publication is available from the British Library.

ISBN 978-1-107-03213-2 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.



Contents

	List of figures and tables Preface Acknowledgments List of narratives	page vii ix xi xiii
1	Emotions	1
PART I	Emotions in oral autobiographical narratives	17
2	Narrative structure	19
3	Narrative evaluations	48
4	Narrative perspectives	70
PART I	I How narratives evoke emotions	93
5	Kinds of emotional effects of narratives	97
6	Narrative perspectives guide recipient emotions	122
7	Context and incongruencies also affect emotional response	146
PART I	II Narratives reflect defense against emotions, and narrating helps cope with them	177
8	Narratives reflect narrators' ability to bear emotions	179
9	The healing power of narrating	203
10	Working through by narrating experiences repeatedl	y 225

v



vi Contents

PART IV Transformative co-narratives by parents and therapists	257
11 Co-narrating emotional events	259
12 Co-narrating in psychotherapy	276
13 Narrative perspectives in emotions	304
References	315
Index	345



Figures and tables

Figu	res	
10.1	Model of change of narrative form in the process of	
	coping with a problematic experience	page 242
Tabl	es	
2.1	Explications and modifications of Labov's definition	
	of narrative	46
3.1	The homologous structure of the emotion process and	
	narrative structure	49
3.2	Evaluative words and uses of words	64
4.1	Four levels of narrative communication (Schmid, 2005)	72
4.2	Possible combinations of author, narrator, and protagonist	
	in oral autobiographical narratives	73
4.3	Levels of event sequences	74
4.4	Three degrees of focalization (Genette): Access to subjective	re
	perspectives and knowledge	79
4.5	Grades of intentionality of acts and their motives	80
4.6	Explicit representations of perspectives	83
4.7	Linguistic ways to induce the protagonist's perspective	89
5.1	Most typical situations in which emotions are elicited	
	(Ekman, 2003)	97
5.2	Differences between nonnarrative and narrative emotions	98
5.3	Six kinds of narrative emotions	101
6.1	Quantitative comparison of perspective representations in	
	three narratives (% of propositions)	129
7.1	Features of narratives contributing to their credibility	148
7.2	Two kinds of inappropriateness of emotions	158
8.1	Effects of different defense mechanisms on narrative,	
	emotion, and perspective	184
8.2	Five principal dimensions of narrative affected by	
	defensive distortions	190

vii



viii	List of figures and tables	
9.1	Situational aspects that may influence the nature,	
	appropriateness, and effect of repeated narrations	215
11.1	Taxonomy of co-narrative moves on the emotional meaning	
	of the story. References are to specific lines in narrative	
	U below	266
12.1	Taxonomy of co-narrative moves by psychotherapists	284



Preface

"Tell me about it" – the motive for writing this book is in my curiosity to better understand how sharing experiences with others helps to deal with them, emotionally, intellectually, and practically. Emotions provide a fine system of signals to others and oneself. They call for turning our attention to something that we need to deal with. Often it is not quite clear why a situation makes us feel uneasy or arouses a specific emotion. Often we notice them only later and wonder what they are about. Strong emotional experiences as well as unclear emotional experiences motivate us to share them with others – to share experiences we narrate.

Narrating is a frequent everyday activity. A specialized professional context has emerged in the late nineteenth century which specialized in this activity, psychotherapy. My clinical background is in psychoanalysis. I wished to understand some of the mechanisms by which psychoanalytic and related psychotherapies work. Psychoanalysis has little theorizing and little research to offer that actually studies the moment-to-moment mechanisms of change. Studying the uses of narratives is one possible access to the therapeutic processes.

In psychology, emotions are conceptualized predominantly from evolutionary, biological, and cognitive perspectives. In this book, I argue that emotions are communications, to others and to ourselves. When reacting emotionally, we automatically evaluate something that happens. The evaluation is fast and often informed by more than what we consciously know about ourselves. Therefore, understanding emotions is often not an easy task. Understanding emotions requires a narrative format. It allows for communicating and making sense of them. Most psychological emotion theories ignore this central means for understanding emotions. They also tend to ignore that one of the most frequent elicitors of emotions are not snakes and bears, but other people and the stories they tell. Emotions are a social, communicative phenomenon, and they are processed in a social process.

We have the power to transform emotions by narrating them. We transform emotions by gaining a fuller access to our past selves as well as by integrating others' reactions and co-narrations into our stories. Therefore, integrating diverse narrative perspectives of self and others, present,

ix



x Preface

past, and hypothetical is central for coping with experiences. Coping research is only beginning to study this black box called social support.

Vygotsky's assumption of the social genesis of higher mental functions is also valid for narrative competence. In the final part of this book, I intend to push the borders of the research of Robyn Fivush, Elaine Reese, and Catherine Haden further by exploring specifically how parents help children, and how therapists help patients to learn how to cope better with emotions by narrating them in a more complete and consistent way.

The book reflects the ideas on which I have been working for the past fifteen years. Some chapters use material and elaborate ideas, which I have used in earlier publications. More specifically, Chapters 4 and 6 elaborate ideas first developed in Habermas (2006); Chapter 5 elaborates findings from Habermas and Diel (2010); Chapter 8 develops a taxonomy I first presented in Habermas (2015); Chapter 10 uses some ideas from Habermas and Berger (2011); Chapter 11 contains material also used in Graneist and Habermas (2017); and Chapter 12 elaborates ideas first sketched in Habermas (2013) and Habermas and Döll-Hentschker (2017).



Acknowledgments

This book started as an attempt to think about some contradictions that resulted from studies of emotion narratives. In 2011, the New School for Social Research in New York City gave me the chance to dedicate an entire lecture to emotion and narrative. I thank Bill Hirst, Wendy D'Andrea, and all the other colleagues for their great hospitality. It took me some more years to elaborate these initial ideas into a book.

Many other people also supported me in developing the ideas espoused in this book. My interest in perspective-taking dates back to the 1980s with my academic teachers Carl Friedrich Graumann (Heidelberg) and Bob Selman (Harvard). My early interest in narratives, and especially life narratives, was instigated by autobiographical memory researchers David C. Rubin and Susan Bluck, and it was supported by Christin Paha with whom I looked at autobiographical uses of personal objects and first ventured into studying life narratives; the ongoing longitudinal life narrative study MainLife owes especially Christin Köber and also Cybèle de Silveira, Alexa Negele, Isabel Peters, and Barbara Redlich. Most of the example narratives used in this book were collected by students of seminars in Frankfurt am Main and New York City when I attempted to teach psychoanalysis by studying specific emotions on the basis of autobiographical narratives. Some of the narratives and some of the ideas originate in studies done for diploma theses by Lisa Ott, Merve Schubert, and Beatrix Schneider, by Nadine Berger, Michaela Meier and Barbara Mukhtar, by Josefine Förster and Karin Lingg as well as by Sarah Römisch and Ewa Leban. Without Verena Diel's commitment and help, I would not have begun the systematic study on the influence of narrative perspectives on readers' emotions. Alice Graneist studied co-narrations of mothers with their adolescent children, providing a rich material for new insights into the collaborative nature of coping with emotions.

Robyn Fivush's and Elaine Reese' ideas on emotion narratives by children and on the co-narrative ontogenetic sources of many socio-cognitive competencies were an important inspiration both for studying emotion narratives and co-narrative processes. Robyn's hospitality at Emory in 2008 allowed me to write up the first studies of emotion narratives.

χi



xii Acknowledgments

Adeline Fohn and Wendy D'Andrea shared special emotion narratives with me for further analyses. Volker Hodapp and Stephan Bongard provided helpful comments on studies and articles. Master and doctoral students critically discussed narratives and some of the chapters. Sverre Varvin and Catherine Nye and their publisher as well as Eszter Berán, Horst Kächele, Alice Graneist, and Susanne Döll-Hentschker generously allowed me to use quotes from their material as example narratives. Anasha Kumar diligently and untiringly helped break down long German-style sentences and improve the readability of the text. When I first met Keith Oatley, he encouraged me to continue studying emotion narratives. As a series editor, he supported the book project from the beginning on.



Narratives

Narrative A Peter didn't want to come the natural way	
(translated) – Arlene, 32 years	page 30
Narrative B Birth of Anna (translated) – Bridget, 34 years	31
Narrative C Getting caught stealing groceries – Charlene,	
College student	37
Narrative D Alone in bed (translated) – Dora, 23 years	42
Narrative E Unwelcoming base (translated) – Emmy,	
31 years old	43
Narrative F Separation anxiety (translated) – Fanny, 24 years	44
Narrative G Ambulance in front of home – Gertrud, 22 years old	44
Narrative H Cute doctor with wedding band – Hester, 27 years	57
Narrative J1 My mother didn't take me [to school] – Jennifer,	
34 years	60
Narrative K Speeding (translated) – Karl, 25 years	65
Narrative L The first kiss (translated) – Laura, 16 years	86
Narrative M Husband went back to work	
(translated) – Ms. M, 60 years	129
Narrative N Betrayal (translated) – Mr. N, 46 years	132
Narrative O "Mother's addiction" – Ornella in her thirties	191
Narrative P "Finishing a film" – Paul, 32 years	192
Narrative Q "My son was born" – Quentin, 19 years	199
Narrative R1 "Father's relapse" (translated) – Rabia,	
26 years, a week after the event	220
Narrative R2 "Father's relapse" (translated) – Rabia,	
26 years, three months later	222
Narrative S1 "Attack of jealousy" (translated) – Sara,	
27 years old, two weeks after the event	242
Narrative S2 "Attack of jealousy" (translated) – Sara,	
27 years old, six weeks later	244
Narrative J2 "My mother didn't take me [to school]" –	
Jennifer, 34 years	247
Narrative T1 First love (translated) Teresa, 20 years	249
Narrative T2 First love (translated) Teresa, 20 years	
old, two weeks later	250
	xiii



xiv List of narratives

Narrative T3 First love (translated) Teresa, 24 years	250
Narrative T4 First love (translated) Teresa, 28 years	251
Narrative T5 First love (translated) Teresa, 32 years	253
Narrative U "Nobody voted for me" (translated) –	
Undine, 12 years, with her mother	267
Psychotherapy V, extract 1	283
Psychotherapy V, extract 2	287
Psychotherapy V, extract 3	289
Psychotherapy W	291
Psychotherapy X, extract 1	293
Psychotherapy X, extract 2	293
Psychotherapy X, extract 3	295
Psychotherapy X, extract 4	296
Psychotherapy X, extract 5	297
Psychotherapy X, extract 6	298
Psychotherapy Y, extract 1	300
Psychotherapy Y, extract 2	301