

# Professional Secrecy of Lawyers in Europe

This book examines the lawyer's duty of professional secrecy (also known as the attorney-client privilege) in the twenty-seven Member States of the European Union, the three Member States of the European Economic Area, and Switzerland. Those working on transactions or litigations which involve several countries can use this book to find out to what extent any information shared with or any advice received from a lawyer is protected in each of these countries. The preparation of this book has been co-ordinated by Dirk Van Gerven.

DIRK VAN GERVEN is a partner in the Brussels office of NautaDutilh (a leading Benelux law firm) and a member of the Brussels and New York Bars. He has extensive experience in all areas of corporate and financial law. He served as President of the Dutch-speaking section of the Brussels Bar from 2010 to 2012 and has published widely in the fields of corporate and financial law and professional ethics. He has also been a member of the supervisory board of Belgium's Financial Services and Markets Authority (previously the Banking, Finance and Insurance Commission) since 2003, presiding over it since 2011.





# **Professional Secrecy of Lawyers in Europe**

Compiled by THE BAR OF BRUSSELS





> CAMBRIDGE UNIVERSITY PRESS Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo, Delhi, Mexico City

Cambridge University Press

The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United States of America by Cambridge University Press, New York

www.cambridge.org

Information on this title: www.cambridge.org/9781107031630

© Cambridge University Press 2013

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2013

Printed and bound in the United Kingdom by the MPG Books Group

A catalogue record for this publication is available from the British Library

Library of Congress Cataloguing in Publication data

Professional secrecy of lawyers in Europe : the European Union, the European Economic Area and Switzerland / edited by the Bar of Brussels.

pages cm

Includes index.

ISBN 978-1-107-03163-0 (hardback)

1. Confidential communications – Lawyers – Europe. I. Barreau de Bruxelles, editor of compilation.

KJC3770.P76 2013

342.408′58 – dc23 2012050472

ISBN 978-1-107-03163-0 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.



# **Contents**

	Contributors ix	
	Preface xii	
	JEAN-PIERRE BUYLE, DIRK VAN GERVEN Presidents of the Brussels Bar (2010–12)	
1	Professional secrecy in Europe	1
	DIRK VAN GERVEN Past President of the Brussels Bar (Dutch-speaking section)	
2	The CCBE rules on professional secrecy	24
	GEORGES-ALBERT DAL Past President of the CCBE	
3	Austria	29
	MICHAEL KUTSCHERA Member of the Council of the Vienna Bar Partner, Binder Grösswang Rechtsanwälte GmbH	
4	Belgium	51
	DIRK VAN GERVEN Past President of the Brussels Bar (Dutch-speaking section)	
5	Bulgaria	73
	KINA CHUTURKOVA, RAINA DIMITROVA Partners, Boyanov & Co.	
	MIHAIL VISHANIN Associate, Boyanov & Co.	
6	Cyprus	88
	ALEXANDROS TSADIRAS A.C. Tsadiras & Associates European University Cyprus	
7	Czech Republic	105
	PETR ČÁP  Chairman of the Disciplinary Commission  Czech Bar Association	

V



	Contents	
8	Denmark LARS BO LANGSTED Professor of Law, Aalborg University	123
9	Estonia KATRIN KOSE Eesti Advokatuur	136
10	Finland PETRI TAIVALKOSKI Partner, Roschier Attorneys Ltd JUHO VUORI Associate, Roschier Attorneys Ltd	149
11	France SABINE DU GRANRUT Former member of the Paris Order Council Former member of the National Bar Council	172
12	Germany CHRISTIAN DAHNS, JOHANNES KELLER Bundesrechtsanwaltskammer	183
13	Greece ILIAS TOMPAIDIS, KONSTANTINOS VOULGARAKIS AKTIUS (Apalagaki-Kostis-Tompaidis) Law Firm Members of the Bar of Thessaloniki	200
14	Hungary JÁNOS BÁNÁTI President of the Hungarian Bar Association	222
15	Iceland ÓLAFUR ARINBJÖRN SIGURÐSSON, SINDRI GUÐJÓNSSON Logos	239
16	Ireland LIAM KENNEDY, SARAH CONROY A&L Goodbody	259
17	Italy ANTONELLA BRAMBILLA, ALBERTO CARPANI Chiomenti Studio Legale, Milan	270

vi



		Contents
18	Latvia EGILS RADZINS Member of the Latvian Council of Sworn Advocates The Law Office of Sworn Advocates	290
19	Liechtenstein MARIO FRICK President of the Liechtenstein Chamber of Lawyers	310
20	Lithuania AKVILÉ BOSAITÉ Senior Associate at the Law Firm Raidla, Lejins & Norcous KARINA KUIZINAITÉ Associate at the Law Firm Raidla, Lejins & Norcous	320
21	Luxembourg MARC THEWES Avocat à la cour	332
22	Malta MAX GANADO, CHRISTINE BORG, THOMAS CUTTS-WATSON Ganado & Associates, Advocates	344
23	The Netherlands FOKKE FERNHOUT Associate Professor of Law, Maastricht University	366
24	Norway JOHN CHRISTIAN ELDEN Advokatfirmaet Elden DA	392
25	Poland WOJCIECH MARCHWICKI Member of the Professional Ethics Committee at the National Bar Council MAREK NIEDUŻAK Member of the Professional Ethics Committee at the National Bar Council, assistant lecturer at the Department of Theory and Philosophy of Law at Kozminski School of Law	412
26	Portugal  ANA CRISTINA DELGADO  Legal Adviser of the Legal Department  Conselho Geral da Ordem dos Advogados Portugueses	441
27	Romania GABRIELA CACEREA, ANCA MIHAILESCU Nestor Nestor Diculescu Kingston Petersen	460

vii



	Contents	
28	Slovakia JOZEF OLEJ Vice-President of the Slovak Bar Association Docent at Pavol Jozef Šafárik University in Košice	476
29	Slovenia NATAŠA PIPAN NAHTIGAL, TJAŠA LAHOVNIK Odvetniki Šelih & partnerji, o.p., d.o.o.	494
30	Spain NIELSON SÁNCHEZ STEWART Vice-President of the Disciplinary Board of the Consejo General de la Abogacía Española	524
31	Sweden HENRIK FIEBER Partner, Roschier MINNA SJÖSTRAND Associate, Roschier	545
32	Switzerland CLAUDIO BAZZANI, ROMAN RICHERS Homburger AG	563
33	United Kingdom  RONNIE FOX Solicitor of the Senior Courts Principal, Fox SHIRA AUERBACH J.D. Member of the New York Bar Solicitor of the Senior Courts SHIRLEY BLAIR A&L Goodbody Associate KIRSTEEN MACDONALD Burness Paull & Williamsons LLP Associate	584

viii

Index 606



# **Contributors**

#### Austria

MICHAEL KUTSCHERA Member of the Council of the Vienna Bar Binder Grösswang Rechtsanwälte GmbH

# **Belgium**

GEORGES-ALBERT DAL
Past President of the CCBE
DIRK VAN GERVEN
Past President of the Brussels Bar
NautaDutilh

# Bulgaria

KINA CHUTURKOVA
RAINA DIMITROVA
Partners
Boyanov & Co.
MIHAIL VISHANIN
Associate
Boyanov & Co.

# Cyprus

ALEXANDROS TSADIRAS A.C. Tsadiras & Associates European University Cyprus

# Czech Republic

PETR ČÁP Chairman of the Disciplinary Commission Czech Bar Association

#### Denmark

LARS BO LANGSTED Professor of Law Aalborg University

# **Estonia**

KATRIN KOSE Eesti Advokatuur

#### **Finland**

PETRI TAIVALKOSKI Partner Roschier Attorneys Ltd

JUHO VUORI Associate Roschier Attorneys Ltd

#### France

SABINE DU GRANRUT
Former member of the Paris Order
Council
Former member of the National Bar
Council

ix



#### List of contributors

## Germany

CHRISTIAN DAHNS
JOHANNES KELLER
Bundesrechtsanwaltskammer

#### Greece

ILIAS TOMPAIDIS
KONSTANTINOS VOULGARAKIS
AKTIUS (Apalagaki-Kostis-Tompaidis)
Law Firm
Members of the Bar of Thessaloniki

# Hungary

JÁNOS BÁNÁTI President of the Hungarian Bar Association

# Iceland

ÓLAFUR ARINBJÖRN SIGURÐSSON SINDRI GUÐJÓNSSON Logos

#### Ireland

LIAM KENNEDY SARAH CONROY A&L Goodbody

# Italy

ANTONELLA BRAMBILLA
ALBERTO CARPANI
Chiomenti Studio Legale, Milan

#### Latvia

EGILS RADZINS
Member of the Latvian Council of Sworn
Advocates
The Law Office of Sworn Advocates

#### Liechtenstein

MARIO FRICK
President of the Liechtenstein Chamber of Lawyers

#### Lithuania

AKVILÉ BOSAITÉ
Senior Associate
Raidla, Lejins & Norcous
KARINA KUIZINAITÉ
Associate
Raidla, Lejins & Norcous

# Luxembourg

MARC THEWES Avocat à la cour

#### Malta

MAX GANADO
CHRISTINE BORG
THOMAS CUTTS-WATSON
Ganado & Associates,
Advocates

# The Netherlands

FOKKE FERNHOUT Associate Professor of Law Maastricht University

## Norway

JOHN CHRISTIAN ELDEN Advokatfirmaet Elden DA

# **Poland**

WOJCIECH MARCHWICKI
Member of the Professional Ethics
Committee at the National Bar Council

Х



List of contributors

MAREK NIEDUŻAK Member of the Professional Ethics Committee at the National Bar Council, assistant lecturer at the Department of Theory and Philosophy of Law at Kozminski School of Law

# **Portugal**

ANA CRISTINA DELGADO Legal Adviser of the Legal Department Conselho Geral da Ordem dos Advogados Portugueses

#### Romania

GABRIELA CACEREA
ANCA MIHAILESCU
Nestor Nestor Diculescu Kingston
Petersen

#### Slovakia

JOZEF OLEJ Vice-President of the Slovak Bar Association Docent at Pavol Jozef Šafárik University in Košice

# Slovenia

NATAŠA PIPAN NAHTIGAL TJAŠA LAHOVNIK Odvetniki Šelih & partnerji, o.p., d.o.o.

## Spain

NIELSON SÁNCHEZ STEWART Vice-President of the Disciplinary Board of the Consejo General de la Abogacía Española

#### Sweden

HENRIK FIEBER Roschier Partner MINNA SJÖSTRAND Roschier Associate

#### **Switzerland**

CLAUDIO BAZZANI ROMAN RICHERS Homburger AG

# **United Kingdom**

RONNIE FOX Solicitor of the Senior Courts Principal, Fox

SHIRA AUERBACH
J.D. Member of the New York Bar
Solicitor of the Senior Courts

SHIRLEY BLAIR
A&L Goodbody Associate

KIRSTEEN MACDONALD Burness Paull & Williamsons LLP Associate



# **Preface**

Every lawyer is bound by a duty of professional secrecy. However, professional secrecy is not only a duty but also a right, to ensure that everyone receives the best legal advice and, consequently, the best defence, be it before or outside a court of law. In order to ensure the best advice or defence, however, the client must be able to speak freely to his or her lawyer, which will only be possible if the lawyer can, under no circumstances, disclose the information received from the client to the authorities or to other parties to the proceedings. If the lawyer can be forced to do so, the information could be used against the client. This fear often makes people reluctant to seek legal advice, and we all know that the worst defence is one mounted without the advice and assistance of a lawyer.

The duty of professional secrecy ensures the confidentiality of discussions between a lawyer and his or her client so that no third party can gain access to the information exchanged. Lawyers are not entitled to disclose information provided by their clients and should never be forced to do so. The information exchanged is privileged. In general, the authorities and the courts should not be able to access information protected by the attorney—client privilege and should refuse to take privileged information into account if it is disclosed in violation of the professional secrecy rules. This is essential to ensure that everyone enjoys the same rights, is bound by the same obligations and is able to defend himself or herself adequately.

This book examines how professional secrecy is applied in the various countries of the European Union, the European Economic Area (Iceland, Liechtenstein and Norway) and Switzerland. In most (civil law) European countries, the term professional secrecy is used. In common law countries, however, this concept is known as the attorney–client privilege or legal professional privilege. In general, these terms refer to the same concept.

The first chapter of this book discusses the concept of professional secrecy in Europe and the main differences throughout Europe. It also examines relevant case law of the European Court of Human Rights and the Court of Justice of the European Union.

Lawyers and law associations are obliged to work together to make the world a better place by ensuring that everyone can exercise his or her rights, including the right to assistance in understanding and defining rights and obligations.

xii



Preface

Legal assistance will only be effective, however, if the client can speak freely to his or her lawyer. This means that the lawyer cannot disclose (or be obliged to disclose) information provided by the client. Since people are travelling more than ever before and engaging in international relations, they should be able to obtain legal advice (and benefit from professional secrecy) anywhere in the world. Hence a proper understanding of how professional secrecy works in other countries is necessary.

It is hoped that this book will help to establish a common definition of professional secrecy which can be accepted by all countries and international institutions. Such a definition is indeed necessary in an increasingly globalised world where people cross borders with increasing frequency and can be virtually present in several countries simultaneously, through electronic means. Adapting the concept of professional secrecy to meet the realities of this new world while safeguarding the rights of citizens is one of the major challenges facing the legal profession.

19 January 2013

JEAN-PIERRE BUYLE and DIRK VAN GERVEN

Presidents of the Brussels Bar (2010–12)

xiii