

Index

- Abuse of rights, 118–21
 application of good faith, as, 119, 140–1
 breadth of, 120–1
 categories of, 119–20
 common law systems, 119
 ‘good faith’ efforts, and, 142
 meaning, 119
 relevant factors in determining, 121
 requirements to negotiate, and, 142–3
 to whom injury caused, 121
- Acquiescence, principle of
 estoppel, and, 117
- Advisory Centre on WTO Law
 (ACWL), 257
- Agricultural products
 complexity of disputes regarding, 2–3
- Amicus curiae* briefs, 167
- Anti-Dumping Agreement
 interpretation of provisions, and, 73
- Anti-dumping measures, 215
 proportionality, and, 228–31
 comparability of export
 transactions, 229
 disproportionate duties, 230
 dumping, meaning, 228–9
 relevant rule of international law,
 as, 231
 ‘zeroing’, 228–31
- Appellate Body, 2
 abuse of rights, and, 141
 applicable law, and, 95
 application of estoppel, 132–3
 bias rule, and, 154
 burden of proof, and, 101
 ‘completing the analysis’, 169
 consistency and ‘correctness’, 3
 interpretation of provisions, 3
 developing countries, and, 257
 establishment of procedural rules, 167
 failure to accord due process, and, 160
 general exceptions, and, 140
 good faith, and, 121–2
 hearing rule, and, 156
in dubio mitius, and, 52
 inherent jurisdiction, and, 100
 interpretation and application of
 agreements, 2
 teleological approach, 3
 ‘legitimate expectations’, and, 74
 Members’ dissenting opinions, 2
 modification of time periods, 167
 nullification or impairment
 rulings, 222
 precautionary principle, and, 131
 reciprocity, and, 43
 right to representation, and, 168
 subject-matter jurisdiction of, 91
 suspension of concessions, 218
 zeroing, and, 230
- Applicable law
 general principles of law, as, 139
 good faith, and, 134
 principles as in WTO disputes, 93–7
 application of non-WTO law, 93
 conflicting principles of law, 96
 contested applicable law
 claims, 93
 contracting out of international
 law, 94
 defences to WTO violations, 94
 DSU provisions, 95
 DSU provisions as conflicts rule, 96
 interpretation of DSU provisions, 95
 principles of WTO law, 93
- Arbitration
 compliance with DSB
 recommendations, 92
 suspension of concessions, 92
 suspension of concessions, and, 213
- Audi alteram partem*, 148

- Balance of concessions. *See* Reciprocity
- Balancing test, 186
- Belligerent reprisals
 proportionality, and, 210
- Bias rule
 Appellate Body members, 154
 prohibitions on, 155
 disclosure of relevant information, 155
 DSB Rules of Conduct, and, 155
 due process, and, 147
 general exceptions, and, 174
 meaning, 148
 WTO tribunals, and, 154
- Bona fides*
 definition, 107
- Burden of proof
 inherent jurisdiction, and, 101
- Capital punishment
 proportionality, and, 203–4
- Compensation
 expropriation, for, 45–6
- Compliance Panels, 92
- Confidential information
 misuse of, 171
- Conflicts of interest
 fair administration, and, 171
- Constitution
 WTO agreement as. *See* WTO agreements
- Context
 treaty interpretation, and, 79
- Continuous objector rule, 44
- Contract law
 consideration of binding contracts, 9
 good faith, and, 111
- Countermeasures for wrongful acts. *See*
 Proportionality, principle of
- Countervailing measures, 215
- Cruel and unusual punishment, 203
- Customary international law, 43–57
 application by international tribunals, 44
 application to WTO treaties, 139
 assimilation of general principles
 of law, 61
 binding nature of, 44, 83
 continuous objector rule, 44
 creation of legal rules, and, 43
 development of principles
 role of tribunals, 56
 due process. *See* Due process, principle of
 effectiveness, principle of, 53–5
 correct application of, 54
 differing conclusions, 55
 independent principle, as, 53
 interpretation of Marrakesh
 Agreement, 53
 shortcomings, 54
- ‘unforeseen developments’, and, 54
- elements for creation of, 43
 objective element, 43
 subjective element, 43
- essential idea behind, 43
- estoppel, 117
- good faith. *See* Good faith, principle of
in dubio mitius, 52–3
 conflict between principles, 53
 evidence of existence of, 52
 origins of principles, reliability
 of, 53
- interpretative principles, 51–5
 meaning of, 44–51
 divergent state practice, 47
 ‘constant and uniform usage’, 48
 degree of compliance, 48
 degree of uniformity, 47
 ‘highly selective survey’, 48
 proof of norm, 48
 specially affected states, 48
 indeterminate application of, 51
- opinio juris, 49
 epistemological circle, 49
 inadequate evidence, 49
 limitations, 50
 modern custom, 50
 reasonableness of asserted
 customary rule, 49
 ‘sliding scale’, 49
 traditional custom, 50
 unrelated patterns of behaviour, 50
- relevant forms of behaviour, 45
- state practice, 45–7
 actions of judiciary, 46
 acts, 45
 any state behaviour, 45
 evidence of, 45
 flexibility in identifying, 45
 material published only in
 West, 47
 material sources establishing, 46
 selective use of, 46
 treaties, 46
 UN resolutions, and, 47
 unpublished material, 47
 value of national decisions, 46
- non-violation remedy, and, 138
- ordinary meaning, and, 79
- pacta sunt servanda*, 114
- precautionary principle. *See*
 Precautionary principle
- rules of interpretation
 codification, 76
- rules of treaty interpretation, 73
- state responsibility, and, 82
- uncertainties, 44

- Denial of justice, doctrine of, 150
 due process, and, 150
 errors, 150
 forms of, 150
- Developing nations
 distinguishing between, 248–53
 non-reciprocity, 43
 special and differential treatment, and,
 See Special and differential
 treatment
- Development
 role in GATT, 238
- Dictionaries
 interpretation of treaties, and, 78
- Disclosure
 adverse material, of, 148
- Discrimination
 ‘arbitrary or unjustifiable’, 175
 denial of justice, and, 151
 general exceptions, and, 173
 procedural requirements, and, 173–4
 protection of foreign litigants, 153
 special and differential treatment, and,
 See Special and differential
 treatment
- Doha Declaration
 object and purpose, and, 80
 principles of WTO Law, and, 37
 special and differential treatment, and,
 243
- Due process, principle
 using in WTO disputes
 ensuring due process before WTO
 tribunals. *See* WTO Tribunals
- Due process, principle of, 91, 145–76
 administrative and judicial systems, 145
 conflicting considerations of, 145
 customary international law, principle
 of, as, 149–53
 bilateral trade or investment treaties,
 151
 doctrine of denial of justice, 150
 ensuring in proceedings, 149
 fair and equitable treatment, 152
 gross defects in judgment, 150
 human rights treaties, 151
 manifest injustice, 153
 manifestly unjust judgments, 150
 protection of investments, 152
 standards of fairness and
 reasonableness, 150
 variations in understanding of
 concepts, 151
 demands of fairness, and, 145
 discretion, need for, 145
 general principle of law, as, 146–9
 Australian definition of, 147
 bias rule, 147–8
 common law scope, 147
 disclosure of adverse material, 148
 domestic objectives of principle, 147
 English criminal law, 146
 hearing rule, 147–9
 inherent flexibility of, 149
 ‘law of the land’, 146
 need for and content of, 149
 no evidence rule, 149
 origins of, 146
 prior notice of decisions, 148
 procedural and substantive
 distinguished, 147
 rules of procedure, and, 147
 United States, in, 147
 US Constitution, 147
 good faith, and, 124–5
 imposition of requirements on
 Members, 176
 inherent jurisdiction, and, 166–9
 amicus curiae briefs, 167
 Appellate Body’s discretion, 167
 ‘completing the analysis’, 169
 establishment of procedural rules,
 166
 exercise of jurisdiction, 168
 full exploration of issues, 169
 protection of procedural norms, 167
 resolution of procedural issues, 166
 right to representation, 168
 legitimacy of legal systems, and, 146
 Members’ obligations to accord, 169–75
 fair administration. *See* Fair
 administration
 general exceptions, 173–5
 need for in WTO, 146
 procedural matters, and, 102
 requirements of, 145
 role, 175
 using in WTO disputes, 160–75
 WTO law, principle of, as, 153–60
 Members’ obligations, 157–60
 WTO Tribunals. *See* WTO Tribunals
- Economic freedom
 human right, as, 88
- Effectiveness, principle of, 53–5
- Enabling Clause
 exception to MFN principle, as, 261
- Equality of arms, 148
- Equality, principle of, 8
- Equity
 good faith, and, 109
- Estoppel, 117–18
 application in WTO disputes, 132
 conditions for, 117

- Estoppel (cont.)
 customary rule of interpretation, as, 131
 expanded requirement of injury, 118
 meaning in law, 131
 operation of, 117
 Panel definition of, 128
 principle of, 3, 118
 principle of customary international law, as, 117
 principles of acquiescence, and, 117
 success of claims of, 127
- European Court of Justice (ECJ)
 proportionality, and, 188
- European Union
 equality, principle of, 8–9
 proportionality, 188
- Export subsidies
 credit guarantee programs exemption, 2
- Expropriation
 foreign-owned property, of, 45
- Fair administration, 169–73
 administering laws, 169
 conflicts of interest, 171
 limitations on uniformity, 171
 misuse of confidential information, 171
 procedural fairness, 170
 reasonable, meaning, 172
 transparency, 170
 uniform administration requirement, 171
 uniformity, impartiality and reasonableness, 170
 WTO and domestic law, consistency with, 172
 acts inconsistent with domestic obligations, 173
 content and meaning of laws, 173
 contradiction of domestic decisions, 173
 validity of administration, 173
- Fair and equitable treatment, 152
- Game theory
 states' behaviour patterns, and, 50
- Gap-filling
 part of adjudicative process, as, 22
- General exceptions
 due process, and, 173–5
 good faith, and, 140
 proportionality, and, 191
- General principles of law, 57–66
 application, 57, 83
 defining, 57
 due process. *See* Due process, principle of
 examples of relevant, 64–6
 procedural issues, 65
 recognized by ICJ and PCIJ, 65
 good faith. *See* Good faith, principle of
 identification and use in ICJ and PCIJ, 61–4
 acceptability of decisions, 61
 ancillary use of, 61
 assimilation into customary international law, 61
 comparative analysis, 63
 comparative analysis of municipal law, 62
 descriptive analysis, 63
 'general principles of law recognized by civilized nations', 63
 guidance on meaning of, 62
 inherent unalterable principles, 64
 recognised categories of, 63
 'recognized by civilized nations', and, 62
 references to, 62
 shrinking *lacunae*, 61
 specific law prevails over, 61
 interpretation of treaties, and, 83
 ordinary meaning, and, 79
 proportionality. *See* Proportionality
 scope, 57
 source of law, as, 57
 theoretical approaches to identifying, 58–61
 categories of approaches, 58
 categorist approach, 59
 comparative approach, 58
 criticisms of, 61
 definition, 58
 determination of shared principles, 58
 failings of comparative approach, 59
 international law, and, 59
 judges' discretion, and, 59
 legitimacy of decisions, and, 59
pacta sunt servanda principle, and, 61
 synthesis approach, 60
- Good faith, principle of, 3, 106–44
bona fides, 107
 defining, 112–14
 collision of rights, and, 113
 complexity of, 112
 development of legal systems, and, 113
 general and particular notions of, 114
 obligations on states, and, 113
 general principle of law, as, 108–10
 classes of contracts, 109
 common law systems, 109
 drafting of PCIJ Statute, 108
 equity, and, 109
 origins of concept, 108

- performance of contracts, and, 109
- recognition in civil codes, 109
- United States, in, 109
- general rule of conduct, as, 135
- inherent jurisdiction, and, 127–33
 - applying provisions in bad faith, 130
 - case law as relevant context, 129
 - elements of estoppel, 132
 - meaning of estoppel in law, 131
 - procedural matters, and, 129
 - rights and obligations of Members, 132
 - scope of WTO agreements, and, 131
 - sovereignty of Members, and, 131
- interpretation of WTO agreements, and, 77
- nebulous nature of, 143
- normative appeal of, 107
- ordinary meaning, 107
- particularisations of, 114–21
 - abuse of rights. *See* Abuse of rights
 - estoppel. *See* Estoppel
 - interpretation of treaties, 116
 - performance and interpretation, relationship between, 116
 - performance of treaties. *See* *Pacta sunt servanda*
 - scope of equitable principles, 116
- principle of customary international law, as, 110–12
 - gravity of violations, 112
 - history of, 110
 - international tribunals recognising, 111
 - law declaring instruments, 110
 - performance of contracts, 111
 - UN Charter, and, 111
 - violation of treaty provisions, 112
- principle of WTO law, as, 121–3
 - DSU Articles 3.10 & 4.3, 122
 - examples of, 122
 - formation of customs unions, and, 123
 - interpretation of WTO provisions, and, 122
 - TRIPS Agreement, and, 123
 - underlying principle, as, 121
 - WTO provisions referring to, 122
- procedural implications of, 123–33
 - compliance requirements, 125
 - dispute settlement procedures, 123
 - DSU Article 3.10, 123
 - frivolous complaints, 126
 - Members' rights and obligations, and, 125
 - performance of treaties, and, 127
 - principle of due process, and, 124
 - resorting to dispute settlement, 126
 - rules of treaty interpretation, and, 125
 - unilateral action, and, 127
- procedural matters, and, 102
- substantive implications of, 133–43
 - balancing requirement, 141
 - breach of requirements, 138
 - general exceptions, 140
 - negotiations requirements, 142
 - non-violation complaints, 138
 - non-violation remedy, 138
 - nullified or impaired benefits, 138
 - performance of WTO obligations. *See* *Pacta sunt servanda*
 - rejection of negotiations, 143
 - 'serious good faith efforts', 142
 - violation of treaty obligations, and, 139
- treaty obligations, and, 77
- Gross disproportionality principle, 204
- Group of 77 developing countries, 242
- Hearing rule
 - due process, and, 147
 - Panel compliance, 161
 - equality of arms, 148
 - meaning, 148
 - reasonableness, and, 172
 - responding to adverse evidence, 156
 - WTO Tribunals, and, 156
- Human rights
 - due process obligations, 151
 - economic freedom as, 88
 - proportionality, and, 186
- Human rights treaties
 - scope of protection, 88
- In dubio mitius* principle, 52
- Incomplete contracts, 13
- Intellectual property rights
 - due process, and, 158
- International Court of Justice (ICJ)
 - elements of customary international law, 44
 - estoppel, and, 118
 - good faith, and, 77, 111
 - identification of general principles of law
 - law. *See* General principles of law
 - inherent jurisdiction, and, 98
 - literalist approach to interpretation, 75
 - pacta sunt servanda*, 114
 - proportionality, and, 208
 - relevant rules of international law, and, 81
 - rules and principles distinguished, and, 9
 - sources of international law, 19
 - state practice, and, 48

- International Criminal Tribunal for the former Yugoslavia
 inherent jurisdiction, and, 99
 proportionality of punishments, and, 202
- International law
 content of inherent jurisdiction, and, 99
 contracting out of, 94
 customary. *See* Customary international law
 doctrine of estoppel, and, 133
 ordinary meaning, and, 79
 principles and rules distinguished, 9
 relevant rules of
 interpretation of treaties, and, 81
 will of states, and, 69
 WTO within framework of, 16–21
 creation of WTO, 17
 extent of, 17
 interpretation of provisions, 16
 interpretation of WTO provisions, 20
 references to in agreement
 provisions, 18
 sources of law, 19
 substantive law, 18
 treaties, 17
 WTO obligations, 18
- Interpretation
 application distinguished, 82
 construction distinguished, 12
 customary rules of, 15
 estoppel, and, 131
 public international law, of, 68
 rights and obligations, and, 75
 definitions, 12
 good faith, and, 116
 interpretative principles, 51
 non-violation provisions, of, 139
 notion of effective interpretation, 77
 prevailing law, 96
 relevant rules of international law, and, 81
 role of principles, 15
 standards, of, 14
 supplementary means of, 84
 theories of, 68–72
 fundamental questions of, 69
 judicial discretion, and, 72
 literalist approach, 74
 purpose of interpretation, and, 68
 subjective approach, 69
 assumptions, 69
 common intention, 69
 teleological approach, 71
 most normative approach, as, 72
 object and purpose of treaty, 71
 significance of terms, 71
 subjective element to, 72
 texts supporting two readings, 71
 textual elements to, 72
- textual approach, 70
 apparent meaning, 70
 context of treaty, and, 70
 flexibility, and, 70
 meaning violates underlying principles, 71
 negotiation of treaty, and, 70
 plain and ordinary meaning, 70
 use of principles, and, 72
 Vienna Convention, and, 135
 WTO agreements. *See* WTO agreements
 WTO Provisions, of, 68
- Interpretative maxims, 31
- Judicial decisions
 determination of rules of law, and, 20
 principles derived from. *See* WTO law
 principles of WTO law, and, 20
 state practice, as, 46
- Judicial economy
 due process requirements, and, 163
 principle of, 3
- Jurisdiction
 elements of, 89
 inherent, 97
 due process, and. *See* Due process, principle of
 good faith, and. *See* Good faith, principle of
 meaning, 89
- LDC Members
 meaning, 248
- Least drastic means test, 186
- Legal systems
 composition of, 11
 legitimacy, 12
- Legal texts
 inherent uncertainty of. *See* Principles
- Legitimate expectations, principle of, 87
- Magna Carta
 due process, and, 146
- Marrakesh Agreement
 principles of WTO law, and, 35
 reciprocity, and, 42
 trade liberalisation, and, 38
- Members' schedules
 interpretation, 3
- Modern custom
 customary international law, and, 50
- Most favoured nation (MFN) obligation, 39
 departures from, 244
 exceptions to, 40

- National treatment obligation, 39
- No evidence rule
 due process, and, 149
 Panel compliance, and, 164
 general exceptions, and, 174
 reasonableness, and, 172
 WTO Tribunals, and, 157
- Non-discrimination, 39
 application in WTO disputes, 41
 enforcement, 41
 exceptions, 40
 general principle of law, as, 40
 history of, 40
 interpretation, 41, 42
 justification for, 39
 meaning, 39
 special and differential treatment, and, 40
 standards for, 41
 trade liberalising effect of, 39
 WTO provisions relating to, 39
- Non-intervention, principle of, 9
- Non-violation complaints
 good faith, and, 138
 interpretation of provisions, and, 139
 meaning, 90
 remedy for, 138
- Object and purpose
 treaty interpretation, and, 80
- Objective
 meaning, 36
- Opinio juris
 customary international law, and, 49
- Ordinary meaning
 dictionary definitions, and, 78
 establishing, 78
 international law, and, 79
 treaty interpretation, and, 78
- Originalism, theory of
 interpretation, and, 69
- Pacta sunt servanda*, 114–16
 abuse of rights, and, 115
 avoiding or diverting obligations, 115
 binding nature of treaties, and, 121
 customary international law, 114
 interpretation of treaties, and, 116
 non-violation complaints, and, 139
 origins of, 114
 performance of WTO obligations
 133–7
 applicability, 136
 bad faith, presumption of, 133
 ‘general rule of conduct’, 135
 inherent jurisdiction, and, 136
 misapplications of good faith, 136
 necessity of violation, 137
 object and purpose of provisions, 135
 relevance of good faith, 136
 source of obligation, 137
 substantive principle, 135
 ‘pre-conventional’ obligations, 116
 principle of, 61
 reasonableness, and, 115
- Panelists
 bias, and, 154
 developing countries, from, 257
- Panels
 compliance with due process, 160
 appreciation of evidence, 165
 basic rationale requirement, 161
 conduct for Article 11 violations, 165
 consideration of all evidence, 164
de novo reviews of evidence, 166
 denial of fundamental fairness, 164
 disregarded or distorted evidence, 164
 DSU obligations, 160
 due process as inherent obligation, 162
 egregious errors, 164
 failure to address claims, 162
 fairness of Panel’s decision, 164
 false judicial economy, 163
 hearing rule, 161
 internally contradicting reasons, 165
 judicial economy, 163
 misinterpretation of evidence, 164
 no evidence rule, and, 164
 objective, meaning, 164
 obligation to address issues raised,
 163
 obligation to address matter before
 it, 161
 primary due process aspects, 160
 reasons for decisions, 163
 refusal to consider defence, 162
 review of factual findings, 164
 right of response, and, 161
 standard of review obligations, 166
 submissions of interested parties,
 consideration of, 163
 unanswered claims, 163
 developing countries, and, 257
 establishment of procedural rules, 166
 nullification or impairment rulings, 222
 private counsel attending, 169
- Party
 definition, 83
- Precautionary principle, 55–7
 Appellate Body decision regarding, 55
 justification of WTO-inconsistent
 measures, 57
 principle of customary international
 law, whether, 56
 SPS Agreement Preamble, and, 56

- Precautionary principles
 Appellate Body's treatment of, 131
- Principles
 applicable law in WTO disputes, *as. See*
 Applicable law
 basis of claim, *as.* 89
 categories of, 4
 categories of relevance to WTO, 31
 authenticity and value, 32
 interpretative maxims, 31
 obligations under non-WTO
 treaties, 31
 context, *and.* 80
 customary international law, *of. See*
 Customary international law
 future of, 272–3
 GATT/WTO principles, 37
 nature of, 6–10
 need for in WTO disputes, 15–23
 ambiguity in agreements, 15
 assessment of decisions, 16
 customary rules of interpretation, 15
 general principles of law, 20
 intentions of drafters, 15
 interpretation and evaluation, 15
 intricacy of rules of interpretation, 15
 jurisdiction of WTO Tribunals, 21
 legitimacy of decisions, *and.* 21
 relevant principles, 15
 role of principles in interpretation, 15
 normative and descriptive aspects of,
 10–12
 determination of principles, 10
 European civil law, 11
 generalisations of rules, principles
as. 10
 higher norms, principles *as.* 10
 independent legal content, *and.* 10
 interpretation of legal rules, 12
 justification of rules, 10
 legitimacy of legal systems, *and.* 12
 ordinary norms distinguished, 10
 structure of legal systems, *and.* 11
 underlying logic in rules, *and.* 11
 objectives distinguished, 36
 problems with, 21–3
 abandonment of rule of law, *and.* 22
 application of policy and principle, 22
 fragility of WTO system, *and.* 23
 gap-filling, 22
 sovereign will of Members, *and.* 22
 uncritical acceptance, 22
 relevance in interpreting WTO
 agreements. *See* WTO agreements
 relevant to WTO, 4
 resolving procedural matters, *and.* 102
 resolving WTO disputes using, 88–103
 jurisdiction of WTO Tribunals. *See*
 WTO Tribunals
 rules distinguished, 7–10
 application of principles, 8
 assumptions and precepts, 7
 conditions for application of rules, 8
 consideration of binding contracts,
and. 9
 content of principles, 8
 development of legal rules, 7
 ICJ, *and.* 9
 international law, *in.* 9
 operation of rules, 8
 overlap between, 8
 principles as guidelines, 8
 recognition of principles, 7
 'rule answers', 7
 species of norms, *as.* 7
 subjective distinction, 8
 theories of treaty interpretation,
and. 68
 uncertainty in, 31
 uncertainty of legal texts
 'default rules', 13
 incomplete contracts literature, 13
 interpretation, definition, 12
 legally correct meaning, 14
 multiple interpretations, 15
 purpose of legal texts, 13
 rules and standards literature, 13
 WTO agreements provisions, 14
 WTO context, 13
 uncertainty of legal texts, *and.* 12–15
 use in WTO disputes, 4
 WTO law, *of. See* WTO law
- Private actions, right to take
 due process obligations, *and.* 159
- Proportionality, principle of, 177–237
 assessing remedies in WTO disputes,
 218–36
 interpreting provisions on unilateral
 remedies, 228
 anti-dumping, 228
 multilateral remedies, 218
 absence of trade effects, 223
 actionable and prohibited
 subsidies, 224
 assessing level of nullification or
 impairment, 221
 claims based on
 disproportionality, 220
 culpability of actor, 222
 general WTO violations, 218
 high degree of culpability, 223
 interpreting 'nullification or
 impairment', 220
 low degree of culpability, 223

- measuring unquantifiable factors, 221
- penalties exceeding harm caused, 222
- purpose of retaliatory measures, 219
- relevance of culpability, 222
- suspension of concessions, 218
- WTO violation presumption, 221
- assessment of remedies, 202–17
 - customary international law, 207
 - belligerent reprisals, 210
 - ceiling on severity of countermeasures, 210
 - commensurate countermeasures, 208
 - countermeasure and injury suffered, relationship between, 209
 - countermeasures for wrongful acts, 207
 - culpability of actor, 210
 - fairness and retribution, 210
 - gravity of wrongful act, 209
 - identification of countermeasures, 209
 - infringement of rights of states, 207
 - non-performance of obligations, 210
 - non-utilitarian purposes, 209
 - objective of imposing countermeasures, 208
 - retributive countermeasures, 209
 - states' obligations, and, 208
- general principles of law, 202
 - Australia, in, 205
 - bail and fines, 203
 - capital punishment, 204
 - ceiling on severity of punishment, 207
 - comparative approach to determining punishment, 206
 - considerations relevant to sentence setting, 205
 - cruel and unusual punishment, 203
 - excessive punishments, 203
 - grossly disproportionate sentences, 204
 - limits of sentencing discretion, 205
 - manifest injustice, 205
 - narrow proportionality principle, 204
 - 'objective circumstances', 205
 - 'objective factors', and, 205
 - objectives of punishment, and, 206
 - prevention of re-offending, and, 207
 - previously imposed sentences, and, 207
 - role of judiciary, and, 204
 - sentences for terms of years, 204
 - strict proportionality, 204
 - test of proportionality, 204
 - theories of retribution, 206
 - type of principle applied, 207
 - United States, in, 203
 - utilitarian concerns, and, 207
- WTO law, 210
 - aim of WTO dispute settlement system, 212
 - amount of injury suffered, 213
 - anti-dumping measures, 215
 - application of safeguards, 216
 - countervailing measures, 215
 - dispute settlement, 211
 - harm caused, determining, 214
 - level of suspension of concessions, 213
 - material breach of treaty, 213
 - multilateral remedies, 211
 - multilateral remedies and countermeasures distinguished, 212
 - nature of rules, 211
 - 'nullification or impairment', 213
 - operation of multilateral remedies, 212
 - performance of obligations, 211
 - proportionate countermeasures, 211
 - qualitative requirement, 213
 - retaliatory actions, 213
 - safeguards, 216
 - SCM Agreement dispute settlement, 214
 - suspension of concessions, 212
 - suspension of operation of treaties, 211
 - undesirable act and injury caused, difference between, 216
 - 'unfair' conduct, 215
 - unilateral remedies, 215
 - WTO law 'appropriate' countermeasures, 214
- domestic legal systems, in, 185–7
 - balancing test, 186
 - curtailment of rights, and, 186
 - definitions, and, 185
 - German administrative law, 185
 - least drastic means test, 186
 - reasonableness test, 185
 - societal costs and benefits, 187
 - UK, in, 186
 - US administrative law, 185
- forms of, 182
 - balancing competing rights, 182

- Proportionality, principle of (cont.)
 distinction between, 183
 punishment and crime, relationship between, 183
 WTO provisions reflecting, 183
- GATT 1994 Article XX, and, 196–200
 alternative less restrictive measures, 197
 balancing test, 196
 ‘least restrictive means’, test for, 199
 least trade restrictive test, and, 197
 less-trade restrictive approach, 198
 level of protection, determination of, 196
 measures related to legitimate aim, 200
 ‘measures that are necessary’, 197
 measures that are related to, 199
 measures with lesser impact, 198
 Members’ interest, and, 199
 national policy objectives, and, 196
 necessity test, and, 197
 ‘relating to’, meaning, 199
 relationship of ends and means, 197
 ‘relaxed’ necessity test, 198
 remedial measures, 197
 standard of material contribution, 200
 suitability of measures, 197
 weighing and balancing approach, 198–9
- general principle of law, as, 184–90
 application, 185
 common uses of, 184
 legitimacy of actions, 185
 value choices, 184
- international legal systems, in, 187–90
 adequate levels of consumer protection, and, 189
 balancing principle, as, 188
 balancing test, and, 190
 EC law, 188
 least restrictive means test, and, 190
 necessity, 188
 proportionality *stricto sensu*, 188
 reasonableness test, and, 190
 suitability of measures, 188
 suitability requirement, 189
- interpretation of WTO agreements, 236
 non-interpretative use of, 236
 principle of WTO law, as, 190–5
 arbitrary application of measures, 193
 ‘chapeau’, 191
 cost-benefit analysis, 195
 differing meanings of, 191
 exceptions to general obligations, 191
 extent of application of measures, 194
 incorporation in agreement provisions, 191
 justification of measures, 193
 ‘legitimate objective’, 195
 less trade restrictive alternatives, 194
 levels of protection, determination of, 193
 necessity test, and, 192
 non-trade interests, 192
 positive obligations on Members, 193
 protection of public morals, 191
 reflection of in WTO agreements, 191
 standards in domestic regulations, 193
 suitability requirement, and, 192
 underlying principle, as, 190
 WTO objectives, and, 190
- procedural matters, and, 103
 proportionality, meaning, 177
 safeguards, and, 231
 absence of culpability, 235
 anti-dumping measures compared, 234
 application of, 233
 countermeasures, whether, 234
 culpability, role of, 233
 customary international law, 231
 exceeding increase in imports, 233
 extent of measure, 234
 imposition of, 232
 interpretation of WTO agreements, 236
 MFN rule, and, 232
 preventing or remedying serious injury, 234
 serious injury caused by increased imports, 235
 specific transitional safeguard mechanism, 231
 using to ‘facilitate adjustment’, 235
- SPS Agreement, and, 200
 clearly disproportionate measures, 201
 incorporation of principle, 200
 level of protection, 201
 measure and objective, relationship between, 201
 necessity test, and, 200
 procedural requirements, 201
 reasonably available alternatives, 201
 ‘significantly less restrictive to trade’, 201
 suitability requirement, 200
- TBT Agreement, and, 202
 legitimate interest, 202
 legitimate objectives, 202
 level of protection, 202
 proportionality *stricto sensu* test, 202
 use in WTO disputes, 195–202
 interpretation and application of law, 196
 opposition to, 195
 WTO provisions incorporating, 178

- Provisions
 interpretation of WTO provisions, and, 68
- Reasonableness
 due process, and, 150
 fair administration, and, 170
 good faith, and, 107
 misuse of confidential information,
 and, 172
 reasonable, meaning, 172
 test for, 185
 treaty interpretation, and, 77
- Reciprocity, 42
 'engine' of WTO, as, 42
 impact on trade negotiations, 42
 limitations to, 43
 meaning, 42
 non-reciprocity, principle of, 43
 tariff negotiations, 241
 WTO agreements, and, 42
- Remedies
 assessment of. *See* Proportionality,
 principle of
- Retribution
 punishment as, 206
- Rules of international law, 83
 sources of law, and, 84
- Rules of procedure
 due process, and, 147
- Safeguards, 216
 proportionality, and, 231
 proportionality requirement, 216
- Small economies
 identifying, 249
- Sources of law, 3
 application of non-WTO, 94
 general principles of law, 57
 international, 19
 hierarchy between, 19
 ICJ Statute, 19
 international custom, 43
- Special and differential treatment, 238–66
 conflicting developing country
 interests, 253–6
 developing countries as complainant
 and respondent, 254
 identifying best response, and, 253
 import quotas, 255
 MFN obligations waiver, 254
 textiles and clothing, and, 255
 time periods for implementation, 254
 discrimination, and, 238
 distinguishing between developing
 countries, 248–53
 categories of WTO Members, 248
 classification according to criteria, 250
 criteria for establishing, 248
 determination of criteria for, 251
 differentiation among GSP
 beneficiaries, 252
 Doha Declaration, 249
 GSP benefits, 251
 LDCs, 248
 levels of development, 248
 needs of individual countries, 250
 similarly-situated beneficiaries, 252
 small economies, 249
 enforcement, 41
 GATT, in, 240–4
 collaboration with UNCTAD, 242
 Doha Declaration, 243
 'less-developed contracting parties',
 241
 GSP schemes, 242
 'Group of 77', 242
 MFN obligation waiver, 242
 non-reciprocity principle, 241
 reciprocity principle, 241
 special needs of developing
 countries, 243
 terminology, 240
 withdrawal or modification of
 obligations, 241
 interpretation of WTO agreements,
 259–65
 Agreement on Safeguards, and, 262
 Anti-Dumping Agreement, and, 263
 'development dimension', 265
 Enabling Clause as MFN principle
 exception, 261
 exception, as, 259
 hierarchy of principles, and, 262
 interpretative principle, as, 262
 interpreting S&D provisions, 264
 Members' rights, and, 262
 non-discrimination, and, 259
 object and purpose of treaties, 265
 significance of S&D, 259
 specific S&D provisions, 262
 substantive S&D provisions, 264
 non-discrimination, and, 244–5
 hierarchy of principles, 245
 'necessary evil', as, 244
 transitory nature of, 244
 principle of WTO law, as, 239
 procedural matters, and, 102
 value of to developing countries 245–8
 aims of, 245
 coherence and validity, 245
 dependence on limited range of
 products, 246
 liberalising markets, 247
 right of non-reciprocity, 246

- Special and differential treatment (cont.)
 securing MFN benefits, 246
 tariff preferences, 247
 technical assistance, 247
- WTO Tribunals' inherent jurisdiction,
 and, 256–9
 additional flexibility, 259
 cost of disputes, 256
 dispute settlement procedure, 257
 impact of measures on economy, 257
 implementation of WTO
 obligations, 258
 lack of experience, 256
 management of disputes, 256
 omissions, and, 258
 panelists from developing country
 Members, 257
 procedural limits on, and, 259
 suspension of concessions, 258
 Tribunals' discretion, and, 259
- Special meaning
 treaty interpretation, and, 78
- Standards
 definition, 14
 development of rules, and, 14
 interpretation, 14
 transfer of power, and, 14
- State practice
 customary international law, and, 45
 divergent, 47
- Subsidies
 actionable and prohibited, 224
 120% countermeasures, 227
 adverse effects, 224
 adverse effects need not be
 demonstrated, 226
 appropriate countermeasures, 225
 countermeasures beyond degree of
 harm, 228
 culpability, role of, 224
 degree of culpability, 225
 level of retaliation, 224
 meaning of countermeasure, and, 225
 potentially relevant factor, as, 226
 proscription of disproportionality, 225
 strict proportionality requirement, 226
 WTO Members challenging, 227
- Suspension of concessions
 arbitration on level of, 92
 developing countries, and, 258
 inducing compliance, and, 219
 interpreting 'nullification or
 impairment', 220
 level of nullification or impairment, 218
 maximum level of, 220
 proportionality, and, 212
 temporary nature of, 219
 understanding purpose of, 218
- Trade liberalisation, 38
 decisions of domestic authorities, and, 39
 independent principle, as, 39
 non-discrimination, and, 39
 reciprocity, and, 42
- Traditional custom
 customary international law, and, 50
- Treaty
 definition, 17
 suspension, 211
- TRIPS Agreement
 good faith, and, 123
- UN Charter
 good faith, and, 111
- UNCTAD, 242
- US Constitution
 due process, incorporation of, 147
- Vienna Convention
pacta sunt servanda, and, 133
 principles of interpretation, 135
- Violation complaints
 meaning, 90
- World Trade Organization (WTO)
 agreements
 ambiguous provisions, 2
 Appellate Body. *See* Appellate Body
 dispute settlement system, 1
 aims, 16, 212
 assessment of decisions, 16
 inclusion of non-WTO law in, 4
 need for principles in. *See* Principles
 principles, use of, 4
 categories of principles, 4
 definition and meaning, 6
 relevant principles, 5
 recommendations, 1
 security and predictability, 20
 sources of law, 20
 textual answers, absence of, 2
 fragility of system, 23
 framework of international law, and. *See*
 International law
 importance of, 1
 key intellectual foundations of, 33
 protection of values, 88
 rules of trade, and, 1
 underlying principles, 3
- WTO agreements
 constituent instrument or constitution,
 as, 85
 consequences for interpretation, 86
 constitutional documents, as, 87

- differing interpretations, 85
- global community, 87
- human rights treaties, and, 87
- interpretative approaches, 85
- objects and purposes, 87
- relevance of principles, 88
- role of principles, 86
- scope of interpretative approach, 86
- single undertakings, 85
- validation of references to principles, 86
- nature of
 - interpretation, and, 68
- principles derived from, 32
- relevance of principles in interpreting, 72–88
 - DSU Article 3.2, 72
 - Anti-Dumping Agreement, and, 73
 - approaches to interpretation, and, 72
 - clarification of provisions, 73
 - customary international law, and, 73
 - future clarification, 73
 - guidelines for interpretation, and, 72
 - interpreter's function, 75
 - literalist approach to interpretation, 74
 - objectives of dispute settlement, and, 73
 - perceived rights and obligations, 74
 - principles as framework for interpretation, 74
 - rights and obligations, and, 75
 - 'security and predictability', 74
 - underlying rationale of provisions, 74
 - VCLT Articles 31 & 32 and, 73
 - VCLT Articles 31 & 32, 75
 - adjudicator's discretion, 76
 - applicability of rules, 82
 - context, 80
 - dictionary definitions, and, 78
 - general principles of law, 83
 - 'general rule of interpretation', 76
 - good faith, 77
 - identification of object and purpose, 80
 - indeterminate nature of treaty texts, 77
 - interpretative guidance, 84
 - notion of effective interpretation, 77
 - object and purpose, 80
 - ordinary meaning, 78
 - principles of customary international law, 83
 - principles reflected in preambles, 81
 - reference to principles, 79
 - relevant rules of international law, 81
 - rules of international law, 79
 - special meaning, 78
 - supplementary means of interpretation, 84
 - supplementary techniques, 77
 - textual approach, 78
 - WTO Tribunals' obligations, 84
- WTO law
 - consistency with
 - fair administration, and, 172
 - defining principles of, 32–5
 - judicial decisions, derived from, 34
 - benchmark of principles, 35
 - evidence of existence of principles, 34
 - independent source, as, 34
 - interpretation of provisions, 34
 - principle of *stare decisis*, 34
 - subsequent practice, 34
 - WTO agreements, derived from, 32
 - determination of, 33
 - objectives of rules, 33
 - preambles, 33
 - recognition of concept, 33
 - due process as principle of. *See* Due process, principle of
 - examples of principles of, 35–43
 - core principles, 37
 - Doha Declaration, 37
 - GATT/WTO law, 37
 - Marrakesh Agreement, 35
 - non-discrimination, 39
 - reciprocity, 42
 - significance of principles, 37
 - trade liberalisation, 38
 - 'underlying', 36
 - good faith as principle of. *See* Good faith, principle of
 - principles as part of, 90
 - proportionality, and. *See* Proportionality, principle of
 - special and differential treatment, 239
 - supplementary means of interpretation, and, 85
- WTO provisions
 - using principles to interpret, 68
- WTO Tribunals
 - applicable law. *See* Applicable law
 - application of non-WTO sources of law, 93
 - assessment of principles, 22
 - binding decisions, 34
 - consistency of decisions, 74

- WTO Tribunals (cont.)
- due process before, 153–7
 - appeal and review mechanisms, 159
 - bias rule, 154
 - DSB Rules of Conduct, 155
 - ex parte* communications, 155
 - fair administration of laws, 158
 - framework for incorporation, 153
 - hearing rule, 156
 - imposition of requirements, 157
 - intellectual property rights, and, 158
 - no evidence rule, 157
 - Panel requests, 156
 - prior notice of case, 156
 - protection of procedural interests, 153
 - requests for consultations, 156
 - responding to adverse evidence, 156
 - right to take private actions, 159
 - WTO agreements requirements, 159
 - ensuring due process before, 160
 - Panel compliance. *See* Panels
 - establishing ordinary meaning, 78
 - establishment of procedural rules, 166
 - exercise of inherent jurisdiction, 66
 - resolution of procedural matters, 175
 - general principles of law, and, 21
 - interpretation of agreements, and, 4, 15
 - jurisdiction, 21, 67
 - non-violation complaints, and, 138
 - principles of international law, use of, 4
 - relevance and accuracy, 16
 - substantive law, 18
 - principles within inherent jurisdiction of, 97–103
 - ad-hoc establishment of Panels, 97
 - applicable law, and, 97
 - burden of proof, and, 101
 - decision-making through negative consensus, 98
 - delineation of inherent jurisdiction, 100
 - evidentiary issues, 101
 - guidance from other legal systems, 101
 - implied powers, and, 98
 - independence, 97
 - judicial process, 97
 - jurisdictional objections, 100
 - nature of judicial function, 98
 - necessity of use of principle, 102
 - non-interpretative use of, 97
 - procedural matters, 100
 - ‘quasi-judicial’ bodies, as, 99
 - refusal to provide information, 101
 - scope of inherent jurisdiction, 99
 - source of incidental powers, 98
 - Tribunals’ obligations, 99
 - principles, use of, 67
 - interpretative manner, 68
 - legality of, 67
 - public international law, use of, 4
 - response to claims of estoppel, 127
 - right to representation, 168
 - rules of international law, 83
 - subject-matter jurisdiction of, 89–93
 - Appellate Body, 91
 - ‘compliance Panel’, 92
 - DSU provisions delineating, 90
 - implementation of DSB recommendations, 92
 - limitations on, 89
 - non-violation complaints, 90
 - period of time for compliance with DSB rulings, 92
 - principle of WTO law, 90
 - principles as basis of claim, 89
 - principles incorporated in WTO agreements, 91
 - restrictions on, 90
 - suspension of concessions, 92
 - types of claims, and, 89
 - types of complaint, 90
 - violation complaints, 90
 - suspension of concessions, and, 220
 - trade liberalisation, and, 38
 - unestablished principles, and, 56
 - usurping the will of Members, 74
 - Zeroing
 - anti-dumping measures, and, 228