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Colin Lawson and Robin Stowell  
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THE CAMBRIDGE HISTORY OF  
MUSICAL PERFORMANCE

The intricacies and challenges of musical performance have recently attracted the attention of writers and scholars to a greater extent than ever before. Research into the performer's experience has begun to explore such areas as practice techniques, performance anxiety and memorisation, as well as many other professional issues. Historical performance practice has been the subject of lively debate way beyond academic circles, mirroring its high profile in the recording studio and the concert hall. Reflecting the strong ongoing interest in the role of performers and performance, this *History* brings together research from leading scholars and historians, and, importantly, features contributions from accomplished performers, whose practical experiences give the volume a unique vitality. Moving the focus away from the composers and onto the musicians responsible for bringing the music to life, the *History* presents a fresh, integrated and innovative perspective on performance history and practice, from the earliest times to today.

COLIN LAWSON is Director of the Royal College of Music, London. He has an international profile as a period clarinettist and has played principal in most of Britain's leading period orchestras, notably The Hanover Band, the English Concert and the London Classical Players, with whom he has recorded extensively and toured worldwide. He has published widely, and is co-editor, with Robin Stowell, of a series of Cambridge Handbooks to the Historical Performance of Music, for which he co-authored an introductory volume and contributed a book on the early clarinet.

ROBIN STOWELL is Professor of Music and Director of the Centre for Research into Historically Informed Performance at Cardiff University. He is also a violinist/period violinist, and he has performed, broadcast and recorded with the Academy of Ancient Music and other period ensembles. He is the author of *Violin Technique and Performance Practice in the Late Eighteenth and Early Nineteenth Centuries* (1985), and his more recent major publications include *The Cambridge Companion to the String Quartet* (2003) and *The Early Violin and Viola* (2001).

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\*

COLIN LAWSON

and

ROBIN STOWELL



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 978-0-521-89611-5 - The Cambridge History of Musical Performance  
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 Frontmatter  
[More information](#)

## Contents

*List of illustrations* ix  
*List of musical examples* x  
*Notes on contributors* xiii  
*Editors' preface* xxi

### PART I PERFORMANCE THROUGH HISTORY 1

1 · Performance today 3  
 NICHOLAS KENYON

2 · Political process, social structure and musical  
 performance in Europe since 1450 35  
 WILLIAM WEBER

3 · The evidence 63  
 ROBIN STOWELL

4 · The performer and the composer 105  
 COREY JAMASON

5 · The teaching of performance 135  
 NATASHA LOGES AND COLIN LAWSON

6 · Music and musical performance: histories in disjunction? 169  
 DAVID WRIGHT

### PART II PRE-RENAISSANCE PERFORMANCE 207

7 · The Ancient World 209  
 ELEONORA ROCCONI

8 · Performance before c. 1430: an overview 231  
 JOHN HAINES

9 · Vocal performance before c. 1430 248

JEREMY SUMMERLY

10 · Instrumental performance before c. 1430 261

STEFANO MENGGOZZI

11 · Case study: Guillaume de Machaut, ballade 34, 'Quant Theseus / Ne  
 quier veoir' 279

JOHN HAINES

PART III PERFORMANCE IN THE RENAISSANCE  
 (c. 1430–1600) 295

12 · Performance in the Renaissance: an overview 297

JON BANKS

13 · Vocal performance in the Renaissance 318

TIMOTHY J. MCGEE

14 · Instrumental performance in the Renaissance 335

KEITH POLK

15 · Case study: Seville Cathedral's music in performance,  
 1549–1599 353

OWEN REES

PART IV PERFORMANCE IN THE SEVENTEENTH  
 CENTURY 375

16 · Performance in the seventeenth century: an overview 377

TIM CARTER

17 · Vocal performance in the seventeenth century 398

RICHARD WISTREICH

18 · Instrumental performance in the seventeenth century 421

DAVID PONSFORD

19 · Case study: Monteverdi, Vespers (1610) 448

JONATHAN P. WAINWRIGHT

PART V PERFORMANCE IN THE ‘LONG  
 EIGHTEENTH CENTURY’ 471

20 · Performance in the ‘long eighteenth century’: an overview 473

SIMON McVEIGH

21 · Vocal performance in the ‘long eighteenth century’ 506

JOHN POTTER

22 · Instrumental performance in the ‘long eighteenth century’ 527

PETER WALLS

23 · Case study: Mozart, Symphonies in E flat major K543, G minor K550  
 and C major K551 552

COLIN LAWSON

PART VI PERFORMANCE IN THE NINETEENTH  
 CENTURY 575

24 · Performance in the nineteenth century: an overview 577

MICHAEL MUSGRAVE

25 · Vocal performance in the nineteenth century 611

WILL CRUTCHFIELD

26 · Instrumental performance in the nineteenth century 643

IAN PACE

27 · Case study: Richard Wagner, *Tristan und Isolde* 696

ROBIN STOWELL

PART VII THE TWENTIETH CENTURY AND  
 BEYOND 723

28 · Musical performance in the twentieth century and beyond: an  
 overview 725

STEPHEN COTTRELL

29 · Vocal performance in the twentieth century and beyond 752

JANE MANNING AND ANTHONY PAYNE

Cambridge University Press  
978-0-521-89611-5 - The Cambridge History of Musical Performance  
Colin Lawson and Robin Stowell  
Frontmatter  
[More information](#)

viii	Contents
30	· Instrumental performance in the twentieth century and beyond 778
	ROGER HEATON
31	· Case study: Karlheinz Stockhausen, <i>Gruppen für drei Orchester</i> 798
	WILLIAM MIVAL
	PART VIII 815
32	· The future? 817
	COLIN LAWSON AND ROBIN STOWELL
	<i>Select bibliography</i> 834
	<i>Index</i> 894



## *Illustrations*

- 5.1a-c. Illustrations of the façade, the concert hall and stairwell of the building Hochschule für Musik und Theater ‘Felix Mendelssohn Bartholdy’, Leipzig. Bibliothek/Archiv, A, II. 3/1: from the prospectus *Das Königliche Konservatorium der Musik zu Leipzig*, 1900 *page* 155
- 8.1. Conventional view of medieval music repertoires 232
- 8.2. Revised view of medieval music repertoires 234
- 8.3. Standard medieval repertoires revised 234
- 10.1. Country scene with players of tabor and pipe, and gittern. From Lyon Municipal Library, MS 27, fol. 13r (fourteenth century) (Photo, Lyon Municipal Library, Didier Nicole) 266
- 10.2. Giovanni del Biondo, *Musical angels* (fourteenth century), showing two players of organette and fiddle (courtesy of the National Museums, Liverpool, Walker Art Gallery) 273
- 10.3. *Glorification of St Francis* (attributed to Antonio Vite, School of Giotto); detail showing a wind ensemble (two shawms and bagpipe), organistrum and psaltery (fourteenth century). Church of St Francesco, Pistoia, Italy 277
- 15.1. Medallion on the choir stand in the *coro* of Seville Cathedral, showing a group of singers 362
- 15.2. Medallion on the choir stand in the *coro* of Seville Cathedral, showing the *ministriles* 364
- 22.1. Haydn instrumental works – percentage distribution by key 538
- 22.2. Mozart instrumental music – percentage distribution by key 538
- 22.3. Chopin distribution of works by key 539

## *Musical examples*

- 8.1. Opening of the lament for Charlemagne *page* 238
- 8.2. Opening of 'Bele Yolanz en ses chambres seoit'  
 (Paris, Bibliothèque Nationale de France,  
 f. fr. 20050, fol. 64v) 239
- 8.3. Prose of the Ass from the Feast of Fools 243
- 8.4. Banquet song from *Renart le nouvel* 244
- 9.1. The opening of Léonin's *Viderunt omnes* transcribed  
 in measured rhythm (Florence, Biblioteca  
 Medicea-Laurenziana, Pluteus 29.1, fol. 99) 257
- 9.2. The opening of Léonin's *Viderunt omnes*  
 transcribed as free rhythm (Florence, Biblioteca  
 Medicea-Laurenziana, Pluteus 29.1 fol. 99) 258
- 10.1. *In seculum viellatoris* (Bamberg, Staatsbibliothek,  
 MS. Lit. 115, fol. 63v), opening. The example is  
 modelled after G. A. Anderson (ed.), *Compositions  
 of the Bamberg Manuscript* (American Institute of  
 Musicology, 1977), pp. 138–9 (used by permission  
 of the American Institute of Musicology,  
 Inc., Middleton, WI) 274
- 10.2. *T'Andernaken al op den Rijn* (Trent, Castello del  
 Buonconsiglio, MS. 87, fol. 198v–199r), opening.  
 The example is modelled after *T'Andernaken: Ten  
 Settings in Three, Four, and Five Parts*, ed. R.  
 Taruskin (Coconut Grove, FL: Ogni Sorte  
 Editions, 1981), pp. 9–10 276
- 11.1. Machaut's ballade 34, 'Quant Theseus / Ne  
 quier veoir', edited from the Reina Codex  
 (Paris, Bibliothèque Nationale de France, nouv.  
 acqu. fr. 6771, fols. 54v–55r) 288
- 15.1. Guerrero, *Duo Seraphim*, opening 373
- 18.1. Froberger, Toccata 3, bars 5–7 428
- 18.2. Froberger, Toccata 1, bars 1–3 429

- 18.3. Louis Couperin, opening of *Prélude à l'imitation de Mr. Froberger* 429
- 18.4. Buxtehude, *Praeludium* in G minor (ostinato theme, fugue subjects and time signatures) 430
- 22.1. Francesco Geminiani, *The Art of playing on the Violin* (London, 1751), Esempio VIII, section 20 546
- 25.1a. Schumann, 'Die beiden Grenadiere' 618
- 25.1b. Handel, *Judas Maccabeus*, 'Sound an Alarm' 618
- 25.2a. Bellini, *La sonnambula*, 'Ah, non credea mirarti' 619
- 25.2b. Verdi, *La traviata*, 'Pura siccome un angelo' 619
- 25.3. Verdi, *Ermani*, 'O sommo Carlo' 621
- 25.4a. Portugal (Portogallo), *La morte di Mitridate*, 'Teneri e cari affetti' 626
- 25.4b. Cimarosa, *Penelope*, 'Ah, serena il mesto ciglio' 626
- 25.5. Pacini, *Niobe, Didone*, 'Il soave e bel contento' 627
- 25.6. Mercadante, *Andronico*, 'Soave immagine' 627
- 25.7. De Garaudé, *Méthode de chant* 628
- 25.8. Appoggiatura-based ornamental patterns in Bellini, *Norma*, and Verdi, *Nabucco* 628
- 25.9. Zingarelli, *Giulietta e Romeo*, 'Sommo ciel' 629
- 25.10a-c. Nineteenth-century final cadenzas 630
- 25.11. Verdi, *Ermani*, 'Infelice, e tu credevi' 630
- 25.12. Bellini, *Norma*, three fragments from the role of Pollione as altered by Giovanni Mario 632
- 25.13. Facsimile from García the younger's *Treatise* 639
- 25.14. Haydn, 'She never told her love' (Hob. xxvIa:34) 641
- 26.1. Beethoven, String Quartet in B flat Op. 130, opening of fourth movement 646
- 26.2. Schubert, Symphony No. 9 in C D944, finale 647

Cambridge University Press

978-0-521-89611-5 - The Cambridge History of Musical Performance

Colin Lawson and Robin Stowell

Frontmatter

[More information](#)

- 26.3a. Schubert, String Quartet in G D887, first movement 649
- 26.3b. Schubert, Impromptu D899 No. 2 649
- 26.4a. Paganini, Violin Concerto No. 1 in E flat major, opening 650
- 26.4b. Paganini, Violin Concerto No. 1 in E flat major, opening, as played 650
- 26.5. Portamento as suggested in treatises of Habeneck and de Bériot 651
- 26.6. Liszt, *Grande Fantaisie de Bravoure sur la Clochette de Paganini* 653
- 26.7. Chopin, Waltz in A flat Op. 69 No. 1, execution as described by Kleczyński 654
- 26.8. Berlioz, Overture to *King Lear*, bars 364–8 661
- 26.9. Mendelssohn, Violin Concerto Op. 64, Allegro molto appassionato. Edition of David, with implied portamenti notated 666
- 26.10. Schumann, Fantasy Op. 17 667
- 26.11. Robert Schumann, *Arabeske* Op. 18 668
- 26.12a. Liszt, Sonata in B minor, opening 673
- 26.12b. Liszt, Sonata in B minor, towards end of first ‘movement’ 674
- 26.12c. Liszt, Sonata in B minor, conclusion 674
- 26.13. Liszt, *Consolation* No. 3 677
- 26.14. César Franck, Violin Sonata, from fourth movement 679
- 26.15. Wagner, Overture to *Die Meistersinger von Nürnberg*, bars 89–90, 97–8 681
- 26.16. Bruckner, Symphony No. 7, Adagio. Funeral Music 684
- 26.17. Brahms, Intermezzo Op. 119 No. 1 685
- 26.18a. Brahms, *Ein deutsches Requiem*, opening of seventh movement, ‘Selig sind die Toten’ 686
- 26.18b. Brahms, String Quartet in C minor Op. 51 No. 1, third movement. 686
- 26.18c. Brahms, Violin Concerto, first movement, bars 347–52, 460–3, solo part 687
- 26.19. Rimsky-Korsakov, *Russian Easter Overture* 691

## Contributors

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TIM CARTER was born in Australia and studied in the United Kingdom. He is the author of the Cambridge Opera Handbook on Mozart's *Le nozze di Figaro* (1987), *Jacopo Peri (1561–1633): His Life and Works* (1989), *Music in Late Renaissance and Early Baroque Italy* (1992), *Music, Patronage and Printing in Late Renaissance Florence and Monteverdi and his Contemporaries* (both 2000), *Monteverdi's Musical Theatre* (2002), and *'Oklahoma!': The Making of an American Musical* (2007). In 2001 he moved from Royal Holloway, University of London, to become David G. Frey Distinguished Professor of Music at the University of North Carolina at Chapel Hill, where he was chair of the Music Department from 2004 to 2009. He is currently preparing an edition of Kurt Weill's first musical composed in the US, *Johnny Johnson* (to a play by Paul Green).

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JOHN HAINES is Professor at the University of Toronto, where he is cross-appointed at the Faculty of Music and Centre for Medieval Studies. His publications include *Eight Centuries of Troubadours and Trouvères: The Changing Identity of Medieval Music* (Cambridge University Press, 2004) and *Medieval Song in Romance Languages* (Cambridge University Press, 2010).

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[More information](#)

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COLIN LAWSON is Director of the Royal College of Music, London. He has an international profile as a period clarinettist and has played principal in most of Britain's leading period orchestras, notably The Hanover Band, the English Concert and the London Classical Players, with whom he has recorded extensively and toured worldwide. Described by *Westdeutsche Allgemeine Zeitung* as 'a brilliant, absolutely world-class player' he has appeared as a soloist in many international venues, including London's major concert halls and New York's Lincoln Center and Carnegie Hall. His recent discography includes two volumes of sonatas by Lefevre in their original scoring for C clarinet and cello. Colin has published widely, especially for Cambridge University Press. With Robin Stowell, he is co-editor of a series of Cambridge Handbooks to the Historical Performance of Music, for which he co-authored an introductory volume and contributed a book on the early clarinet.

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TIMOTHY J. MCGEE is a music historian whose areas of research include performance practices before 1700 and Canadian music. His latest book, *The Ceremonial Musicians of Late Medieval Florence* was published in 2009. Other publications include *The Sound of Medieval Song* (1998), *Medieval Instrumental Dances* (1989), *Medieval and Renaissance Music: A Performer's Guide* (1985) and *The Music of Canada* (1985). In 2002 he retired from the Faculty of Music at the University of Toronto. Currently



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 Colin Lawson and Robin Stowell  
 Frontmatter  
[More information](#)

xvi

Notes on contributors

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MICHAEL MUSGRAVE is Emeritus Professor of Music at the University of London, Visiting Research Fellow at the Royal College of Music, and serves on the graduate faculty of the Juilliard School, New York. His fields of research are nineteenth- and early twentieth-century German music, and English concert life in the same period. He is author and editor of six books on Brahms, including (with Bernard D. Sherman) *Performing Brahms. Early Evidence of Performance Style* (2003); this won the 2003 Association for Recorded Sound Collections Award for Best Research in Recorded Classical Music. His recent work includes a biography of Robert Schumann. He is author of *The Musical Life of the Crystal Palace* (1995), and editor of *George Grove, Music and Victorian Culture* (2003). He is also a member of the Trägerverein of the ‘Johannes Brahms Gesamtausgabe’, for which he has edited the two orchestral serenades Op. 11 and Op. 16 (2006); other editions include the Liebeslieder Waltzes of Brahms in different versions for Carus Verlag and Edition Peters, and Schumann’s Piano Concerto, also for Peters (2009). He received the Fellowship of the Royal College of Music in 2005.

IAN PACE is a pianist and musicologist specialising in areas of nineteenth-century performance practice, the post-1945 avant-garde, and issues of music and society. He is a Lecturer in Music at City University, London, and has previously taught at Dartington College of Arts and the Universities of Southampton and Cardiff. He has published many articles, and co-edited the volume *Uncommon Ground: The Music of Michael Finnissy* (2008). His book *Brahms Performance Practice: Documentary, Analytic and Interpretive Approaches* was published in 2010. As a pianist he has played in over twenty countries, recorded numerous CDs, and given world premieres of over 150 works, by composers including Richard Barrett, James Dillon, Pascal Dusapin, Brian Ferneyhough, Michael Finnissy, Horatiu Radulescu, Frederic Rzewski and Gerhard Stäbler. He is also writing a book on the history of instrumental performance between 1815 and 1890, as well as researching the emergence of the avant-garde in West Germany after 1945.

ANTHONY PAYNE, composer, was born in London and studied at Durham University. His commissions include three orchestral works for the BBC Proms, and works for the BBC Philharmonic, London Sinfonietta and Cheltenham Festival. His discography includes two complete CDs of chamber music. He has published books on Schoenberg, Frank Bridge and Elgar’s Third Symphony, the completion of which, in 1997, brought him international acclaim, as well as South Bank and Evening Standard awards. It has been performed by the Philadelphia and Chicago Symphony Orchestras, as well as all the major UK orchestras. There are now six CD recordings in existence. He has been Visiting Professor at Mills College, California and Composition Tutor at the New South Wales Conservatorium, and is a frequent broadcaster for the BBC. He holds Honorary Doctorates from the Universities of Birmingham, Durham and Kingston, and is a Fellow of the Royal College of Music.

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Frontmatter

[More information](#)

xviii

Notes on contributors

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 Colin Lawson and Robin Stowell  
 Frontmatter  
[More information](#)

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 Colin Lawson and Robin Stowell  
 Frontmatter  
[More information](#)

Dering's Latin Motets for 1–3 voices and continuo was published in 2008 in the series *Musica Britannica*.

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## *Editors' preface*

Over the past generation the intricacies and challenges of musical performance have attracted the attention of writers and scholars to a greater extent than ever before. The net has been cast widely, as research into the performer's experience has begun to explore such areas as practice techniques, performance anxiety and memorisation, as well as professional issues such as alcohol and drug abuse. There has even been greater recognition that a true understanding of musical excellence draws fruitfully upon such diverse fields as exercise science, psychophysiology, sports psychology, cognitive science and medicine. Furthermore, a relatively recent sub-discipline loosely embraced by the term 'performance studies' has circled around a large range of subject matter while not always fully engaging the attention of the executants themselves. At the same time, historical performance practice has been the subject of lively debate way beyond academic circles, mirroring its high profile in the recording studio and the concert hall. Histories of music nevertheless continue stubbornly to be based on composers and their achievements rather than on those musicians who have been responsible for bringing the music to life. Like Heinrich Schenker, many theorists have considered 'the mechanical realization of the work of art . . . superfluous', not least because 'a composition does not require a performance in order to exist'.<sup>1</sup> Whatever the reason, 'we have regarded performance as a totally secondary aspect of music, merely a clothing or a realisation of "the real thing", which are the written dots on the page'.<sup>2</sup> The complex relationship of score, musical work and performance demands a more flexible and detailed approach. 'For generations, we wrote the story of music as the history of compositions. But it is surely a mistake to think that music actually exists on library shelves in weighty collected editions. It is the history of performance that has shaped the course of music, and the history of

1 H. Schenker, *The Art of Performance*, ed. H. Esser, trans. I. S. Scott, Oxford University Press, 2000, p. 3.

2 N. Kenyon, 'Musical Tradition in a Time of Anxiety', Twelfth Leverhulme Memorial Lecture, The Leverhulme Trust (2005), p. 6.

performance has never been written. The history of repertoires and institutions and taste and reception is only beginning to be written.<sup>3</sup>

*The Cambridge History of Musical Performance* takes up the challenge, aspiring to be nothing less than the largest and most comprehensive history of musical performance to be published in the English language. Apart from Frederick Dorian's *The History of Music in Performance* (New York, 1942), a now outdated book and of limited value, it can reasonably be claimed that there has been no previous publication on the subject, and certainly none matching the scope of the content and scholarly expertise represented within its pages. A collaborative project by leading music scholars, historians and practitioners, it seeks to trace the rich panorama of performance history, conventions and practices from the Ancient World to the present day, aiming to provide not only an invaluable and up-to-date source of reference about the subject but also an appreciation of the historical interrelationship of style and interpretation during the various musical epochs.

The format of this volume aligns with others in the 'Cambridge History' series. It reflects the research and performance experience of an international authorship, presenting a synthetic historical overview of a fascinating and complex subject that demands distinctive treatment. Much of the book addresses performance and performance practices in specific periods of history from times ancient to modern. From the Middle Ages onwards, an overview chapter for each period lays the historical foundations on which the immediately succeeding chapters are built, devoted respectively to vocal and instrumental performance. Case studies outline the performance history and the performance practice issues involved in interpreting a particular work or works from six of the periods under scrutiny. By way of introduction to this investigation of chronological developments, the opening chapters address broader issues that are immediately relevant to the performance of music, focusing respectively upon 'Performance today', 'Political process, Social structure and musical performance in Europe since 1450', 'The evidence', 'The performer and the composer', 'The teaching of performance', and 'Music and musical performance: histories in disjunction?'

With classical music increasingly being challenged in our society by pop music, world musics and a vast range of alternative mass entertainment, advocacy is clearly an important aspect of any performer's work. Yet the digital age has brought new opportunities, as the ways in which musical performance is disseminated have become subject to radical change. Contributors discuss these technological developments along with other performance-related topics

<sup>3</sup> *Ibid.*



such as repertoires, audiences, criticism, careers, patronage and venues. An analysis of the complex and ever-changing relationship between composers and performers centres upon several areas of enquiry such as notational conventions, leadership roles and the cult of personality. Performance through the ages has been subject to a variety of didactic practices, often focusing on musical learning within institutions, whether church, court, university or conservatoire. An appropriate curriculum for performers beyond the immediate study of music has been promulgated in many different contexts, one eighteenth-century source prescribing for music students 'the whole of worldly wisdom, as well as mathematics, poetry, rhetoric and many languages'.<sup>4</sup> This idealism scarcely found long-term favour, though in more recent times theory and analysis have gradually been supplemented by a host of other performance-related subjects, such as acoustics, performance practice, psychology and world music. In addition, the increasing interaction of performers with their communities has brought into focus the benefits of music to disadvantaged members of society.

Recording has made musical performance durable, its natural evanescence captured and preserved by technology. No longer is music's sound necessarily inseparable from the actions of the performers creating it, with a perishability once described by Adam Smith (*The Wealth of Nations*, 1776) as 'leaving behind no tangible, vendible commodity'.<sup>5</sup> And social, economic and cultural change after Smith's day – with new expectations of a more leisured society for its edification and entertainment – meant that the virtuoso eventually became a social achiever, acclaimed for his skills and exploited for his marketability. This was a new situation compared with Smith's observation (1776) that being a professional performer was an essentially discreditable occupation, 'a sort of public prostitution'. Such change over so short a time underlines the advisability of examining concepts of canon, repertoire and music reception in relation to the ways in which musical performance has been marketed and distributed. Traditionally, music was listened to within some sort of social context, such as a concert or a liturgical setting. This experience generated a collective aesthetic response in groups of listeners, giving rise to a common understanding of what constituted a canon of exemplary works. But today's digital miniaturisation, and the unparalleled choice of recorded repertoire now available, puts consumers (with their own individual sensibilities and musical preferences) in complete control of what they listen to, when they listen and whether they listen to favourite moments or an entire work. Increasingly,

4 P. Poulin, 'A view of eighteenth-century musical life and training: Anton Stadler's "Musick Plan"', *Music & Letters*, 71 (1990), 215–24.

5 A. Smith, *The Wealth of Nations* (1776), ed. E. Cannan, New York, Random House, 2000, p. 361.

therefore, today's listening habits reflect little experience of music's original social environments and conventions. This moves us away from the old acceptance of a hierarchy of works to more contingent and less codified musical values – effectively a disruption that challenges established patterns and ideologies of reception, and questions the continuing relevance of the canon.

Given that musical performance takes place within the elusive medium of sound there is of course a sense in which much of its history before the invention of 'non-human storage of music'<sup>6</sup> has entirely disappeared. 'Time and again, therefore, earlier epochs characterize performance as something valid only for the present, or for veiled, mediated recollection; and though performance may have been reflected, represented and even to some extent "recorded" in literary or visual art, music in performance was not essentially open to scientific or even philosophical inspection.'<sup>7</sup> When Thomas Edison shouted 'Mary had a little lamb' into a phonograph in 1877, the musical world began to change; some twenty-five years later the recordings of Enrico Caruso acquired a mass market and the nature of the evidence for performance was revolutionised. Early recordings have recently attracted a great deal of attention, as have the attitudes and achievements of those pioneering musicians who embraced studio work with varying degrees of enthusiasm and reluctance during the first half of the twentieth century. Among pianists Wilhelm Kempff recognised the opportunity to achieve a perfect interpretation and over his long life became a studio master, exclusive to Deutsche Grammophon from 1935 until his death in 1991; yet on stage he was all too prone to disappoint, unable to reproduce the raptness or subtle variants of colour. During his lifetime, the art of recording and live performance became radically different in scope and intent.<sup>8</sup> By contrast, Artur Schnabel argued that recording went against the very nature of performance, by a dehumanising elimination of contact between player and listener. Though later convinced to record, he found the process difficult; 'I suffered agonies and was in a state of despair. . . . Everything was artificial – the light, the air, the sound – and it took me quite a long time to get the company to adjust some of their equipment to music.'<sup>9</sup> In Beethoven and Schubert an inspirational spontaneity (unfettered by insistence on accuracy) was his legacy.

6 J. Dunsby, in S. Sadie (ed.), *The New Grove Dictionary of Music and Musicians* 2nd edn, 29 vols., London, Macmillan, 2001, vol. 19, p. 346, art. 'Performance'.

7 *Ibid.*

8 N. Lebrecht, *Maestros, Masterpieces & Madness: the Secret Life & Shameful Death of the Classical Record Industry*, London, Allen Lane, 2007, p. 8.

9 A. Schnabel, *My Life and Music*, Gerrards Cross, Colin Smythe, 1970, p. 98, cited in Lebrecht, *Maestros, Masterpieces & Madness*, p. 9.



In charting what he regards as the death of the classical recording industry, Norman Lebrecht has observed that Karajan, Pavarotti and Solti are the top-selling classical artists (respectively 200, 100 and 50 million records). He claims that classical sales as a whole amount to somewhere between 1 and 1.3 billion records, a similar number to the Beatles. Lebrecht's all-time classical chart is topped by Solti's *Ring Cycle* (18 million), the Three Tenors (14 million) and I Musici's *Four Seasons* (9.5 million). He excludes non-classical or crossover submissions such as *Titanic* (25 million) and Charlotte Church (10 million).<sup>10</sup> It is worth recalling here that much of today's terminology had no place in earlier times, with 'crossover' itself an obvious example. The same caveat applies to words such as 'genius' or 'masterpiece'. In other words, historical evidence for performance needs to be read in the spirit of its own times. Audiences for performers before the age of recording inevitably had different priorities. The appearance of Paganini or Liszt for a one-night musical stand was about more than just music, or worse still, musical accuracy. Moving back in time, it is clear that in Mozart's day musical cities such as Vienna and Prague boasted quite distinctive musical personalities. In earlier historical periods the question arises as to what can reasonably be defined as music (with or without notation). In recreating medieval song that is manifestly raw, dramatic and arresting, today's singer might be forgiven for feeling shackled by concerns such as the replication of 'correct' tempos, 'effective' dynamics and 'appropriate' textures, to say nothing of issues of pitch, temperament and pronunciation. How, for instance, might latter-day performers recreate the medieval sound world of lone minstrels, choirs of monks, troupes of liturgical dramatists, ensembles of early polyphonists or gatherings of enthusiastic scholars? Clearly, any investigation of any performances from before the age of recording will pose many more questions than can readily be answered.

This book is intended to stimulate intelligent thought about the role of performers and performance and shed new light on issues of performance history and practice. It includes contributions not only from scholars but also from accomplished performers, whose practical experiences have shaped their chapters and lent the volume a unique vitality and cogency. It aims to be wide-ranging but can never be exhaustive. Limitations of space have inevitably forced authors to be highly selective in their individual dissertations. Some have opted to use the microscope to address key issues relevant to their allotted topic/period, while others have considered a telescopic approach more appropriate to their needs. This decision has been theirs, but the final responsibility for content and coverage is ours.

<sup>10</sup> Lebrecht, *Maestros, Masterpieces & Madness*, pp. 136–8.

As a final preliminary, some words of acknowledgement are in order. We should like to thank all our contributors, especially those who submitted their chapters on schedule, for their cooperation in discussing details of their material with us and with each other and making modifications as necessary. Many of them have shown enormous patience in waiting for the final pieces of a complex jigsaw to be put in place. We have also greatly valued the advice and encouragement of Andrew Parrott, who read some of the drafts and provided us with editorial guidance appropriate to some historical periods in which we questioned our own expertise. We are also grateful for financial support for the project from our respective institutions, the Royal College of Music and Cardiff University, some invaluable administrative support from Emma McCormack and Amy Blier-Carruthers (Royal College of Music) and, of course, the orderly input from our eagle-eyed copy-editor, Mary Worthington and proofreader, Sheila Sadler. Finally, thanks are due to Vicki Cooper, Commissioning Editor for the volume, and her team for their ideas and practical guidance throughout the project.

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Robin Stowell