

INDEX

- acceding countries 23
Access to Medicines, Patent Information and Freedom to Operate (WHO/WIPO/WTO) 195
Access to Medicines: Pricing and Procurement Practices (WHO/WIPO/WTO) 195
 Agreement on Trade-Related Aspects of Intellectual Property Rights
 see TRIPS
 IP and competition policy current issues 134, 218; abuse of intellectual property rights 131; anti-competitive licensing practices 131–2; use of patents without authorization of the right holder 132–3
 see also unfair competition
 appellations of origin 83
 ‘authors’ rights’ *see under* copyright and related rights

 beneficiaries 14–16, 31
 Berne Convention 11, 31–2
 beneficiaries 14, 15
 copyright *see* copyright and related rights
 enforcement *see* enforcement
 exceptions to national treatment and MFN treatment 16, 17
 moral rights 11, 38, 46
 rule of retroactivity 24
 and TRIPS Agreement 11, 14, 37–9:
 TRIPS Agreement as ‘Berne-plus’ 11, 37–8
 biodiversity 196–7, 205–10, 218–19

 see also traditional knowledge and folklore
 biotechnology patenting 103–4, 196–7, 205–6
 border measures 146–51
 procedural requirements and safeguards against abuse 148–9:
 application, including evidence and description of goods 148;
 duration of suspension 149; notice of suspension 149; posting of security/payment of compensation 149
 remedies and orders for destruction/disposal 150
 right of inspection and information 150
 scope and coverage 146–8:
 mandatory coverage of pirated copyright/counterfeit trademark goods 146–7; optional coverage 147–8; special rules for *ex officio* action 151
 suspension of release 12–20, 146
 broadcasting organizations
 see under related rights

Canada – Pharmaceutical Patents 108, 110–11, 176
Canada – Term of Patent Protection 23–4, 166
 certification marks 59, 77, 83–4
China – Intellectual Property Rights 152–3, 176
 climate change 219
 collective management organizations 45

- collective marks and certification
 - marks 59–60, 77, 83–4, 85
- Commission on Intellectual Property Rights, Innovation and Public Health 215
- competition law and policy
 - see also* unfair competition
- compulsory licences
 - developing countries/LDCs: for educational purposes 47–8; for medicines *see under* TRIPS and public health
 - emergency situations 112, 182
 - grounds when normal requirements for compulsory licensing do not apply 112, 182
 - layout-designs of integrated circuits 125
 - meaning of 109
 - medicines and pharmaceuticals
 - see under* TRIPS and public health
 - no compulsory licensing of trademarks permitted 73–5
 - patents 107–8, 109–14: applications to be considered on their individual merits 112; conditions to be met in case of dependent patents 114; conditions to be respected in the grant of compulsory licences 112–14; decisions on grant/remuneration subject to judicial/independent review 113–14; licences to be non-exclusive 113; licences predominantly for supply of domestic market 113, 132–3, 184–5, 186; remedying anti-competitive practices 113, 132–3; right holder: adequate remuneration 113, 133, 184–5, 186; scope and duration to be limited to purposes for which granted 112–13; unsuccessful attempt first to obtain a voluntary licence 112, 132,
 - semi-conductor technology 125
- computer programs 39–40, 44, 51, 52
- confidential information
 - see under* undisclosed information
- Convention on Biological Diversity (CBD) 104–5
 - technology transfer 218–19
 - and TRIPS Agreement and protecting traditional knowledge/folklore 104–5: current issues 196–7, 199–200, 207–10, 218–19
- copyright and related rights 1–2, 36–53
 - beneficiaries 15
 - Berne Convention and copyright 36, 37–8
 - ‘comparison of terms’ 16
 - copyright or ‘authors’ rights’ 2, 36
 - copyright including related or ‘neighbouring rights’ 2, 37
 - general 43
 - human rights 216
 - limitations and exceptions to
 - exclusive rights of authors 46–50: fair use or fair dealing 47; free use 46–7; minor exceptions 47; non-voluntary licences 46, 47–8; three-step test 48–50
 - pre-existing works and phonograms 24
 - principles governing eligibility for copyright protection 41–3: automatic protection 42–3; idea/expression dichotomy 41; independence of protection 43; originality 41–2
 - purpose of protection of copyright and related rights 2–3, 37
 - related rights *see* related rights
 - relationship of TRIPS Agreement with Berne and Rome Conventions 37–9
 - rights to be conferred on authors 43–6: economic rights 43; general 43; moral rights 11, 38, 43, 46; rental right 44; reproduction right 44; rights of public performance, broadcasting and communication to public 44–5;

- rights of translation and adaptation 45–6
- subject matter to be protected
 - 39–43: certain other categories of works 41; derivative works 40; literary and artistic works *see* literary and artistic works; principles governing eligibility for copyright protection 41–3
 - translations and adaptations 40, 45–6
 - TRIPS provisions on copyright
 - 39–51: permissible limitations and exceptions 46–50; rights to be conferred on authors 43–6; subject matter to be protected 39–43; term of protection 48–51
 - what are copyright and related rights? 36–7
- Copyright Treaty, WIPO 38–9, 213–14
- counterfeiting, copying and piracy
 - border measures 146–7
 - concerns about counterfeiting and piracy 135–6
 - copyright piracy 51, 135–6, 137, 144, 146–7, 151: definition of 147
 - counterfeiting 118–20, 135–6
 - international trade in 137
 - trademark counterfeiting 137, 143, 144, 146–7, 150, 151: definition of 146–7
 - see also* enforcement
- criminal procedures and penalties
 - see under* enforcement
- current TRIPS issues 30, 33, 196–219
- current issues 196, 200–14:
 - electronic commerce 197, 213–14; geographical indications, 196, 200–5; LDCs 197, 210–12; non-violation complaints 197, 210; transfer of technology 197, 212–13; the ‘triplets’: biotech, traditional knowledge and biodiversity 196–7, 205–10
- geographical indications 196, 200–5:
 - background 200–2; higher-level protection for non wine/spirits products 198–200, 201, 204–5; notification/registration system
 - for GIs for wines/spirits 198, 200–1, 202–3
- mandates for work on the TRIPS issues 198–200: bases for ongoing work 198; debate over nature of mandates 199–200, 204; whether issues should be linked or handled separately 200
- non-violation complaints 197, 210:
 - moratorium on application of complaints 210; TRIPS Council examining scope and modalities 197, 210
- transfer of technology 197, 212–13, 218–19
- the ‘triplets’: biotech, traditional knowledge and biodiversity 196–7, 205–10:
 - Article 27.3(b) review 205–6; relationship between the TRIPS Agreement and the CBD 207–10; traditional knowledge and folklore 206–7
- TRIPS Agreement in other
 - multilateral policy processes 214–19: debates on issues outside the WTO 196–7; development issues 217–18; environmental agreements 218–19; human rights 216–17; IP and competition policy 134, 218; public health beyond the WTO 214–15
- databases 40
- Denmark and Sweden – Measures Affecting the Enforcement of IPRs* 176
- derivative works 40
- developed countries
 - assisting developing countries:
 - current issues 212–13; monitoring obligations on technology transfer 212–13; technical cooperation 25–6, 197, 211–12
 - assisting LDCs 23:
 - technical cooperation 25–6, 211–12; by technology transfer 24–5, 182, 197, 211
- copyright 38
- transition periods 21

- developing countries
 - anti-competitive effects of IPRs, concerns about 130
 - assistance and technical cooperation from developed countries 25–6, 197, 211–13
 - assistance from WIPO/WTO 217: technical cooperation and assistance 35; translation of laws/regulations 34
 - compulsory licensing: for educational purposes 47–8; for medicines *see under* TRIPS and public health
 - ‘outstanding implementation issues’ 104–5
 - Paragraph 6 System: generic producers 184, 190; re-exporting pharmaceutical products and regional trade agreements 190; review of System’s functioning 194
 - see also* Paragraph 6 System
 - textile design protection 119
 - transition periods 21–2
 - TRIPS Agreement and development issues 217–18
 - TRIPS negotiations 6–7: extending ‘higher level of protection’ beyond wines and spirits 204; outstanding implementation issues 199
- dispute prevention and settlement 12, 155–78
 - clarifications of TRIPS Agreement in dispute settlement 28
 - description of the dispute settlement procedures 161–5: adoption of Panel/Appellate report(s) and implementation 164–5; Appellate review 163–4; consultations between the parties 161–3; Panel examination 163; retaliation and cross-retaliation 165
 - dispute prevention and the review of national implementing legislation 156–7
 - see also under* TRIPS Council
 - dispute settlement 157–61
 - general 157–60
 - grounds for complaints 158–60
 - Member governments initiating dispute settlement procedures 157, 158
 - non-violation and situation complaints 159–61
 - private parties 158
 - third parties 158, 162–3
 - violation complaints 159
- Dispute Settlement Body (DSB) 8–9, 159, 163, 164–5
 - dispute settlement cases in the area of TRIPS 167–76
 - DSU 8–9, 157–8
 - exhaustion of IPRs 20, 182
 - experience in the area of TRIPS 166–76
 - general statements 181
 - guide to resources 176–8
 - important feature of TRIPS Agreement 155
 - non-violation and situation complaints 159–61:
 - current issues 197, 210;
 - moratorium on application of complaints 8, 159, 160–1, 210; TRIPS Council examining scope and modalities 160–1, 197, 210
 - and objectives/principles of TRIPS Agreement 13
- distinctive signs 57–9
 - see also* geographical indications (GIs); trademarks
- Doha Declaration on the TRIPS Agreement and Public Health 20, 33, 179–80, 194, 195, 196, 213, 214–15, 216–17
 - clarification of flexibilities 181–3
 - compulsory licences 111, 112, 182
 - concerns that triggered the discussions 180
 - emergency situations 112, 182
 - follow-up 183
 - general statements 181
 - interpreting TRIPS Agreement 13

- LDCs and pharmaceutical product
 - patents 22, 211
 - scope 180–1
 - transfer of technology 182
- Doha Development Agenda 8–9, 198
- Doha Ministerial Declaration 20, 30, 33, 90–1, 104–5
 - current TRIPS issues 198–200, 201–2, 204, 205, 206
- EC – Bananas III* 165
- EC – Trademarks and Geographical Indications* 72, 73–5, 176
- electronic commerce 197, 213–14
 - complexity of IP issues 213
 - no customs duties on electronic transmissions 213
- enforcement 12, 135–54, 197
 - application of basic principles 137–8
 - background 135–6
 - border measures *see* border measures
 - civil and administrative procedures and remedies 140–3: decisions on the merits of a case 139, 141; evidence 139, 141, 144, 148; fair and equitable procedures 139, 140–1; indemnification of the defendant 143, 145, 149; remedies 141–3; right of appeal 140; right of information 143
 - cooperation and contact points 153–4: contact points 154; cooperation between members 153
 - criminal procedures and penalties 151–3: remedies 151–3; scope and coverage 151
 - general obligations 138–40: basic principles of due process 139–40
 - provisional measures 144–5: procedural requirements and safeguards against abuse 144–5; why and what type of provisional measures 144
 - relationship of TRIPS Agreement with other pre-existing treaty provisions 136, 138
 - remedies 141–3: damages 142; injunctions 141–2, 144; other remedies and orders for destruction/removal 142–3
 - what is IPR enforcement? 136–7: effects of infringement 136
- environmental agreements 218–19
- European Communities and Portugal – Enforcement of IPRs in Motion Pictures and Television Programs* 176
- exclusive rights 1, 166
 - developing countries/economies in transition and exclusive marketing rights 22
 - LDCs and marketing rights 22, 28–9, 183
- exhaustion 18–20, 182–3
 - international exhaustion regimes 19, 182
 - layout-designs of integrated circuits 125
 - national exhaustion regimes 19, 182
 - regional exhaustion regimes 19
- free riding *see under* unfair competition
- General Agreement on Tariffs and Trade (GATT)
 - GATT (1947) 4, 5
 - GATT (1994) 5, 156, 157–8
 - Tokyo Round 5–6, 135–6
 - Uruguay Round 4–5, 198:
 - counterfeit goods 135–6, 137;
 - TRIPS negotiations 6–8, 13, 19–20, 37, 56–7, 76–7, 88, 130
- general provisions and basic principles of TRIPS Agreement 12–20
 - beneficiaries 14–16
 - exhaustion *see* exhaustion
 - minimum standards agreement 13–14
 - national/MFN treatment *see* national treatment and MFN treatment
 - objectives and principles 12–13
- generic producers 184, 190
- geographical indications (GIs) 2, 76–94

- geographical indications (GIs) (cont.)
 additional protection for GIs for
 wines and spirits (Article 23)
 88–91: homonymous GIs for
 wines (Article 23.3) 90;
 negotiation of a multilateral
 register of GIs for wines (Article
 23.4) 90–1; protection against use
 by others (Article 23.1) 89;
 protection against use as a
 trademark (Article 23.2) 90
 background 76–7
 collective marks 59–60, 83–4, 85
 current issues 196, 200–5:
 background 200–2; higher-level
 protection for products
 other than wine/spirits
 198–201, 204–5; notification/
 registration system for GIs
 for wines/spirits 198, 200–1,
 202–3
 elements of Article 22.1 definition
 78–80: function of identification
 79; geographical origin identified
 by the GI 80; ‘it is a sign’ 79;
 quality, reputation or other
 characteristics due to geographical
 origin 80; subject matter of
 identification 79
 exceptions 91–3: generic terms
 (Article 24.6) 91–2; GIs not
 protected in country of origin/
 fallen into disuse (Article 24.9) 93;
 prior trademark rights (Article
 24.5) 92; prior use of the GI
 (Article 24.4) 92–3; time limit to
 challenge trademarks under
 Articles 22.3 and 23.2 (Article
 24.7) 93; use by a person of his
 name (Article 24.8) 93
 GIs, indications of source, rules and
 appellations of origin, trademarks
 80–4: geographical indications
 and appellations of origin 83;
 geographical indications and
 indications of source 83;
 geographical indications and rules
 of origin 83; geographical
 indications, trademarks and
 certification marks 83–4
 indefinite protection 2
 international negotiations, review
 and standstill 94: international
 negotiations (Article 24.1) 94;
 standstill (Article 24.3) 94
 notification/registration system for
 GIs for wines/spirits 198, 200–1,
 202–3
 and Paris Convention 76:
 relationship of TRIPS Agreement
 with pre-existing provisions 77–8
 protection and rights conferred for
 geographical indications for all
 goods 85–8: factually true but
 misleading use (Article 22.4)
 87–8; protection against use by
 others (Article 22.2) 85–7;
 protection against uses as a
 trademark (Article 22.3) 87;
 ‘unfair competition’ 86; ‘use
 which misleads the public’ 85–6
 purposes of GI protection 4
 subject matter to be protected
 78–84: elements of Article 22.1
 definition 78–80; examples of GIs
 and logos or symbols 82; GIs,
 indications of source, rules
 and appellations of origin,
 trademarks 80–4; right holders
 and eligible users 84; TRIPS
 definition of geographical
 indications 76, 78
 trademarks 65–6
 TRIPS provisions on geographical
 indications 78–94: conditions for
 getting protection 84–5;
 exceptions and international
 negotiations, review and
 standstill 91–4; protection and
 rights conferred 85–91;
 subject matter to be protected
 78–84
 Global Strategy and Plan of Action
 on Public Health, Innovation
 and Intellectual Property
 215

- Handbook on the WTO Dispute Settlement System* (WTO Secretariat) 178
- health
 and human rights 216
 public health *see* TRIPS Agreement and public health
- High Commissioner for Human Rights 216
- historical and legal background to TRIPS 4–8
 TRIPS negotiations 6–8
- human rights and the TRIPS Agreement 216–17
 health 216
 moral and material interest in creative work 216
 report on impact of TRIPS on human rights 216
- Human Rights Commission 216
- Human Rights Council 216
- India – Patents I and II* 22, 166
- indigenous peoples
 human rights 216
 traditional knowledge and folklore *see* traditional knowledge and folklore
- industrial designs 2, 41, 116–21
 general formalities for protection of industrial designs 118:
 formalities 118; priority 118
 length of industrial design protection 120–1
 permitted exceptions to rights conferred 120
 rights to be conferred on owners of a protected industrial design 119–20: protection not including forfeiture 120
 what has to be eligible for protection as an industrial design 117–19:
 general formalities for protection of industrial designs 118;
 protection for new or original designs and independently created designs 117; provisions regarding formalities for industrial designs in textile sector 118–19
 what is an industrial design? 117
- industrial property 2, 41
 beneficiaries 14
 distinctive signs *see* geographical indications (GIs); trademarks
 inventions/new technologies *see* industrial designs;
 patents
- innovation, recognizing and stimulating 2–3, 13, 96
- institutional arrangements 26–35
 Council for TRIPS *see* TRIPS Council
 WTO Agreement *see under* World Trade Organization (WTO)
- integrated circuits *see* layout-designs (topographies) of integrated circuits
- Intellectual Property in Respect of Integrated Circuits (IPIC) Treaty 11, 20, 121
 beneficiaries 14
 layout-designs *see* layout-designs (topographies) of integrated circuits
 national treatment and MFN treatment 16, 17
- intellectual property rights (IPRs) 1–2
 acquisition and maintenance of IPRs 20–1
 copyright *see* copyright and related rights
 enforcement *see* enforcement
 exclusive rights *see* exclusive rights
 industrial property *see* industrial property
 nature of 1
 territorial rights 1, 56
- intellectual property (IP) system and competition policy 134, 218
- intellectual property rights *see* intellectual property rights (IPRs)
 public policy tool 2–4

- International Covenant on Economic, Social and Cultural Rights 216
- International Union for the Protection of New Plant Varieties (UPOV Convention) 104
- Internet 213–14
WIPO Internet treaties 38–9
- Japan – Measures Concerning Sound Recordings* 24, 166
- layout-designs (topographies) of integrated circuits 121–6
length of layout-design protection 125–6
limitations and exceptions to rights conferred 124–5: compulsory licensing 125; exhaustion 125; innocent infringement 124–5; parallel creation 124; reproduction for private or research purposes 124
rights to be conferred on owners of protected layout-designs 123–4
what has to be eligible for protection as a layout-design of an integrated circuit? 122–3: originality and not commonplace requirements 122–3
what is a layout-design (topography) of an integrated circuit? 121–2: definition of layout-design 122
- least-developed countries (LDCs)
assistance and technology transfer from developed countries 23: technical cooperation 25–6, 211–12; technology transfer 24–5, 182, 197, 211, 212–13
assistance and technical cooperation from WIPO/WTO 35, 212, 217: Joint Initiative on Technical Cooperation for Least-Developed Countries 212
compulsory licensing: current TRIPS issues 197, 210–12
helping LDCs to implement TRIPS 211–12
- Paragraph 6 System 187: deemed insufficient or no manufacturing capacity 188; re-exporting and regional trade agreements 190
transition periods 22–3, 211: extension for pharmaceutical products 22, 28–9, 183, 211; waiver of obligations concerning exclusive marketing rights 22, 28–9, 183
see also developing countries
licences *see* anti-competitive practices in contractual licences; control of; compulsory licences
literary and artistic works 39–40
computer programs 39–40
databases 40
- Madrid Agreement and Madrid Protocol 18, 85
- Marrakesh Agreement 5, 8, 52, 157–8
- medicines and pharmaceutical products *see under* TRIPS and public health
- MFN treatment *see* national treatment and MFN treatment
- migration 204
- minimum standards agreement 13–14
- moral rights 11, 38, 46
and human rights 216
- Multilateral Trade Organization 8
- national law 13–14, 30
competition law and policy *see* competition law and policy
copyright 36, 41: limitations and exceptions *see under* copyright and related rights
differences in national legal systems to be taken into account 136, 137–8
enforcement 136, 137–40
GIs 77, 79, 84–5, 86–7, 88, 94
industrial designs 118, 120
layout-designs of integrated circuits 122–3

- monitoring of Members' compliance with obligations *see under* TRIPS Council
- notifications *see* notifications
- official texts 41
- patents 95, 101, 103–4, 205–6
- public health 179–80, 181, 182, 185–6, 191
- publication of laws, regulations and judicial decisions 156
- related rights 51
- review of national laws and regulations *see under* TRIPS Council
- supply of information on laws and judicial decisions to other Members 157
- trademarks 54, 59, 60, 62–3, 66, 71: permissible exceptions *see under* trademarks
- national treatment and MFN treatment 16–18, 20, 21
 - enforcement procedures and remedies 138
 - GIs 76
 - MFN 16, 17–18, 31: exceptions, 17–18
 - national treatment 16–17, 31: exceptions 16, 17, 18
- nationality 14, 15
- 'neighbouring rights' *see under* copyright and related rights
- non-discrimination *see* national treatment and MFN treatment
- non-violation *see under* dispute prevention and settlement
- notifications 30–2, 156
 - cooperation between WTO and WIPO 34
 - Paragraph 6 System 187–8
 - review of national laws and regulations 32
- objectives and principles of TRIPS Agreement 12–13
- ordre public* *see under* security interests
- Pakistan – Patent Protection for Pharmaceutical and Agricultural Chemical Products* 166
- Paragraph 6 System 28–9, 180, 184–94
 - Chairman's statement 190–1
 - description of the System 186–90:
 - avoidance of double remuneration 189; eligible importing members 187; exporting members 187; notifications 187–8; safeguards against diversion 188–9; scope and coverage 186; special case of regional trade agreements 190
 - the issue 184
 - national implementing legislation 191
 - Paragraph 6 System in a nutshell 192–3
 - review of the Paragraph 6 System's functioning 193–4
 - the solution: establishment of the Paragraph 6 System 183, 184–6
 - use of the Paragraph 6 System 193
- parallel imports 148, 182 *see also under* exhaustion.
- Paris Convention 11, 20, 37–8
 - beneficiaries 14
 - enforcement 136
 - GIs *see* geographical indications (GIs)
 - national emblem protection 34–5, 66
 - national treatment and MFN 16, 17
 - patents *see* patents
 - trademarks *see* trademarks
- and TRIPS Agreement 11, 14, 56–7, 77–8, 96: TRIPS Agreement as 'Paris-plus' 11
- unfair competition 126
- patents 2, 95–115
 - conditions inventions must meet to be eligible for patent protection 98–105: 'best mode' requirement 100–1; disclosure/'teaching function' 100–1; formal conditions 95–6, 98, 101; non-discrimination 101–2, 107–8, 111; novelty, inventive step and

- industrial applicability 98–100;
 - permissible exclusions from patentable subject matter 102–4;
 - priority 100; relationship between TRIPS Agreement/CBD/traditional knowledge 104–5;
 - review of Article 27.3(b) 104, 205–6; substantive conditions 98–101
- current issues 196–7, 205–10: Article 27.3(b) review 205–6; relationship between the TRIPS Agreement and the CBD 207–10; traditional knowledge and folklore 206–7
- dependent patents 114
- developing countries and transitional arrangements 21–2
- exceptions that WTO Members can allow to patent rights 108–14: compulsory licences *see under* compulsory licences; limited exceptions 108–11
- fees 114
- LDCs and transitional arrangements 22–3, 183
- medicines and pharmaceutical products *see* TRIPS and public health
- minimum period of protection to be accorded 114–15: term of protection 2, 114–15
- novelty, inventive step and industrial applicability 98–100: industrial applicability/utility 99–100, 103; inventive step/non-obviousness 99; novelty 98–9
- permissible exclusions from patentable subject matter 102–4: methods of treatment 103; *ordre public* or morality 102–3; plants and animals 103–4, 205–6
- prior art 22, 98, 99, 100
- process patents, rights to be conferred on owners of 105–7: burden of proof 106–7; rights of process patent owners 105–7
- purposes of patent protection 3–4, 13, 96, 101
- relationship of TRIPS Agreement with Paris Convention pre-existing provisions 96
- revocation 115
- rights to be conferred on patent owners 105–8: assignment, transfer and licensing of patents 108; non-discrimination with respect to the availability and enjoyment of patent rights 101–2, 107–8, 111; other rights of patent owners 108; rights to be conferred on owners of process patents 105–7; rights to be conferred on owners of product patents 105
- subject matter to be protected by patents 97–105: areas in which inventions must be eligible for protection 97–8; conditions inventions must meet to be eligible for patent protection 98–105
- three-step test 108–11
- traditional knowledge and folklore 104–5, 206–7
- TRIPS provisions on patents 97–115: exceptions that WTO Members can allow to patent rights 108–14; minimum period of protection to be accorded 114–15; rights to be conferred on patent owners 105–8; subject matter to be protected by patents 97–105
- United States patent policy and competition 131
- what are patents? 95–6: meaning of ‘invention’ 95 *see also* industrial designs
- Performances and Phonograms Treaty, WIPO 38–9, 213–14
- performers *see under* related rights
- pharmaceutical products *see under* TRIPS and public health
- phonogram producers *see under* related rights

- piracy *see under* counterfeiting,
copying and piracy
- plant new varieties, protection for 103–4
review of Article 27.3(b) 104
- prior art 22, 98, 99, 100
- public goods 3
- public health *see* TRIPS and public
health
- related rights 2, 36–7
broadcasting organizations 15–16,
51, 52–3: national
treatment 17
- performers 15, 51, 52, 53: national
treatment 17; protection of
existing subject matter 24
- phonogram producers 15, 24, 51, 52,
53: national treatment 17;
protection of existing subject
matter 24
- purpose of protection of copyright
and related rights 2–3, 37
and Rome Convention 11, 36, 38,
51, 53
- TRIPS provisions on related rights
51–3: limitations and exceptions
53; relevant rights 52–3; term of
protection 53
see also copyright and related rights
- Rome Convention 11, 31–2
broadcasting organizations 15–16, 38
national treatment and MFN
treatment 16, 17
- performers 15, 38
- phonogram producers 15, 38
- related rights *see under* related rights
and TRIPS Agreement 14, 37–9
- security interests 26
compulsory licensing 112
ordre public 102–3
- spirits, protection for
see under geographical indications
(GIs)
- technology transfer and dissemination
CBD 218–19
current issues 197, 212–13, 218–19
- Doha Declaration 182
- electronic commerce 213–14
- LDCs *see under* least-developed
countries (LDCs)
- objective of IP protection 3–4,
13, 24
- transitional arrangements 24–5
- textile designs 118–19, 136
- trade names 59
- Trade Negotiations Committee 8–9,
199, 200, 203, 204–5, 209
- Trade Policy Review Mechanism 8–9,
30, 158
- trade secrets *see under* undisclosed
information
- trademarks 2, 54–75
conditions for registration as a
trademark 60–6: priority 60;
publishing requirement 60–1;
reasons which *may* constitute
grounds for refusal of registrations
63–5; reasons which *may not*
constitute grounds for refusal of
registrations 61–3; reasons which
must constitute grounds for
refusal of registrations 63–6
- indefinite protection 2, 75
- on the Internet 213–14
- and Paris Convention 54, 55:
relationship of TRIPS Agreement
with pre-existing provisions 56–7
- permissible exceptions to trademark
rights 72–5: no compulsory
licensing permitted 73–5;
permitted exceptions 72
- purposes of trademark protection
4, 55
- reasons which *may not* constitute
grounds for refusal of registrations
61–3: actual use of the trademark
at time of registration 62–3;
failure to register in country of
origin/independence of protection
61; form of mark, if already
registered in other Member
countries 61–2; nature of goods/
services identified by the
trademark 62

- trademarks (cont.)
- reasons which *must* constitute
 - grounds for refusal of registrations 63–6: geographical indications 65–6, 87, 90; state emblems and official hallmarks 34–5, 66; well-known marks 63–5
 - rights to be conferred on trademark owners 66–71: general 66; licensing and assignment of rights 71; other requirements 71; rights with respect to well-known trademarks 69–71; trademark rights 66–9
 - role of trademarks 54–6: acquisition of trademark rights 56, 67; protection of service marks as well as trademarks for goods 55; trademarks with respect to certain products 55–6
 - subject matter of trademark
 - protection 57–60: collective marks and certification marks 59–60, 83–4, 85; distinctive signs 57–9; trade names 59
 - trademark rights 66–9: ‘exclusive right to prevent’ 67; ‘likelihood of confusion’ 67–9; ‘using in the course of trade’ 67
 - TRIPS provisions on trademarks 57–75: permissible exceptions to trademark rights 72–5; rights to be conferred on trademark owners 66–71; subject matter to be protected and conditions for registration 57–66; term of protection 2, 75
 - well-known trademarks 63–5, 69–71
 - WIPO treaties on registration 56
- traditional knowledge and folklore
- CBD 104–5, 207–10
 - current issues 206–7, 218–19
 - patents 104–5, 206–7
 - WIPO Intergovernmental Committee on IP and Genetic Resources, Traditional Knowledge and Folklore 207
- transfer of technology *see* technology transfer and dissemination
- transitional arrangements and other matters 21–6
- protection of existing subject matter 23–4: additional requirements in respect of pre-existing works and phonograms 24; application of the rules 23–4
 - security exceptions 26
 - technical cooperation 25–6
 - transfer of technology
 - see under* technology transfer and dissemination
- transition periods 8, 21–3: acceding countries 23; developed countries and non-discrimination 21; developing countries and economies in transition 21–2; LDCs 22–3, 183, 211
- Treaty on Intellectual Property in Respect of Integrated Circuits *see* IPIC Treaty
- TRIPS Agreement
- amending 29
 - and other Conventions *see* Berne Convention; Convention on Biological Diversity (CBD); Paris Convention; Rome Convention
 - current TRIPS issues *see* current TRIPS issues
 - and development issues 217–18
 - dispute prevention and settlement *see* dispute prevention and settlement
 - enforcement *see* enforcement and environmental agreements 218–19
 - exceptions to national treatment and MFN treatment 16, 17
 - general provisions *see* general provisions and basic principles of TRIPS Agreement
 - historical and legal background to TRIPS 4–8
 - and human rights *see* human rights and the TRIPS Agreement

- intellectual property rights
 - see* intellectual property rights (IPRs)
- overview of TRIPS provisions 10–12
- place of TRIPS in the WTO 8–10:
 - TRIPS Agreement administered by TRIPS Council *see under* TRIPS Council; TRIPS Agreement
 - an integral part of the WTO Agreement 8
- and public health *see* TRIPS Agreement and public health
- and public health beyond the WTO 214–15
- transitional arrangements
 - see* transitional arrangements and other matters
- waiver of Members' obligations 28–9
- TRIPS Agreement and public health 179–95
 - access to medicines: the broader picture 179–80, 194–5
 - balancing incentives for research/development and accessibility to drugs 179–80, 181
 - 'Bolar' provision 109
 - compulsory licences for medicines/ pharmaceutical products 111:
 - emergency situations/public health crises 112, 182; export to countries lacking domestic manufacturing capacity 113, 184, 186, 188; Members free to decide grounds on which licences granted 182; Paragraph 6 System *see* Paragraph 6 System; right holder: adequate remuneration 113, 184–5, 186
 - Doha Declaration *see* Doha Declaration on the TRIPS Agreement and Public Health
 - TRIPS Agreement and public health beyond the WTO 214–15
 - undisclosed test and other data 128–9, 211
 - waiver decisions 22, 28–9, 183, 184–6: amendment to the TRIPS Agreement 29
- TRIPS Council 30–5
 - administering TRIPS Agreement 8, 9, 30, 156
 - consultations 32–3, 156
 - current TRIPS issues *see* current TRIPS issues
 - forum for further negotiation or review 33, 156
 - GIs 94
 - monitoring Members' compliance with obligations 156–7
 - non-violation and situation
 - complaints, scope and modalities of 160–1, 197, 210
 - notifications 30–2
 - public health, further work on 183:
 - review of the Paragraph 6 System's functioning 193–4
 - review of national laws and regulations 32, 156–7, 166
 - WTO and WIPO cooperation 23, 34–5
- Understanding on Rules and Procedures Governing the Settlement of Disputes (DSU)
 - see under* dispute prevention and settlement
- undisclosed information 126–9
 - trade secrets and confidential information 2, 126–8:
 - information that has commercial value because it is secret 127;
 - information made subject to reasonable steps to keep it secret 127; information that is secret 126–7; length of protection 2, 128;
 - protecting trade secrets in enforcement proceedings 141
- undisclosed test and other data 128–9, 211
- unfair competition 2, 77–8, 86–7, 126
 - free riding 55, 86–7
 - see also* anti-competitive practices in contractual licences 22, 26, 216; control of United Nations Committee on Economic, Social and Cultural Rights 216
- development issues 217–18

Cambridge University Press

978-1-107-02316-1 - A Handbook on the WTO Trips Agreement

Edited by Antony Taubman, Hannu Wager and Jayashree Watal

Index

[More information](#)

384

INDEX

- United Nations Conference on Trade and Development (UNCTAD) 212, 217, 218
- United Nations Department of Economic and Social Affairs (UNDESA) 218
- United Nations Development Programme (UNDP) 218
- United Nations Economic, Social and Cultural Organization (UNESCO) 216–17
- United Nations Environment Programme (UNEP) 218
- United Nations Framework Convention on Climate Change (UNFCCC) 219
- Universal Declaration on Human Rights and Bioethics, UNESCO 216–17
- Uruguay Round *see under* General Agreement on Tariffs and Trade (GATT)
- US – *Copyright Act* 38, 45, 47, 48–50, 176
summary of key Panel finding 50
- US – *Gambling* 165
- US – *Section 211 Omnibus Appropriations Act* 59, 62, 63–5, 176
- US – *Upland Cotton* 165
- utility models 2, 122
- waiver of Members' obligations under TRIPS Agreement 28–9
and public health *see under* TRIPS and public health
- well-known trademarks 63–5, 69–71
- wines and spirits, protection for *see under* geographical indications (GIs)
- World Health Organization (WHO) 26, 179, 214–15
cooperation with WIPO and WTO 195, 212
World Health Assembly 215
- World Intellectual Property Organization (WIPO) 11, 26, 95, 176
cooperation with WHO and WTO 195, 212
cooperation with WTO *see under* World Trade Organization (WTO)
- Copyright Treaty 38–9, 213–14
Development Agenda 217, 218
development issues 217
electronic commerce 213–14
Intergovernmental Committee on IP and Genetic Resources, Traditional Knowledge and Folklore 207
IP and competition policy current issues 218
Performances and Phonograms Treaty 38–9, 213–14
trademarks *see under* trademarks
- World Trade Organization (WTO) 4–5
assistance to developing countries 217
assistance to LDCs 217
cooperation with UNCTAD 212
cooperation with WHO and WIPO 195, 212
cooperation with WIPO 34–5, 212, 217: Joint Initiative on Technical Cooperation for Least-Developed Countries 212
Council for Trade in Goods 9
Council for Trade in Services 9
Dispute Settlement Body (DSB) 8–9
forum for negotiations among Members 33
GATT *see* General Agreement on Tariffs and Trade (GATT)
General Council 8–9, 160–1:
decision-making procedures 27–9
IP and competition policy current issues 134, 218
Ministerial Conference 8, 160–1:
decision-making procedures 27–9
non-discrimination as the key principle 16

Cambridge University Press

978-1-107-02316-1 - A Handbook on the WTO Trips Agreement

Edited by Antony Taubman, Hannu Wager and Jayashree Watal

Index

[More information](#)

INDEX

385

- Trade Negotiations Committee 8–9,
199, 200, 203, 204–5, 209
- TRIPS *see* TRIPS Agreement
- WTO Agreement 5, 8, 10, 26–9,
157–8: amending the multilateral
trade agreements 29, 185–6;
authoritative interpretations 27–8;
decision-making procedures 27–9;
scope of WTO Agreement 26;
Trade Policy Review Mechanism
8–9, 30, 158; voting and consensus
27; waiver of Members’
obligations 28–9
WTO Analytical Index 178