High-Speed Electronics and Optoelectronics

This authoritative account of electronic and optoelectronic devices operating at frequencies greater than 1 GHz covers the concepts and fundamental principles of operation, and, uniquely, their circuit applications too.

Key features include:

- a comprehensive coverage of electron devices, such as MESFET, HEMT, RF MOS-FET, BJT and HBT, and their models;
- discussions of semiconductor devices fabricated in a variety of material systems, such as Si, III–V compound semiconductors and SiGe;
- a description of light-emitting diodes, semiconductor lasers and photodetectors;
- an executive summary at the beginning of each chapter;
- plentiful real-world examples; and
- end-of-chapter problems to test understanding of the material covered.

From crystal structure to atomic bonding, recombination and radiation in semiconductors to p–n junctions and heterojunctions, a wide range of critical topics is covered. Moreover, a chapter on analogue circuit applications provides an introduction to scattering parameter theory, followed by descriptions of different types of amplifier and oscillator utilising HBTs and HEMTs. Optimisation algorithms, such as simulated annealing and neural network applications, and parameter extraction of electronic device equivalent circuit models are also discussed. Graduate students in electrical engineering, industry professionals and researchers will all find this a valuable resource.

Sheila Prasad is Professor Emeritus in the Electrical and Computer Engineering Department at Northeastern University. Her current research interests include microwave and high-speed semiconductor devices and circuits, and optoelectronic circuits. She has coauthored the book *Fundamental Electromagnetic Theory and Applications* with Ronold W. P. King and has authored over 130 journal and conference publications.

Hermann Schumacher is Professor and Director of the Competence Center on Integrated Circuits in Communications, Institute of Electron Devices and Circuits, University of Ulm. He is also the Director of the International Master Program on Communications Technology at the University of Ulm, and has authored over 150 journal and conference publications.

Anand Gopinath is Professor in the Department of Electrical and Computer Engineering at the University of Minnesota. He is Life Fellow of the IEEE, Fellow of the OSA and Fellow of IET (UK). His research is in the areas of RF/microwave and optical semiconductor devices, integrated optics and metamaterials.

CAMBRIDGE

Cambridge University Press 978-0-521-86283-7 — High-Speed Electronics and Optoelectronics Sheila Prasad , Hermann Schumacher , Anand Gopinath Frontmatter <u>More Information</u>

High-Speed Electronics and Optoelectronics: Devices and Circuits

SHEILA PRASAD

Northeastern University, Boston

HERMANN SCHUMACHER

University of Ulm, Germany

ANAND GOPINATH

University of Minnesota, Minneapolis



CAMBRIDGE UNIVERSITY PRESS

University Printing House, Cambridge CB2 8BS, United Kingdom

One Liberty Plaza, 20th Floor, New York, NY 10006, USA

477 Williamstown Road, Port Melbourne, VIC 3207, Australia

314-321, 3rd Floor, Plot 3, Splendor Forum, Jasola District Centre, New Delhi - 110025, India

103 Penang Road, #05-06/07, Visioncrest Commercial, Singapore 238467

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9780521862837

© Cambridge University Press 2009

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2009

A catalogue record for this publication is available from the British Library

Library of Congress Cataloging in Publication data Prasad, Sheila. High-speed electronics and optoelectronics : devices and circuits / Sheila Prasad, Hermann Schumacher, Anand Gopinath. p. cm. Includes bibliographical references and index. 1. Electronic apparatus and appliances. I. Schumacher, Hermann, 1957– II. Gopinath, Anand. III. Title. TK7870.P676 2009 621.381–dc22

2008048655

ISBN 978-0-521-86283-7 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

2

Cambridge University Press 978-0-521-86283-7 — High-Speed Electronics and Optoelectronics Sheila Prasad , Hermann Schumacher , Anand Gopinath Frontmatter More Information

Contents

	Preface		<i>Page</i> vii	
	Ackne	Acknowledgements		
Part Oı	ne Devices		1	
			-	
1	Revie	3		
	1.1	Executive summary	3	
	1.2	Semiconductor materials	4	
	1.3	Types of solids	5	
	1.4	Crystal structure	5	
	1.5	Crystal directions and planes	6	
	1.6	Atomic bonding	8	
	1.7	Atomic physics	9	
	1.8	The de Broglie relation	11	
	1.9	Quantum mechanics	12	
	1.10	Statistical mechanics	16	
	1.11	Electrons in a semiconductor	16	
	1.12	The Kronig–Penney model	16	
	1.13	Semiconductors in equilibrium	18	
	1.14	Direct and indirect semiconductors	20	
	1.15	Recombination and radiation in semiconductors	25	
	1.16	Carrier transport in semiconductors	29	
	1.17	p–n junction	30	
	1.18	Schottky diode	34	
	1.19	Heterostructures	35	
	1.20	Silicon-germanium heterostructures	40	
	1.21	Problems	43	
	Refer	rences	45	
2	Electronic devices		46	
	2.1	Executive summary	46	
	2.2	MESFET	46	
	2.3	High electron mobility transistor	67	

vi	Contents			
	2.4	Radio Frequency MOSFETs	95	
	2.5	Bipolar and hetero-bipolar transistors	115	
	2.6	Problems	156	
	Refer	rences	158	
3	Optim	163		
	3.1	Executive summary	163	
	3.2	Optimisation of device models	163	
	3.3	Simulated annealing	164	
	3.4	Neural networks applied to modelling	168	
	3.5	Optimisation of neural networks by the genetic algorithm	180	
	3.6	Structured genetic algorithm	181	
	3.7	Semi-analytical device parameter extraction	184	
	3.8	Basic expressions for small-signal parameter extraction	203	
	3.9	Small-signal model of the collector-up (inverted) HBT	212	
	3.10	Problems	214	
	Refer	rences	218	
4	Optoelectronics		221	
	4.1	Executive summary	221	
	4.2	Optical sources	221	
	4.3	Photodetectors	261	
	4.4	Problems	284	
	Refer	rences	285	
Part Tw	o Circuits		289	
5	Building blocks for high-speed analogue circuits			
	5.1	Executive summary	291	
	5.2	Basic relations for two-port networks	291	
	5.3	Noise in two-ports	306	
	5.4	Transistor amplifiers	313	
	5.5	Oscillators	383	
	5.6	Mixers	396	
	5.7	Baluns, unbals and hybrids	412	
	5.8	Problems	419	
	Refer	rences	421	
	Index		423	

Preface

Starting from the development of transistor technology to laser technology, the field of solid state devices and their circuit applications has advanced rapidly. The silicon bipolar junction transistor was first applied to low frequency circuits. The subsequent advances in materials science made it possible to fabricate compound semiconductor transistors capable of operating at microwave frequencies and high speeds. This presented the capability of applications in both analogue and digital circuits. At the same time, the wide choice of high performance semiconductor materials also enabled the development of optoelectronic devices such as lasers and light-emitting diodes. The communications industry continues to grow and diversify, thus necessitating the design of circuits which will satisfy the requirements of mobile telephones which are becoming more and more sophisticated in their performance. Circuit design has applications in other areas such as optical communications.

This book focusses on high-speed electronics and optoelectronics where the devices operate at frequencies ≥ 1 GHz. It is presented in two parts with devices being discussed in the first part and the circuit applications in the second part. In Part One, semiconductor devices fabricated in a variety of material systems – Si, III–V compound semiconductors and SiGe – are presented. We discuss the concepts and the fundamental principles of operation. We do not attempt to present the latest results as they will already be obsolete by the time the book is published. It is assumed that the reader has had a course in fundamental solid state physics.

Chapter 1 reviews semiconductor materials and physics. For the reader who is familiar with the topics, this chapter will be a brief review. If not, the reader can go to the references section to get a detailed coverage of the topics. Semiconductor materials are described followed by brief discussions of crystal structure and bonding. The section on quantum mechanics is intended to present only the important concepts and is not a comprehensive treatment of the subject. Semiconductor properties are described followed by types of semiconductors. Semiconductor junctions are treated in detail as they are the basis of the devices to be treated in subsequent chapters.

Chapter 2 presents high-frequency/high-speed electronic devices starting with the MESFET, which was the first transistor to operate at microwave frequencies. The development of the high electron mobility transistor (HEMT) represented a major advance in technology and is presented here in detail. The recent application of MOSFETs to radio frequency has been successful and the properties are covered in detail. Finally, bipolar

Preface

viii

and heterojunction bipolar transistors (HBTs) are described. Models for the transistors are presented and their method of implementation is described.

Chapter 3 presents the optimisation and parameter extraction of the circuit models of the electronic devices. The simulated annealing algorithm is discussed followed by the application of neural networks to circuit modelling. The genetic algorithm is defined and its application to optimisation is shown. Parameter extraction methods are given for circuit models using semi-analytical methods and basic expressions are derived.

Chapter 4 deals with various optical sources such as light-emitting diodes and lasers, giving details of their physical properties and their modes of operation. The discussion of emitters is followed by an extensive coverage of a variety of photodetectors.

In Part Two of the book, we discuss analogue circuits at the gate level. We will assume that the reader has a background (at the undergraduate level) in fundamental analogue circuit theory. Chapter 5 (Part Two of the book) deals with the components of high-speed analogue circuits. After a review of scattering parameter theory, the power and noise relations for two-port networks are discussed. Transistor amplifiers are covered in detail, showing the application of the devices described in Chapter 2. This is followed by a discussion of oscillators and mixers for high-speed circuits. Important passive components of high-speed circuits complete this chapter.

We have a layered approach to each chapter in the book. There is an executive summary at the beginning of each chapter. This will make the book valuable also for technical managers who may not want to go through the chapter content in detail. We have extensive problems at the end of each chapter, which will give the student applications of the theory. This book should be useful to research engineers and graduate students. Results from various research papers are presented, many of which are only available in journals which are referenced extensively. However, the reader need not go to the original papers as the results are given in sufficient detail to give a good understanding of the material.

Acknowledgements

Sheila Prasad

I would like to express my gratitude to Professor Clifton G. Fonstad, Jr, at the Massachusetts Institute of Technology. My long collaboration with him started with the first sabbatical leave at MIT when I worked in his group. It initiated my work on HBTs at microwave frequencies and the continued support he provided to me and my students in his laboratory resulted in this successful research. I acknowledge my colleague at Northeastern University, Dr Michael Vai (now at MIT Lincoln Laboratory), with whom I performed research on optimisation and modelling techniques. Many students worked with me on various aspects of the research reported in this book. I would particularly like to acknowledge the work of Dr Bin Li whose research results continue to be cited in publications. I would also like to acknowledge my student Kofi Deh for his help with the figures and manuscript editing. Dr Henry Choy and Dr Wojtek Giziewicz, both of whom were students at MIT, gave me invaluable suggestions for the book material and also helped me with MATLAB, graphics programmes and Latex when needed. I acknowledge my colleague at Northeastern University, Professor Jeff Hopwood (now at Tufts University), with whom I had many useful discussions about the content of the book. It has been a great experience to work with both of my co-authors. Last but not least, I would like to thank my husband, Fred Hinchey, for his great patience and support in the course of this book project.

Hermann Schumacher

I gratefully acknowledge the valuable assistance of Dr Andreas Trasser, Dr Wolfgang Haag and Ms Ursula Winter in proofreading the original manuscript. Their helpful suggestions had a significant impact. Most importantly, I am eternally indebted to my wife Christiane. Without her patience and loving care, this book would never have materialised.

Anand Gopinath

I acknowledge the valuable discussions on lasers and photodiodes with my past and present graduate students including Ross Schermer, Prakash Koonath, William Х

Cambridge University Press 978-0-521-86283-7 — High-Speed Electronics and Optoelectronics Sheila Prasad , Hermann Schumacher , Anand Gopinath Frontmatter <u>More Information</u>

Acknowledgements

Berglund, Jaesang Oh, Kang-Hyun Baek, Klein Johnson and others. I am grateful to my wife Marian for her patience and support while this book was being written.

Joint acknowledgements

Finally, we would like to thank our publisher Dr Julie Lancashire for her patience and her guidance throughout this book project. We also thank Dr Phil Meyler who encouraged us to initiate this project by submitting a book proposal which was accepted by Cambridge University Press. Thanks are also due to Ms Sarah Matthews who has been very helpful in the last and most difficult stages of the project.